

Eigenfunction correlation, random matrix theory and superconductivity near the Anderson transition

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Two-eigenfunction correlation function

$$C(E - E') = \frac{\sum_{n,m} V \int d^d r \langle |\Psi_n(r)|^2 |\Psi_m(r)|^2 \delta(E_n - E) \delta(E_m - E') \rangle}{\sum_{n,m} \langle \delta(E_n - E) \delta(E_m - E') \rangle}$$

Eigenfunction overlap at an energy separation $E-E'$

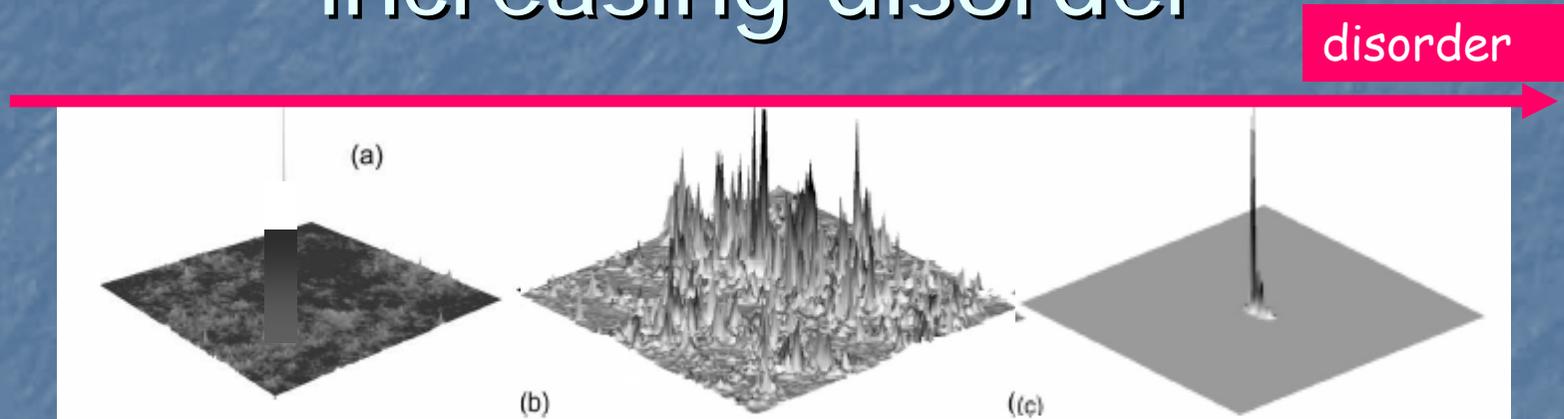
Why to bother?

*Matrix elements of
local interactions,*

*e.g. local attraction in
superconductivity*

$$J_{ij} = g \sum_{r, |\varepsilon_{n,m}| < \omega_0} \Psi_n^2(r) \Psi_m^2(r)$$

Modification of states with increasing disorder



Extended states

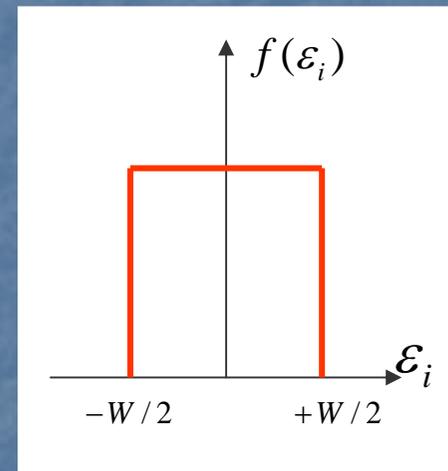
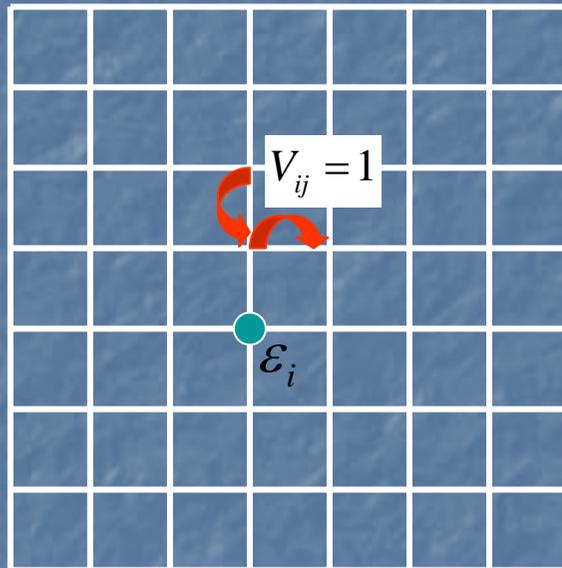
Critical states

Localized states

How do the matrix elements change ?

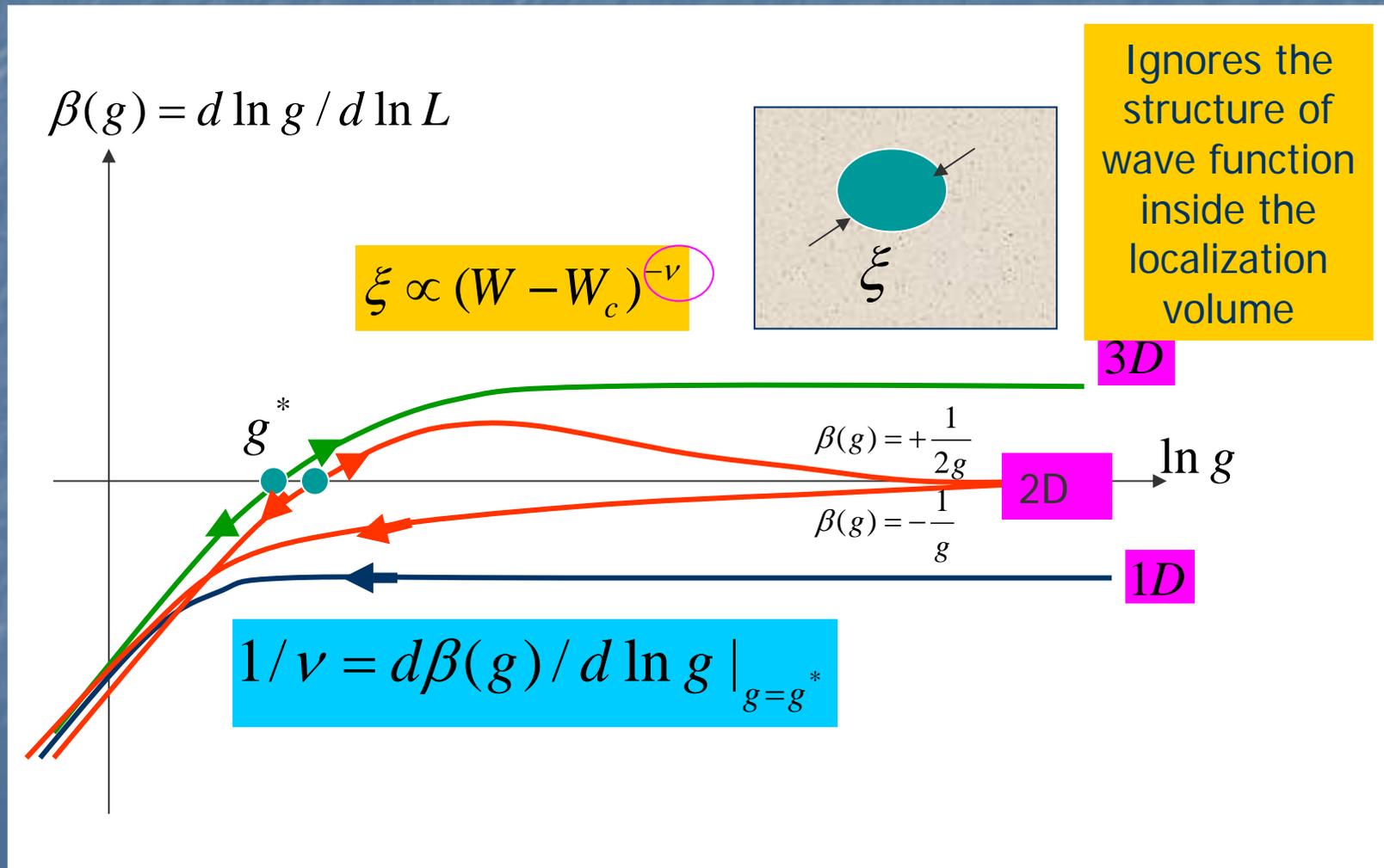
The (standard) Anderson model

$$H = \sum_i \varepsilon_i c_i^\dagger c_i + \sum_{ij} V_{ij} c_i^\dagger c_j + c.c.$$



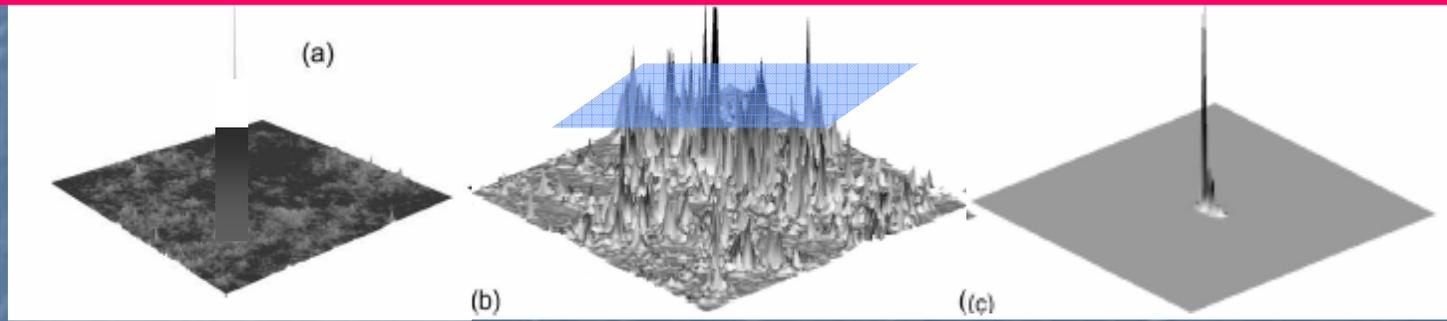
Shows localization for sufficiently strong disorder but difficult to treat both analytically and numerically

Scaling theory of localization: what it is about and what it ignores?



Extended, localized and critical eigenstates

disorder



Extended states

$$\sum_r |\Psi_i(\mathbf{r})|^4 = \frac{1}{L^d} = \frac{1}{N}$$



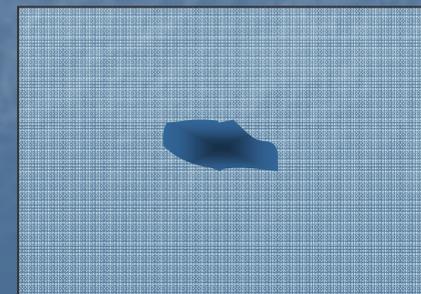
Critical multifractal states

$$\sum_r |\Psi_i(\mathbf{r})|^4 = \frac{1}{L^{d_2}} = \frac{1}{N^{d_2/d}}$$



Localized states

$$\sum_r |\Psi_i(\mathbf{r})|^4 = \frac{1}{\xi^d}$$



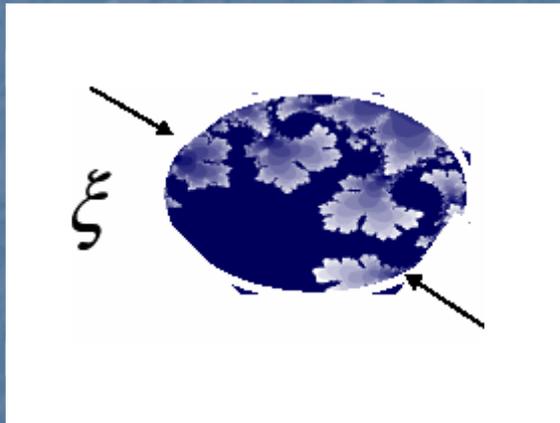
Why multi-fractal?

$$\sum_r |\Psi_i(r)|^{2q} = \frac{1}{L^{d_q(q-1)}}$$

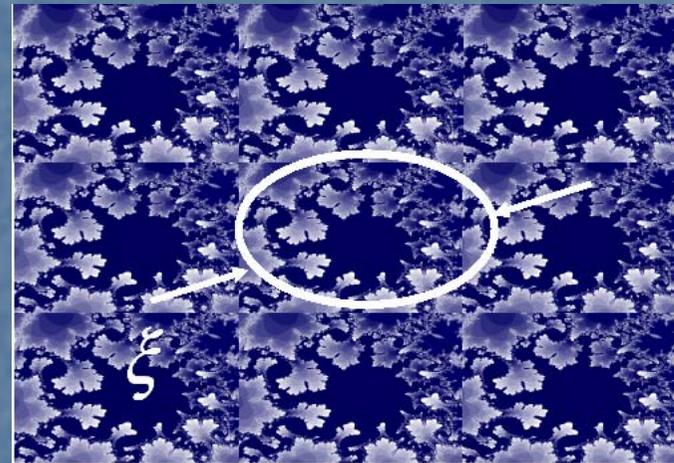
$$d_q = d - \alpha q$$

Multifractal metal and insulator

Localization/correlation length ξ is much larger than the minimal length scale for fractality l_0



Multifractal insulator



Multifractal metal

Fractal texture persists in the metal and insulator

How do we know that?

Critical features in the
eigenfunction correlation

Ideal metal and insulator

$$V \int d^d r \langle |\Psi_n(r)|^2 |\Psi_m(r)|^2 \rangle$$

Metal:

$$V \frac{1}{V} \frac{1}{V} = 1$$

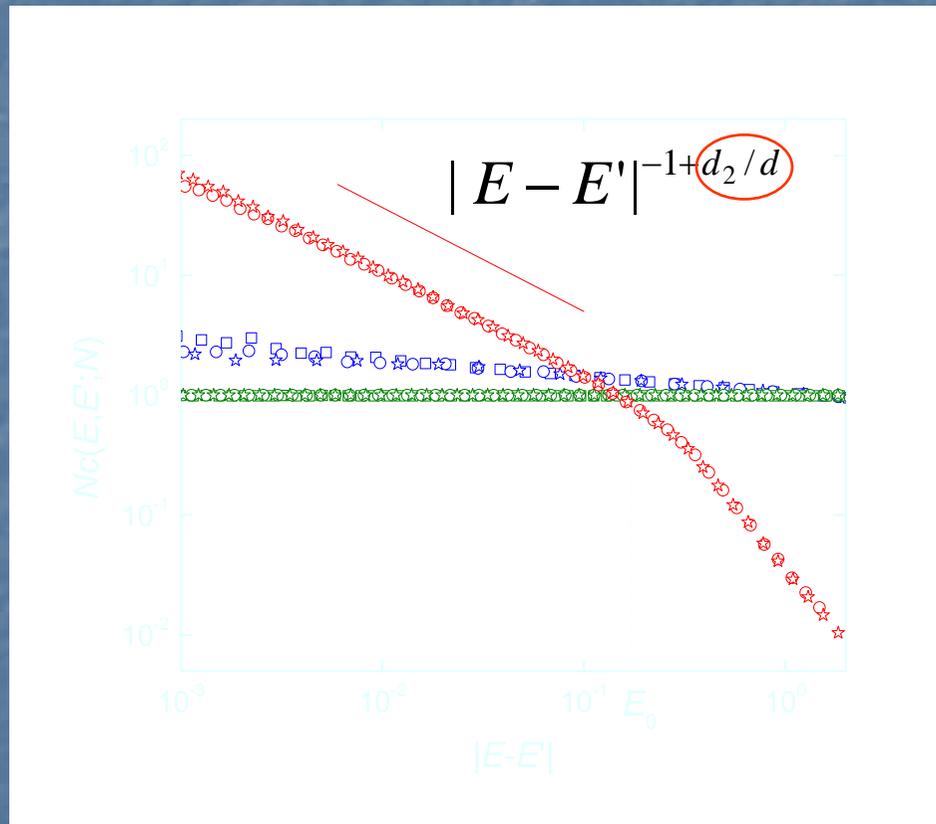
*Small amplitude
100% overlap*

Insulator:

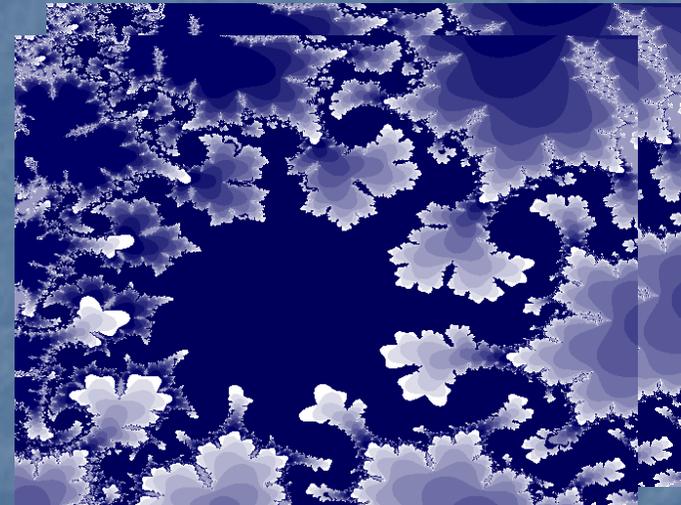
$$V \frac{\xi^d}{\xi^d} \frac{1}{\xi^d} \times \left(\frac{\xi^d}{V} \right) = 1$$

*Large amplitude
rare overlap*

Critical enhancement of correlations



Amplitude higher than in a metal but almost full overlap



States far away in energy are strongly correlated

Chalker's scaling: $|E - E'| < E_0$

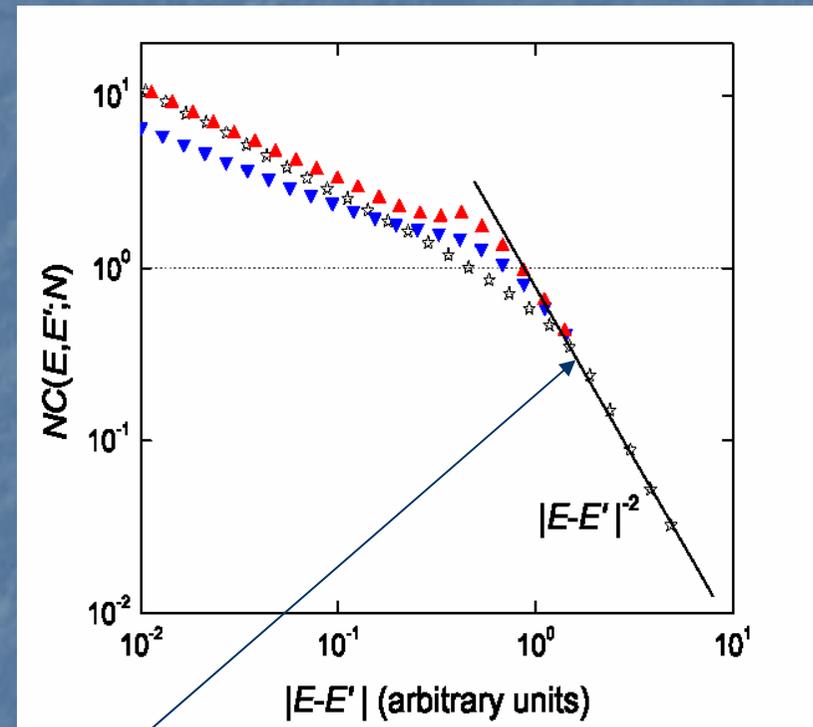
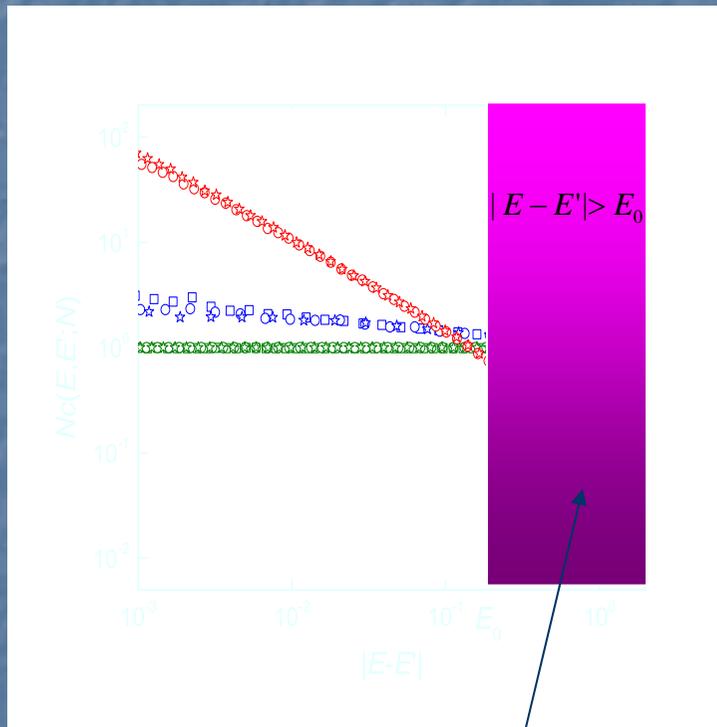
$$NC(E - E') = \left(\frac{E_0}{|E - E'|} \right)^\mu$$

$$\mu = 1 - d_2 / d$$

$$0 < \mu < 1$$

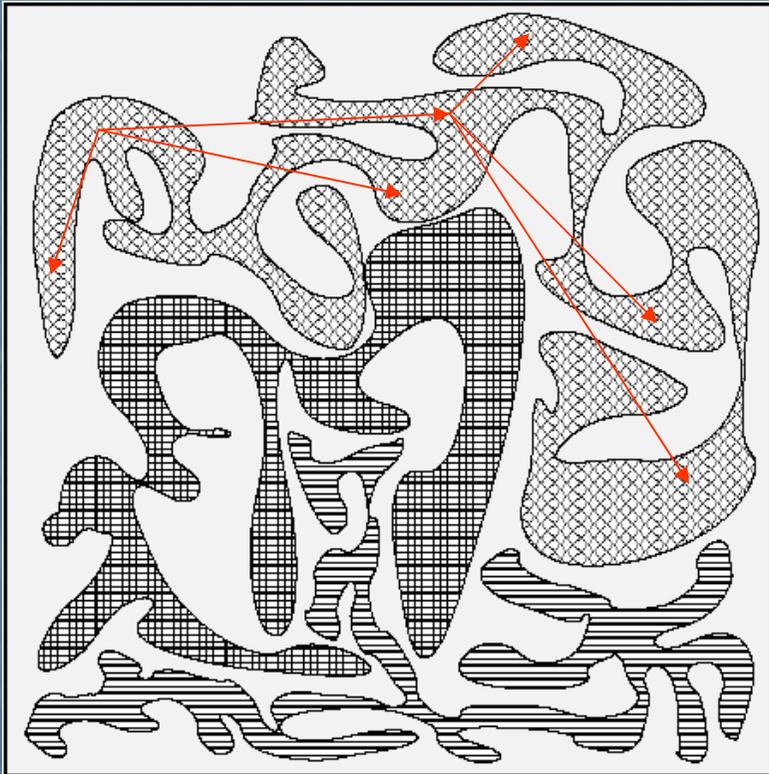
Mismatch in the fractal structure grows slowly with the distance in the energy space

Self-avoiding of eigenfunctions at $E > E_0$



Overlap is smaller than for uncorrelated eigenfunctions

Stratification of space



Each shell consists of resonance sites for which $|E-E'| < V$

For $W = (\delta E_n) > V$ there are more than one shell which avoid each other in space

Intra-shell states overlap almost like in metal: enhancement of $C(\omega)$ at $\omega < \text{bandwidth} = E_0$

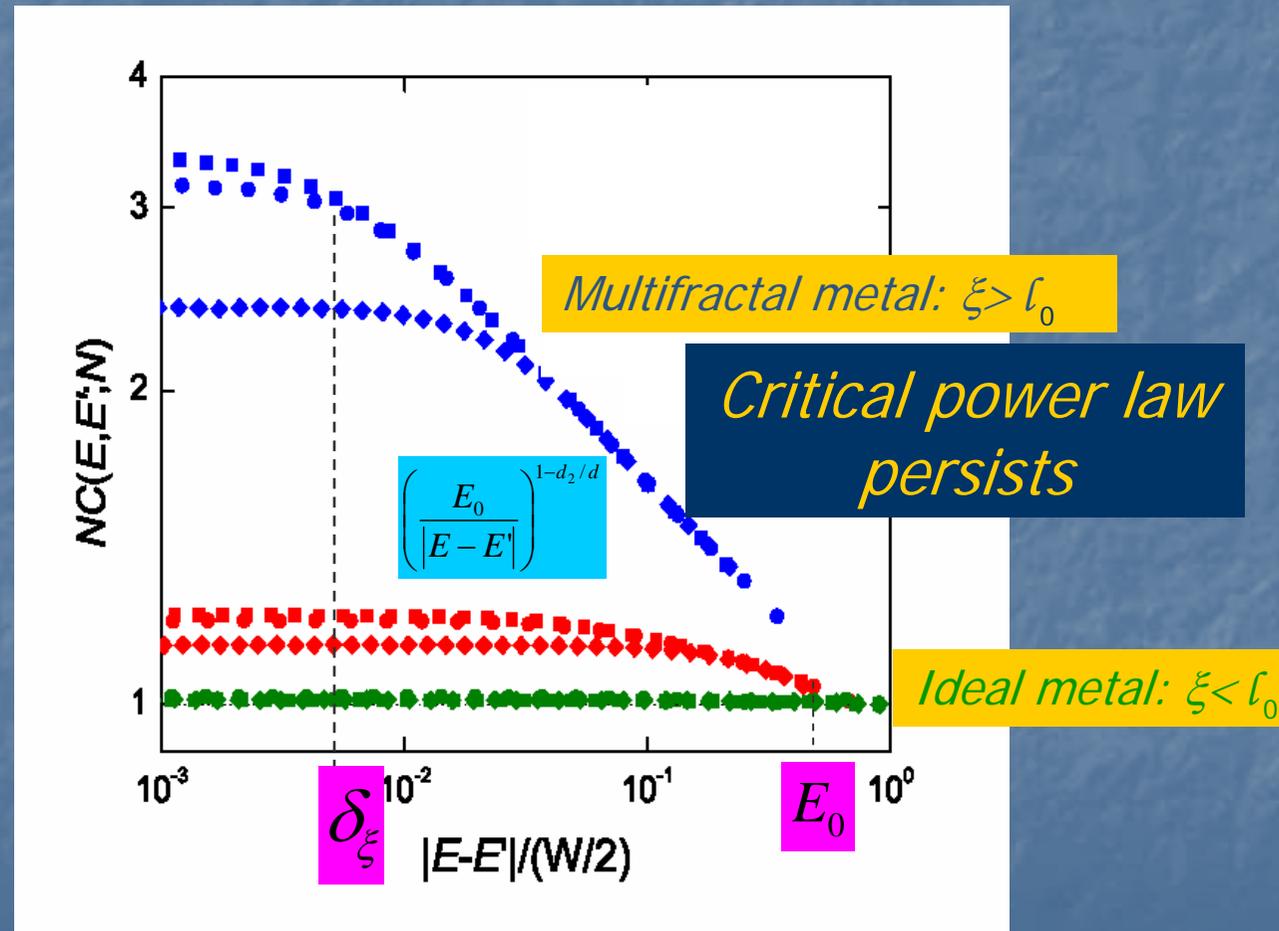
Inter-shell states avoid each other: $C(\omega)$ rapidly decreases for $\omega > E_0$.

From critical to off-
critical states

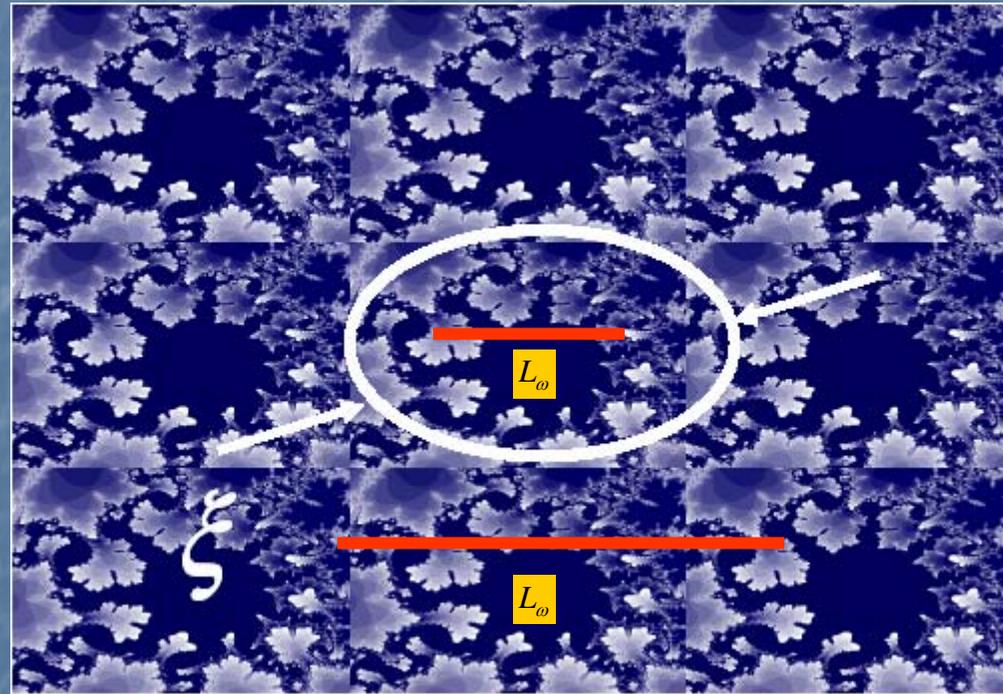
Two-eigenfunction correlation in 3D Anderson model (metal)

*New length scale ℓ_0 ,
new energy scale
 $E_0 = 1/\rho \ell_0^3$*

$$\xi_\xi = \left| \frac{W_c}{W_c - W} \right|^\nu$$



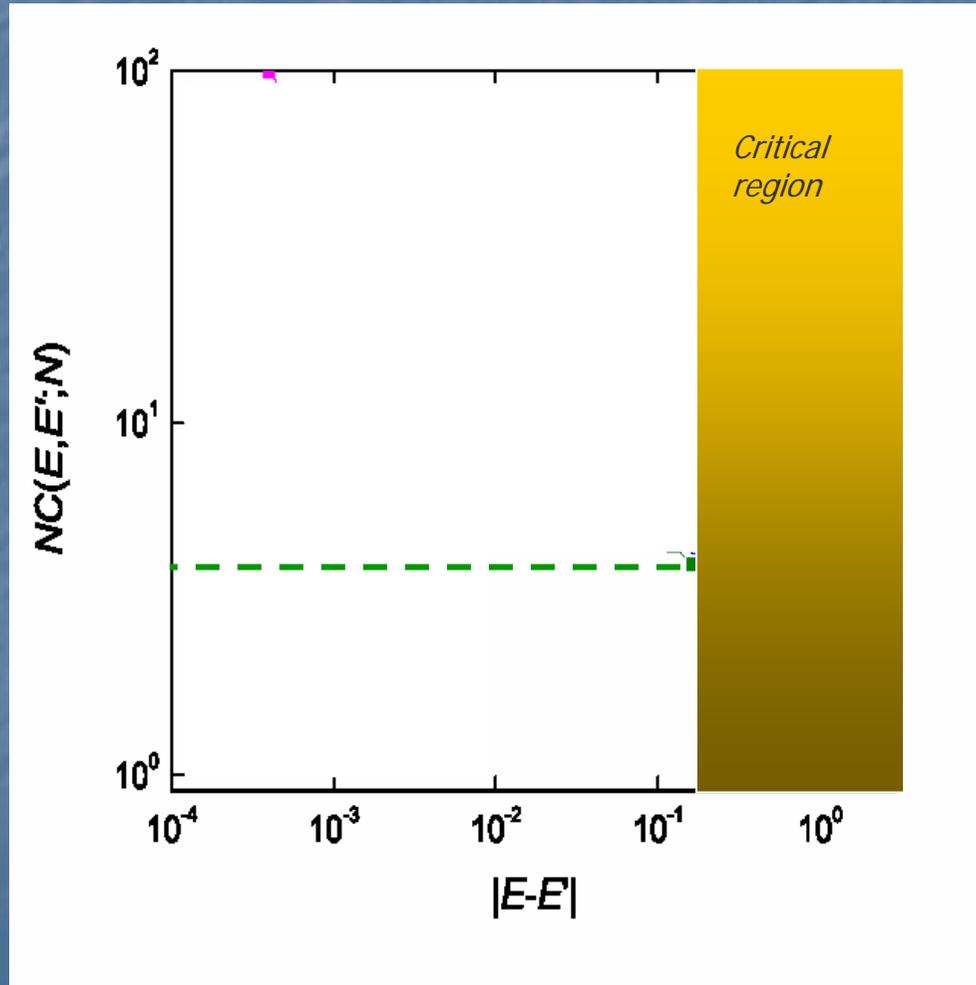
Dynamical length scale



$$L_\omega = \left(\frac{1}{\rho\omega} \right)^{\frac{1}{d}}$$

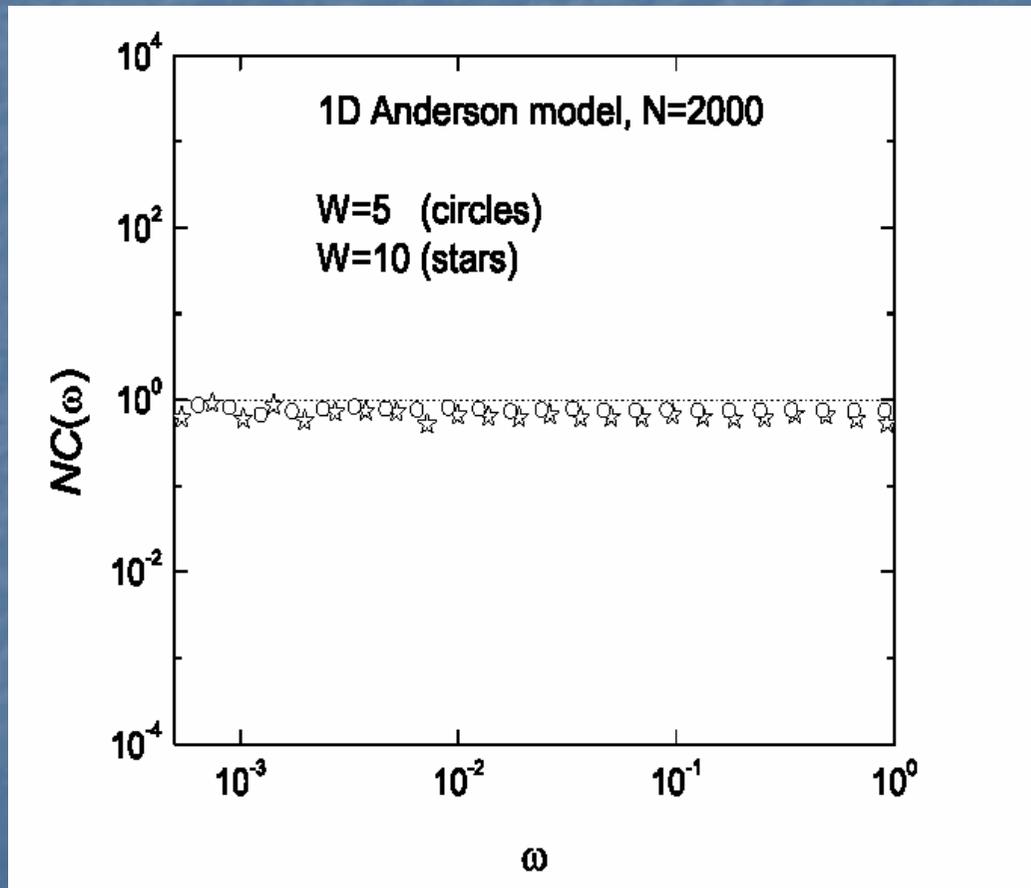
Dynamical length

Two-eigenfunction correlation in 3D Anderson model (insulator)



No ideal insulator even for very strong disorder!

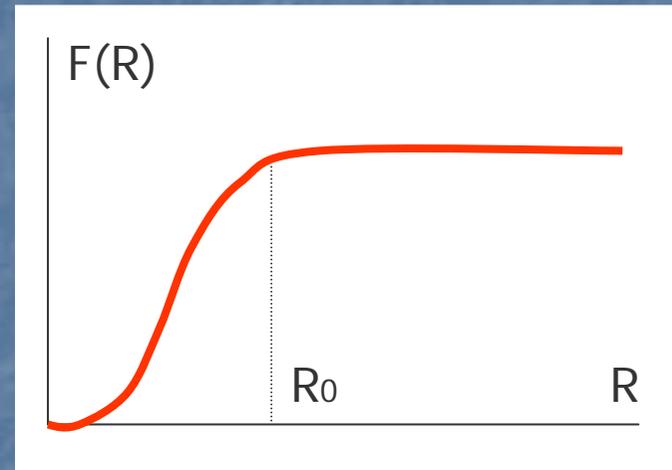
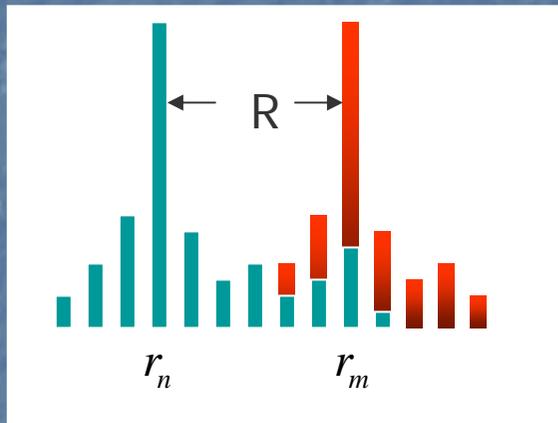
Two-eigenfunction correlation in 1D Anderson model (insulator)



*Ideal
insulator for
sufficiently
strong
disorder*

1D localization is
qualitatively different
from 3D localization

Repulsion of centers of localization

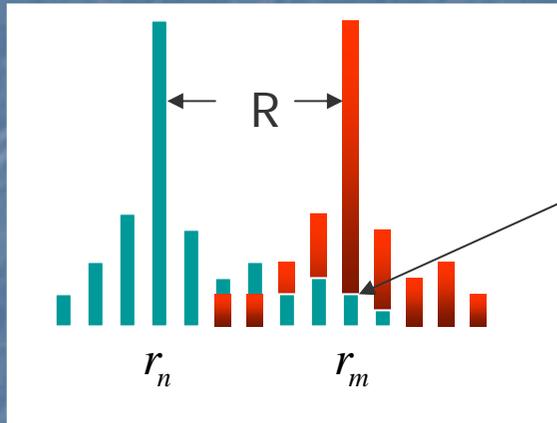


*Resonance repulsion
of centers of
localization*

$$R_0 = 2\xi \ln\left(\frac{\delta_\xi}{\omega}\right)$$

$$\omega = |E - E'| \ll \delta_\xi$$

Resonance enhancement of overlap



$$|\Psi_n(r_m)|^2 \approx \frac{|H_{nm}|^2}{(E_n - E_m)^2} \sim \left(\text{red bar} \right) \exp\left[-\frac{R}{\xi}\right]$$

Enhancement of overlap at $\delta_\xi \gg \omega$

$$NC(\omega) \sim \left(\frac{\delta_\xi}{\omega}\right)^2 \int_{R_0}^{\infty} dR R^{d-1} \exp\left[-\frac{R}{\xi}\right]$$

$$R_0 = 2\xi \ln\left(\frac{\delta_\xi}{\omega}\right) \gg \xi$$

$$NC(\omega) \propto \ln^{d-1}\left(\frac{\delta_\xi}{\omega}\right)$$

An effect similar to Mott's law in the frequency-dependent conductivity

$$\sigma(\omega) \sim \int_{R_0}^{\infty} dR \ R^{d-1} R^2 \exp\left[-\frac{R}{\xi}\right] \propto \omega^2 \ln^{d+1}\left(\frac{\delta_{\xi}}{|\omega|}\right)$$

At $d=1$ repulsion of centers of localization and the resonance enhancement of overlap compensate each other

$$NC(\omega) = 1$$

At $d>1$ resonance enhancement prevails

$$NC(\omega) \propto \ln^{d-1} \left(\frac{\delta_{\xi}}{\omega} \right) \gg 1$$

Averaged matrix elements of interaction are enhanced

Summary

- Multifractality of critical eigenfunctions
- Persistence of multifractal texture in a metal and in an insulator phase
- Critical power law and Chalker's scaling
- Critical enhancement of eigenfunction correlations at small energy separations
- Eigenfunction mutual avoiding at large energy separations
- Stratification of coordinate space
- Logarithmic enhancement of correlations in 2D and 3D insulators

Random matrix theories

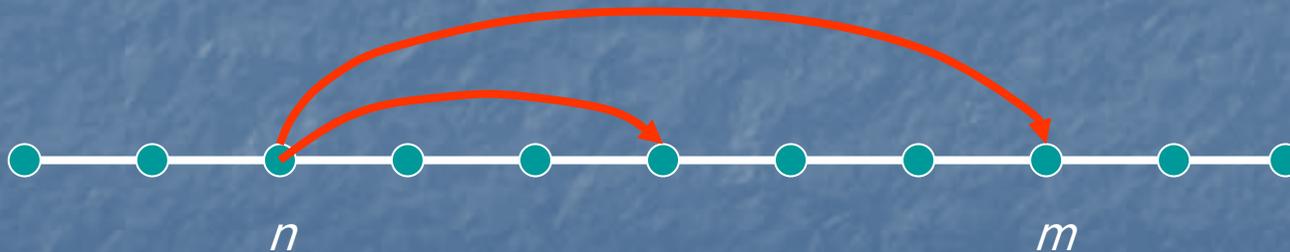
Ideal extended states: classic Wigner-Dyson RMT

$$H_{nm} = H_{mn}^+$$

$$\langle H_{nm} \rangle = 0$$

$$\langle |H_{nm}|^2 \rangle = 1$$

*Independently
fluctuating
Gaussian
random
entries*



Ideal localized states: random diagonal matrix

$$H_{nm} = \begin{pmatrix} \varepsilon_1 & & & & & \\ & \varepsilon_2 & & & & \\ & & \dots & & & \\ & & & \dots & & \\ & & & & \dots & \\ & & & & & \varepsilon_{N-1} \\ & & & & & & \varepsilon_N \end{pmatrix}$$

$$\Psi_n(r) = \delta_{r,n}$$

Random matrix ensembles with multifractal eigenstates: critical statistics

$$\langle |H_{nm}|^2 \rangle = \frac{1}{1 + \left(\frac{n-m}{b} \right)^2}$$

criticality

Controls fractal dimensions

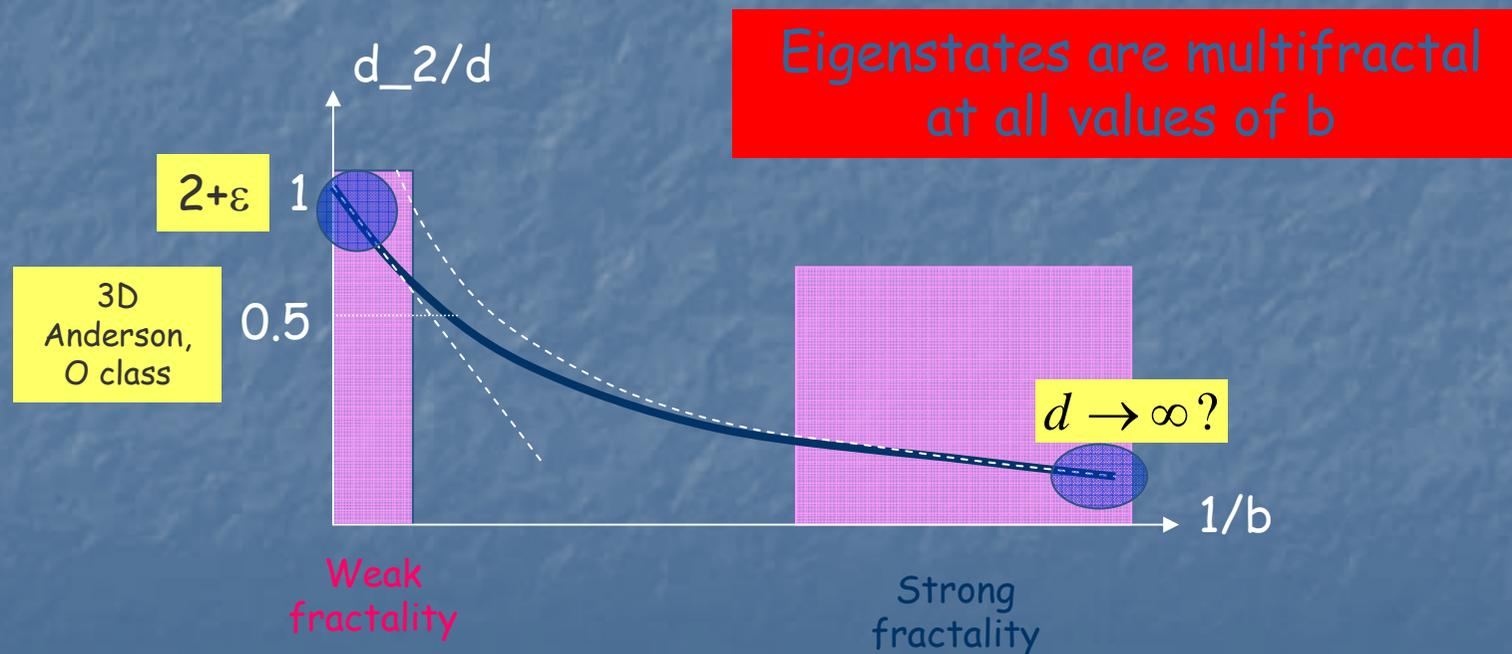
$$b \rightarrow \infty$$

Wigner-Dyson RMT

$$b \rightarrow 0$$

Diagonal RM

Anderson transition and multifractality at higher dimensions



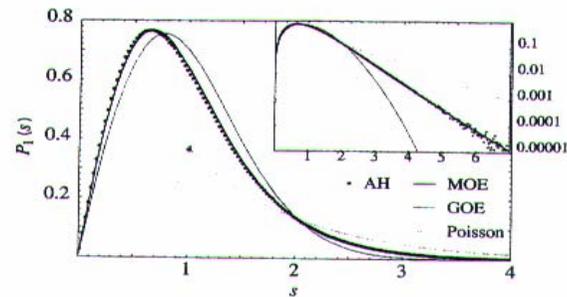
Signature of multifractality

$$P_q = \sum_r \left\langle |\Psi_n(r)|^{2q} \right\rangle \propto \frac{1}{N^{d_q(q-1)}}$$

$$C(\omega) = \sum_r \left\langle |\Psi_n(r)|^q |\Psi_m(r)|^q \delta(E_n - E_m + \omega) \right\rangle \propto \left(\frac{1}{\omega} \right)^{\mu_q}$$

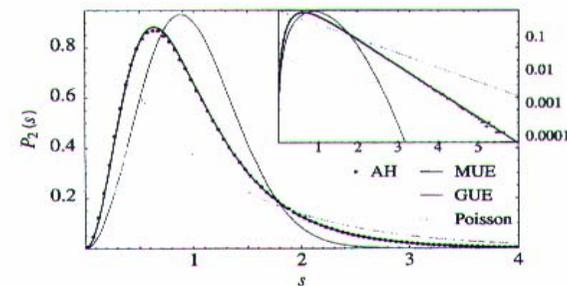
$$\mu_q = 1 - d_q(q-1) / d$$

Spectral statistics



Potential disorder

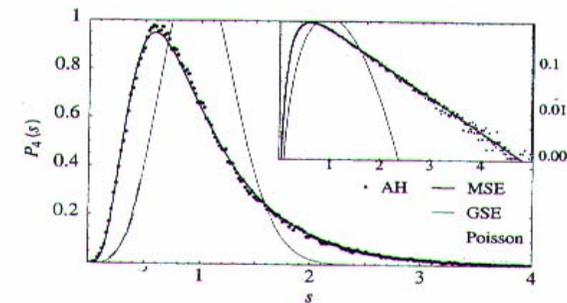
$$b=0.38$$



Potential disorder

+ magnetic field

$$b=0.16$$

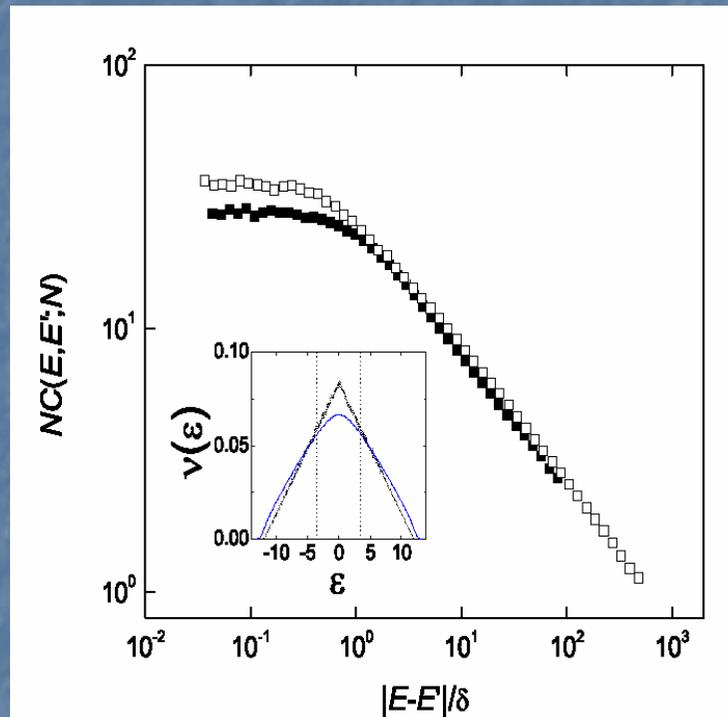


Potential disorder

+ spin-orbit inter.

$$b=0.07$$

Critical Eigenfunction correlation: 3D Anderson model vs. RMT



*Mobility edge: $b=0.42$,
potential disorder*

Random-matrix theory for 3D multifractal insulator

$$\langle |H_{nm}|^2 \rangle = \frac{1}{\left(1 + \frac{|n-m|^2}{b^2}\right)} \exp\left[-\left(\frac{|n-m|}{B}\right)^{1/3}\right]$$

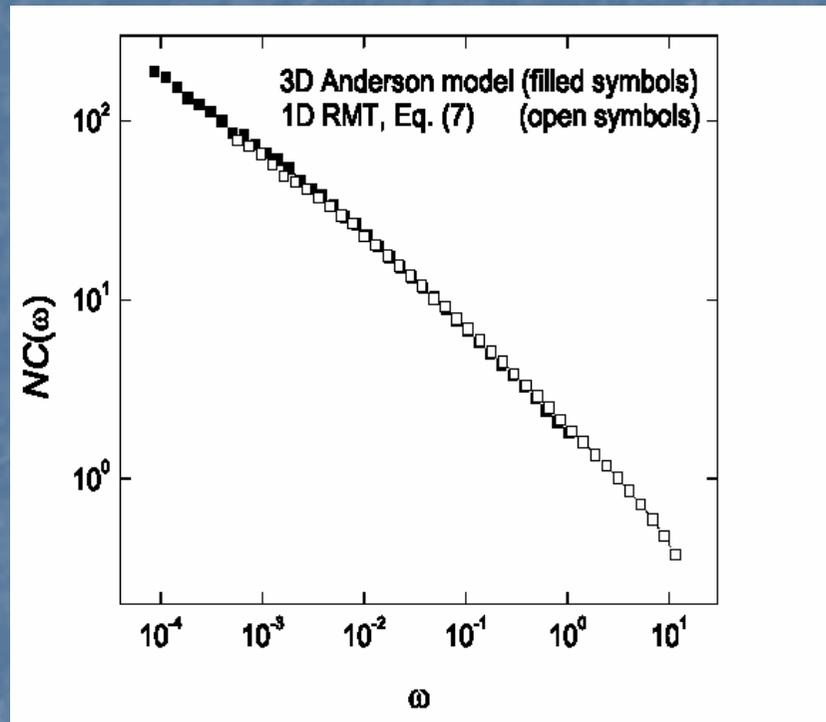
b controls fractality

B controls localization radius

criticality

dimensionality of space

Multifractal insulator: RMT vs. 3D Anderson model



$$B=5, b=0.42$$

Possible RMT for a multifractal metal

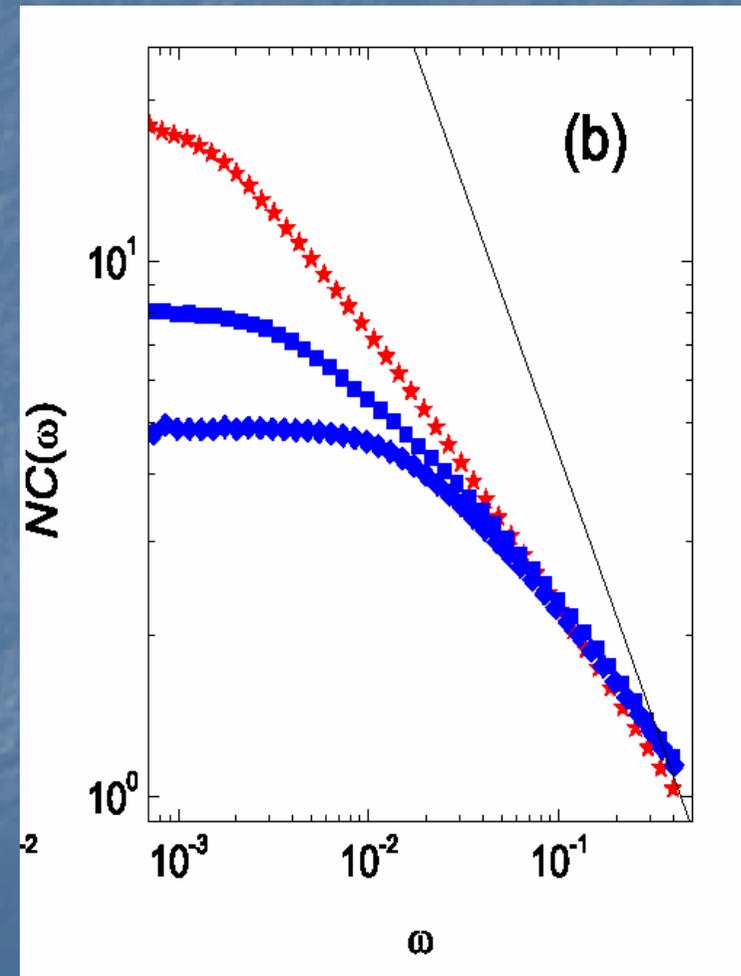
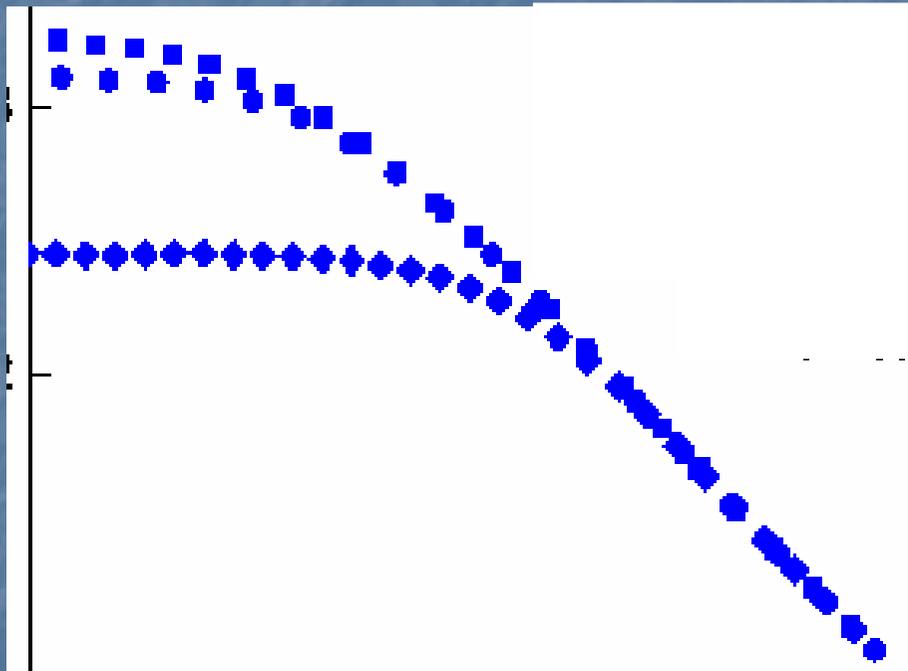
$$\left\langle \left| H_{nm} \right|^2 \right\rangle = \frac{1}{1 + \left(\frac{n-m}{b} \right)^{2\alpha}}$$

$$\alpha < 1$$

$$\xi \propto \exp \left[\frac{1}{1-\alpha} \right]$$

*α marks departure
from criticality*

Multifractal metal: 3D Anderson vs RMT



Conclusion

- Random matrix models for ideal extended and localized states
- Critical random matrix model with multifractal eigenstates
- Random matrix models for a multifractal metal and an insulator: good description of the off-critical states

Those RMT are generators
of non-trivial single-
particle eigenstates to be
used as a basis to treat
electron interaction.

Cooper instability near the Anderson transition

Anderson vs Anderson

Anderson theorem: T_c does not depend on concentration of nonmagnetic impurities

$$\Delta(r) = \int dr' \Delta(r') K(r, r'; T)$$

$$K(r, r'; T) = \lambda \sum_{ij} \eta_{ij}(T)$$

$$\eta_{ij}(T) = \frac{\tanh(E_i/2T) + \tanh(E_j/2T)}{E_i + E_j}$$

If $\Delta(r)$ does not depend on r and $\Psi(r)$ is real then the properties of eigenfunctions does not enter due to the normalization condition

$$\int dr \Psi_n(r) \Psi_m^*(r) = \delta_{nm}$$

**For strong disorder
ANDERSON THEOREM FAILS**

What to do when $\Delta(r)$ significantly depends on r ?

At $T=T_c$ the operator K acquires the eigenvalue 1

$$\text{Tr} \frac{1}{1-K} = \text{Tr}(1 + K + K^2 + \dots + K^n + \dots) = \infty$$

$$\text{Tr} K^{n+1} = \text{Tr} K^n, \text{ as } n \rightarrow \infty$$

$$\text{Tr} K^i \text{Tr} K^j = \sum_{ij} \eta_{ij} \eta_{kl} \sum_{r,r_1} \int dr dr_1 \langle \Psi_i(r) \Psi_j(r) \Psi_j^*(r_1) \Psi_i^*(r_1) \Psi_k(r_1) \Psi_l(r_1) \Psi_l^*(r) \Psi_k^*(r) \rangle$$

Neglect off-diagonal terms with i,j,k,l all different

Retain only diagonal elements $\langle \Psi_i^2(r) \Psi_j^2(r) \rangle$ and the terms with maximal number of summations

The new MF equation:

$$\Delta_i = \lambda \sum_j \eta_j M_{ij} \Delta_j$$

$$M_{ij} = \left\langle \sum_r \Psi_i^2(r) \Psi_j^2(r) \right\rangle \propto \frac{(\omega / E_0)^{d_2/d-1}}{N}$$

$$\eta_i = \frac{\tanh(E_i / 2T)}{E_i}$$

$$\Rightarrow \Delta(\varepsilon) = \lambda \int \frac{\tanh(\varepsilon' / 2T)}{\varepsilon'} \tilde{K}(\varepsilon - \varepsilon') \Delta(\varepsilon') d\varepsilon'$$

$$\tilde{K}(\omega) = NC(\omega) = \left(\frac{E_0}{\omega} \right)^{1-d_2/d}$$

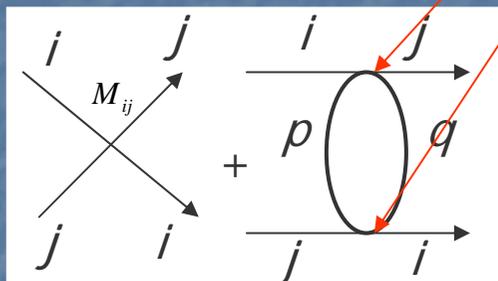
How good is the approximation?

Neglected:

$$M_{ijkl}^2 = \left\langle \left(\sum_r \Psi_i(r) \Psi_j(r) \Psi_k(r) \Psi_l(r) \right)^2 \right\rangle \propto \frac{(\omega/E_0)^{3d_4/d-1}}{N^3}$$

The true small parameter:

$$\frac{\lambda M_{ijkl}^2 N^2}{\omega M_{ij}} = \left(\frac{\omega}{E_0} \right)^{\frac{3d_4 - 2d_2}{d}}$$



$$\sum_r |\Psi_n(r)|^8 = \frac{1}{N^{3d_4}} < \left(\sum_r |\Psi_n(r)|^4 \right)^2 = \frac{1}{N^{2d_2}}$$

$$3d_4 - 2d_2 > 0$$

3D Anderson:

$$3d_4 - 2d_2 = 0.5$$

Solution to the MF equation

$$\Rightarrow \Delta(\varepsilon) = \lambda \int \frac{\tanh(\varepsilon' / 2T)}{\varepsilon'} \left(\frac{E_0}{|\varepsilon - \varepsilon'|} \right)^{1-d_2/d} \Delta(\varepsilon') d\varepsilon'$$

$$\Delta(\varepsilon) = f(\varepsilon / 2T)$$

$$\varepsilon, \varepsilon' \rightarrow 2Tx, 2Ty$$

λ will enter in the combination $\tilde{\lambda} = \lambda \left(\frac{E_0}{2T} \right)^{1-d_2/d}$

First non-trivial solution
corresponds to $\lambda_c \sim 1$

$$T_c = cE_0 \lambda^{1/\mu}, \quad \mu = 1 - d_2/d.$$

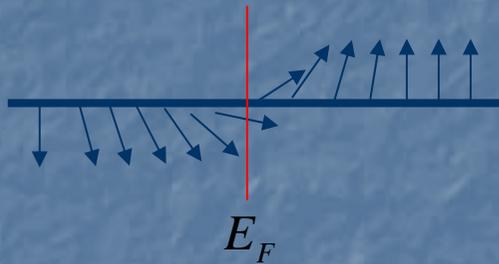
How robust in the MF
result?

Other approaches

Anderson spin representation of superconducting Hamiltonian

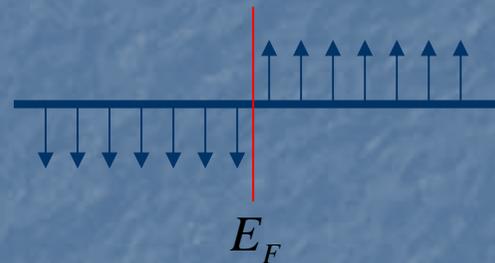
$$H_{eff} = -2 \sum_i \varepsilon_i S_i^z - \sum_{i \neq j} M_{ij} (S_i^x S_j^x + S_i^y S_j^y)$$

Off-diagonal matrix elements $M_{ijkl} = \sum_r \langle \Psi_i(r) \Psi_j(r) \Psi_k(r) \Psi_l(r) \rangle$ are neglected



Superconducting phase

$$\langle S_i^{x,y} \rangle \neq 0$$



Normal phase

$$\langle S_i^{x,y} \rangle = 0$$

Cooper susceptibility

$$\chi(T) = \left\langle \frac{\partial S_i^+}{\partial h} \right\rangle_{h \rightarrow 0}$$

$$\delta H = \sum_{i, |\varepsilon_i| < \omega_0} h S_i^- + \bar{h} S_i^+$$

$$\chi(T) = \chi_1(T) + \chi_2(T) + \chi_3(T) + \dots$$

$$\chi_1(T) = \frac{1}{N} \sum_i^{|\varepsilon_i| < \omega_0} \frac{\tanh\left(\frac{\varepsilon_i}{T}\right)}{2\varepsilon_i}$$

$$\chi_2(T) = \frac{1}{4N} \sum_{i \neq j}^{|\varepsilon_{i,j}| < \omega_0} \tanh\left(\frac{\tilde{\varepsilon}_i}{T}\right) \tanh\left(\frac{\tilde{\varepsilon}_j}{T}\right) \frac{\tilde{\varepsilon}_j}{\tilde{\varepsilon}_i \tilde{\varepsilon}_j}$$

$$\tilde{\varepsilon}_{i,j} = \frac{\varepsilon_i + \varepsilon_j}{2} \pm \sqrt{\frac{(\varepsilon_i - \varepsilon_j)^2}{4} + \dots}$$

Superconducting transition temperature

$$\chi(T_c) = \sum_n \chi_n(T_c) = \infty$$

Exact!



$$\lim_{n \rightarrow \infty} \frac{\chi_{n+1}(T_c)}{\chi_n(T_c)} = 1$$

Replaced by:

$$\chi_1(T_c^{(0)}) = \chi_2(T_c^{(0)})$$

OR

$$\chi_2(T_c^{(1)}) = \chi_3(T_c^{(1)})$$



Operational definitions of T_c for
numerical simulations

MFA vs virial expansion

$$\text{Virial: } T_c^0(\lambda, \gamma) = 2.1\lambda^{1.79 \pm 0.05}$$

$$\text{MFA: } T_c^0(\lambda, \gamma) = 2.46\lambda^{1.78}$$

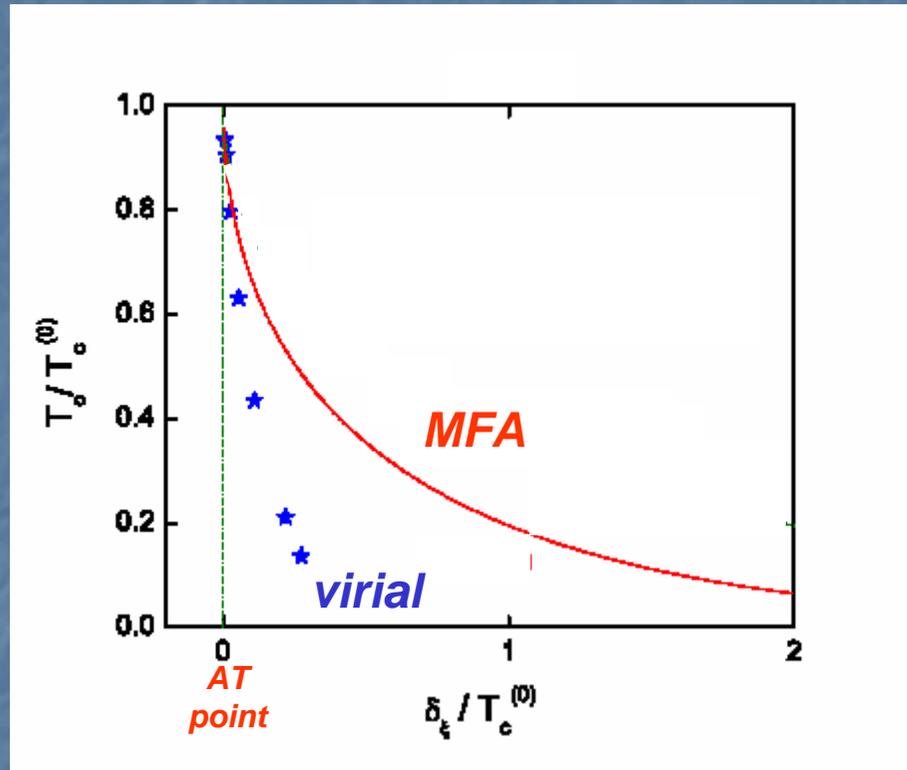
$$\frac{1}{1 - d_2/d} = 1.78$$

MFA: neglecting thermal fluctuations and non-local spacial fluctuations

Virial expansion: neglecting higher-order terms of virial expansion

Good agreement of results of different approximations

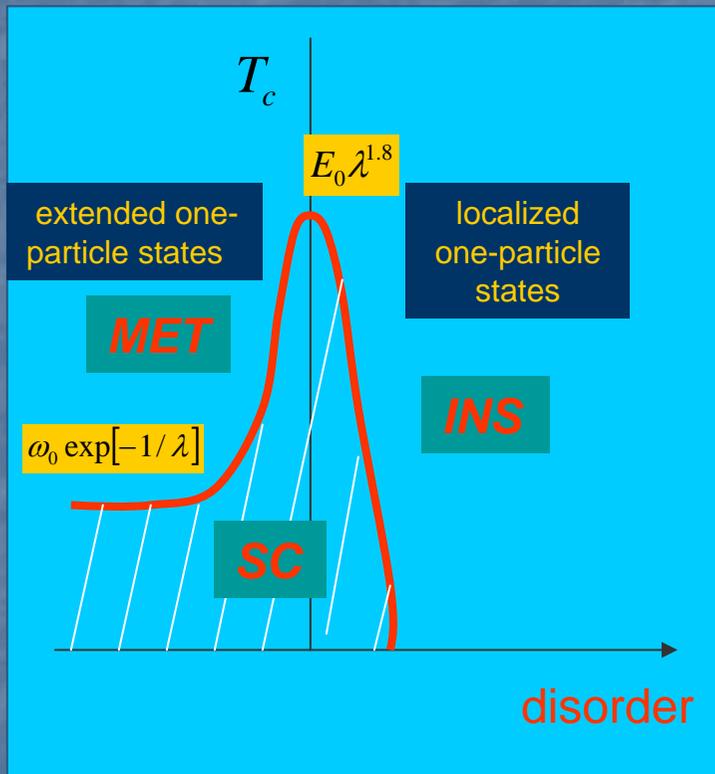
MF vs Virial in the insulator



$$\delta_\xi = \frac{1}{\rho \xi^d}$$

Virial expansion is probably closer to T_c of global phase coherence

Enhancement of T_c near the Anderson transition

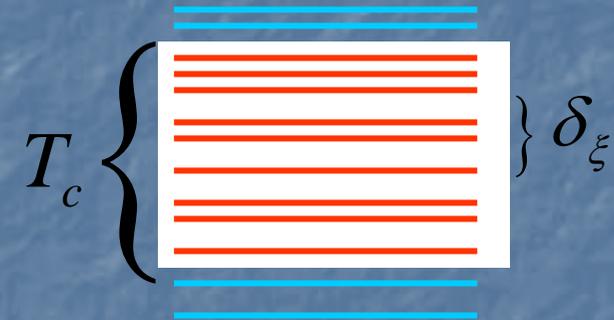
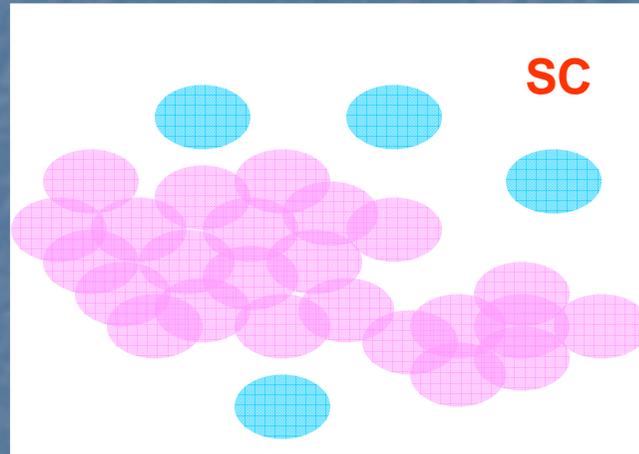


No Coulomb interaction

*Possibly realizable
in cold atoms in
imperfect optical
traps*

Superconductor-Insulator transition: percolation without granulation

$$T_c(\text{crit}) > \delta_\xi$$



Only states in the strip $\sim T_c$ near the Fermi level take part in superconductivity

$$T_c(\text{crit}) < \delta_\xi$$

