

# Global rigidity of linearly constrained frameworks

Hakan Guler, Kastamonu University, Turkey,  
Bill Jackson, Queen Mary, University of London, UK  
Anthony Nixon, Lancaster University, UK

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# Linearly Constrained Frameworks

A  $d$ -**dimensional linearly constrained framework** is a triple  $(G, p, q)$  where  $G = (V, E, L)$  is a looped simple graph,  $p : V \rightarrow \mathbb{R}^d$  and  $q : L \rightarrow \mathbb{R}^d$ .

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Two  $d$ -dimensional linearly constrained frameworks  $(G, p, q)$  and  $(G, \tilde{p}, q)$  are **equivalent** if

$$\|p_i - p_j\|^2 = \|\tilde{p}_i - \tilde{p}_j\|^2 \text{ for all } v_i v_j \in E, \text{ and}$$

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A framework  $(G, p, q)$  is **generic** if the coordinates of  $(p, q)$  are algebraically independent over  $\mathbb{Q}$ .

## Lemma

(a) Let  $G$  be a simple graph. Then  $G$  is generically rigid in  $\mathbb{R}^d$  if and only if the looped simple graph obtained by adding  $\binom{d+1}{2}$  'independent' loops to  $G$  is generically rigid as a linearly constrained framework in  $\mathbb{R}^d$ .

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- (b)  $G$  is independent as a bar-joint framework on some surface  $S$  in  $\mathbb{R}^d$  if and only if the linearly constrained framework  $(G^{[d-2]}, p, q)$ , is independent as a linearly constrained framework in  $\mathbb{R}^d$ , where  $G^{[d-2]}$  is the looped simple graph obtained by adding  $d - 2$  loops at each vertex of  $G$ ,  $p$  is generic on  $S$  and the directions of the loops at  $v$  are chosen to constrain  $v$  to lie in the tangent plane to  $S$  at  $p(v)$ .



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- (c)  $G$  is generically globally rigid as a bar-joint framework in  $\mathbb{R}^2$  if and only if the generic linearly constrained framework  $(G^*, p, q)$ , has exactly two equivalent realisations as a linearly constrained framework in  $\mathbb{R}^2$ , where  $G^*$  is the looped simple graph obtained by adding two loops at each end vertex of an edge of  $G$ .

## Theorem [Streinu and Theran, 2010]

Let  $(G, p, q)$  be a generic linearly constrained framework in  $\mathbb{R}^2$ . Then  $(H, p, q)$  is rigid if and only if  $G$  has a spanning subgraph  $H = (V, E, L)$  such that

- (a)  $|E| + |L| = 2|V|$ ,
- (b)  $|F| \leq 2|V_F|$  for all  $F \subseteq E \cup L$  and
- (c)  $|F| \leq 2|V_F| - 3$  for all  $\emptyset \neq F \subseteq E$ .

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A looped simple graph  $G$  is said to be **rigid in  $\mathbb{R}^2$**  if some (or equivalently every) generic realisation  $(G, p, q)$  in  $\mathbb{R}^2$  is rigid.

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**Note** Katoh and Tanigawa (2013) gave a characterisation of rigidity for a linearly constrained framework  $(G, p, q)$  in which only  $p$  is required to be generic.

# Global Rigidity in $\mathbb{R}^2$

Theorem [Hendrickson 1992, Connelly 2005, Jackson and Jordán 2005]

Let  $(G, p)$  be a generic bar-joint framework in  $\mathbb{R}^2$ . Then  $(G, p)$  is globally rigid if and only if  $G = K_1, K_2$  or  $K_3$ , or  $G$  is 3-connected and redundantly rigid.

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$G$  is **redundantly rigid** if  $G - f$  is rigid for all edges and loops  $f$ .



# Necessary conditions for global rigidity in $\mathbb{R}^d$

A looped simple graph  $G = (V, E, L)$  is  **$d$ -balanced** if, for all  $S \subseteq V$  with  $|S| = d$ , each component of  $G - S$  contains a loop.

## Theorem

Suppose  $(G, p, q)$  is a generic globally rigid linearly constrained framework in  $\mathbb{R}^d$ . Then each connected component of  $G$  is either a single vertex with at least  $d$  loops or is  $d$ -balanced and redundantly rigid in  $\mathbb{R}^d$ .

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# Equilibrium Stresses

An **equilibrium stress** for a linearly constrained framework  $(G, p, q)$  in  $\mathbb{R}^d$  is a map  $\omega : E \rightarrow \mathbb{R}$  with the property that, for all  $v_i \in V$ ,

$$\sum_{v_j \in V} \omega_{ij}(p(v_i) - p(v_j)) \in \langle q(\ell_j) : \ell_j \text{ is a loop incident to } v_i \rangle$$

(where  $\omega_{ij}$  is taken to be equal to  $\omega(e)$  if  $e = v_i v_j \in E$  and to be equal to 0 if  $v_i v_j \notin E$ , and the subspace generated by the empty set is taken to be  $\{\mathbf{0}\}$ ).

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The **stress matrix**  $\Omega(\omega)$  corresponding to  $\omega$  is the  $|V| \times |V|$ -matrix in which the off diagonal entry in row  $v_i$  and column  $v_j$  is  $-\omega_{ij}$ , and the diagonal entry in row  $v_i$  is  $\sum_{v_j \in V} \omega_{ij}$ .

## Lemma

Suppose  $\omega$  is an equilibrium stress for a linearly constrained framework  $(G, p, q)$  in  $\mathbb{R}^d$ . Then

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**Note** Converse is false



Let  $G = (V, E, L)$  be a looped simple graph. The  $d$ -**dimensional 1-extension operation** forms a new looped simple graph from  $G$  by deleting an edge or loop  $f \in E \cup L$  and adding a new vertex  $v$  and  $d + 1$  new edges or loops incident to  $v$ , with the provisos that each end vertex of  $f$  is incident to exactly one new edge, and, if  $f \in L$ , then there is at least one new loop incident to  $v$ .

# 1-extensions

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## Lemma

The  $d$ -dimensional 1-extension operation preserves the property of having a full rank equilibrium stress for generic linearly constrained frameworks in  $\mathbb{R}^d$ .

# Recursive Construction

Given a looped simple graph  $G$ , let  $G^{[k]}$  be the graph obtained by adding  $k$  loops at each vertex of  $G$ .

## Theorem

A connected looped simple graph is 2-balanced and redundantly rigid in  $\mathbb{R}^2$  if and only if it can be obtained from  $K_1^{[3]}$  by recursively applying the operations of 2-dimensional 1-extension and adding a new edge or loop.

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## Theorem

Suppose  $G$  is a connected looped simple graph with at least two vertices and  $(G, p, q)$  is a generic realisation of  $G$  in  $\mathbb{R}^2$ . Then the following statements are equivalent:

- (a)  $(G, p, q)$  is globally rigid;
- (b)  $G$  is 2-balanced and redundantly rigid in  $\mathbb{R}^2$ ;
- (c)  $(G, p, q)$  has a full rank equilibrium stress.

# Extension to $\mathbb{R}^d$ ?

The following result gives a characterisation of generic rigidity for linearly constrained frameworks in  $\mathbb{R}^d$  when each vertex is constrained to lie in an affine subspace of sufficiently small dimension compared to  $d$ .

**Theorem [Cruickshank, Guler, Jackson, Nixon 2018]**

Suppose  $G$  is a looped simple graph and  $d, t$  are positive integers with  $d \geq \max\{2t, t(t-1)\}$ . Then  $G^{[d-t]}$  is rigid in  $\mathbb{R}^d$  if and only if  $G$  has a spanning subgraph  $H = (V, E, L)$  such that

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**Problem** Does an analogous result hold for global rigidity?