



Cooper Pair Tunneling and Coulomb Blockade

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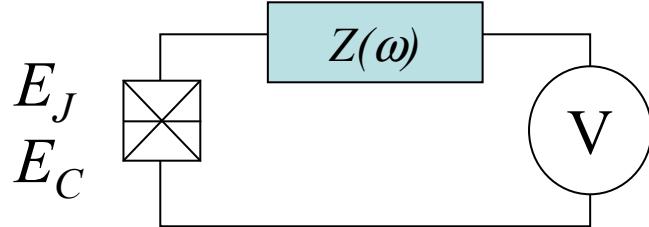
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UFJ/CNRS, Grenoble

Dick Kautz
NIST, Boulder, CO

Outline

1. Cooper Pair Tunneling, Impedance of Environment
2. Josephson Junction Transmission Line (SQUID array)
3. Experiment 1: Single JJ biased by JJ Array – High Impedance Environment
4. Experiment 2: JJ Array in Low Impedance Environment.

Quantum Fluctuations



“P(E) Theory” (Devoret et al. 1990, Girvin et al. 1990)

$$Z \ll R_Q = h/4e^2 = 6.45 \text{ k}\Omega$$

Josephson effect + quantum fluctuations of the phase

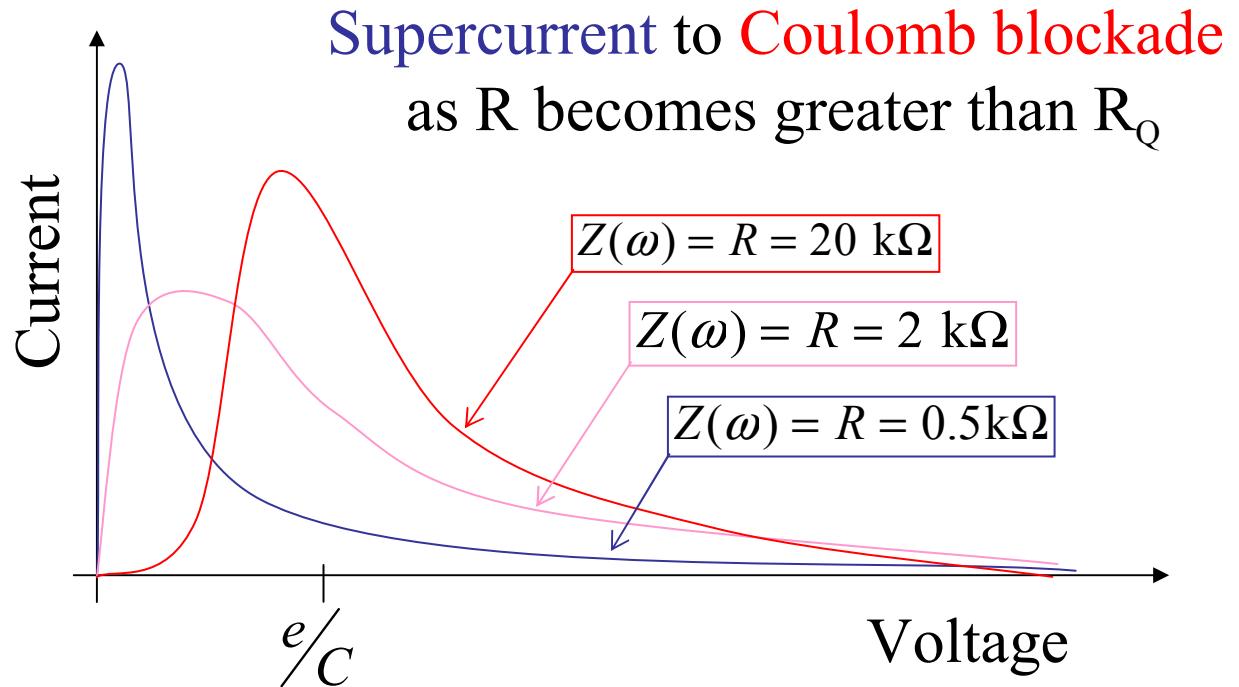
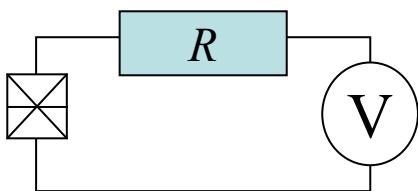
Perturbation theory, $E_J/E_C \ll 1$

$$Z \gg R_Q = h/4e^2 = 6.45 \text{ k}\Omega$$

Coulomb blockade + charge fluctuations (uncorrelated single C.P.tunneling events)

Perturbation theory $E_J/E_C \ll (R_Q/Z)^{1/2}$

Results of Perturbation Theory



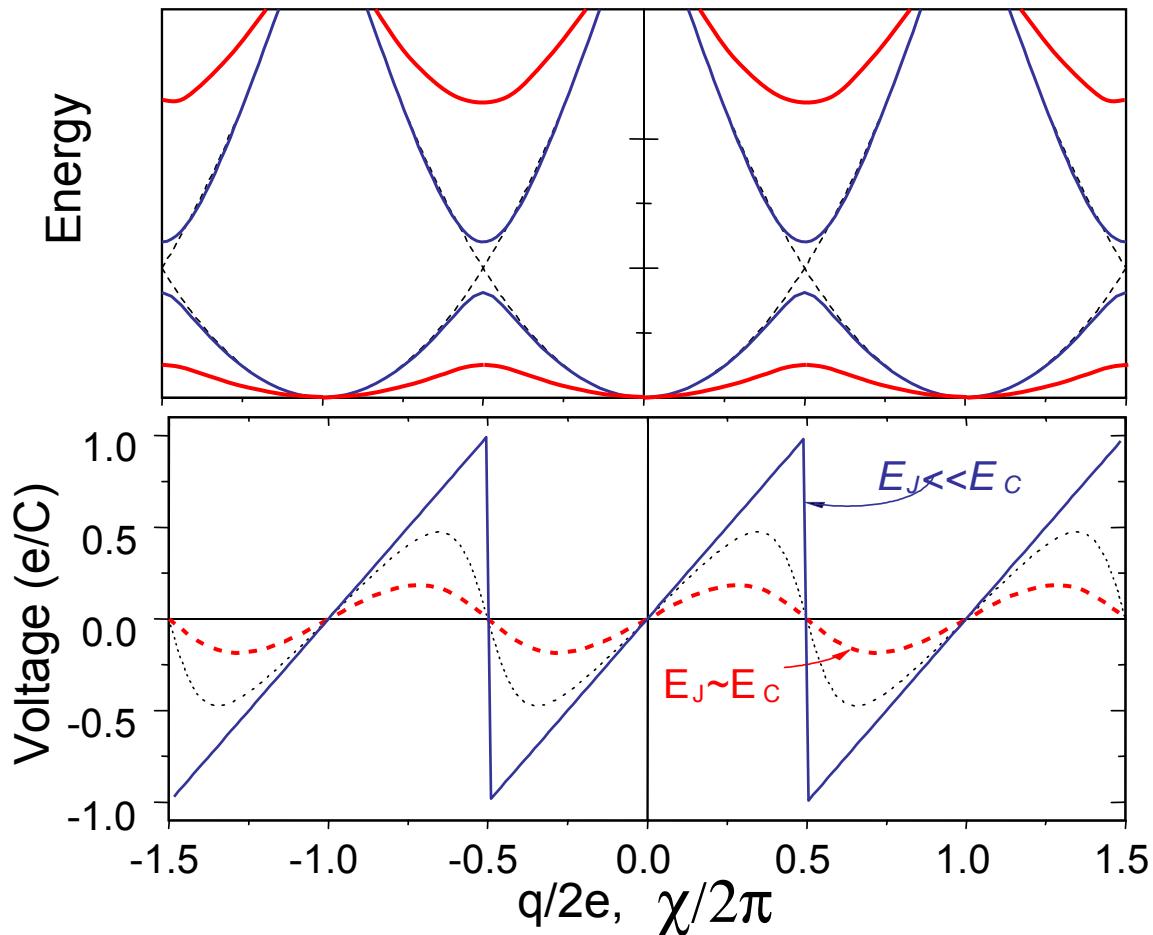
Interesting Case outside P(E) Theory:

- $E_J \sim E_C$ and $Z \gg R_Q$
- Coulomb Blockade + Current Bias

Correlated
Cooper Pair Tunneling

Quasi Charge description of Josephson Junction

Averin, Likharev and Zorin 1985



External source provides current bias

$$H = \frac{Q^2}{2C} - E_J \cos\left(2\pi \frac{\Phi}{\Phi_0}\right)$$

$$V = \frac{dE_0}{dq} = V_C \text{saw} \chi$$

Critical Voltage: $V_C(E_J / E_C)$

dimensionless quasi-charge

$$\chi = \frac{2e}{2\pi} q$$

$$I_{\text{ext}} = \frac{2e}{2\pi} \dot{\chi}$$

How to make $Z \gg R_Q$

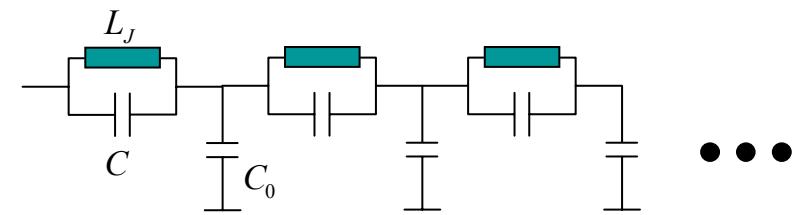
- Large Resistors (physically small): heating and noise.
- Small Capacitor in series with single junction \rightarrow Cooper pair box.
- ✓ Large Inductance in series:
 - Advantage: non dissipative
 - Electromagnetic inductance too small ($Z_Q/R_Q = 8\alpha \ll 1$)

Use Josephson Inductance:

$$L_J = \frac{\Phi_0}{2\pi I_C}$$

$$\frac{\Phi_0}{2\pi} = \frac{\hbar}{2e} = 0.33 \text{ } \mu\text{H} \cdot \text{nA}$$

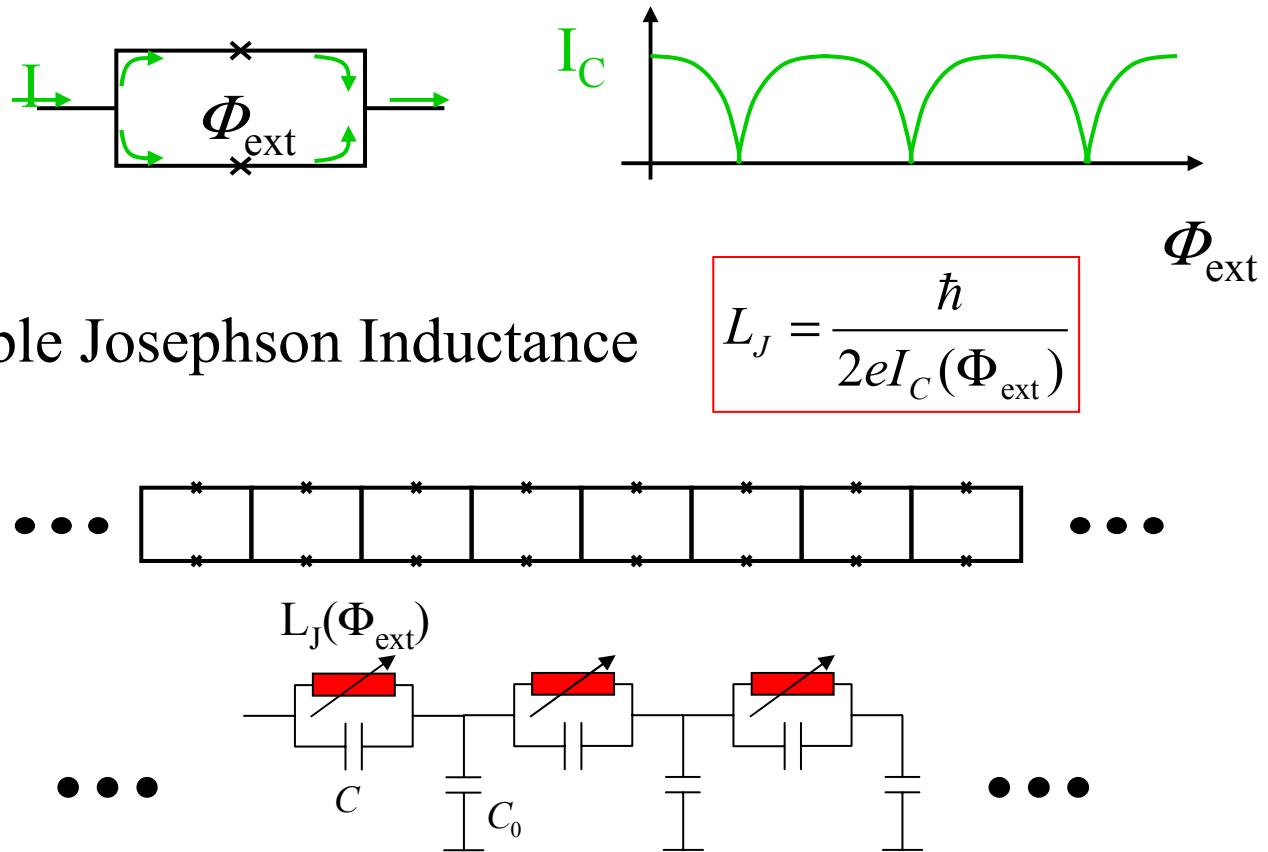
Infinite 1D, JJ array



Linear model (small phase, $I \ll I_C$)

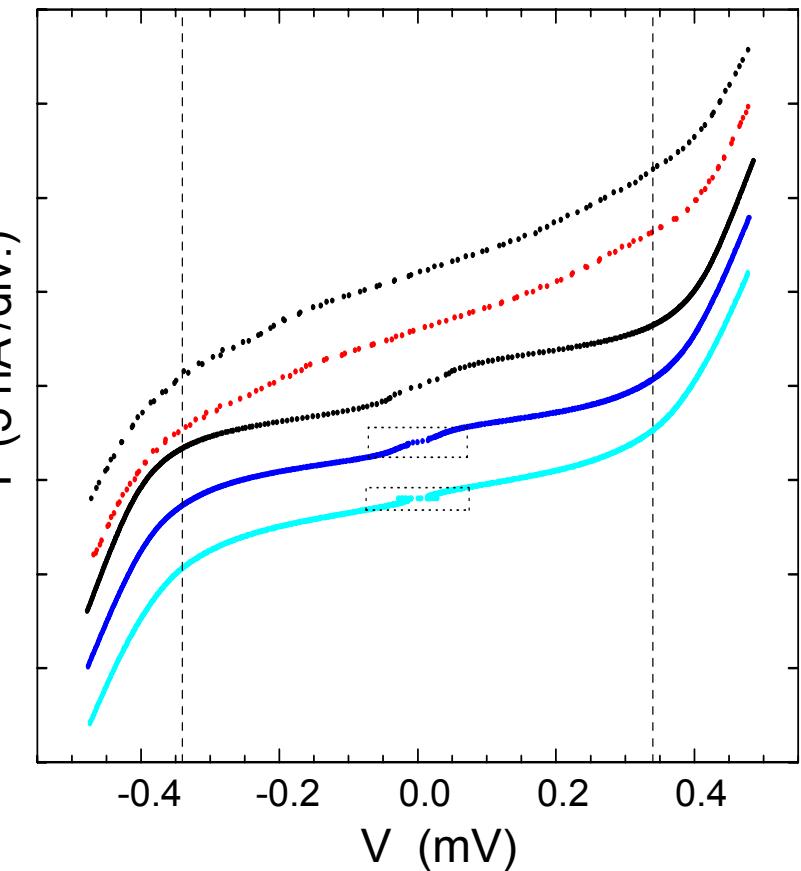
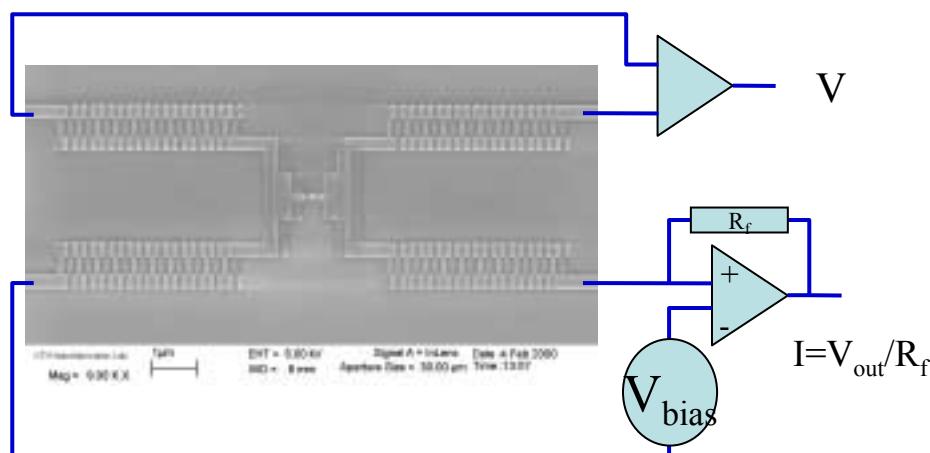
$$\text{for } \omega < \omega_p = \frac{\sqrt{4E_C E_J}}{\hbar}, \quad Z_A = \sqrt{\frac{L_J}{C_0}} = R_Q \sqrt{\frac{4E_C}{E_J}} \sqrt{\frac{C}{C_0}}$$

1D SQUID array as tunable Josephson transmission line



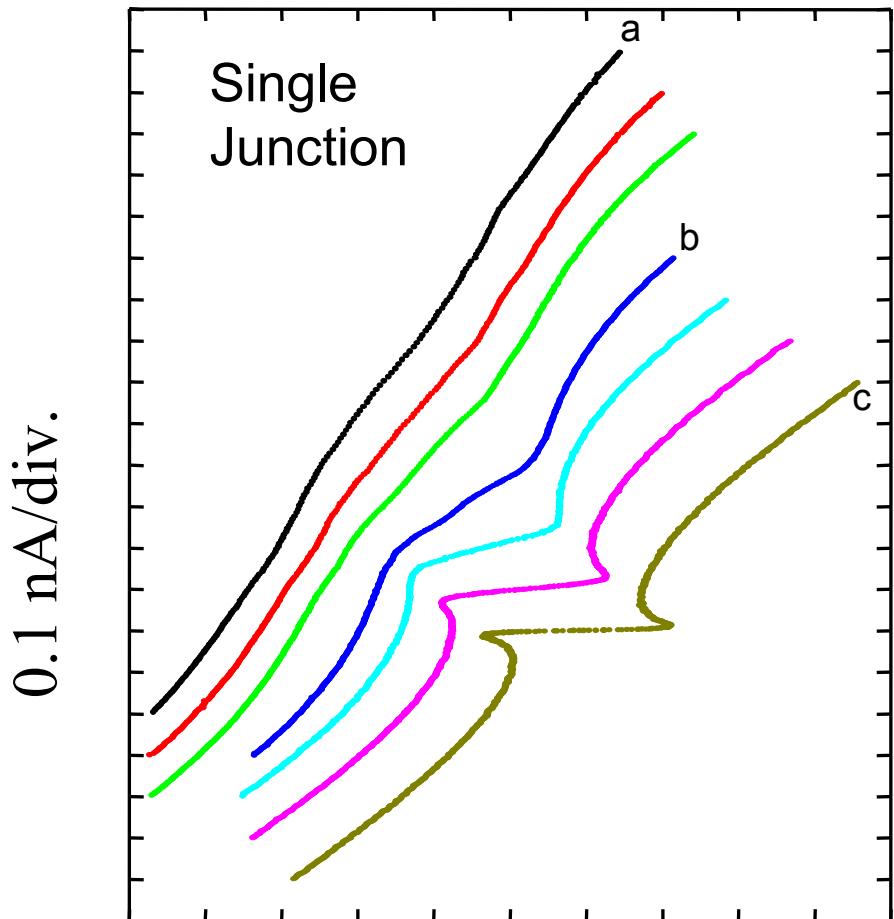
Experiment: Array Bias of Single Junction

Measure IVC of single junction
While tuning impedance of
SQUID array leads

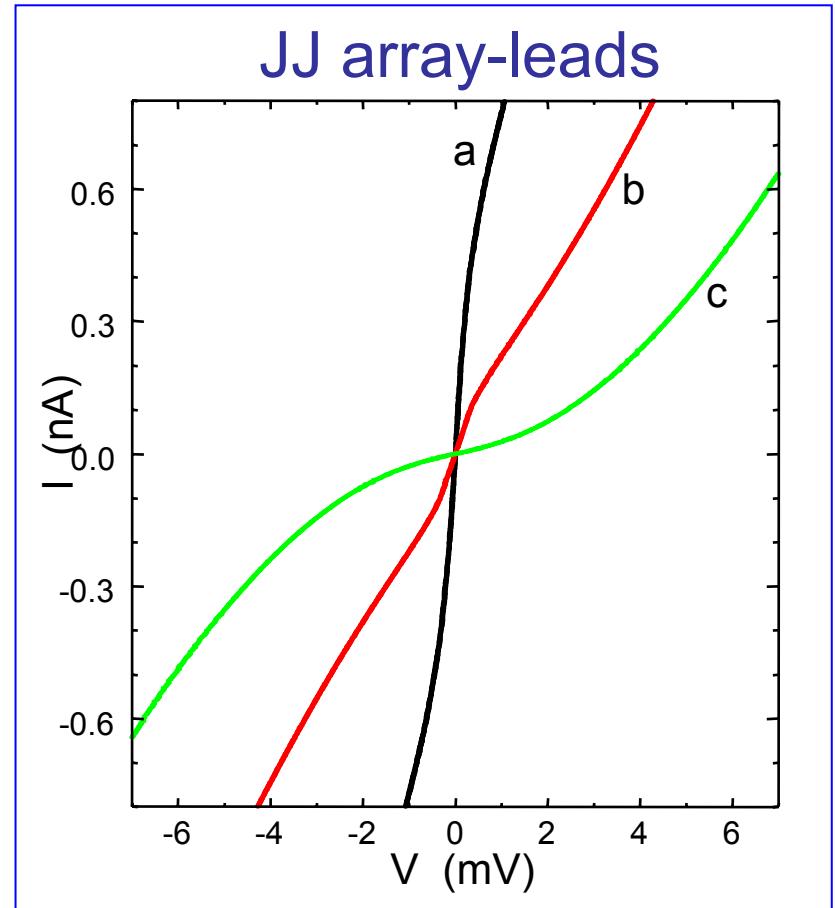


Coulomb blockade appears in single junction as *environment* is tuned

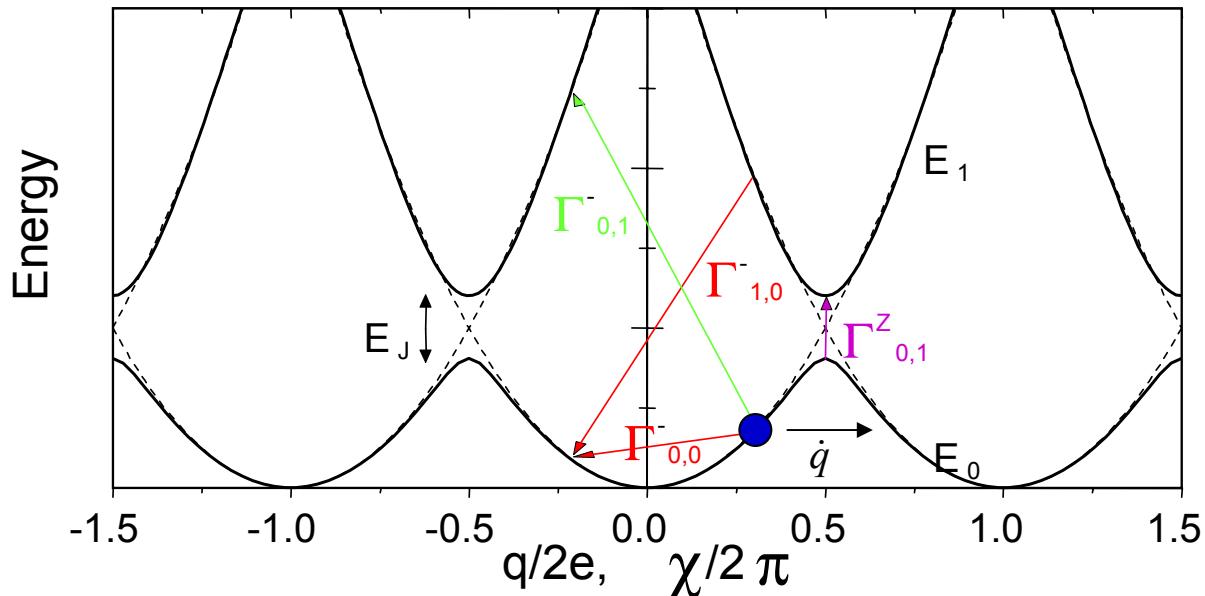
Watanabe and Haviland, PRL 2000



20 μ V/div.



Theory: Quasi-particle (single electron) relaxation



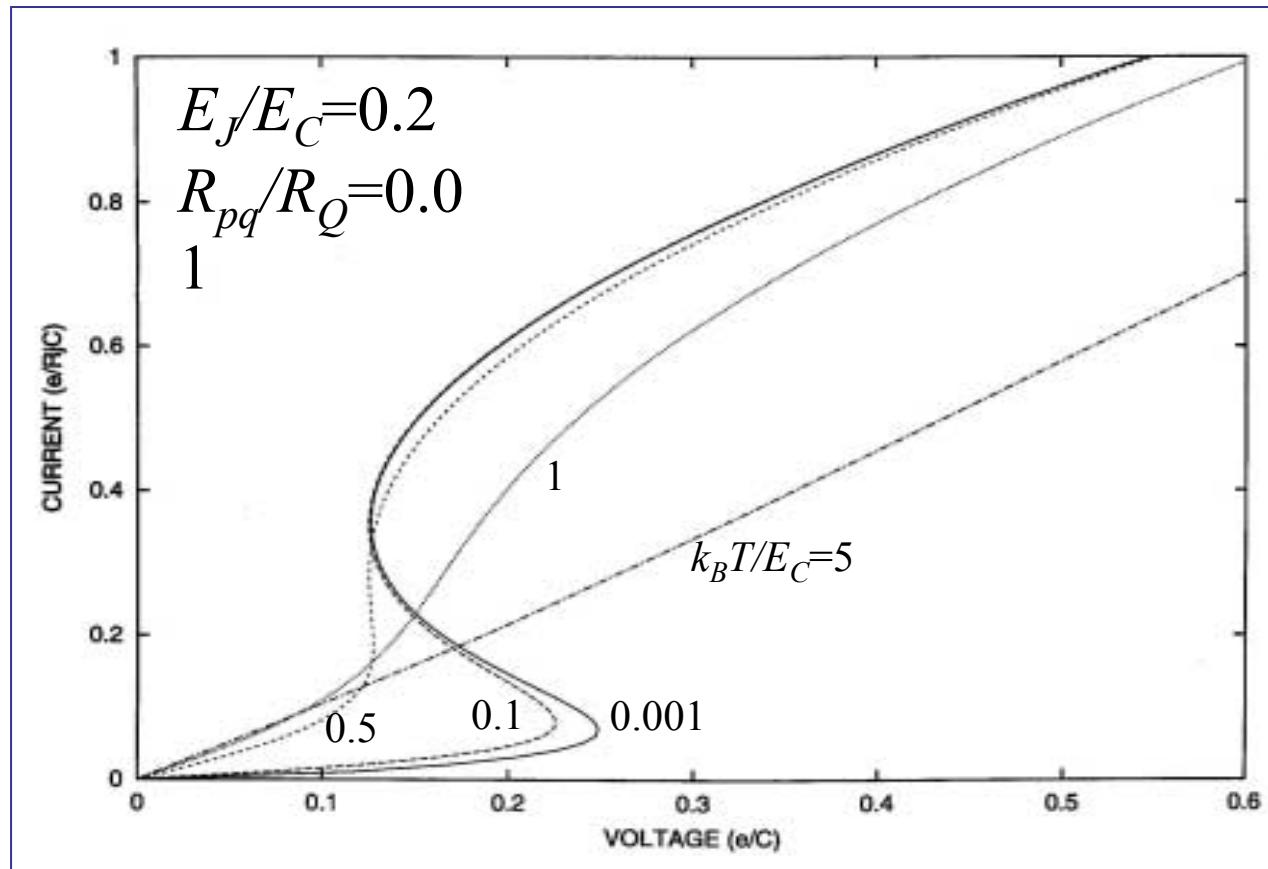
$$I_{\text{ext}} = \frac{dq}{dt} = 2e \frac{d\chi}{dt}$$
$$V = \frac{dE_o}{dq} = V_C \text{saw} \chi$$

- Single electron tunneling: rate depends on energy change, dissipative process
- Zener tunneling between bands: dissipative when relaxing to lower band
- Theoretical calculation of IVC: Master Equation for probability distribution in χ

Theoretical Calculation of I V

Averin and Likharev (1986), Geigenmüller and Schön (1988)

I-V simulator for arbitrary E_J , E_C , T and R_{qp} , Dick Kautz (NIST Boulder)



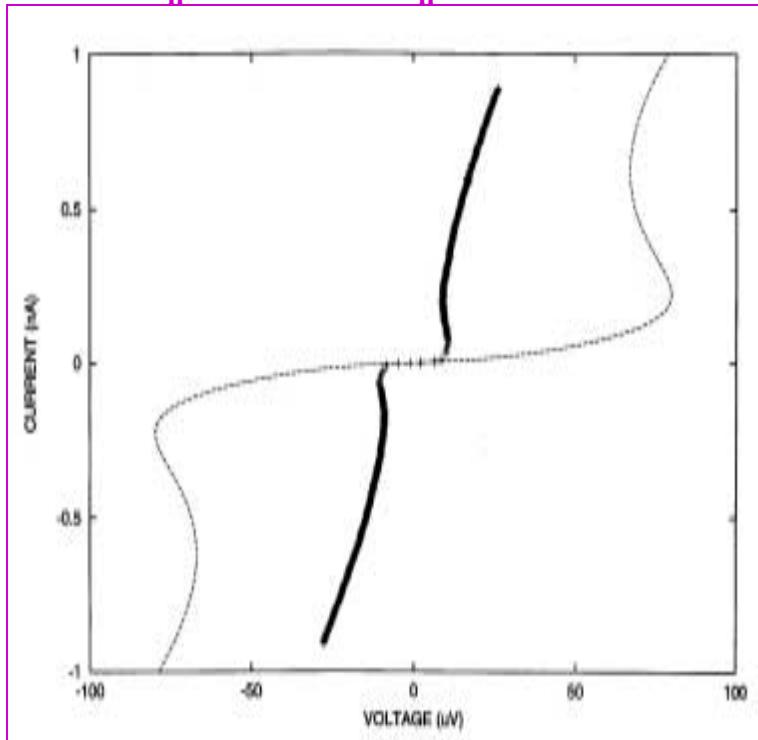
Comparison with Theory

Dick Kautz (NIST, Boulder)

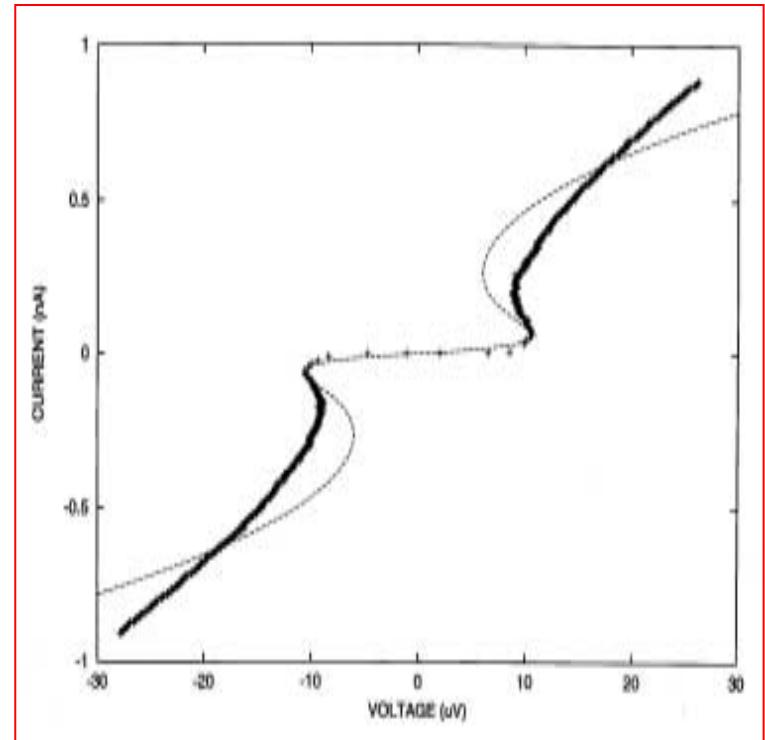
Measured : $R_n = 9\text{k}\Omega \rightarrow E_J = 72\mu\text{eV}$, $T = 40\text{mK}$

Estimated: $A = 0.01 \mu\text{m}^2 \rightarrow C \sim 0.5\text{fF}$

$$R_{qp} \sim 10R_n \rightarrow R_{qp} = 100\text{k } \Omega$$



Adjust Parameters:
 $C = 3.0\text{fF}$, $E_J = 24\mu\text{eV}$, $R_{qp} = 70\text{k } \Omega$



Theory is missing fluctuation effects due to environment

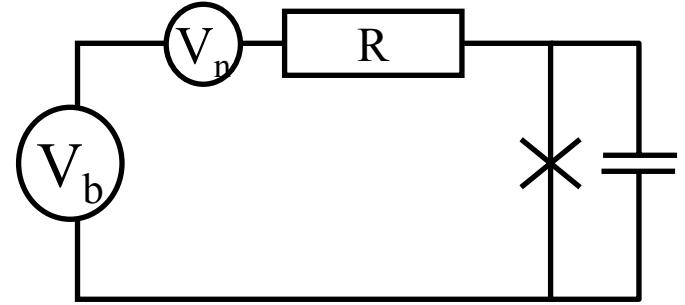
Classical Fluctuations - Phase

$$V_b + V_n = \frac{\hbar}{2e} \dot{\phi} + RI_0 \sin \phi + RC \frac{\hbar}{2e} \ddot{\phi}$$

noise term

Overdamped limit

$$\beta = \frac{R^2 C}{\hbar / 2e I_0} \ll 1$$



Analytical Solution exists for delta correlated noise term

Ivanchenko and Zilberman, 1969

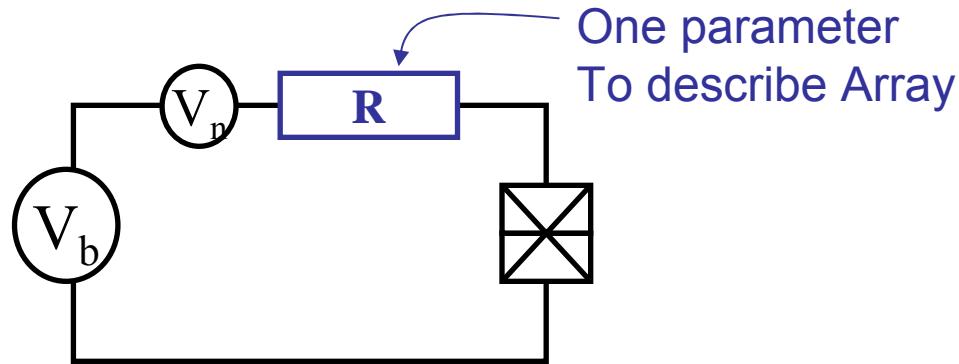
$$\langle V_n(0)V_n(t) \rangle = 2k_B T R \delta(t)$$

$$\frac{\langle I_J \rangle}{I_0} = \langle \sin \phi \rangle = \text{Im} \left[\frac{I_{1-i\alpha v}(\alpha)}{I_{i\alpha v}(\alpha)} \right] \quad \text{where } \alpha = \frac{V_b}{RI_0}, \quad v = \frac{E_J}{k_B T}$$

Classical Fluctuations - Quasicharge

$$V_b + V_n = R \frac{2e}{2\pi} \dot{\chi} + V_C \text{saw} \chi$$

approximate $\text{saw} \chi \approx \sin \chi$

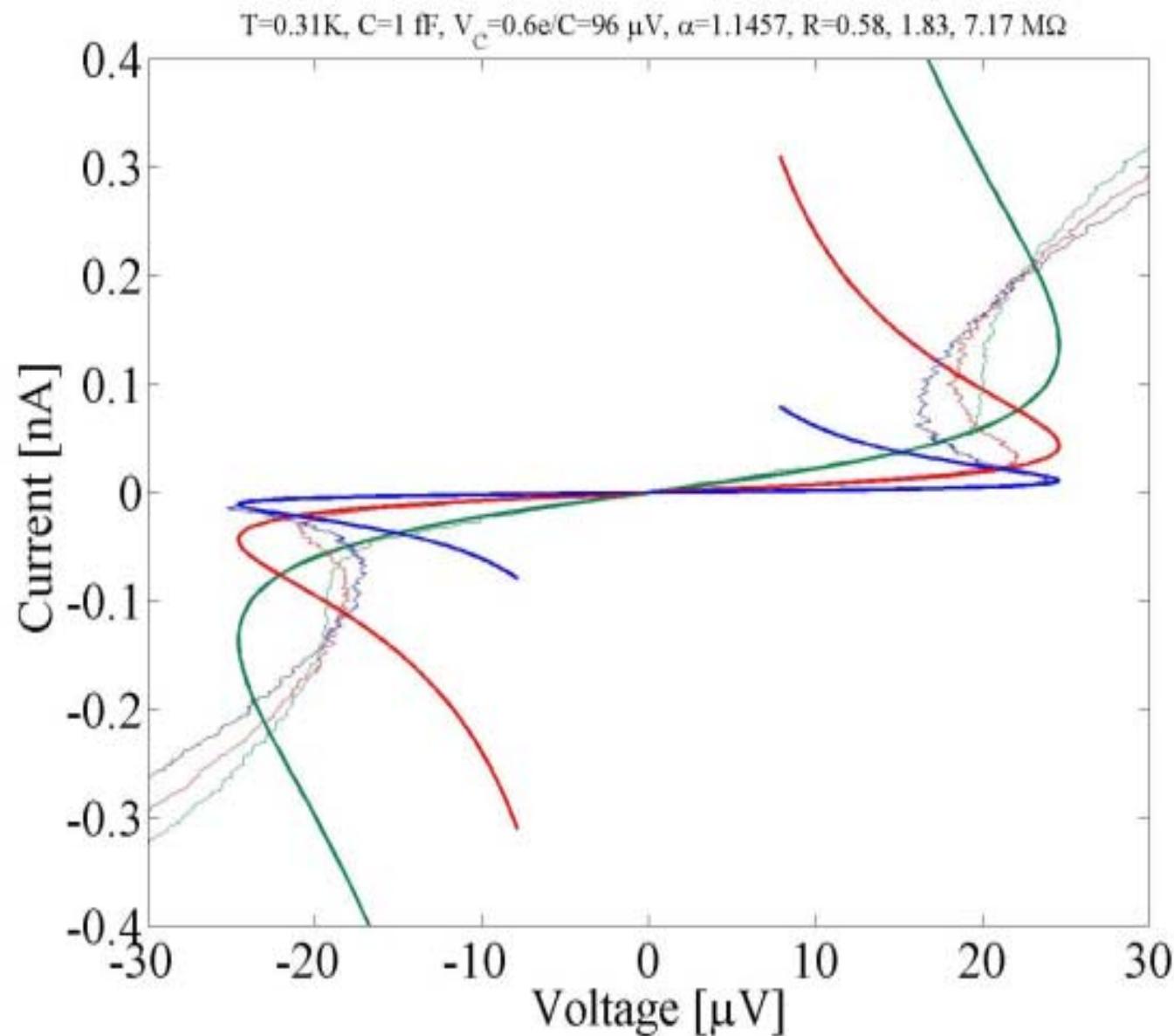


Apply Ivanchenko and Zilberman solution for delta correlated noise term

$$\langle V_n(0)V_n(t) \rangle = 2k_B T R \delta(t)$$

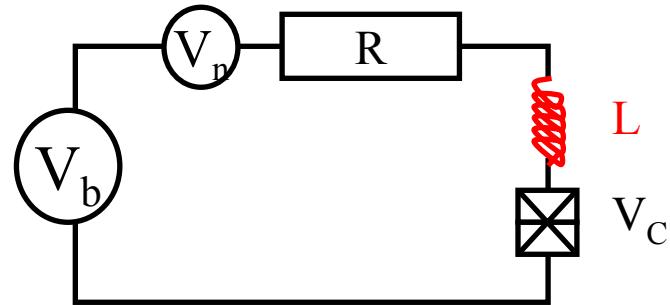
$$\frac{\langle V_J \rangle}{V_C} = \langle \sin \chi \rangle = \text{Im} \left[\frac{I_{1-i\alpha v}(\alpha)}{I_{i\alpha v}(\alpha)} \right] \quad \text{where } \alpha = \frac{eV_C(E_J/E_C)}{\pi k_B T}, \quad v = \frac{V_b}{V_C}$$

Quick Fit of Ivanchenko and Zilberman



Duality: Phase - Quasicharge

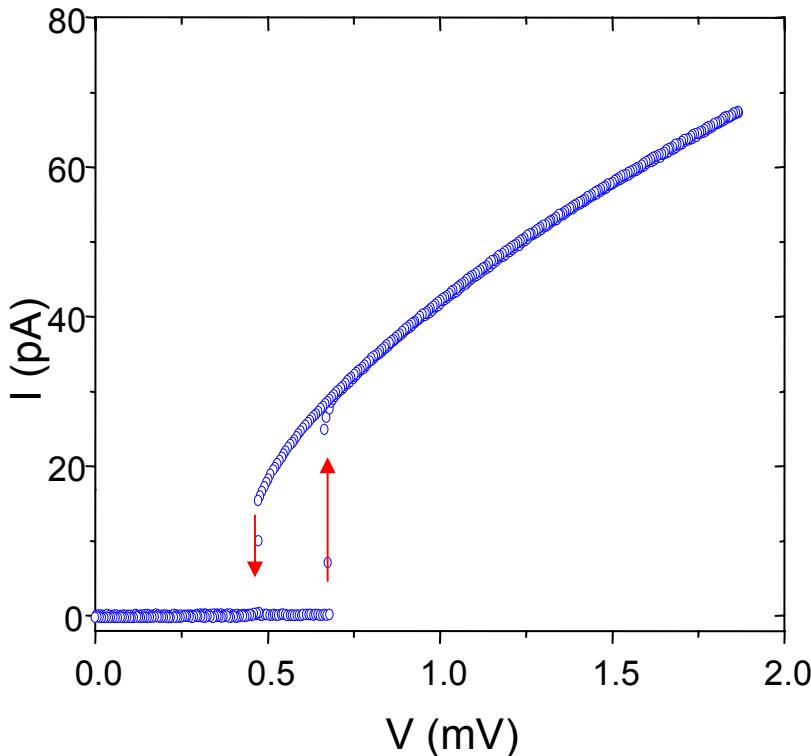
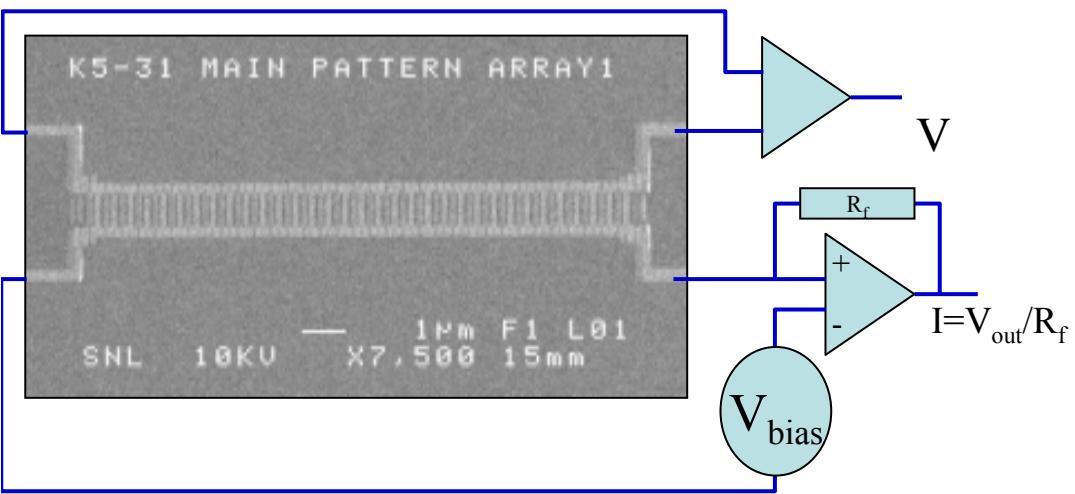
$$V_b + V_n = R \frac{2e}{2\pi} \dot{\chi} + V_C \text{saw} \chi + L \frac{2e}{2\pi} \ddot{\chi}$$



- Series inductance gives “mass term” in dynamical model
 - Electromagnetic inductance completely negligible (thickness barrier 20 Å)
- Quasicharge dynamics always over damped for single junction

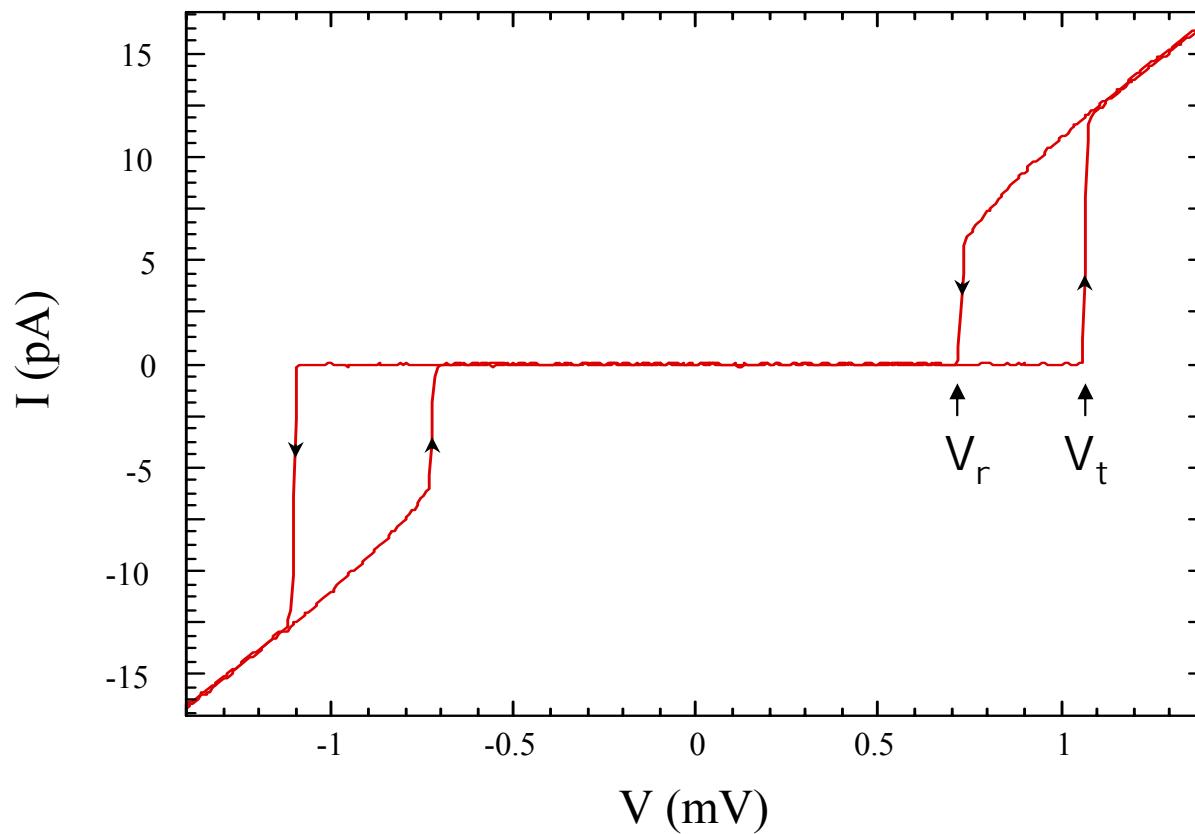
Experiment: Voltage biased array

Hysteresis (Back-bending) IV curve
for low impedance environment!



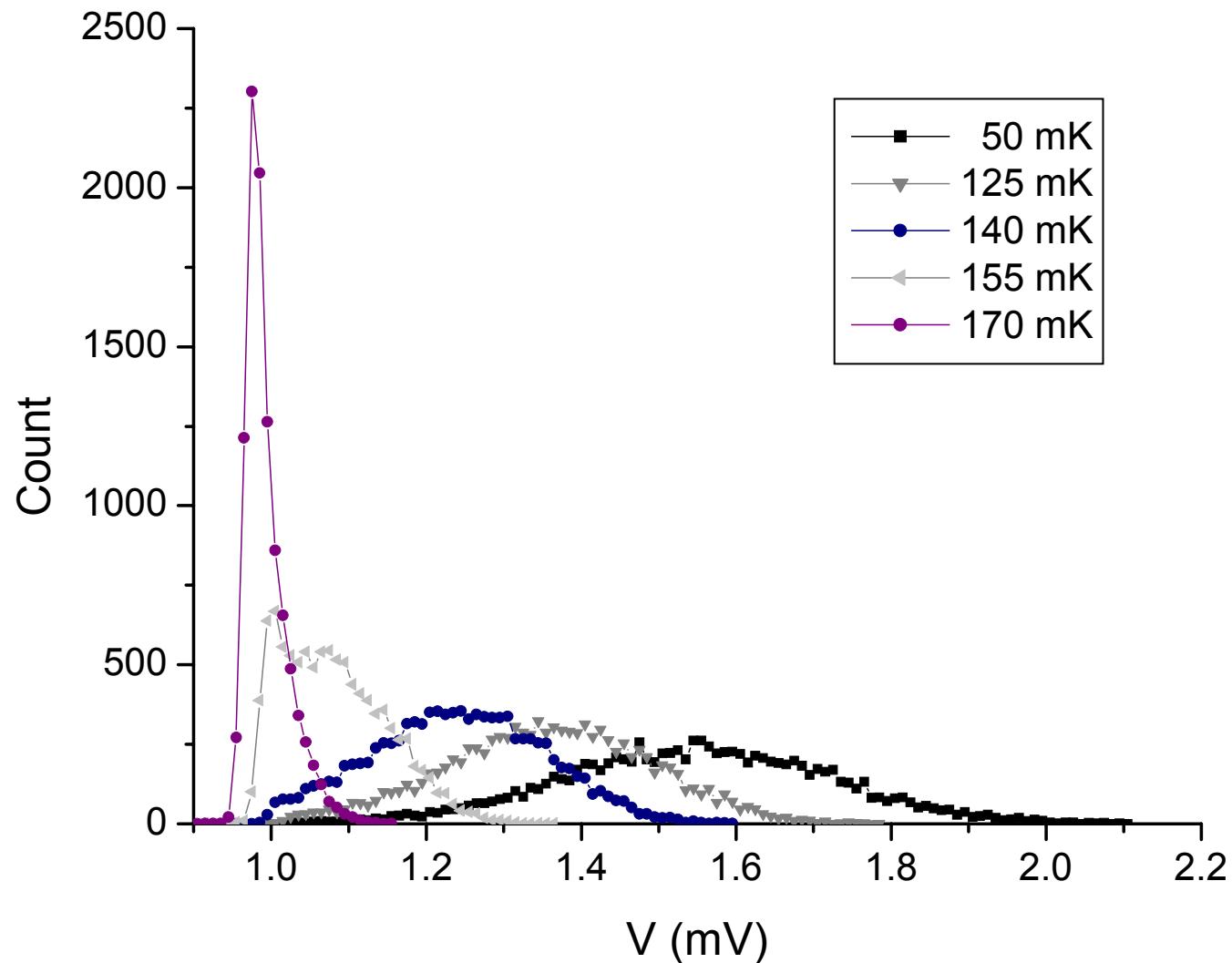
- Can not explain hysteresis (backbending) with overdamped dynamics!
 - Kinetic inductance of one Cooper Pair soliton? (*P. Ågren et. al, JLTP, 2001*)
 - Heating in finite current state?

Fluctuations of Threshold Voltage



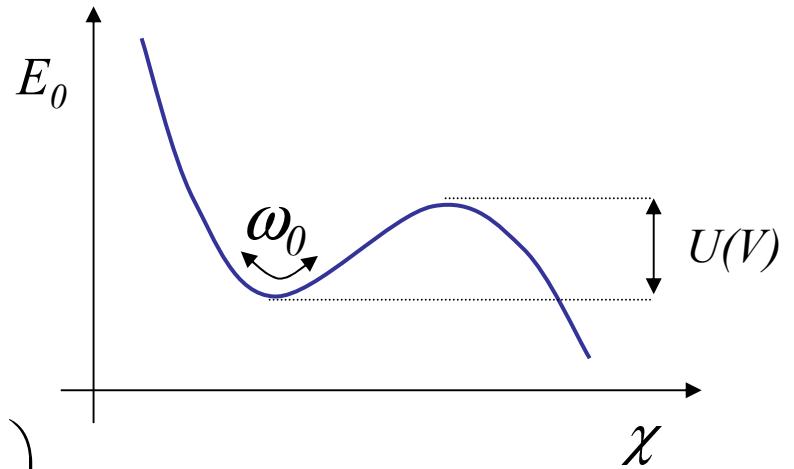
Switching from Zero-current State \rightarrow No heating effects

Switching Histograms vs. temperature



Kramers Model: Thermal escape from a bias dependant local minima

$$\frac{V_b}{V_C} - \text{saw} \chi = \frac{1}{\gamma} \dot{\chi} + \frac{1}{\omega_0^2} \ddot{\chi}$$

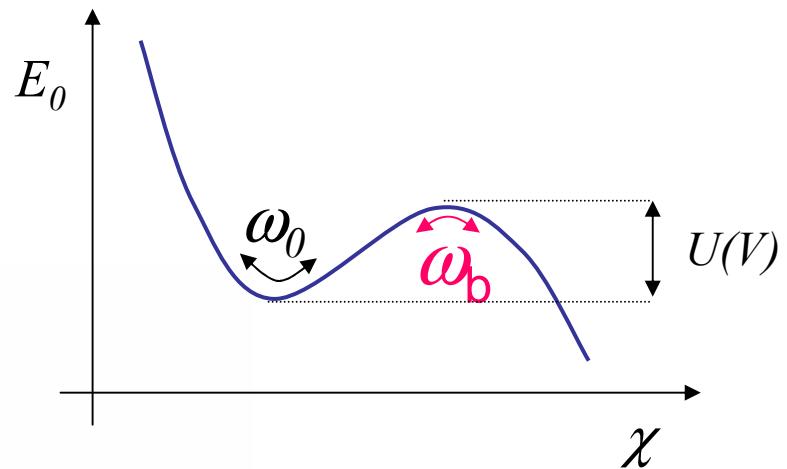
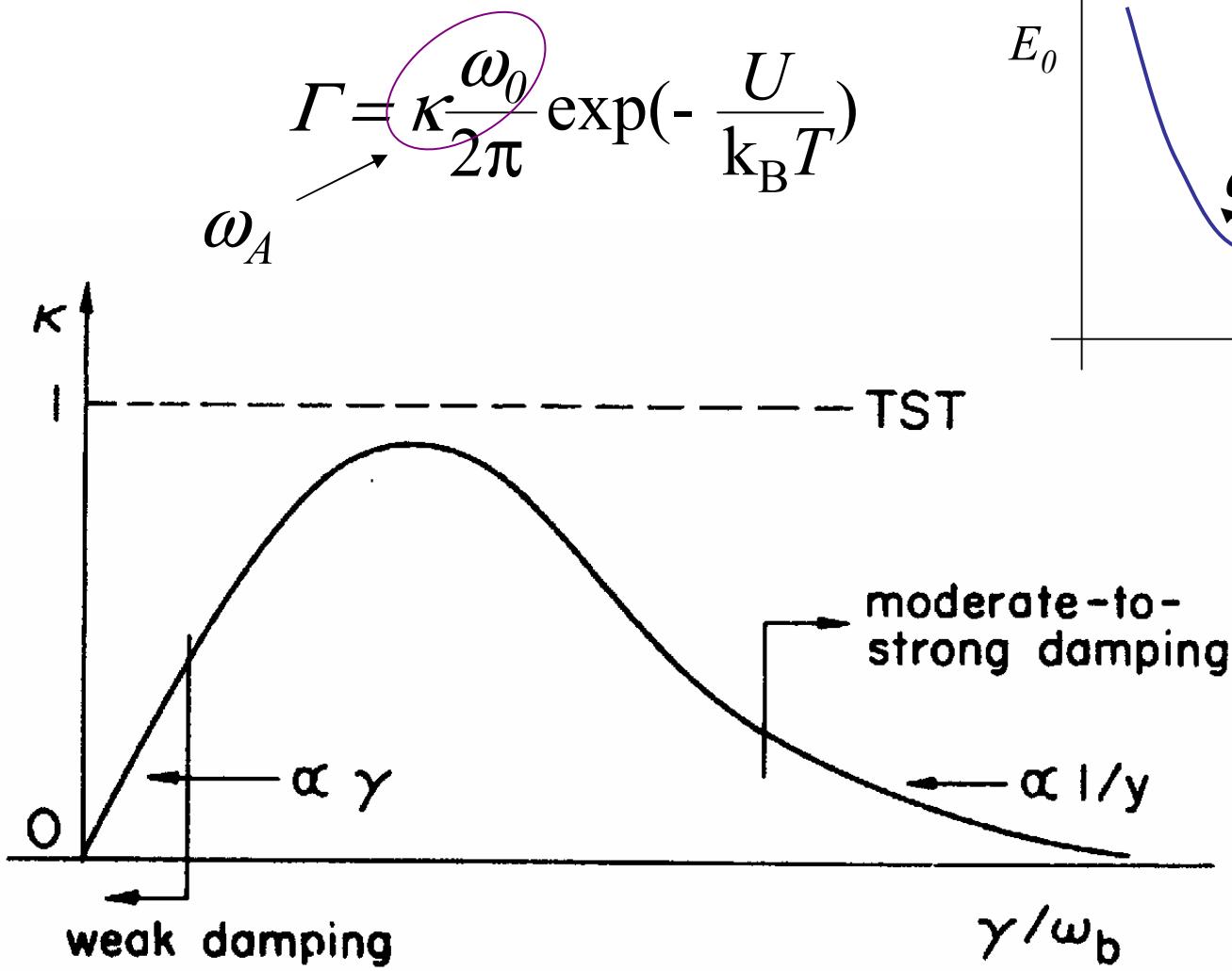


Escape rate $\Gamma(V) = \frac{\omega_0}{2\pi} \exp\left(\frac{U(V)}{k_B T}\right)$

Escape probability density $P(V)$
measured in experiment

$$\Gamma(V) = \frac{\dot{V}}{\Delta V} \ln \left[\frac{\sum_{V' \geq V} P(V')}{\sum_{V' \geq V + \Delta V} P(V')} \right]$$

Effect of Damping on Escape



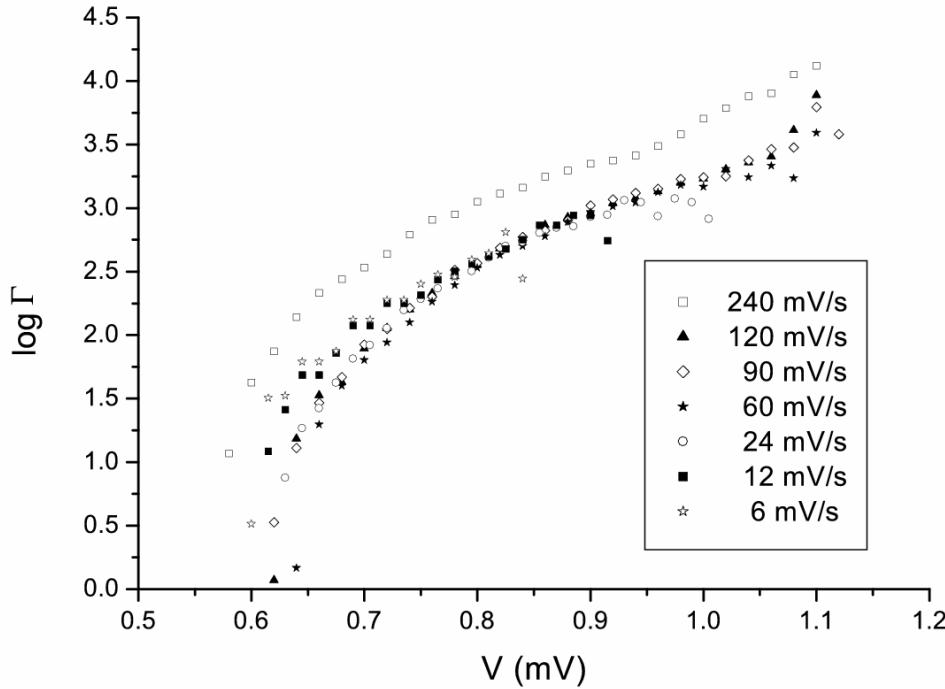
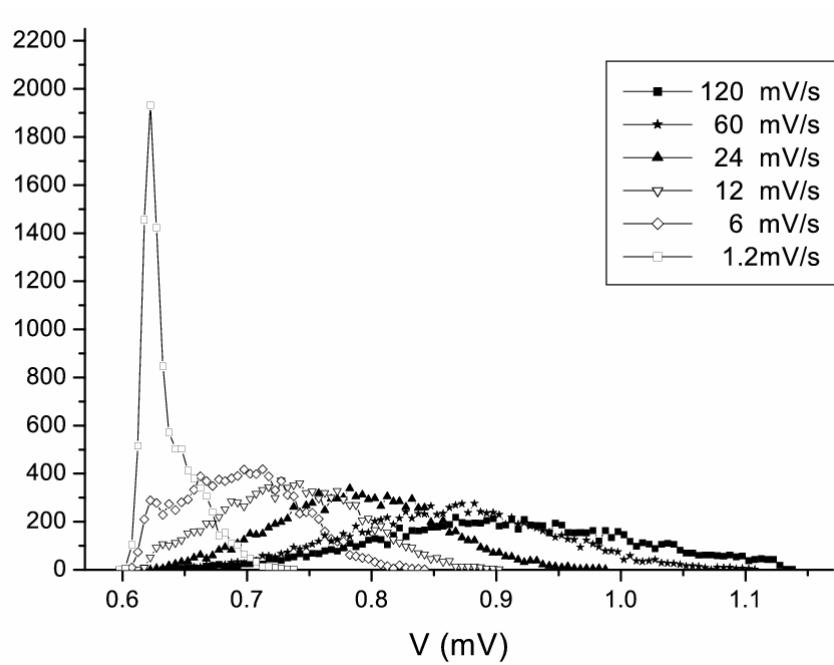
Review Article:
Hänggi, Talkner and Borkovec
Rev. Mod. Phys, 1990

Sweep speed dependence

Switching Histogram

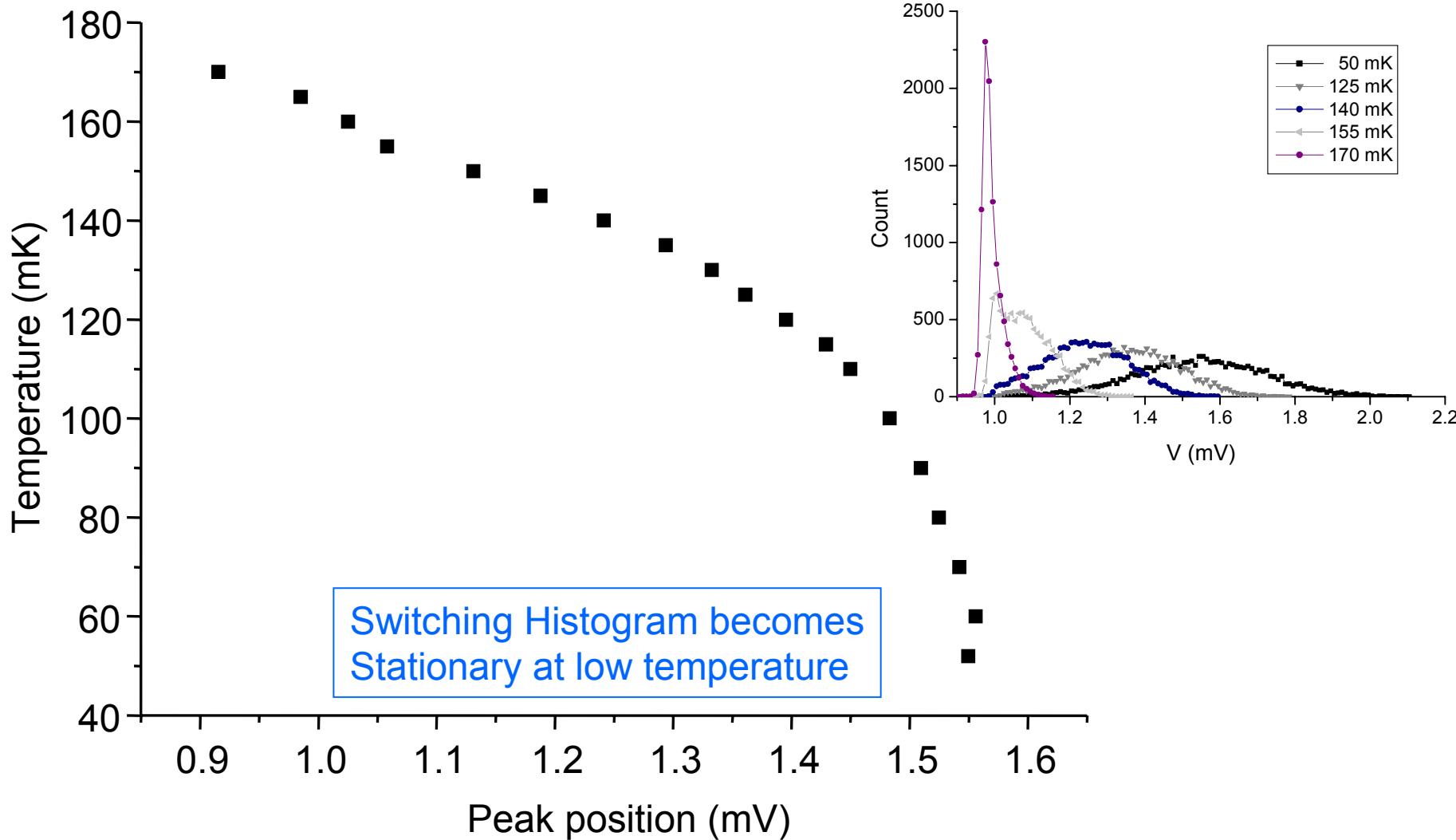


Escape rate vs. bias Voltage

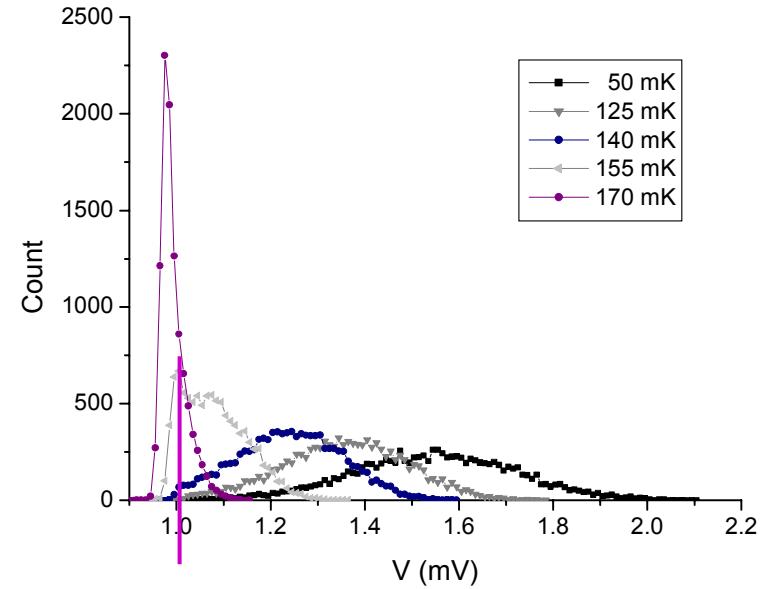
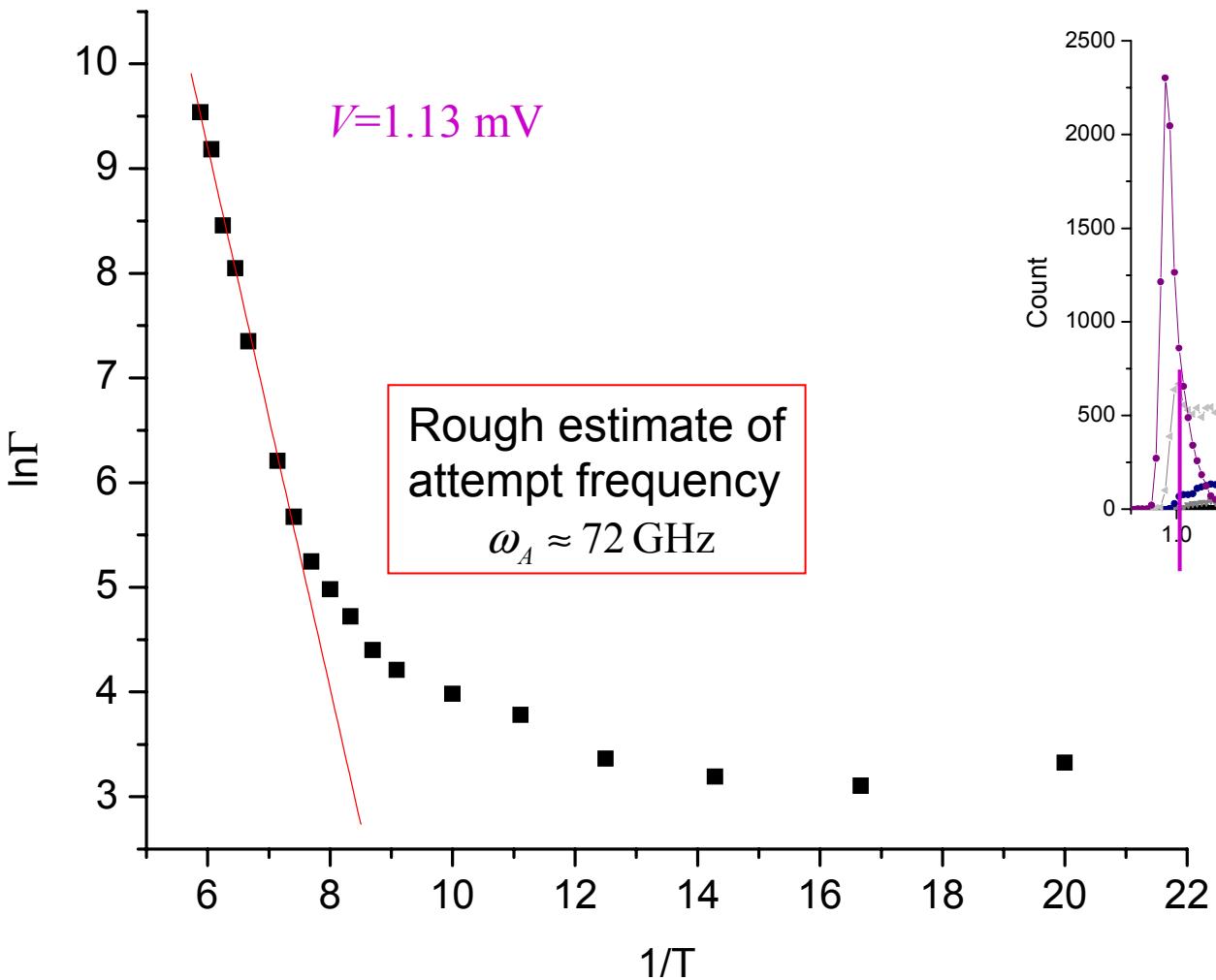


Sweep speeds less than 120 mV/s give consistent escape rate vs. V_b

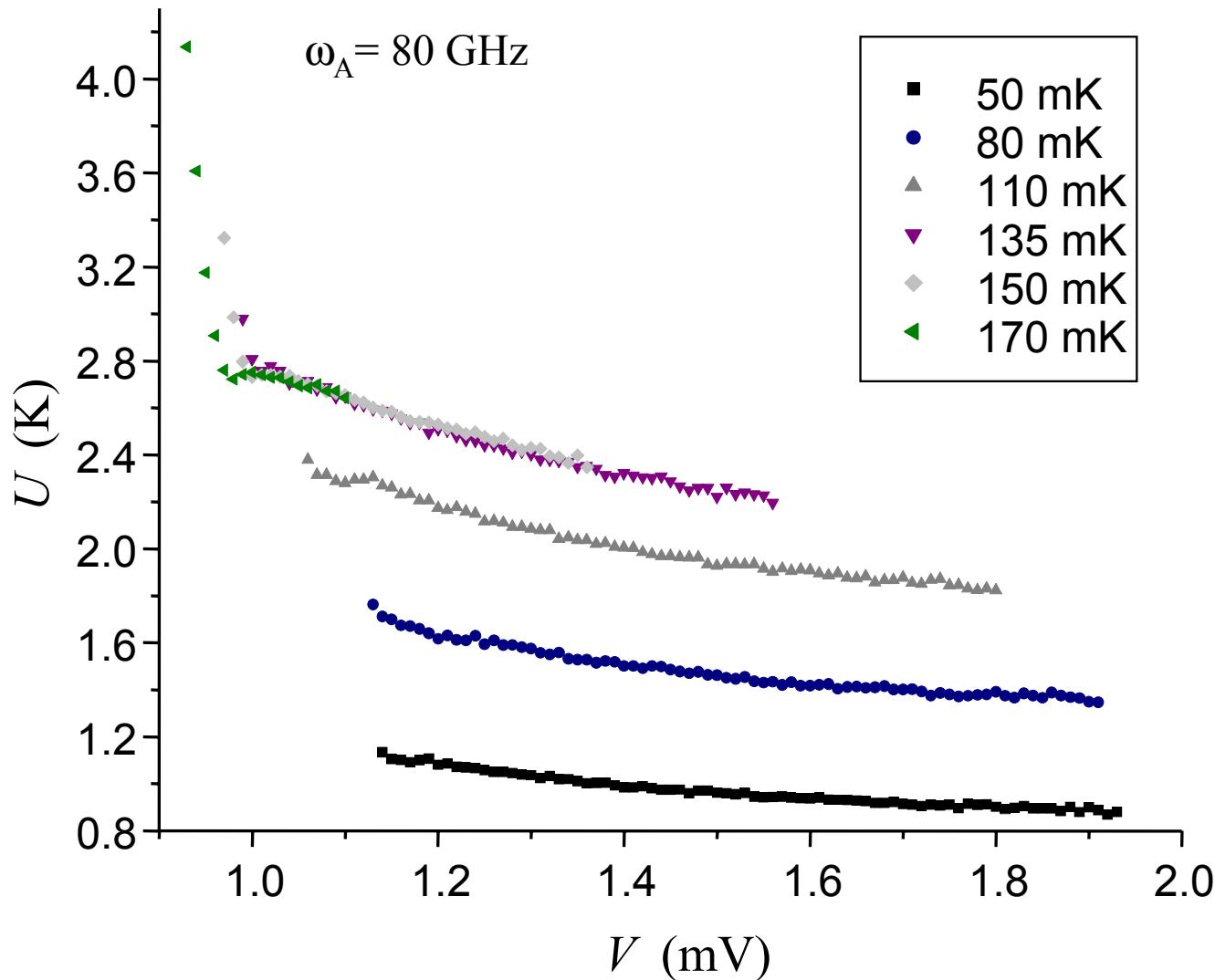
Temperature dependence



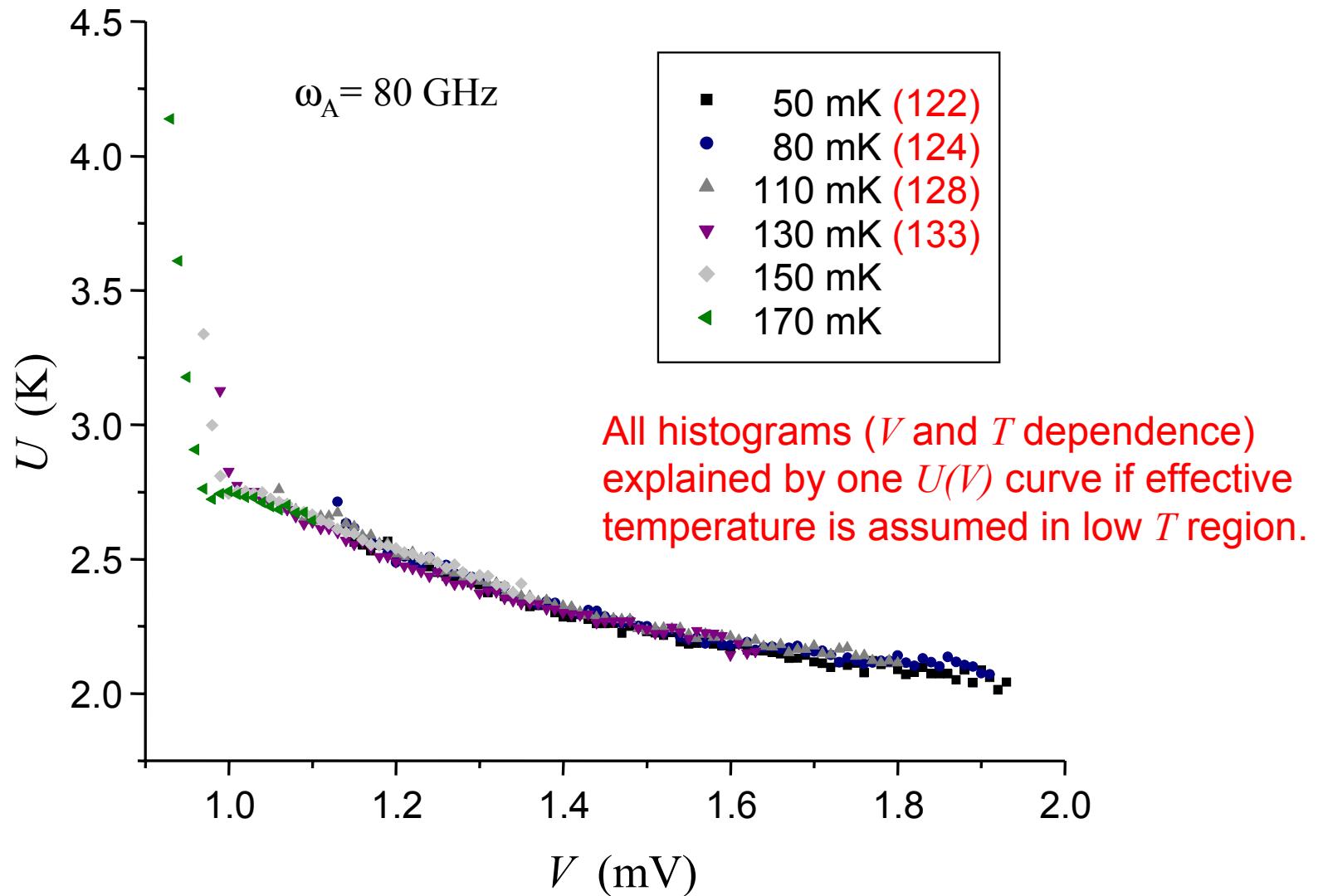
Kramers model fits at high temperature



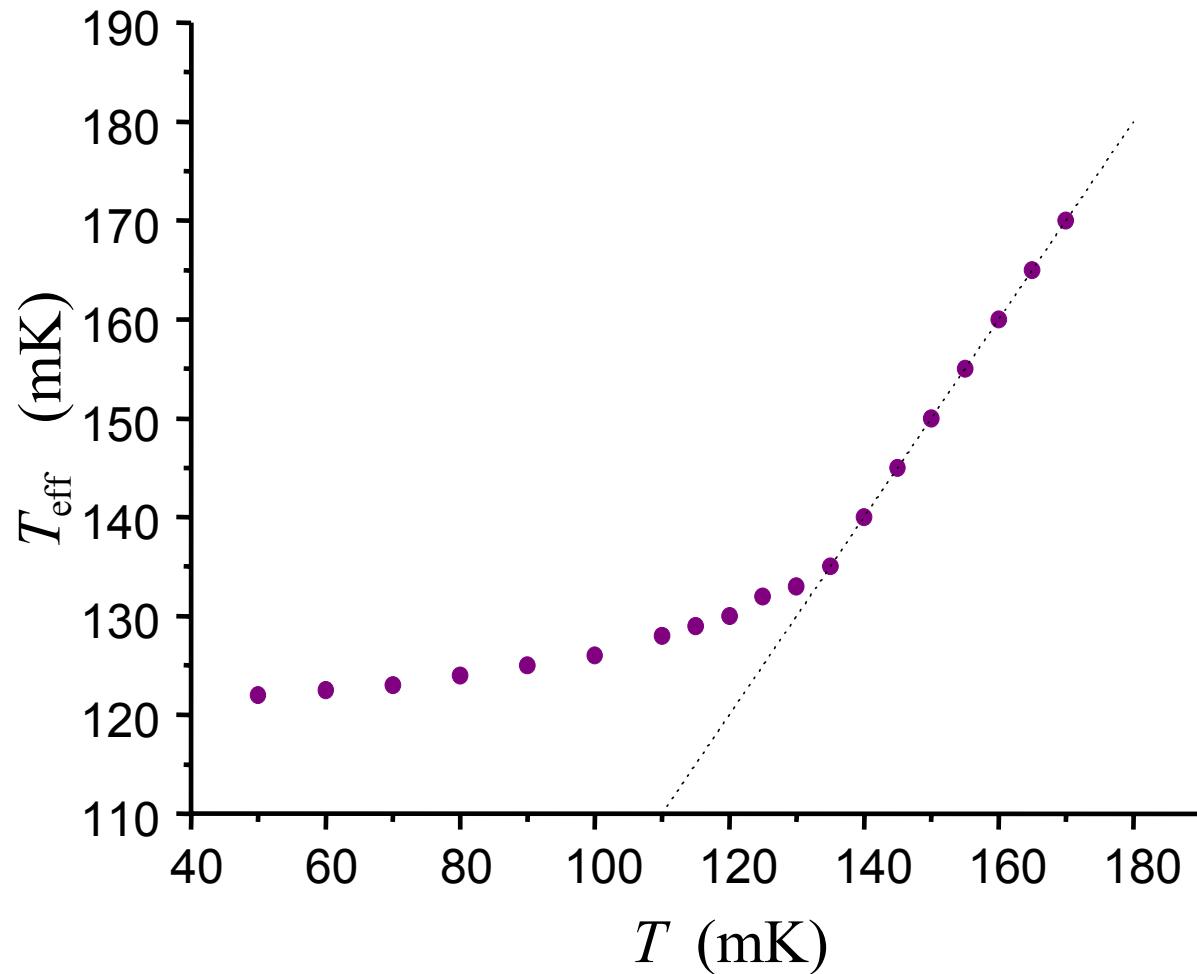
Energy Barrier vs. Bias voltage



Effective Temperature for Low T region.



MQT or External Noise?



MQT Theory:
Escape Temperature

$$T_{\text{esc}} = \frac{\hbar\omega_0/k_B T}{7.2(1+0.87/Q)}$$

Assume: $Q < 1$, $\kappa \sim 1$

$$T_{\text{esc}} \approx 85 \text{ mK}$$

MQT not unreasonable, but hard to rule out external noise source!



Summary

- ✓ Small Capacitance SQUID arrays provide a tunable electromagnetic environment with $Z_e \gg R_Q$
- ✓ Single JJ biased with Array:
 - Fluctuations due to non-infinite impedance of environment appear to explain "Bloch Nose".
 - Overdamped dynamics, or negligible mass (inductance).
- ✓ Voltage Biased Array:
 - Hysteretic IV
 - if dynamic effect → non-negligible inductance
 - Heating effect due to finite current
 - Fluctuations of Switching voltage
 - Kramers model explains data, $U(V)$
 - Effective temperature: MQT? External Noise?