

# Prediction of Non-Abelian Statistics for Quasiparticles in the $\nu = 5/2$ Fractional Quantized Hall State

Windsor Summer School, August 10, 2007

# Quasiparticles with “non-abelian statistics”:

Moore and Read in 1991, proposed a new kind of trial wave function, involving a Pfaffian, to explain the recently discovered fractional quantized Hall state at filling fraction  $\nu = 5/2$ , which would have quasiparticles with non-abelian statistics. Not yet confirmed by experiments.

Recent advances in materials should make experiments possible--for the  $5/2$  state--at least show whether the state is indeed of the Moore-Read type, and hopefully confirm the existence of non-abelian quasiparticles.

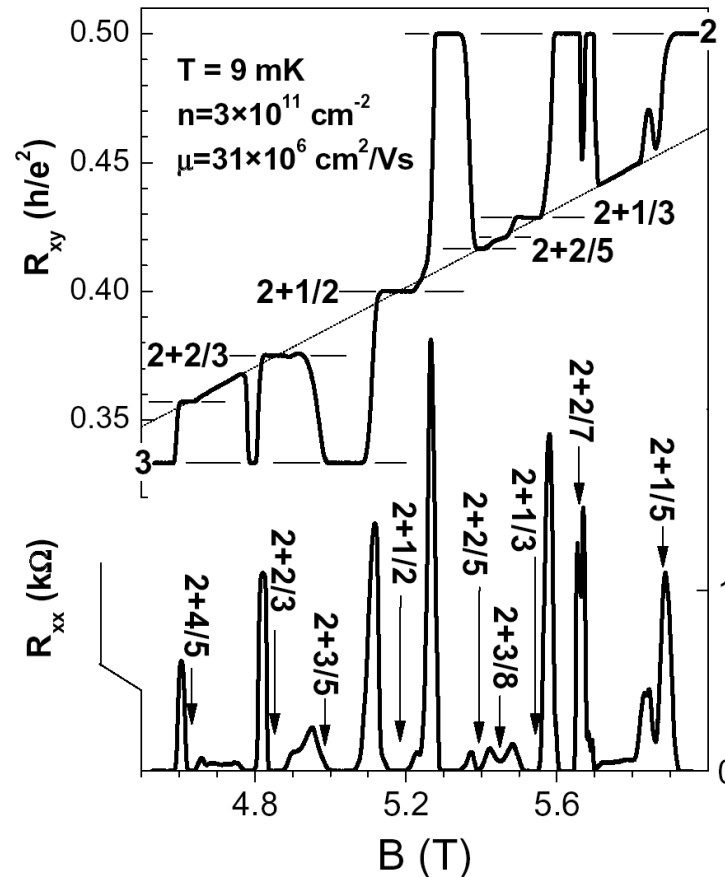
# Outline

What do we mean by Non-Abelian statistics? How do they work in the Moore-Read “Pfaffian” state? Evidence for the Pfaffian state at  $\nu=5/2$  .

Description of the Pfaffian state as a p-wave BCS superconductor of “composite fermions”.  $\Delta \sim (p_x + ip_y)$

Some consequences of the non-abelian statistics.

# Existence of a quantized Hall state at $\nu=5/2$



J.S. Xia<sup>1,2</sup>, W. Pan<sup>3,2</sup>, C.L. Vincente<sup>1,2</sup>, E.D. Adams<sup>1,2</sup>, N.S. Sullivan<sup>1,2</sup>,  
H.L. Stormer<sup>4,5</sup>, D.C. Tsui<sup>3</sup>, L.N. Pfeiffer<sup>5</sup>, K.W. Baldwin<sup>5</sup>, and K.W. West<sup>5</sup>

# Non-abelian statistics for Moore-Read 5/2 state

Consider a system containing  $2N$  localized quasiparticles, far from each other and far from boundaries. Then there exist  $M=2^{N-1}$  orthogonal **degenerate ground states**, which cannot be distinguished from each other by any local measurement.

Moving various **quasiparticles around each other** and returning them to their original positions, or interchanging quasiparticles, can lead to a **nontrivial unitary transformation** of the ground states, which depends on the order in which the winding is performed. ( Unitary matrix depends on the topology of the braiding of the world lines of the quasiparticles. Matrices form a representation of the braid group).

If two quasiparticles come close together, degeneracy is broken; but **energy splittings** fall off **exponentially** with separation.

# Topological quantum computation

Non-abelian quasiparticles may be useful for “topological quantum computation”.

[ [Kitaev](#), quant-ph/9707021; [Freedman, Larson, Wang](#), Commun. Math Phys (2002); [Bonesteel](#), et al PRL (2005). ]

Manipulation of qubits would be carried out by **moving quasiparticles around each other**, not bringing them close together. Advantage: exponentially long decoherence times.

**Caveat**: Moore-Read state is **not** rich enough for general topological quantum computation).

**More complicated non-abelian states** have been proposed, which would allow universal quantum computation. (E.g., [Read-Rezayi k=3 state](#); may be realized at  **$\nu = 12/5$** .)

# What is the evidence that the $\nu = 5/2$ Quantized Hall State is indeed of the Moore-Read type ?

Evidence comes primarily from **numerical calculations on finite systems**. (Morf & collaborators, 2002, 2003; Das Sarma et al. 2004).

Using electron-electron interactions appropriate for electrons in the second Landau level, with parameters appropriate to GaAs samples, find a **spin-polarized** ground state, which seems to have an **energy gap**, and which has **good overlap** with Pfaffian wave function. Relatively small changes in parameters can lead to other ground states, which are not quantized Hall states. (As is found experimentally for samples in large in-plane magnetic field.)

# Proposed experiments

Moving one quasiparticle around another can be done in principle by means of gates which couple electrostatically to the charge of the quasiparticles; but we are far from being able to accomplish this technologically.

We seek other experiments to examine the  $\nu=5/2$  state to see if it is of the Moore-Read type.

\*Measurements of the **quasiparticle charge**. (e.g. using SETs, as in studies of  $\nu = 1/3$  by Yacoby et al.) **Moore-Read** quasiparticles have charge  $e/4$ .

\*Measurements of **spin polarization**. **Moore-Read** has **complete polarization** in second Landau Level.

\***Interference-type experiments related to non-abelian statistics.**



# Moore-Read state and the Fermion-Chern-Simons description at $\nu=1/2$

If the true filling fraction is  $\nu=1/2$ , then for the transformed particles:

$$(2 \text{ flux quanta per electron}) : \Delta B \equiv B - 4\pi n_e = 0$$

Mean-field approximation  $\Rightarrow$  FREE  
FERMIONS in ZERO MAGNETIC FIELD

Ground state = filled Fermi Sea  $k_F = (4\pi n_e)^{1/2}$

If this is correct, then there is **no energy gap**, **no QHE**.

Depending on the short-distance interactions between fermions the Fermi surface may be unstable, e.g., to

## **formation of p-wave superconductivity**

If a superconducting energy gap forms at the Fermi surface, then state is stabilized at precisely  $\nu = 1/2$ . Deviations in filling fraction  $\Rightarrow B_{\text{eff}} \neq 0 \Rightarrow$  require vortices, cost finite energy.

Get plateau in Hall conductance at  $\nu = 1/2$  : fractional quantized Hall state.

Apparently: Superconductivity does not occur for electrons in the lowest Landau level ( $\nu = 1/2$  ) but interactions are different for electrons in the second Landau level, and it looks like pairing does occur occur for electrons at  $\nu = 2 + 1/2$ .

# Moore-Read quasiparticle $\Leftrightarrow$ vortex in superconductor

By Meissner effect, vortex must bind  $1/2$  quantum of magnetic flux to have finite energy. With a Chern-Simons gauge field, the source of magnetic flux is charge, rather than current.

$1/2$  quantum of Chern-Simons flux requires  $1/4$  electric charge.

# Analogy between Moore-Read 5/2 state ( $p_x+ip_y$ ) superconductor .

Analogy **elucidated** particularly by [Read and Green \(PRB 2000\)](#) -- emphasized important difference between a BCS  $p_x+ip_y$  superconductor and a Bose condensate of tightly bound p-wave pairs.

Tightly bound pairs could also be a mechanism for producing even-denominator fractional quantized Hall states (Halperin, 1983), but this would not give rise to non-abelian statistics.

# Quasiparticles in the quantized Hall state correspond to vortices in the superconductor.

For a BCS superconductor with pairing function  $\Delta^\alpha(p_x+ip_y)$ : Energy gap in the bulk, but **vortices have zero energy states**. If there are  **$2N$  vortices** present, there are  **$M=2^{N-1}$**  degenerate ground states.

Moving vortices around each other generates a unitary transformation on these states similar to that in the Moore-Read state.

\*Effects of vortex motion on zero energy states elucidated by **Ivanov (PRL 2001)**; and **Stern, von Oppen and Mariani (PRB 2004)**, using Bogoliubov-de Gennes equations for superconductor.

# Zero-energy modes

Specifically, in a  $p_x + ip_y$  superconductor, an isolated **vortex**, at point  $\mathbf{R}_i$ , has a **zero energy mode**, with **Majorana fermion** operator  $\gamma_i$  :

$$\gamma_i = \gamma_i^\dagger, \quad \gamma_i^2 = 1, \quad \{\gamma_i, \gamma_j\} = 2\delta_{ij}$$

To form ordinary fermion creation or annihilation operator: **need pair** of vortices: e.g.

$$c_{12} = (\gamma_1 + i\gamma_2) / 2, \quad c_{12}^\dagger = (\gamma_1 - i\gamma_2) / 2,$$

obey usual commutations rules

$$N_{12} = c_{12}^\dagger c_{12} \text{ has eigenvalues } = 0, 1. \quad [N_{12}, N_{34}] = 0, \text{ etc.}$$

**Constraint** : Number of occupied pairs =  $N_{\text{electrons}} \pmod{2}$  .  
->  $2N$  vortices gives  $2^{N-1}$  independent states

# Bogoliubov-de Gennes Equations for an Inhomogeneous Superconductor

Generalization of BCS, in presence of vortices, boundaries, or other inhomogeneities. Here, p-wave superconductor for spinless electrons. Effective Hamiltonian has the form

$$H = \int dr \psi^\dagger(\mathbf{r}) [ -c \nabla^2 + V(\mathbf{r}) - \mu ] \psi(\mathbf{r}) \\ + \int dr dr' [ \Delta(\mathbf{r}, \mathbf{r}') \psi(\mathbf{r}) \psi(\mathbf{r}') + \text{h. c.} ]$$

where  $V$  and  $\Delta$  must be determined self-consistently,  $c = \hbar^2/2m$ .

# Solution of the BdG equations

Construct quasiparticle operators  $\gamma_i$ , related by unitary transformation to electron creation and annihilation operators,

$$\gamma_i = \int d\mathbf{r} [ u_i(\mathbf{r}) \psi(\mathbf{r}) + v_i(\mathbf{r}) \psi^\dagger(\mathbf{r}) ]$$

which diagonalize the BdG Hamiltonian

$$H = \sum_i E_i \gamma_i^\dagger \gamma_i - \text{constant.}$$

Generally,  $E_i$  occur in pairs:  $E_i = -E_j$ ,  $\gamma_i = \gamma_j^\dagger$ ,  
 $u_i = v_j^*$ ,  $u_j = v_i^*$ . Restrict sum to positive energy states to avoid double counting.



## Zero energy modes: Explicit relation between Majorana operator and electron operators

For zero-energy modes:  $E_i = -E_i$  , can have just a single solution:  $i = j$

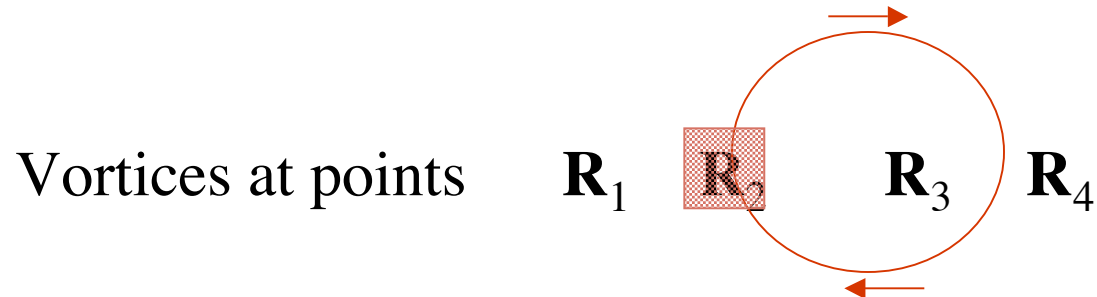
$$\gamma_i = \int d\mathbf{r} [ u_i(\mathbf{r}) \psi(\mathbf{r}) + v_i(\mathbf{r}) \psi^\dagger(\mathbf{r}) ] , \quad \text{with } v_i(\mathbf{r}) = u_i^*(\mathbf{r}) ,$$

localized near each vortex.

$$\gamma_i = \gamma_i^\dagger$$

Phase of  $u_i$  depends on positions of other vortices, changes by  $-1$  if a vortex  $j$  is moved around vortex  $i$ .

# Braiding properties



Move vortex 2 around vortex 3:

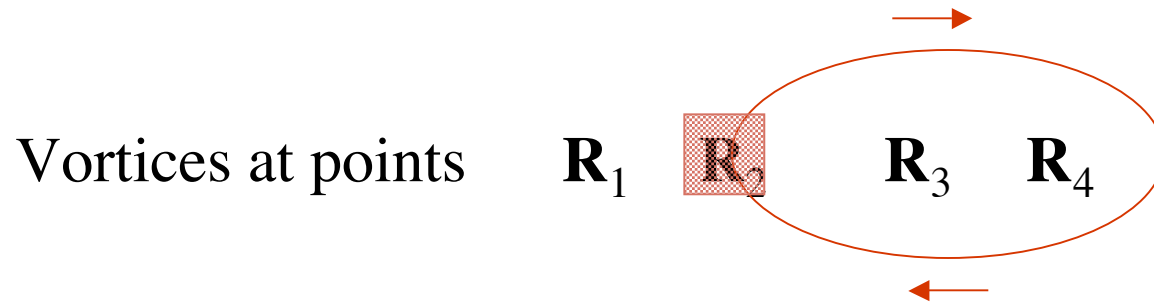
Changes  $N_{12} \rightarrow (1 - N_{12})$ ,  $N_{34} \rightarrow (1 - N_{34})$ .

Changing the sign of  $\gamma_2$  interchanges

$$c_{12} = (\gamma_1 + i \gamma_2) / 2 \quad \Leftrightarrow \quad c_{12}^\dagger = (\gamma_1 - i \gamma_2) / 2,$$

Gives unitary transformation  $\sim \gamma_2 \gamma_3$ .

# Braiding properties



Move vortex 2 around 3 and 4. Gives unitary transformation  
 $\sim \gamma_2 \gamma_4 \gamma_2 \gamma_3 = \gamma_3 \gamma_4$  : leaves  $\mathbf{N}_{12}$  and  $\mathbf{N}_{34}$  unchanged

# Boundary states

Finite sample with an odd number of elementary vortices will have a zero energy state at the boundary. Form pair between boundary state and one of the vortices. Again have constraint :  
Number of occupied pairs =  $N_{\text{electrons}} \pmod{2}$  .

Generally, edge of superconductor has a series of low-energy fermion modes, with energies  $E_m = m (\pi v / L)$  ,

$m = 0, \pm 1, \pm 2, \dots$  if number of vortices is odd,

$m = \pm 1/2, \pm 3/2, \dots$  if number of vortices is even.

Get even-odd alternation in energy to add an electron to the system, if number of vortices is even, not if number of vortices is odd. Alternation energy  $\sim v / L$  ; goes to 0, for  $L \rightarrow \infty$

# Contrast to s-wave superconductor

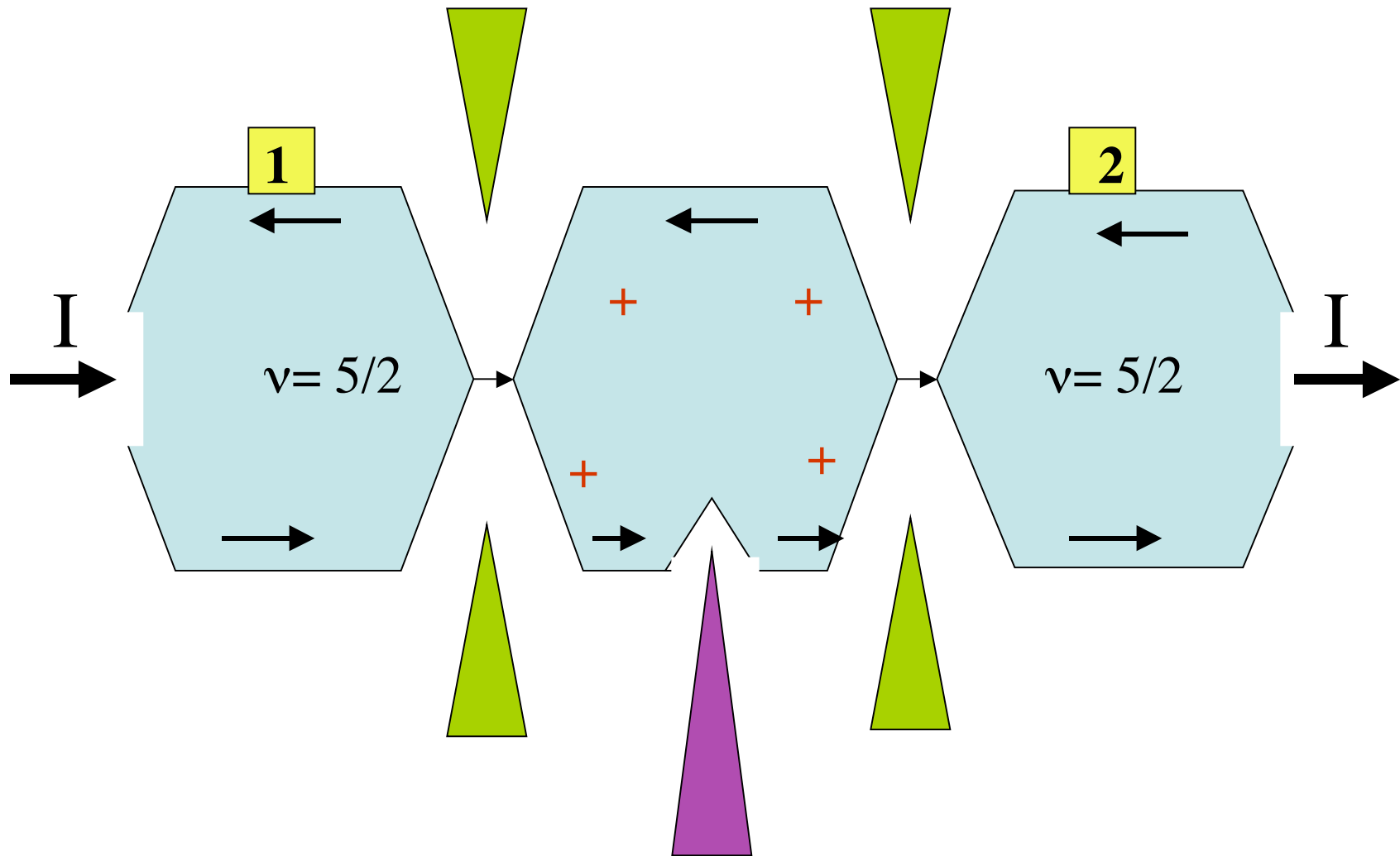
s-wave superconductor has no low-energy fermion states at boundary.

Energy to add an electron has an even-odd alternation **independent** of whether there are an **even or odd** number of vortices present, and **independent of the perimeter of the sample**.

True also for gapless d-wave superconductor,  $\Delta \propto (p_x + ip_y)^2$ , or for a Bose condensate of tightly bound p-wave pairs .

It may be possible to detect even-odd effect experimentally in an FQHE system at  $\nu = 1/2$ .

Coulomb blockade regime,  $R_{12}$  is large, except on resonance, when  $E_N = E_{N+1}$



Side Gate

If the number of quasiholes is even,  $E_N$  has an even-odd alternation in the electron number  $N$ .

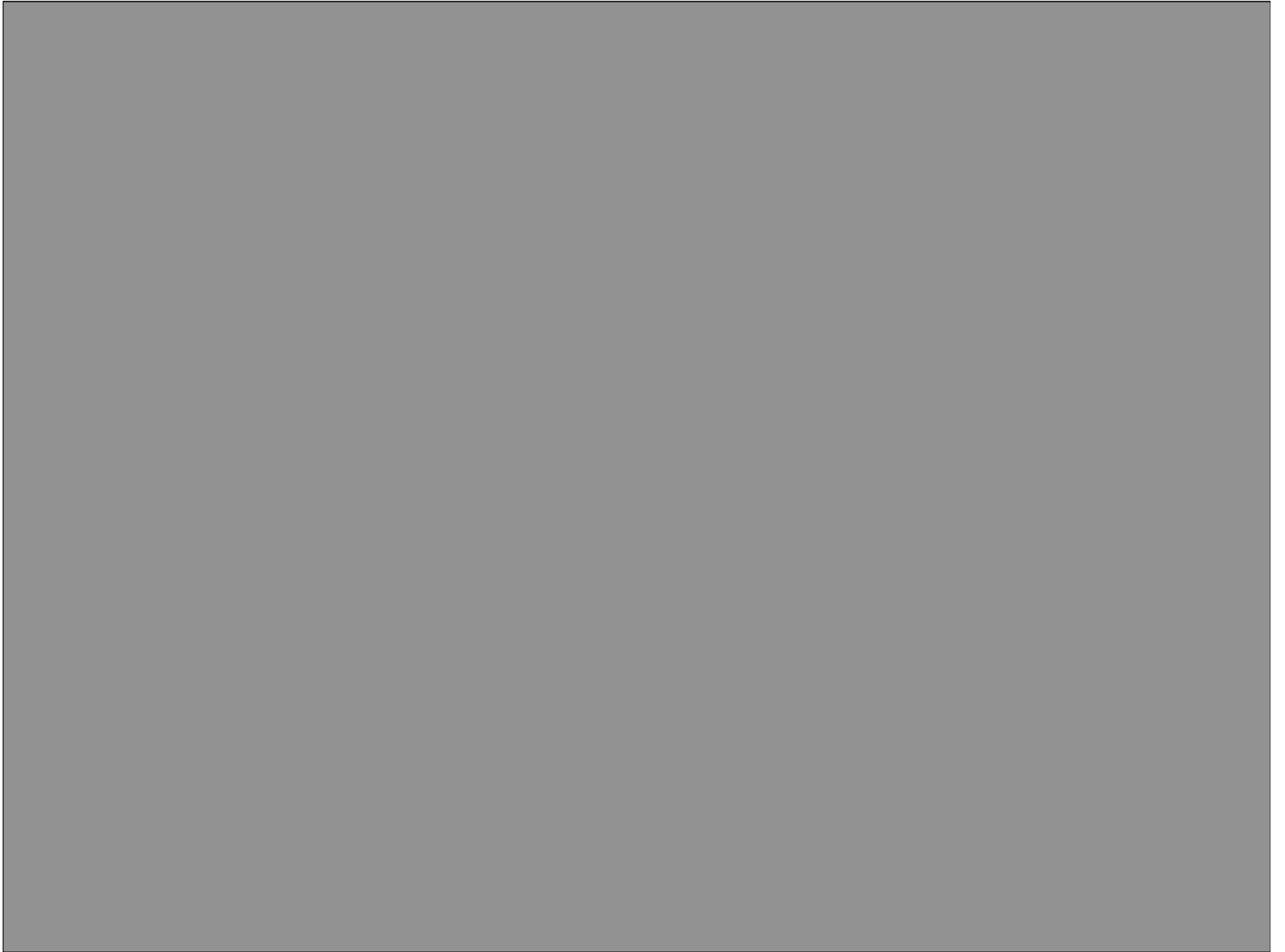
The period for oscillations in  $R_{12}$  is then  $\Delta A = 4\Phi_0/B$ , corresponding to the addition of two electrons to the partially-filled Landau level.

If the number of quasiholes is odd, there is no even-odd alternation in  $E_N$ . The period of oscillations is then  $\Delta A = 2\Phi_0/B$ , there is no oscillation with period  $\Delta A = 4\Phi_0/B$ .

Similar effects are predicted for weak back-scattering.

Different behavior for even and odd quasihole number is a consequence of the particular non-abelian statistics of the Moore-Read state.

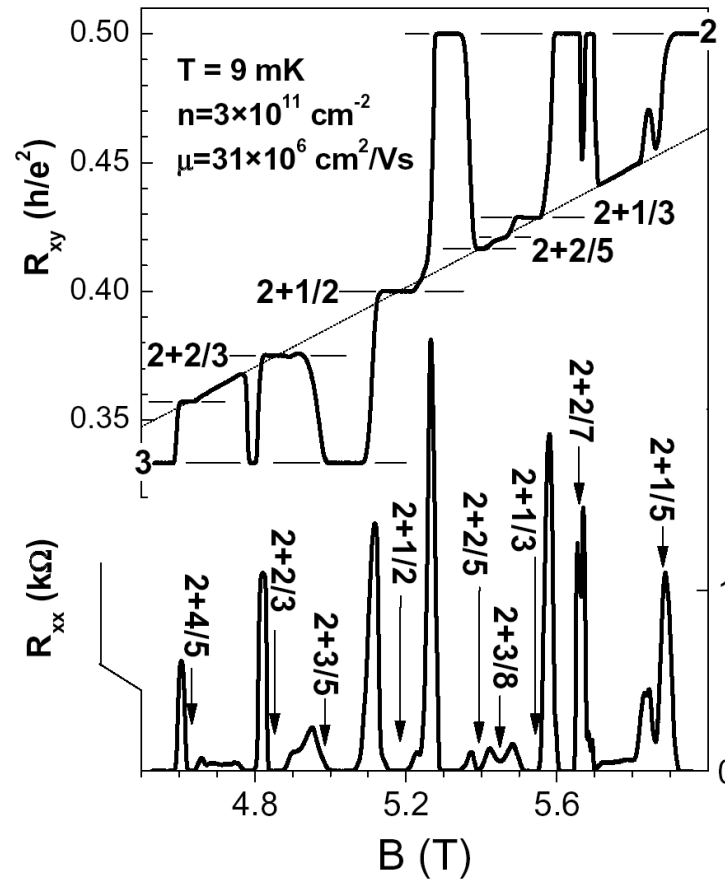




# Other Phenomena in the Second and Higher Landau Levels

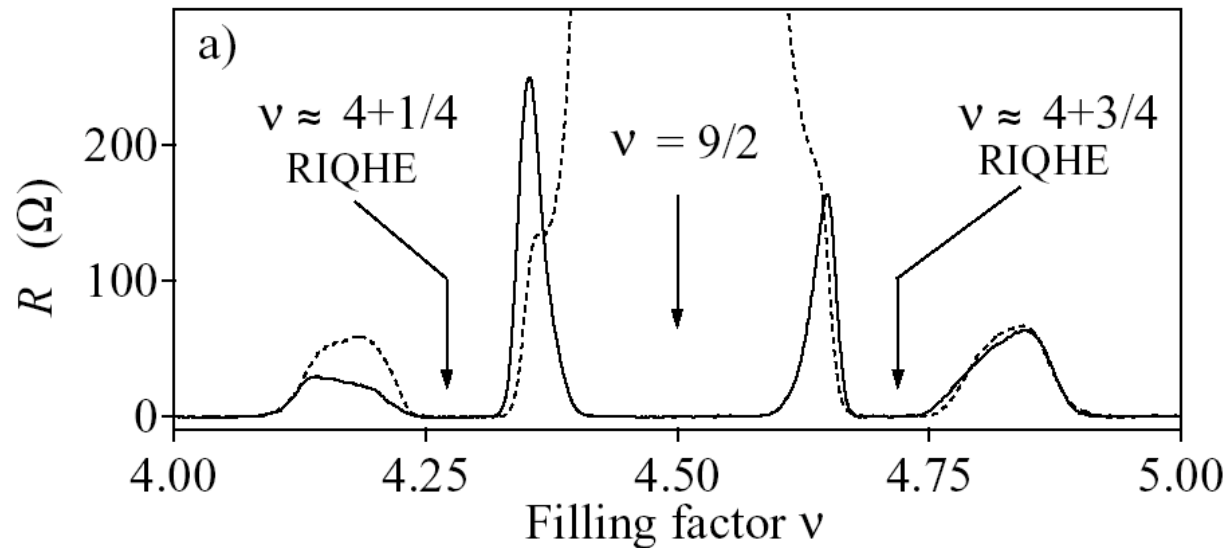
Re-entrant integer QHE  
And Resistance Anisotropies  
(Stripe and Bubble Phases)

# $R_{xy}$ and $R_{xx}$ in the Second Landau Level



J.S. Xia<sup>1,2</sup>, W. Pan<sup>3,2</sup>, C.L. Vincente<sup>1,2</sup>, E.D. Adams<sup>1,2</sup>, N.S. Sullivan<sup>1,2</sup>,  
H.L. Stormer<sup>4,5</sup>, D.C. Tsui<sup>3</sup>, L.N. Pfeiffer<sup>5</sup>, K.W. Baldwin<sup>5</sup>, and K.W. West<sup>5</sup>

# Third Landau Level



Hall Resistance (not shown)

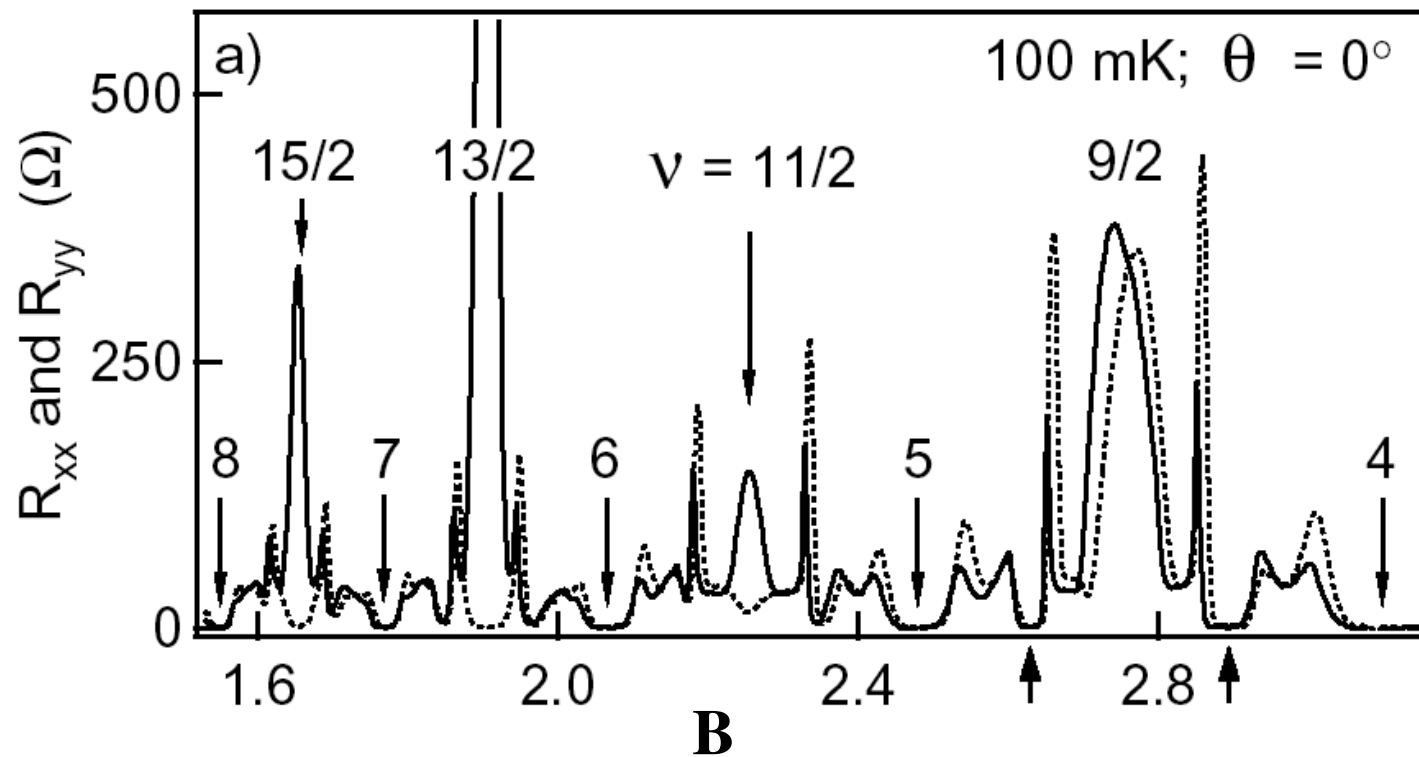
$T = 50$  mK

$$= h/4e^2 \quad \text{at } \nu \approx 4.25$$

$$= h/5e^2 \quad \text{at } \nu \approx 4.75$$

From Cooper, Eisenstein, Pfeiffer and West, PRL 90, 226803 (2003)

# Higher Landau Levels: $R_{xx}$ and $R_{yy}$



From Cooper, Eisenstein, Pfeiffer and West, PRL 2004

# Stripe and Bubble Phases

Predicted by

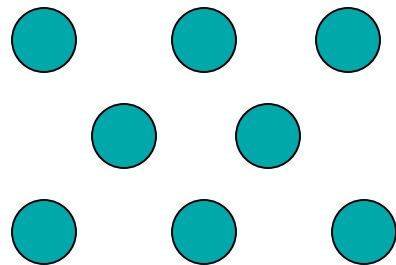
Fogler, Koulakov, & Shklovskii (1996)

Moessner & Chalker (1996)

Fukuyama, Platzman, & Anderson (1979): Partially full Landau level should be unstable to formation of charge density waves, due to exchange interaction. (Hartree-Fock approximation).

# Bubble Phases

A regular array of “bubbles”, each one containing  $n=2$  or more electrons, embedded in the otherwise empty Landau Level, or containing  $n=2$  or more holes in the otherwise full Landau Level. ( $n=1$  would be a Wigner crystal of electrons or holes)



Localized electrons or holes do not contribute to transport.

Get integer Quantized Hall conductance,  $R_{xx} = R_{yy} = 0$ ,

# Stripe Phases



Alternating stripes of full and empty Landau Level.

Current can flow **easily** in **y-direction** (along edges of stripes)  $R_{yy} \rightarrow 0$ .

**Difficult** for current to flow in **x-direction**:  $R_{xx}$  can be very **large**.

**Interesting issues**: effects of **dislocations**, and other disorder? **What determines orientation of stripes?**



# Concluding Reminder

These lectures have covered only a fraction of the wide range of phenomena included in the subject of quantum Hall effects, and have touched on only a few of the theoretical ideas used to explain these phenomena.

There still are many open questions in the field, and a number of experimental results which are poorly understood.