

# Non-linear transport and quantum interaction corrections in disordered systems

Roberto Raimondi

(Roma Tre)

collaboration with:

Peter Schwab (Augsburg)

Claudio Castellani (Roma La Sapienza)

Mark Leadbeater (Durham)

PRB **60**, 5818 (1999)

EPJB **15**, 277 (2000)

EPJB **24**, 525 (2001)

EPJB **30**, 5 (2002)

International Conference on Nanoelectronics  
4-9 January 2003

# Outline

Introduction

Non-linear transport

Interaction corrections

Examples:

- i) long wire
- ii) mesoscopic wire
- iii) ultrashort wire
- iv) dot limit
- v) 2D film

Comparison with experiments

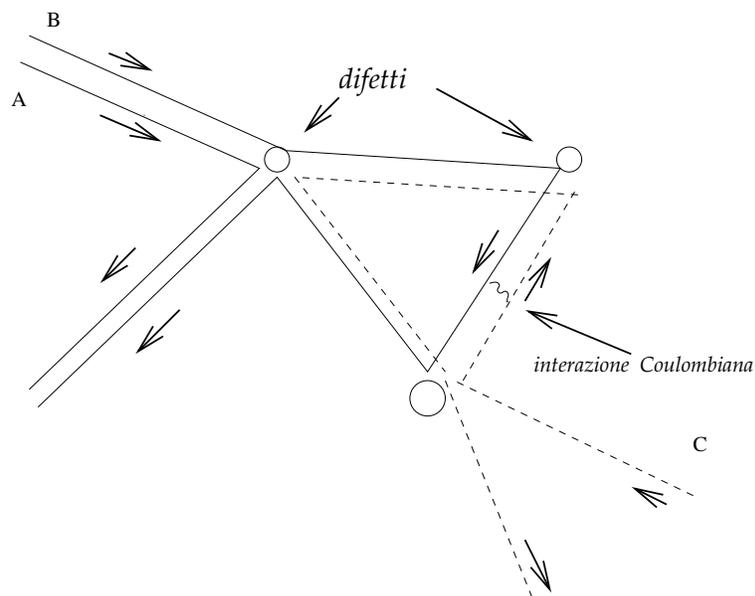
Conclusions

# Introduction

- There are two types of quantum corrections to the Drude formula for  $\sigma$ 
  - I) Weak localization (WL): a purely one-particle effect due to the interference of time-reversed trajectories
  - II) Interaction corrections (IC): due to the interplay of interaction and disorder
- In the following we focus on **how** type II **affect** electrical transport beyond linear regime
- This may be relevant for various experiments
- In general non-linear behavior may probe **dephasing** in type II corrections

## Origin of IC

- Electrons are charged
- On average, each electron "feels" the potential of the other electrons
- This average potential is not uniform as well

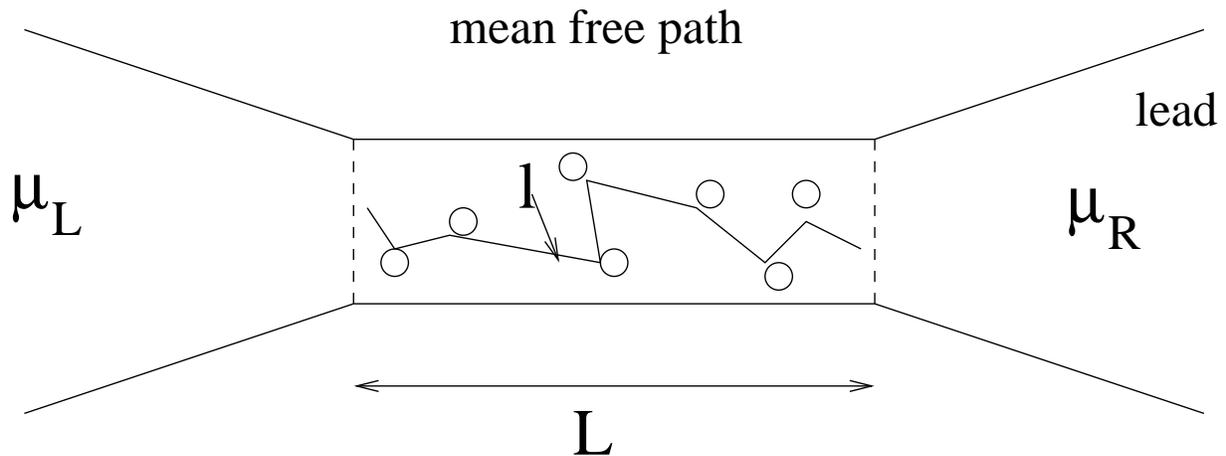


An electron moves along path A or B. Paths A and B have **different phases** and **do not interfere**. A second electron moves along path C, and **cancels the extra phase** that A has accumulated with respect to B

**A and B interfere and affect transport**

# Non-linear transport: Drude-Boltzmann theory

Simple example: a wire attached to leads



Diffusive regime:  $\lambda_F \ll l \ll L$

The current is given in terms of distribution function

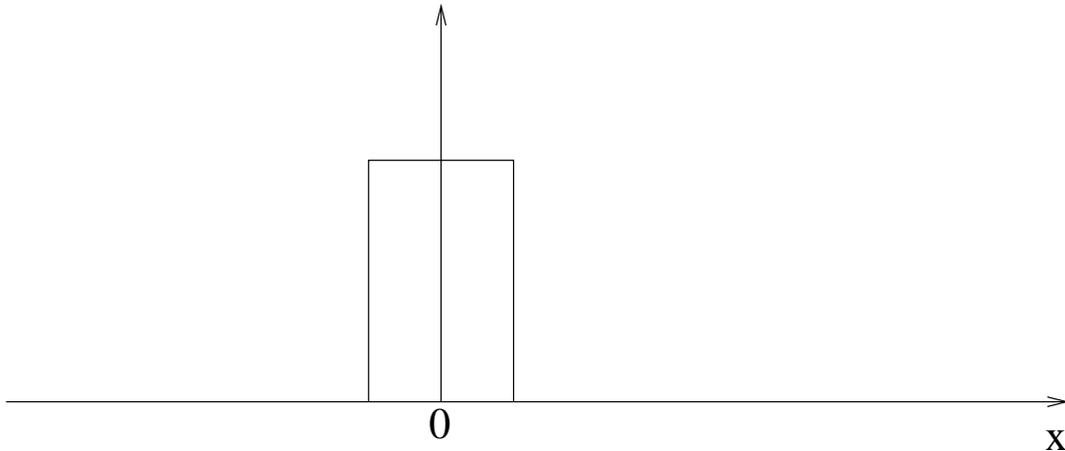
$$I = eDN_0S \int d\epsilon \partial_x F(x, t, \epsilon)$$

One determines  $F$  via

- i) Boltzmann eq. (B.E.)  $\Rightarrow$  diffusion equation
- ii) Boundary conditions at the leads

$$F(x = 0, t, \epsilon) = F_{equilibrium}(\epsilon)$$

## Effect of interfaces



The current through the interface

$$\mathbf{I} = \frac{G_T}{2e} \int d\epsilon [F(x = 0^+, t, \epsilon) - F(x = 0^-, t, \epsilon)]$$

$G_T$  interface conductance

By matching the currents at the interface  $\Rightarrow$  extra boundary conditions to use with B.E.

$\Rightarrow$  Standard result for combining resistive elements

## What happens in the presence of quantum interaction corrections?

One expects corrections to

- i) distribution function,  $\delta F$
- ii) density of states  $\delta N_0$
- iii) diffusion coefficient  $\delta D$

(Linear regime: Altshuler, Aronov '79, Finkel'stein '83, Castellani et al '84)

To appreciate this use Keldysh (1964) non-equilibrium technique

$$\mathbf{I} = 2(-e) \int \frac{d\epsilon}{2\pi} \sum_p \frac{p}{m} G^K(p, \epsilon, x, t)$$

At equilibrium, the *spatial* and *temporal* dependence drops out

$$G^K(p, \epsilon) = F_{equilibrium}(\epsilon) [G^R(p, \epsilon) - G^A(p, \epsilon)]$$

With interaction corrections

$$G^K \rightarrow G^K + \delta G^K$$

$$\delta G^K \sim \delta F + \delta G^R$$

$$\delta F \rightarrow \delta V, \quad \delta G^R \rightarrow \delta N_0, \delta D$$

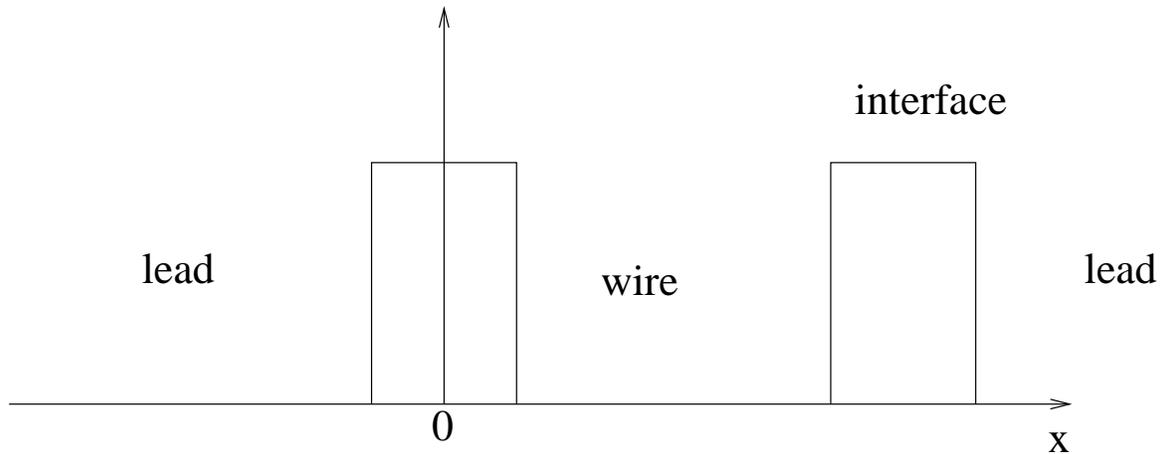
By a diagrammatic analysis one can prove

$$\delta\mathbf{I} = \delta\mathbf{I}_A + \delta\mathbf{I}_B$$

$\delta\mathbf{I}_A$  associated with  $F$ -corrections

$\delta\mathbf{I}_B$  associated with  $D_0S$ - and  $D$ - corrections

Consider the structure: reservoir-interface-wire-interface-reservoir



By current conservation

$$\begin{aligned}\delta\mathbf{I} &= \delta\mathbf{I}_{A,L} + \delta\mathbf{I}_{B,L} \\ &= \delta\mathbf{I}_{A,wire} + \delta\mathbf{I}_{B,wire} \\ &= \delta\mathbf{I}_{A,R} + \delta\mathbf{I}_{B,R}\end{aligned}$$

By requiring that the voltage drop across the system is fixed

$$\delta\mathbf{I} = \frac{R_L\delta\mathbf{I}_{B,L} + R_{wire}\delta\mathbf{I}_{B,wire} + R_R\delta\mathbf{I}_{B,R}}{R_L + R_{wire} + R_R}$$

Diagrammatic analysis provides expressions for  $\delta\mathbf{I}_B$

Let us consider first the wire

$$\delta\mathbf{I}_{B,wire} = \delta I^1(x) + \delta I^2(x)$$

$$\frac{\delta I^1(x)}{eDN_0} = 2\text{Im} \int d\epsilon dx_1 \frac{d\omega}{2\pi} F_\epsilon(x) P_\omega(x, x_1) F_{\epsilon-\omega}(x_1) \partial_x \Phi_\omega(x_1, x)$$

$$\frac{\delta I^2(x)}{eDN_0} = \text{Im} \partial_x \int d\epsilon dx_1 \frac{d\omega}{2\pi} F_\epsilon(x) P_\omega(x, x_1) F_{\epsilon-\omega}(x_1) \Phi_\omega(x_1, x)$$

$P_\omega(x, x')$  describes propagation of a diffusive density fluctuation:

$\Phi_\omega(x, x')$  is the effective potential created by a density fluctuation

$$\Phi_\omega(x, x') = \int dx'' V_\omega(x, x'') P_\omega(x'', x')$$

$V_\omega(x, x')$  screened Coulomb interaction

## A few comments

- The two terms correspond to the diffusive (<sup>2</sup>) and drift (<sup>1</sup>) term of the phenomenological expression of the current

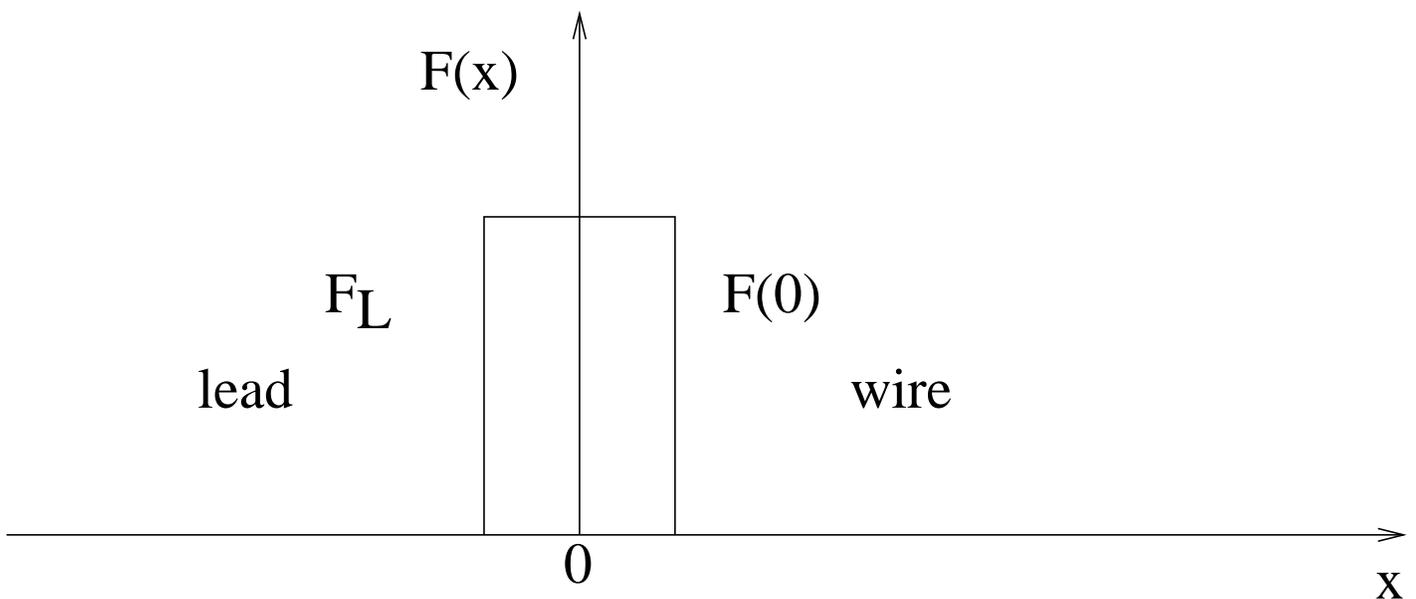
$$j = -eD\partial_x n + \sigma E$$

- For a wire attached to ideal leads by ideal interfaces  $\delta I^2 = 0$
- In the presence of interfaces, there is charge accumulation close to the boundary and  $\delta I^2$  has to be taken into account
- The ingredients of the calculation:  $F, P, \Phi$  which have to be calculated
  - i)  $F$  obeys B.E.
  - ii)  $P$  obeys diffusion equation
  - iii)  $\Phi$  depends on screening and geometry

For the current at an interface

$$\delta \mathbf{I}_{B,L}(x) = -\frac{1}{2eR_L} \text{Im} \int d\epsilon dx_1 \frac{d\omega}{2\pi} (F_\epsilon(0) - F_{L,\epsilon})$$

$$P_\omega(x, x_1) F_{\epsilon-\omega}(x_1) \Phi_\omega(x_1, x)$$



$\delta \mathbf{I}_{B,L}$  is similar

**Note:** we have neglected quantum interaction corrections in the leads, but they can be included

First example: long wire  $L \gg L_{ph}, L_{in}$

$L_{ph}$  e-phonon relaxation time

$L_{in}$  e-e relaxation time

electrons in the wire scatter inelastically many times

$\Rightarrow$  distribution function has a local equilibrium form with spatial dependent  $\mu$  and  $T$  (Nagaev 1995)

$$\delta I = -\frac{2e}{h} 2 \int_0^\infty dr \int_\tau^\infty dt \left( \frac{T_e}{\sinh(\pi T_e t)} \right)^2 P_t(r) \sin\left(\frac{eVrt}{L}\right)$$

$\tau$  the elastic scattering time

At low voltages

$$\delta I(V) \approx \frac{2e^2}{h} \frac{\sqrt{D/T_e}}{\pi L} V \left( -4.92 + 0.21 \frac{D(eV/L)^2}{T_e^3} + \dots \right)$$

The first term is the AA correction (1979) (See also Nagaev '94)

$$T_E^3 \equiv D \left( \frac{eV}{L} \right)^2$$

sets the scale for **nonlinear** effects.

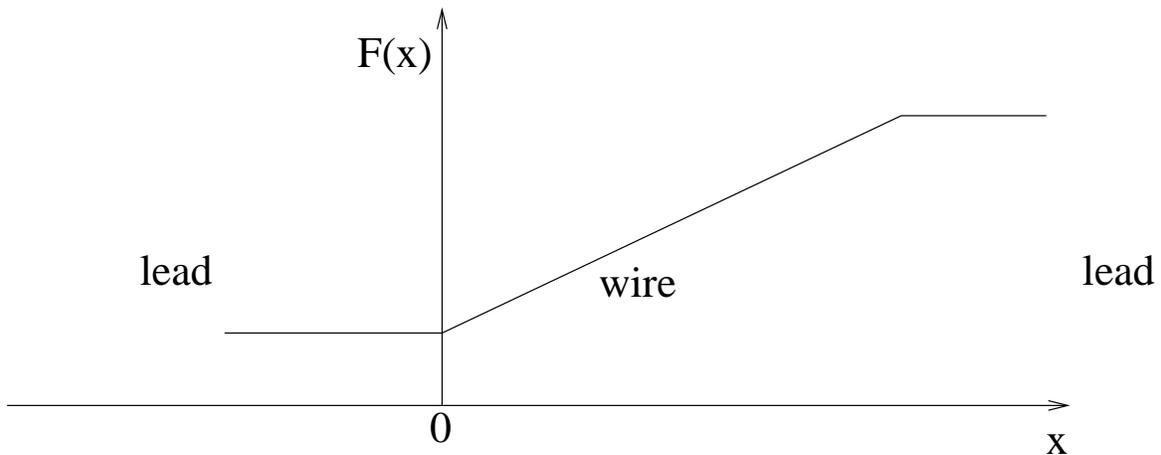
voltage drop over thermal length  $\sim$  temperature

$$eV L_T \sim T, \quad L_T^2 = \frac{D}{T}$$

Second example: mesoscopic wire  $L_T \ll L \ll L_{in}$

$$L_T = \sqrt{D/T}$$

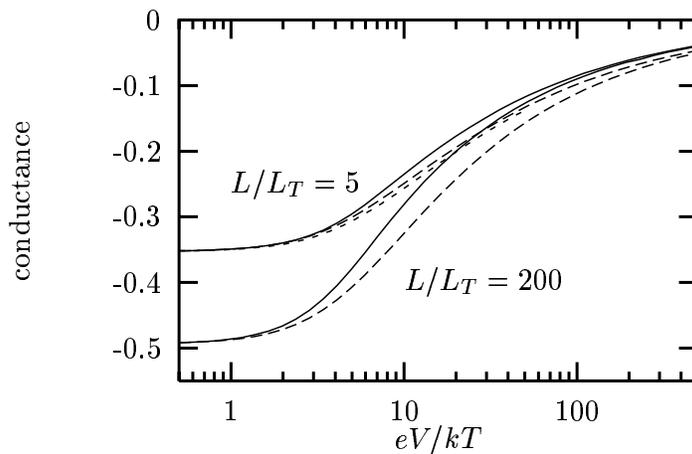
- The wire is phase coherent, no inelastic scattering
- The distribution function linearly interpolates between the distribution functions in the leads



$$\delta I = -\frac{2e}{h} 2 \int_0^\infty dr \int_\tau^\infty dt \left( \frac{T}{\sinh(\pi T t)} \right)^2 P_t(r) \sin(eVt) r/L$$

## A comment about interplay with heating

- For the local-equilibrium case, non-linear behavior also due to heating
- $T_e$  estimated with energy balance arguments  $P_{in} = P_{out}$
- Weak heating, for instance,  $T_e - T \approx \frac{3}{\pi^2} D (eV/L)^2 \tau_{ph}/T$
- Following Nagaev (PRB 1995) one calculates  $T_e(x)$
- Generally, heating is important when  $eVL \approx T$  while for non-heating non-linear  $eVL_T \approx T$



- $I/V$  is plotted in units of  $(e^2/\hbar)L_T/L$
- Full line corresponds to the non-equilibrium distribution function
- Long dashed line corresponds to the local equilibrium distribution function
- Short dashed line ( $L/L_T = 5$ ) is the non-linear conductivity due to the heating contribution only

## A comment on the diffuson

- $P_\omega(x, x')$  obeys a diffusion equation with boundary conditions
- In the case of ideal interfaces (open boundary conditions)

$$P_\omega(x, x')|_{x=0,L} = 0$$

- This condition may be derived by observing that in the leads the diffusion coefficient is much larger than in the wire

$$P_\omega(x, x') = \sum_{n=1}^{\infty} \frac{2 \sin(k_n x) \sin(k_n x')}{L (-i\omega + Dk_n^2)}, \quad k_n = \frac{n\pi}{L}$$

- For  $L \gg L_T$

$$P_\omega(x, x') = \int \frac{dk \exp(ik(x - x'))}{2\pi (-i\omega + Dk^2)}$$

Third example: ultrashort wire  $L \ll L_T$

One can make a lowest mode approximation for the diffuson

$$\delta I = -\frac{e}{h} A \int_{\tau}^{\infty} dt e^{-\gamma_0 t} \left( \frac{T}{\sinh(\pi T t)} \right)^2 \sin(eVt)$$

$$A \approx 0.25$$

$$\gamma_0 = D k_1^2 = \pi^2 D / L^2 = \pi^2 E_{Th}, \text{ Thouless energy}$$

The linear conductance

$$G \approx -\frac{2e^2}{h} \frac{1}{\pi^2} \ln \frac{1}{\tau \max(T, E_{Th})}$$

i.e.,  $G$  depends logarithmically at  $T > E_{Th}$  and then saturates at  $T \sim E_{Th}$

Fourth example: short wire attached to leads by non-ideal interfaces

- In this case the voltage drop is concentrated at the interface
- The distribution function is spatially independent and a linear superposition of those in the leads

$$F_{wire}(\epsilon) \approx \frac{R_L^{-1} F_L + R_R^{-1} F_R}{R_L^{-1} + R_R^{-1}}$$

- The diffuson is evaluated in the lowest mode approximation with boundary condition

$$\partial_x P_\omega(x, x')|_{x=0^+} = \frac{R_{wire}}{R_L} P_\omega(x, x')|_{x=0^+}$$

- For  $R_{wire} \ll R_L$  this condition reduces to that of an interface with the vacuum or an insulator

The current

$$\delta I = -\frac{e}{h} A \int_{\tau}^{\infty} dt e^{-\gamma_0 t} \left( \frac{T}{\sinh(\pi T t)} \right)^2 \sin(eVt)$$

ii) resistive interfaces:

$$A = \frac{2R_L R_R}{(R_L + R_R)^2} \approx .5$$

for symmetric system

$$\gamma_0 = E_{Th} R_{wire} (R_L + R_R) / R_L R_R \ll E_{Th}$$

## A comment

Our result is perturbative in the screened interaction so that strong Coulomb blockade physics is not included

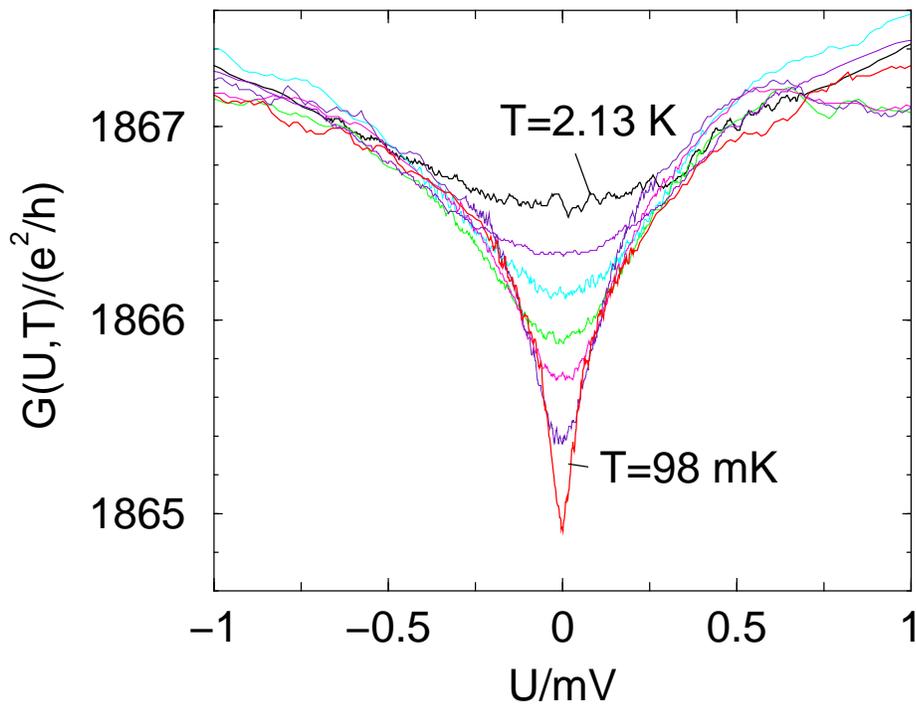
To do that one has to resum the density of states corrections to all orders

See, for instance,  
Nazarov, 89  
Levitov and Shytov, '95  
Kamenev and Gefen '96  
Schön and Zaikin '90

However, charging effects can be included. For a wire with highly transmissive interfaces

$$\frac{\delta I}{(2/2\pi)} = \int_0^\infty dt e^{-\gamma_0 t} \left( \frac{\pi T}{\sinh \pi T t} \right)^2 \sin(eVt) \\ \times \sum_n A_n \{1 - e^{-(\pi n)^2 / RCt}\}$$

## Comparison with experiment (Weber et al. PRB 63, 165426)



Log-T dependence between  $T = 100\text{mK}$  and  $T = 2\text{K}$

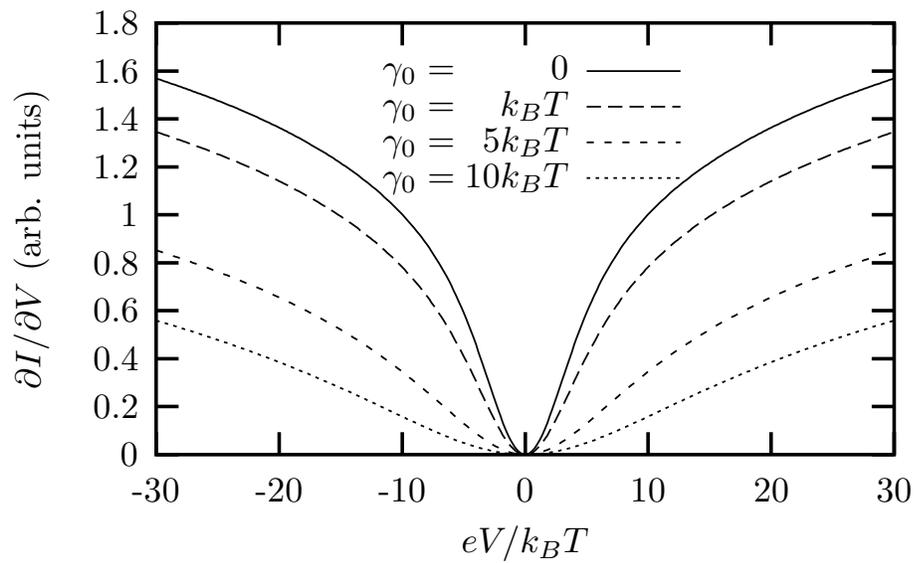
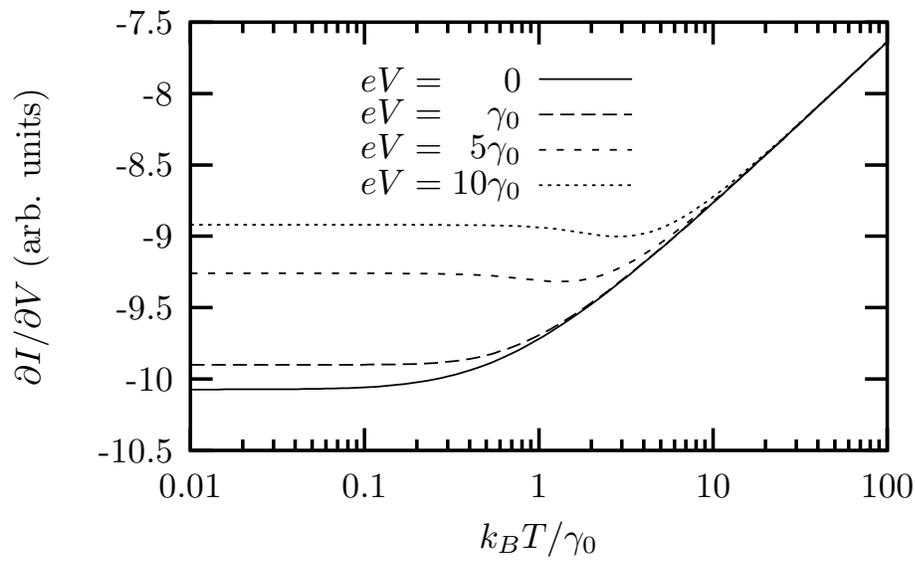
$$G(0, T) = G(0, T_0 = 1\text{K}) + A \ln(T/T_0), \quad A = 0.49e^2/h$$

Saturation below  $T = 100\text{mK}$

Scaling law

$$\frac{G(V, T) - G(0, T)}{A} \equiv f(eV/T)$$

Voltage dependence **does not change** with applied magnetic field



From saturation temperature and prefactor we conclude that main resistive behavior at interfaces

Changing transparency would result in change of saturation temperature and prefactor

The same analysis can be done for a 2D macroscopic film in the presence of a DC electric field  $\mathbf{E}$

$$\delta\mathbf{I} = -\mathbf{E} \frac{e^2}{(\pi h)} \int_{\tau}^{\infty} \frac{dt}{t} \left( \frac{\pi T_e}{\sinh \pi t T_e} \right)^2 \frac{\sinh \frac{(tT_E)^3}{2}}{\frac{(tT_E)^3}{2}} e^{-\frac{(tT_E)^3}{2}}$$

$$T_E^3 = D e^2 E^2$$

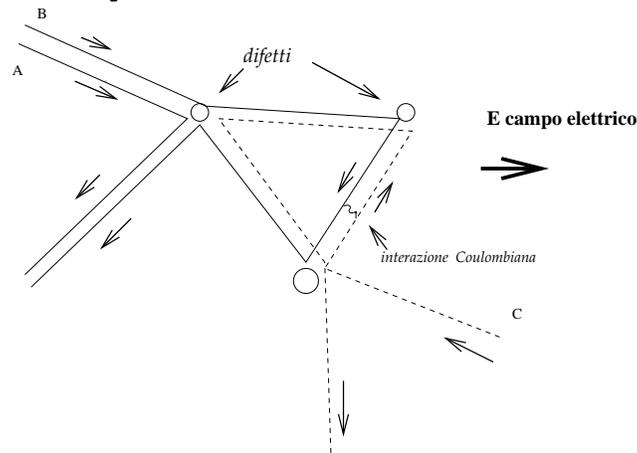
Low field expansion (The first term is the AAL logarithmic correction )

$$\frac{\delta\mathbf{I}}{e^2/(\pi h)} = -\mathbf{E} \left[ \ln \frac{1}{T\tau} - 1.62 \frac{D e^2 E^2}{\pi^3 T^3} \right]$$

High field limit:  $T_E$  replaces  $T$  in the log and gives rise to a "dephasing" in the particle-hole channel  $\tau_{\phi}^{ph} \sim E^{-2/3}$

$$\frac{\delta\mathbf{I}}{e^2/(\pi h)} = -\mathbf{E} \ln \frac{1}{T_E \tau}$$

# Physical interpretation



- Both electrons go along the same trajectory in opposite directions
- With  $\mathbf{E}$ , one electron first accelerates then slows down. The second makes the opposite
- On average, one electron increases its kinetic energy, while the second electron decreases it. The energy difference  $\Delta$  yields a dephasing

Interference is suppressed when

$$\Delta = eEL_{\phi} \sim k_B T$$

nonlinear effects for  $E \sim 10 \text{ mV/cm}$

## Non-linear effect possibly relevant for 2D SiMOSFET and GaAs heterostructure

**Positive magnetoresistance** (Simonian et al. 97, Popovic et al. 97, Coleridge et al. 99) implies that the spin-triplet channel contribution is important (Finkelstein 83, Castellani et al.84, Castellani et. al. 98)

**Electric field scaling in 2D SiMOSFET (near MIT)** (Kravchenko et al. 96, Heemsterk and Klapwijk 98)

**Non-linear effects used to probe metallic or insulating behavior in 2D GaAs/AlGaA** ( Yoon et al. 98)

$T_E \ll T$  limit ( $\gamma_2$ ): triplet channel scattering amplitude

$$\delta\sigma_2 = \frac{e^2}{2\pi^2} \left[ -f_2^1(\gamma_2) \ln \left( \frac{e}{2\pi T\tau} \right) + \frac{\pi}{30} f_2^3(\gamma_2) \frac{T_E^3}{T^3} \right]$$

The function  $f_1^1(\gamma_2)$  controls the RG flow.

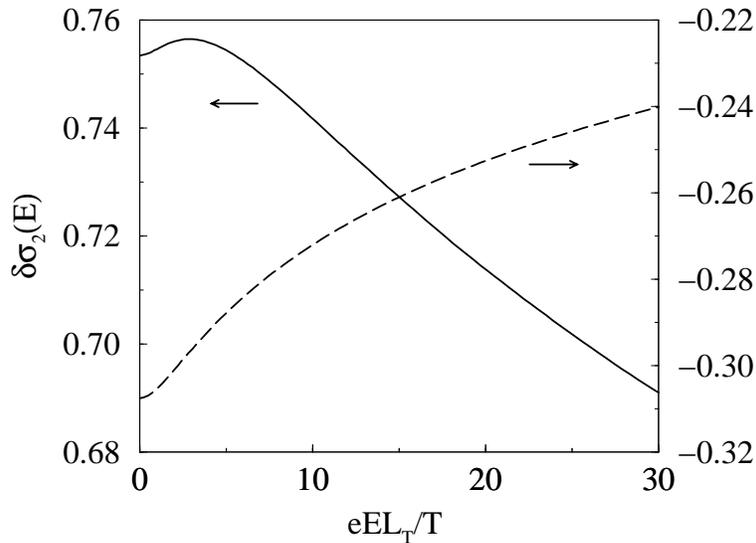
$$f_2^1(\gamma_2) = 1 + 3 \left[ 1 - \frac{1 + \gamma_2}{\gamma_2} \ln(1 + \gamma_2) \right]$$
$$f_2^3(\gamma_2) = \frac{1}{2} + \frac{3}{2} \left[ \frac{6 + 5\gamma_2}{\gamma_2^2} - \frac{(6 + 2\gamma_2)(1 + \gamma_2)}{\gamma_2^3} \ln(1 + \gamma_2) \right]$$

Non-linear effects also appear in the magnetoconductance from the  $M = \pm 1$  triplet contributions ( $\Omega_s$  Zeeman energy)

$$\Delta\sigma_2 = -\frac{e^2}{2\pi^2} \frac{\Omega_s^2}{T^2} \left[ \frac{3\zeta(3)}{2\pi^2} g_2^1(\gamma_2) + \frac{\pi}{42} g_2^3(\gamma_2) \frac{T_E^3}{T^3} \right]$$

## Note

- $\gamma_2 = 0$  (dashed line) localizing,  $\gamma_2 = 5$  (solid line) metallic
- At small fields,  $f_2^3(\gamma_2) > 0$ , **non-linear conductivity always positive**  $\Rightarrow$  we need a careful analysis of experimental data at low fields (compare with Yoon et al. 98)
- At large electric fields  $\Rightarrow$  **log-behavior with the sign of  $f_2^1(\gamma_2)$**



In semiconductor devices GaAs and Si MOSFET  $E_{DC} \sim 1\text{V/m}$ ,  $T \sim 100\text{mK}$  one estimates  $T_E \sim 10\text{mK}$  (Yoon et al. 98) (Kravchenko et al. 96) smaller than what indicated by the experiment

- Need to go beyond lowest order perturbation theory and possible renormalization of the scale  $T_E \Rightarrow$  see next
- Relevance of dishomogeneity and nonuniform electric field in the sample (Meir 99,)
- **Complicated interplay with heating effects and one has to measure  $T_{el}$  independently  $\Rightarrow$**
- Need to go beyond diffusive limit:  $T\tau \ll \Rightarrow T\tau \gg 1$  (Cf. Zala, Narozhony, Aleiner 2000).

## Some estimates

In the experiment by Yoon et al. typical voltage scale  
 $V^* \sim 10^{-4}\text{Volt}$

Our theory predicts the scale  $eV(L_T/L) \sim k_B T$

From  $D \sim 7 \cdot 10\text{cm/Volt s}$ ,  $T = 8\text{mK} \Rightarrow L_T = 0.8 \cdot 10^{-4}\text{cm}$ ,  $L = 7 \cdot 10^{-2}\text{cm}$

$$V_{theor} = \frac{k_B T}{e} \frac{L}{L_T} \sim 10^{-3}\text{Volt}$$

Hence the experimental voltage scale over which the effect is seen is smaller of the predicted one by one or two orders of magnitude

To improve agreement one would need a larger  $L_T$ , which may be obtained by a larger diffusion coefficient, as in a renormalised theory

# Possible consequences for scaling

$T_E$  gives a mechanism for scaling

- Close to QCP (If any (cf. Belitz and Kirkpatrick 94, Sondhi et al. 97))  $T \sim \xi^{-z}$  where  $\xi$  is the correlation length and  $z$  is the dynamical critical exponent.
- In a diffusive system  $T \sim D_{qp}(\xi)/\xi^2$  with scale-dependent  $D_{qp}(\xi)$  diffusion and quasi-particle DOS  $N_{qp}$  related by  $D_{qp} = D/(N_{qp}/N_0)$  (Finkelstein 83, Castellani and DiCastro 86).  $\Rightarrow D_{qp}$  scales near the QCP as  $D_{qp} \sim \xi^{2-z}$ .
- From  $T_E^3 = D_{qp}e^2E^2 \rightarrow E \sim \xi^{-(1+z)}$ .

In the experiments

- $z \approx 1$  which corresponds to growing  $D_{qp}$  and a vanishing  $N_{qp}$  quasi-particle density of states near MIT.
- Then one expects large non-linear effects near the QCP point.
- The small value of  $z < 2$  implies  $c_v \sim T\xi^{z-2} \sim T^{2/z}$ .

## Conclusions

- Formulation of non linear transport including quantum interaction corrections in disordered systems
- Analysis of 1D and 2D systems
- Good agreement for 1D metallic systems
- Qualitative agreement with 2D semiconducting systems