Electron Correlations in Quantum Wires (Transport in a Luttinger Liquid)

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Outline

- Electrical resistance of a quantum wire in the absence of correlations
- Interaction effects: scattering of electron waves off a Friedel oscillation
- An alternative view- the flow of electron fluid
- Dealing with many modes: spin-charge separation, drag effect, etc
- 1D superconductors and magnets, quantum dots, and other applications

Resistance, Conductance, Conductivity



Ohm's law: V=IR

Conductance: $G = 1/R = \sigma \cdot S/L$ Metals-high conductivity [Cu: $\sigma \sim 10^8 (\Omega \cdot m)^{-1}$]



Drude conductivity:

$$\sigma = \frac{n_e e^2 \tau}{m_e}$$

Ballistic Electron Conductance



Quantum Ballistic Electron Conductance

Conductance: $G \propto S$ Does it always hold?

Quantum point contact (van Wees et al,1988)





 $R \gtrsim \lambda_F$ is crucial for the conductance quantization

(L.G.,Lesovik,Khmelnitskii,Shekhter 1988)

$$G = \frac{e^2}{\pi\hbar} \frac{Wk_F}{\pi} \longrightarrow G = \frac{e^2}{\pi\hbar} N$$

Conductance of a 1D channel, free electrons



Ideal, adiabatic channel: quantized conductance

Ballistic conductance (no scatterers) is less than $\frac{e^2}{2\pi\hbar}$ per mode per spin



Conductance of a 1D channel, free electrons



Current = sum of partial currents at different energy "slices"

For each "slice" [E, E+dE], the partial current depends on T_0 at the same energy E only.

> The linear conductance $G = \frac{e^2}{2\pi\hbar} T_0(E_F)$ (Landauer formula).

Friedel oscillation (Friedel, 1952)

Reflection at the barrier changes all electron states, including those with energy $E < E_{F_{e}}$



r < 0

$$\psi_k(x) \sim \left(e^{ikx} + r_0 e^{-ikx}\right)$$

$$\delta n(x) \sim -\frac{|r_0|}{|x|} \sin[2k_F|x| - \delta], \quad |x| \gg \lambda_F$$

Friedel oscillation: Hartree potential





$$V(x)$$

 δr_H
 δr_H

Scattering off the Friedel oscillation: $\delta r_H \sim r_0 \frac{V(2k_F)}{\hbar v_F} \int_d^\infty dx e^{2ikx} \frac{\sin(2k_F x)}{x}$ $\sim r_0 \frac{V(2k_F)}{\hbar v_F} \ln \frac{1}{|k_F - k|d|}$

Exchange contribution — similar

Transmission modified by the Friedel oscillation

Transmission coefficient of a "composite" barrier:

$$T = T_0 + 2T_0 \operatorname{Re}(r_0^* \delta r)$$



First-order interaction correction to the transmission coefficient

Transmission coefficient becomes **energy-dependent** :

$$\delta T(\varepsilon) = -2\alpha T_0 (1 - T_0) \ln \left| \frac{D_0}{\varepsilon} \right|$$

$$\varepsilon = \hbar v_F (k - k_F)$$

$$D_0 = \hbar v_F / d$$

$$\alpha = \frac{1}{2\pi \hbar v_F} [V(0) - V(2k_F)]$$
suppression enhancement of the transmission
$$D \text{ wire } y$$

$$\alpha \approx \frac{e^2}{\hbar v_F} \ln(k_F d)$$
at $k_F d \gg 1$

$$C_F$$

$$C_F$$

Cure: the leading—logarithm approximation



Leading—log: sums up the most divergent terms, $\left[\alpha \ln \left|\frac{D_0}{\varepsilon}\right|\right]^n$, of the perturbation theory

Real-space RG

Split the important interval $[d, 1/|k - k_F|]$ on smaller pieces, so that $l_n - l_{n-1} \gg d$, but $\alpha \int_{l_{n-1}}^{l_n} dx/x \ll 1$





Transmission in the leading-log. approximation

RG equation:

$$T_n - T_{n-1} = -2\alpha T_{n-1} (1 - T_{n-1}) \ln \frac{l_n}{l_{n-1}}$$
$$1 \le n \le D_0/|\varepsilon|$$

Solution of the RG equation:

$$T(\varepsilon) = \frac{T_0 \left|\frac{\varepsilon}{D_0}\right|^{2\alpha}}{R_0 + T_0 \left|\frac{\varepsilon}{D_0}\right|^{2\alpha}} R_0 \equiv 1 - T_0 \qquad \alpha = \frac{V(0) - V(2k_F)}{2\pi\hbar v_F} \ll 1$$
$$R_0 \equiv 1 - T_0 \qquad \varepsilon = \hbar v_F (k - k_F)$$
$$D_0 = \hbar v_F / d$$

After the divergency is cured

Sum up the most divergent terms, $\alpha^n \left\{ \ln \left| \frac{D_0}{\varepsilon} \right| \right\}^n$, of the perturbation theory

Use Renormalization Group (RG) technique for book-keeping

$$T(\varepsilon) = \frac{T_0 \left|\frac{\varepsilon}{D_0}\right|^{2\alpha}}{R_0 + T_0 \left|\frac{\varepsilon}{D_0}\right|^{2\alpha}}$$
$$R_0 \equiv 1 - T_0$$

Matveev, Yue, L.G. 1993



Conductance in the leading-log. approximation scattering remains elastic --> Landauer formula works $G(\mathbf{k}_{B}T) = \frac{e^{2}}{2\pi\hbar} \int d\varepsilon \left(-\frac{df_{F}}{d\varepsilon}\right) T(\varepsilon)$ $G(k_B T) = \frac{e^2}{2\pi\hbar} \frac{T_0 \left|\frac{k_B T}{D_0}\right|^{2\alpha}}{R_0 + T_0 \left|\frac{k_B T}{D_0}\right|^{2\alpha}}$ Within log-accuracy: At low energies $\left[\frac{k_B T, eV \ll \varepsilon^* \sim \left(\frac{R_0}{T_0}\right)^{\frac{1}{2\alpha}} D_0\right]$ $\propto x^{2\alpha}$ $\frac{aI}{dV} = (k_B T)^{2\alpha} F\left(\frac{eV}{k_P T}\right) \frac{\text{scaling}}{F(x)}$ ${\mathcal X}$

Effects of interaction – Friedel oscillation picture

1. Tunneling across a barrier is modified, $\frac{dI}{dV}\Big|_{T=0} \propto (eV)^{2\alpha}$



2. No barrier \Rightarrow no Friedel oscillation; properties of an ideal 1D channel are not modified ?

"Bulk" tunneling density of

states: $\nu_{\text{bulk}}(\varepsilon) \propto \nu_0$



Two-terminal conductance remains quantized $G = \frac{e^2}{2\pi t}$

1D electron liquid: phenomenology

Dynamical variable: displacement of a unit 1D volume u(x,t)Lagrangian:

$$\delta L = \delta K - \delta U = \frac{1}{2} n_0 m \left(\frac{\partial u}{\partial t}\right)^2 - \frac{1}{2} \frac{\partial \mu}{\partial n} n_0^2 \left(\frac{\partial u}{\partial x}\right)^2$$

Kin. energy Potential energy

External field: $\delta U_{\text{ext}} = en_0 E(x, t)u$

Wave equation:

$$\frac{\partial^2 u}{\partial t^2} - \frac{v^2}{\partial x^2} \frac{\partial^2 u}{\partial x^2} = -\frac{e}{m} E(x, t)$$

Conductivity & charge waves

$$\frac{\partial^2 u}{\partial t^2} - v^2 \frac{\partial^2 u}{\partial x^2} = -\frac{e}{m} E(x,t)$$

$$v = \left[\frac{n_0}{m} \frac{\partial \mu}{\partial n}\right]^{\frac{1}{2}} = \left[v_F^2 + \frac{2}{\pi} V(0) v_F\right]^{\frac{1}{2}} > v_F$$
Velocity of 1D
plasmon wave
current: $I(x,t) = en_0 \frac{\partial u(x,t)}{\partial t}$

$$\frac{n_0 = \frac{p_F}{\pi \hbar}}{\frac{1}{p_F}} p_F \quad \sigma(q,\omega) = \frac{e^2 v_F}{\pi \hbar} \frac{-i\omega}{(qv)^2 - \omega^2 - i0 \cdot \omega}$$

From conductivity to the conductance

Ideal (homogeneous) wire

field is applied only here \mathcal{X} $V = \int_{0}^{L} E(x, \omega)$ $I(x,\omega)$ $I(x,\omega) = \int \frac{dq}{2\pi} e^{iqx} \sigma(q,\omega) \int dx_1 e^{-iqx_1} E(x_1,\omega)$ $\omega \to 0 \Rightarrow q = \omega/v \to 0$ [pole in $\sigma(q, \omega)$] $\lim_{\omega \to 0} I(x, \omega) = I \quad \text{independent of } x$ $\frac{I}{V} = G = \frac{e^2}{2\pi\hbar}g; \ g = \frac{v_F}{n}$ (C.L. Kane, M.P.A. Fisher, 1992)

Conductance $G^{\text{Conductance}} = \text{emission of plasmon waves of wavelength}$

Conductance of a finite channel

Dissipative conductance=emission of plasmons of wavelength $\sim V/\omega$. Finite-length channel: g(x) slowly varies in space



(Matveev, L.G. 1993; D.Maslov, M.Stone; I.Safi, H.Schulz; D.Ponomarenko, 1995)

Tunneling across a barrier

A barrier reveals the discreteness of the fermions; the Hamiltonian must be invariant only under the discrete shifts



Each particle changed state – zero overlap of the old and new ground states (Orthogonality Catastrophe, Anderson 1967)





Tunneling amplitude

Energy deficit:

$$E(t) \propto \int_{-v|t|}^{v|t|} dx \left(\frac{\partial u}{\partial x}\right)^2 \propto \frac{v}{v_F|t|}$$

WKB tunneling action: $S(t) \sim \int_{t_0}^t d\tau E(\tau) \sim i \frac{1}{a} \ln \frac{|t|}{t_0}$

Tunneling amplitude:
$$A(\varepsilon) \propto \int dt e^{-i\varepsilon t} \exp\left[iS(t)\right] \propto |\varepsilon|^{rac{1}{g}-1}$$

Tunneling rate:

$$T(\varepsilon) \propto |\varepsilon|^{2(rac{1}{g}-1)}$$

Weak interaction: $\frac{1}{g} - 1 \rightarrow \alpha$ fits perturbation theory $\alpha = V(0)/2\pi\hbar v_F$

Tunneling density of states





Tunneling density of states Inserting electron: finite shift $\Delta u = 1/n_0$ Many (N>>1) modes: $\Delta u = \sum \Delta u_i$ $\nu(\varepsilon) \propto |\varepsilon|^{\alpha} \quad \alpha \propto \sum (\Delta u_i)^2 \propto 1/\sqrt{N}$ LG, Matveev 93



Carbon nanotubes – tunneling experiments



$$lpha_{
m end} pprox 2 lpha_{
m bulk}$$
 corresponds to $g pprox 0.22$

caution...

Carbon nanotubes – tunneling experiments

In a multi-wall nanotube dI/dV is also a power-law...



FIG. 2. (a) G(V, T = const) = dI/dV of a second MWNT for T = 0.35, ..., 20 K. (b) The linear conductance G(0, T)in a double logarithmic plot demonstrating power-law scaling. (c) $G(V, T)T^{-\alpha}$ versus eV/k_BT . Similar to the T dependence, $G \propto V^{\alpha}$ for $eV \gg k_BT$ with power $\alpha = 0.36$.

[Bachtold et al (2001)] Easy to confuse with ...

...instead of a different function (incl. disorder):

$$\nu(\boldsymbol{\epsilon}, T) \propto \exp\left\{-\sqrt{\frac{\boldsymbol{\epsilon}^*}{T}} F\left(\frac{\boldsymbol{\epsilon}}{\sqrt{\boldsymbol{\epsilon}^*T}}\right)\right\}$$



FIG. 1. The scaling function F(x) and its asymptotics: F(x) = 1.07 - x/2 for $x \ll 1$ (dotted line), and $F(x) \sim 1/x$ for $x \gg 1$ (dashed line).

Mischenko, Andreev, L.G. (2001)

Zero-bias anomaly (aka "dynamic Coulomb blockade")

$$\frac{\delta\nu(\varepsilon,r_0)}{\nu_0} \propto -\int_{\varepsilon/\hbar}^{\infty} \frac{d\omega}{\pi\hbar} \mathrm{Im} \int d^3r d^3r' p(r_0,r,\omega) U(r,r',\omega) p(r',r_0,\omega)$$

Altshuler, Aronov 1979

Two-point impedance: $e^2 z(r_0, r', \omega) = \int d^3 r \, p(r_0, r, \omega) U(r, r', \omega)$ r_0 $z(r_0, r', \omega) = V(r', \omega)/I(r_0, \omega)$ meaning: $p(r, r_0, t)$ $Z_{\text{eff}}(r_0,\omega) = \frac{\int d^3r \, z(r_0,r',\omega) p(r',r,\omega)}{\int d^3r \, p(r_0,r,\omega)}$ $1/i\omega$ Propagation probability

Zero-bias anomaly (aka "dynamic Coulomb blockade")



Dangerous resemblance to a Luttinger liquid behavior

$$\alpha_{\rm end} = 2\alpha_{\rm bulk}$$

More tunneling experiments

resonant tunneling



3. Weak interaction: full lineshape, any *T*; (Nazarov, LG 2003; Gornyi, Polyakov 2003)

Current noise

Charge discreteness leads to shot noise in current

Vacuum diode

Rare tunneling events







Relation between noise power and current: $S_I(\omega = 0) = e \langle I \rangle$

time

Current noise



Backscattered current: $I_{back} = I_{max} - I$ Current noise power: $S_I \propto \langle \hat{I}_{back} \cdot \hat{I}_{back} \rangle$ Average "backscattered current" $\langle \hat{I}_{back} \rangle = I_{max} - \langle I \rangle$. Relation between noise power and current: $S_I(\omega = 0) = (ge) \langle I_{back} \rangle$

[C.L. Kane, M.P.A. Fisher (1994)]

Edge states – experiments:

Current noise

1. Phenomenology: States at the edges of 2D electron system in the conditions of the fractional (v < 1) quantum Hall effect are chiral Luttinger liquids with charge $e^* = ve$ and interaction parameter g = v.

1.1. Noise must reveal fractional charge



e/3 Ourrent noise, S_i (10⁻²⁹ A² Hz⁻¹ t = 0.825 3 t = 0.73R. De-Picciotto et al (1997) 2 200 400

Backscattered current, IB (pA)

Edge states – experiments

Corroborating observation of the noise power vs. current ratio: [L. Saminadayar et al (1997)]



(μV)



1.2. Tunneling into an edge state is characterized by $I(V) \sim V^{1/v}$ for a discrete set of v.



Confirmed by Chang et al in 1996 for v=1/3

but...



Edge states – experiments

There is no predicted qualitative difference between the compressible and incompressible states; continuous evolution of current-voltage characteristics with v. [M. Grayson et al (1998)]

I(V)~ V^{α} continuous set of α



Tunneling with the momentum conservation



Spectral density of a Luttinger liquid



Double-peak structure – spin&charge modes for the linearized spectrum

Comparison With the Non-Interacting Dispersion



(data: courtesy of Auslender&Yacoby)

$$A(q,\omega) = \operatorname{Im} G(k_F + q, \epsilon_F + \omega)$$

In the presence of interactions, the spectral density is known only for the linearized spectrum this is a charge mode of a Luttinger liquid



Luttinger liquid vs Tomonaga-Luttinger model

D>1: Fermi gas (exactly solvable) \Rightarrow Fermi liquid (low-energy theory) $\frac{c}{T} \propto \text{const} \Rightarrow \frac{c}{T} \propto \text{const} + V^2 T$ non-analytic correction

D=1:

Tomonaga-Luttinger model (exactly solvable)

Luttinger liquid (low-energy theory)



Corrections to Luttinger liquid theory are important if particle-hole asymmetry plays role

- Thermopower no experiments
- Coulomb drag effect inconclusive experiments





Drag between quantum wires

- Drag between identical wires at low temperature,
- $T \ll \varepsilon_F \exp(-4\gamma k_F d)$
- is dominated by $2k_F$ processes. (Nazarov&Averin, 1998, Klesse&Stern, 2000)

Drag at higher temperatures, or drag between non-identical wires,

$$|k_F^{(1)} - k_F^{(2)}| \neq 0$$

is controlled by small-momentum transfer,





Drag between quantum wires

In 1D system, even weak short-range interaction results in a singular perturbation (Fermi liquid ,Luttinger liquid).

1. Weak interaction between wires – perturbation theory in $U_{12}(q)$.

 $\mathbf{v}_d = \mathbf{I}_1 / en_1 \quad \overline{I_1}$

2. No disorder – Galilean invariance of charge fluctuations,

Structure factor: $\mathbf{S}_1(x,t) = \mathbf{S}_1(x - \mathbf{v}_d t, t)$

$$\implies r \propto \frac{1}{n_1 n_2} \int_0^\infty d\omega \int_0^\infty dq \ q^2 U_{12}^2(q) \frac{\operatorname{Re} \sigma_1(q,\omega) \operatorname{Re} \sigma_2(q,\omega)}{T \sinh^2(\omega/2T)}$$

$$\operatorname{Re} \sigma_{i} = -\operatorname{Im} \chi_{i} = \frac{1}{2} \left(1 - e^{-\omega/T} \right) S_{i}$$
accounts fully for the intra-wire interaction

Interacting electrons: beyond RPA



Drag between non-identical wires



Free-electron and the Calogero-Sutherland models:

$$r \propto l_0^{-1} \exp(-k_F \delta v/T) + l_{2k_F}^{-1} \exp(-v \delta k_F/T)$$

Generic electron-electron interaction:

$$r \propto l_0^{-1} \frac{T^5}{\varepsilon_F^4 |k_F \delta v|} + l_{2k_F}^{-1} \exp(-v \delta k_F / T)$$

In an experiment, $\delta v \propto \delta k_F \sim |n_1 - n_2|$.

1D AF-magnets: spin liquid



Superconductor-insulator transition in nanowires



MoGe nanowire [Bezryadin, Bockrath, Markovic, Lau, Tinkam (2000, 2001)]



One gapless mode – phase (φ) – Luttinger liquid

$$\mathcal{L} = \frac{\hbar^2 n_s}{2m} \left(\frac{\partial \varphi}{\partial x}\right)^2 + \frac{1}{e^2 \ln(D/R)} \left(\frac{\partial \varphi}{\partial \tau}\right)^2$$

+core energy

Superconductor-insulator transition in nanowires

Wires with cross-sections $S \lesssim S_0 = \lambda_F^2 \left(rac{\xi_{
m sc}}{l}
ight)^2$

are insulating, but localization length is huge



Tinkham group 2000

Where is the proximity effect in experiments?

$$\ln \left[\frac{\xi_{loc}^*}{\xi_{loc}}\right] \propto \frac{\xi_{\rm SC}}{l} \gg 1$$

Other applications: Charge of a quantum dot

How one electron is split between two quantum dots?



related problems for $G/\frac{e^2}{\pi\hbar} \to 1$

mesoscopic fluctuations: theory Aleiner & LG 1998; experiment: Marcus group 1999; Kondo effect: theory LG,Hekking,Larkin 1999 Fluctuations of the charge "liquid" can be mapped onto a Tomonaga-Luttinger model (Flensberg 93, Matveev 95)



Matveev, LG, Baranger; Golden&Halperin, 1996

Scattering off Friedel oscillation in D=2

Interference of waves A&B



Origin of Altshuler-Aronov corrections

Rudin, Aleiner, LG, 1997 - correction to the DOS

Zala, Narozhny, Aleiner, 2001 – correction to conductivity

d*p***/dT** sign change near Stoner instability; possibly explains the <u>2D metal-insulator "transition"</u>



Conclusions

- Several complementary tools exist for treating interactions in 1D electron systems, a number of specific predictions are made, some attractive problems remain open
- A consistent set of experimental manifestations of the Luttinger liquid behavior perhaps is still to come