

# Optomechanics - light and motion in the nanoworld

**Florian Marquardt**

[just moved from: Ludwig-Maximilians-Universität München]

**University of Erlangen-Nuremberg and  
Max-Planck Institute for the Physics of Light (Erlangen)**

**DIP** project “Dynamics of  
Electrons and Collective  
Modes in Nanostructures”

SFB | TR12



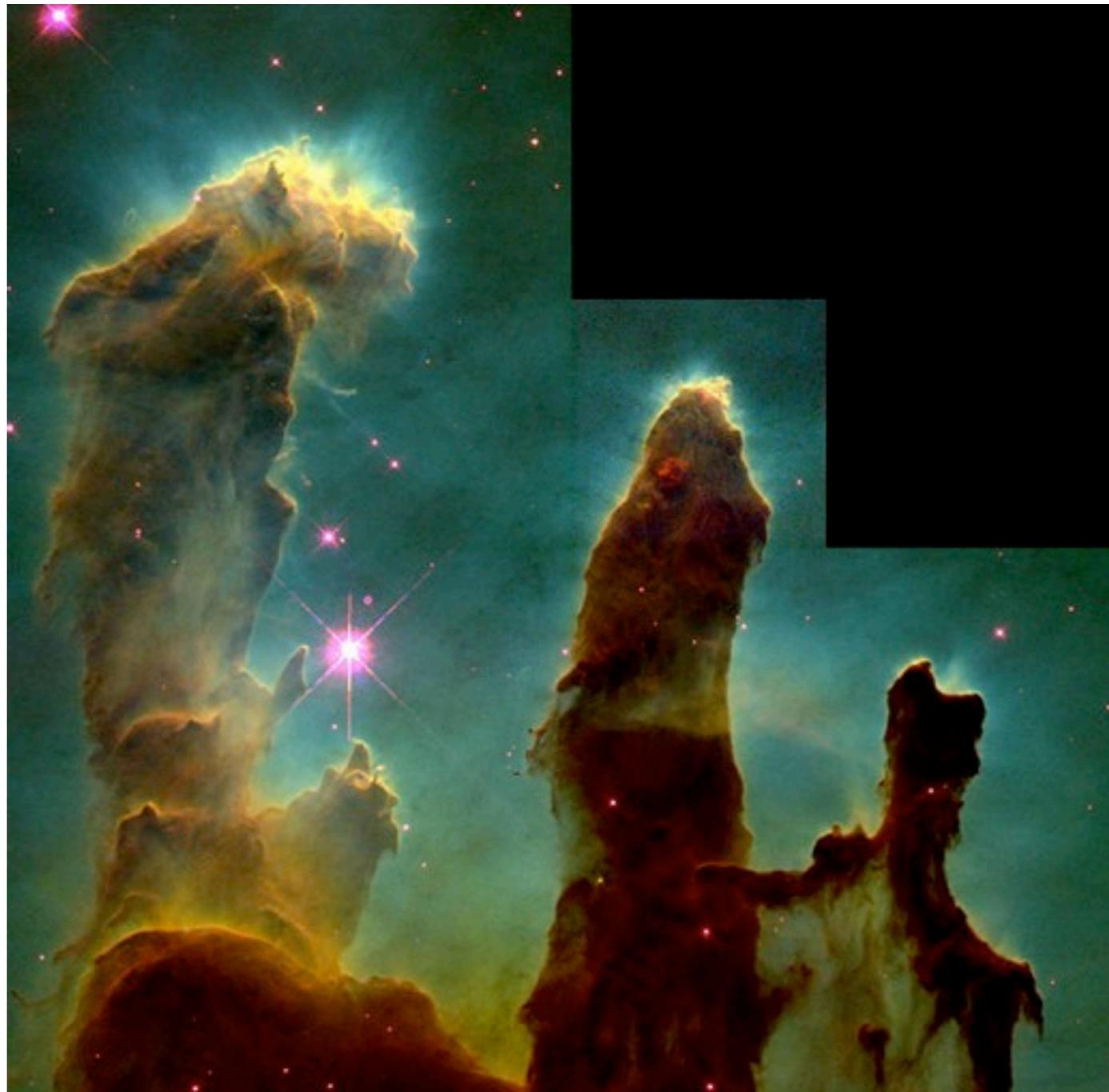
# Radiation pressure



**Johannes Kepler**  
De Cometis, 1619

(Comet Hale-Bopp; by Robert Allevo)

# Radiation pressure



**Johannes Kepler**  
De Cometis, 1619

# Radiation pressure

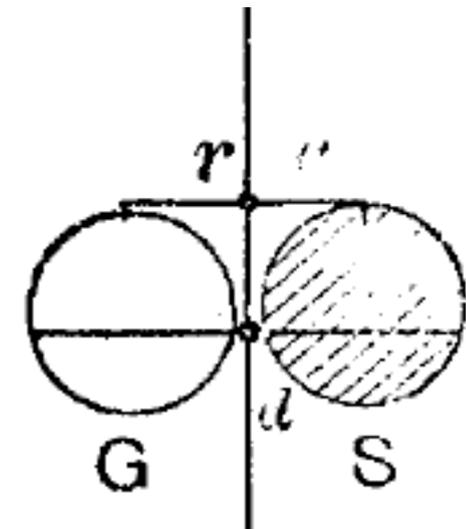
**Nichols and Hull, 1901**

**Lebedev, 1901**

A PRELIMINARY COMMUNICATION ON THE  
PRESSURE OF HEAT AND LIGHT  
RADIATION.

BY E. F. NICHOLS AND G. F. HULL.

**M**AXWELL,<sup>1</sup> dealing mathematically with the stresses in an electro-magnetic field, reached the conclusion that "in a medium in which waves are propagated there is a pressure normal to the waves and numerically equal to the energy in unit volume."



$$F = \frac{2I}{c}$$

Nichols and Hull, Physical Review **13**, 307 (1901)

# Radiation forces



Trapping and cooling

- Optical tweezers
- Optical lattices

# Optomechanics on different length scales



**LIGO – Laser Interferometer  
Gravitational  
Wave Observatory**

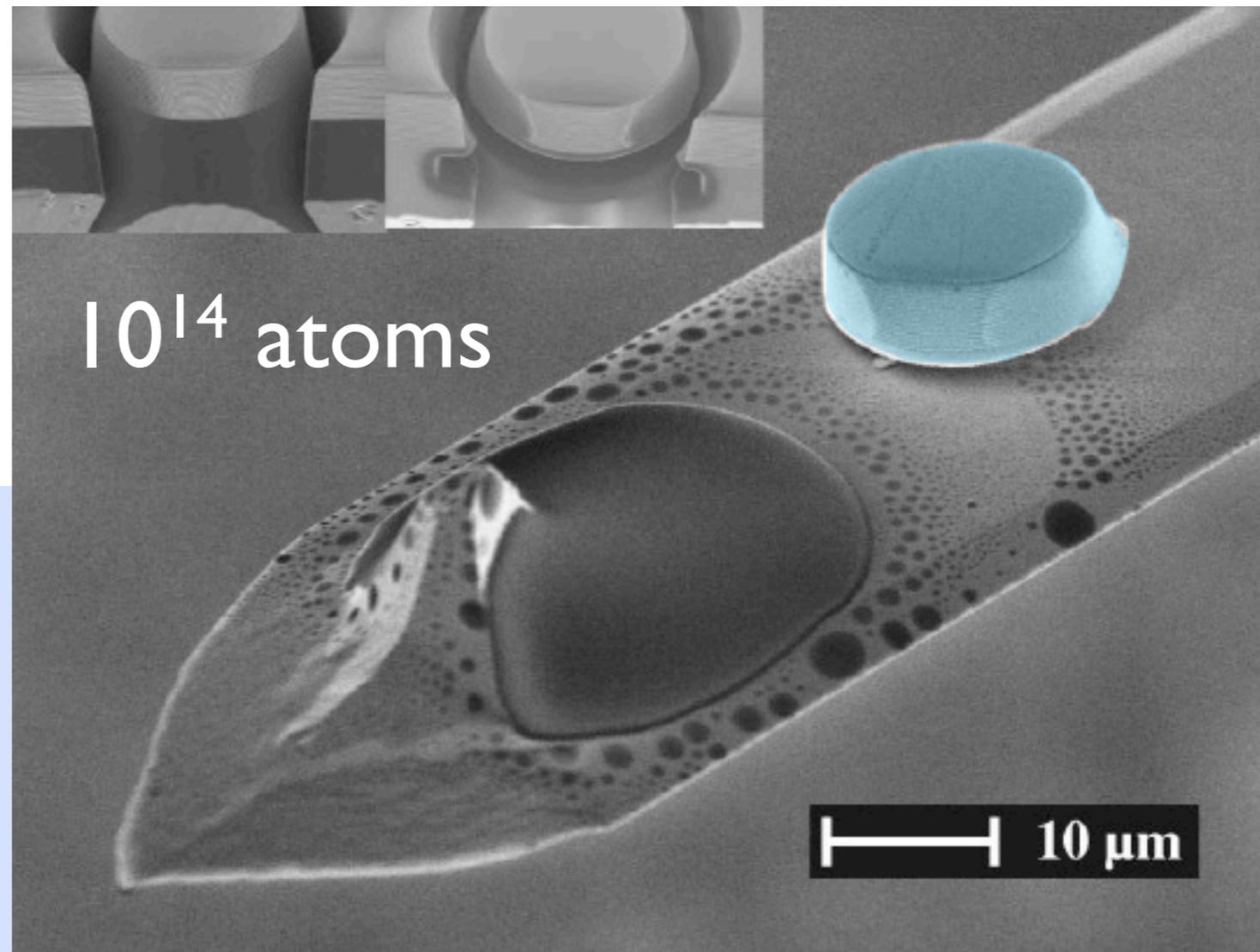
# Optomechanics on different length scales



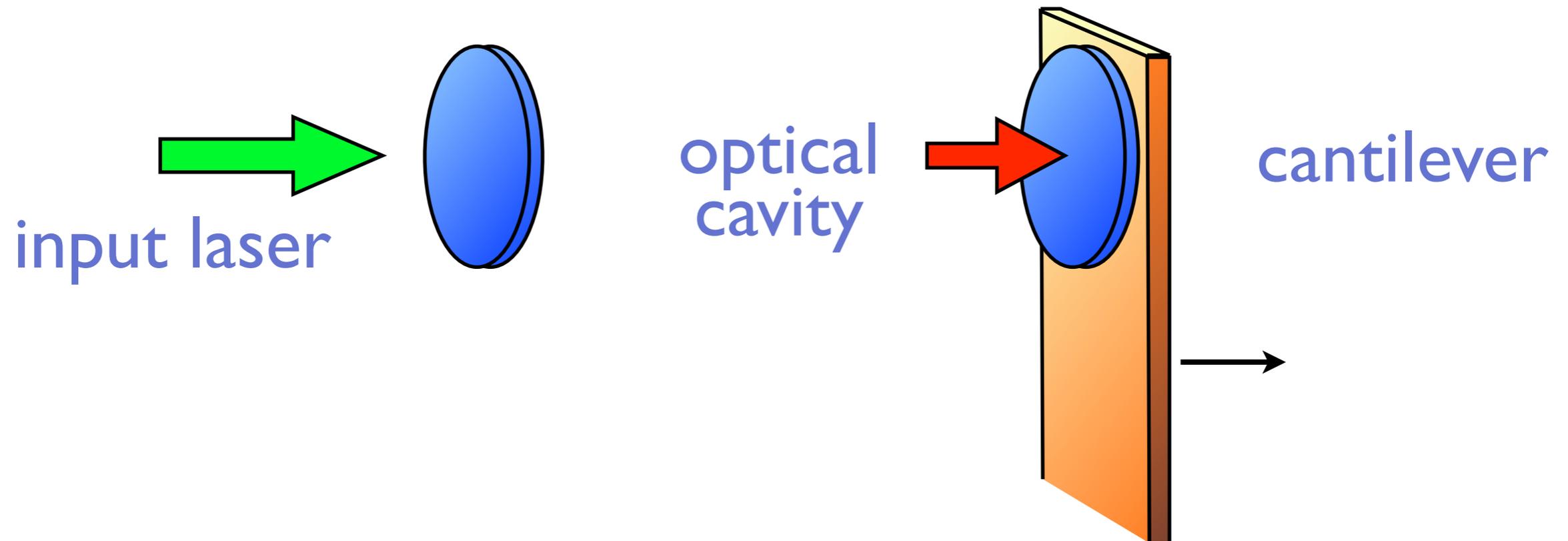
**LIGO – Laser Interferometer  
Gravitational  
Wave Observatory**

$$\begin{aligned}\omega_M &\sim 1\text{kHz} - 100\text{MHz} \\ m &\sim 10^{-12} - 10^{-10}\text{kg} \\ x_{\text{ZPF}} &\sim 10^{-16} - 10^{-14}\text{m} \\ x_{\text{ZPF}} &= \sqrt{\hbar/(2m\omega_M)}\end{aligned}$$

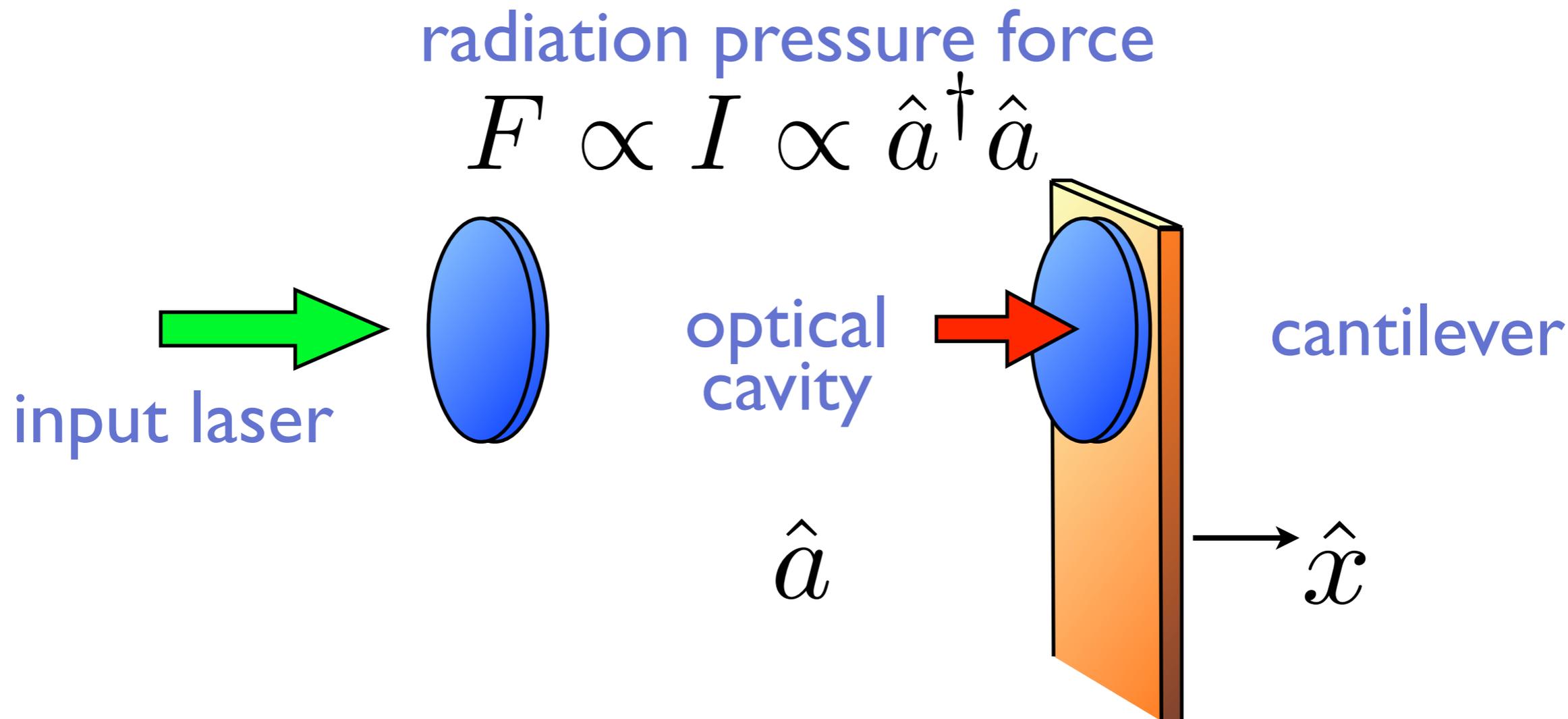

**Mirror on cantilever –  
Bouwmeester lab, Santa Barbara**



# Optomechanical systems



# Optomechanical systems

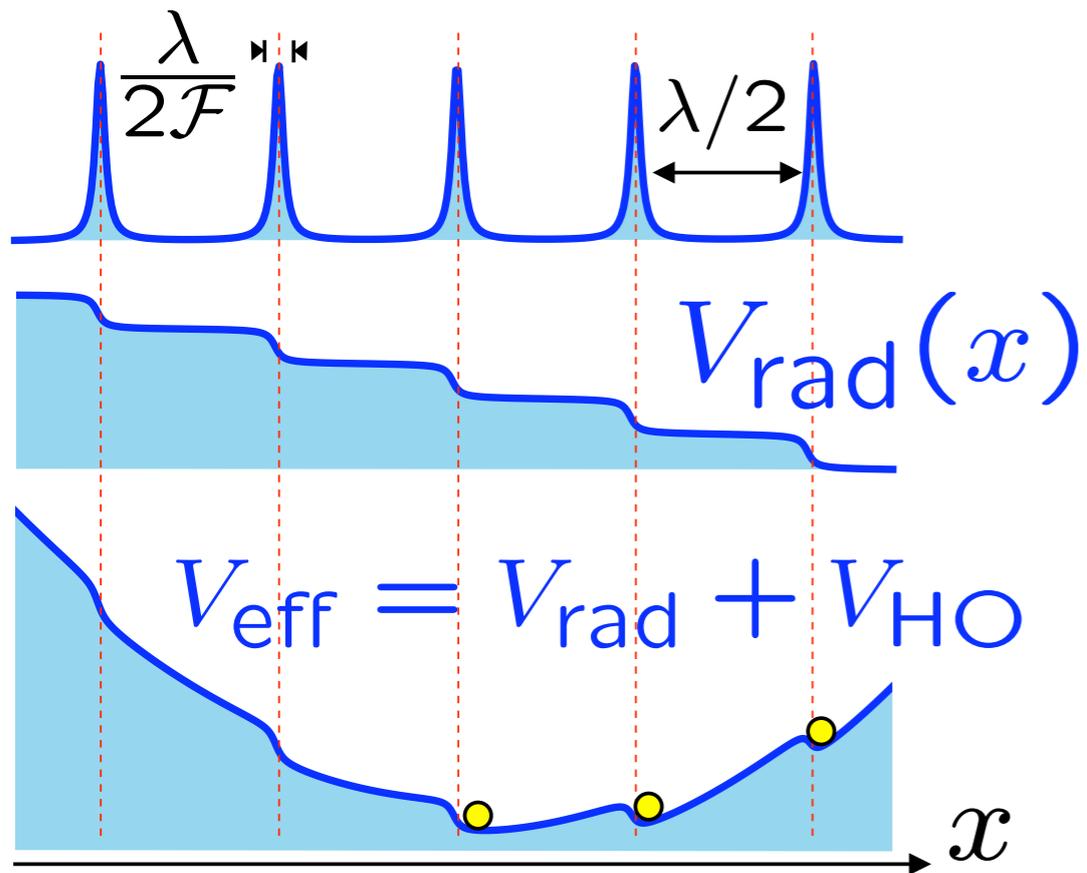


$$\hat{H} = \hbar\omega_R(1 - \hat{x}/L)\hat{a}^\dagger \hat{a} + \hbar\omega_M \hat{b}^\dagger \hat{b} + \dots$$

$$\hat{x} = x_{\text{ZPF}}(\hat{b}^\dagger + \hat{b})$$

# Basic physics: Statics

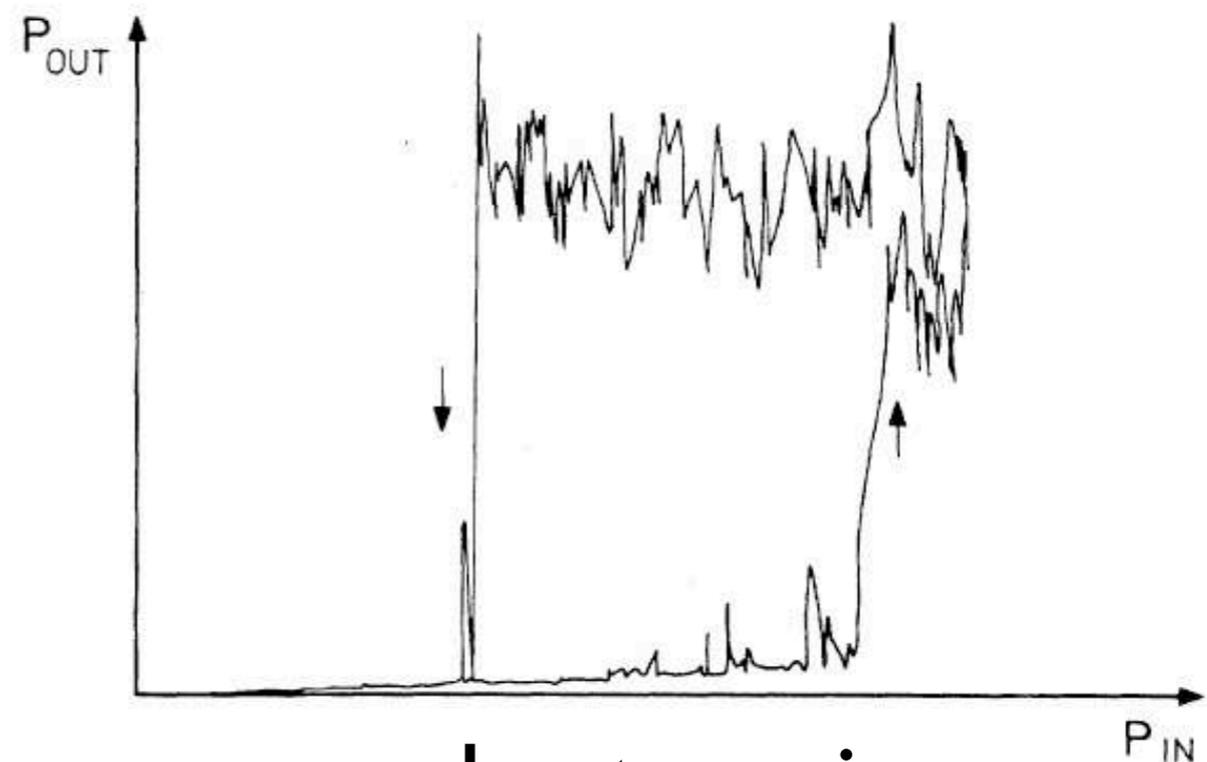
$$F_{\text{rad}}(x) = 2I(x)/c$$



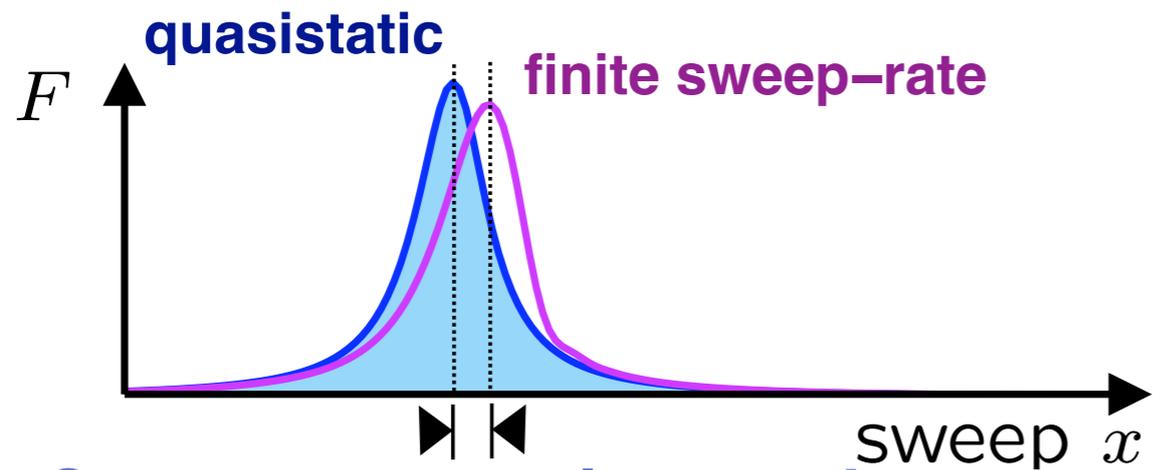
Experimental proof of static bistability:

A. Dorsel, J. D. McCullen, P. Meystre,  
E. Vignes and H. Walther:

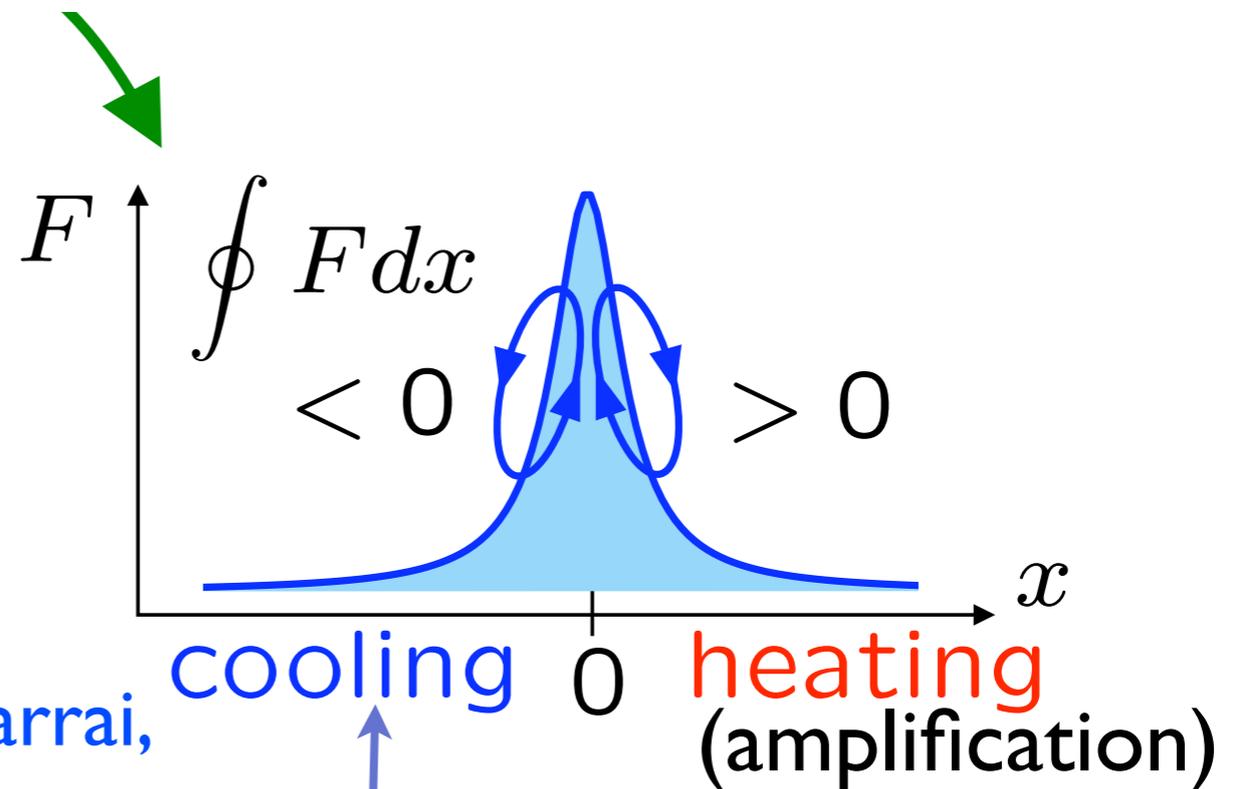
Phys. Rev. Lett. 51, 1550 (1983)



# Basic physics: dynamics

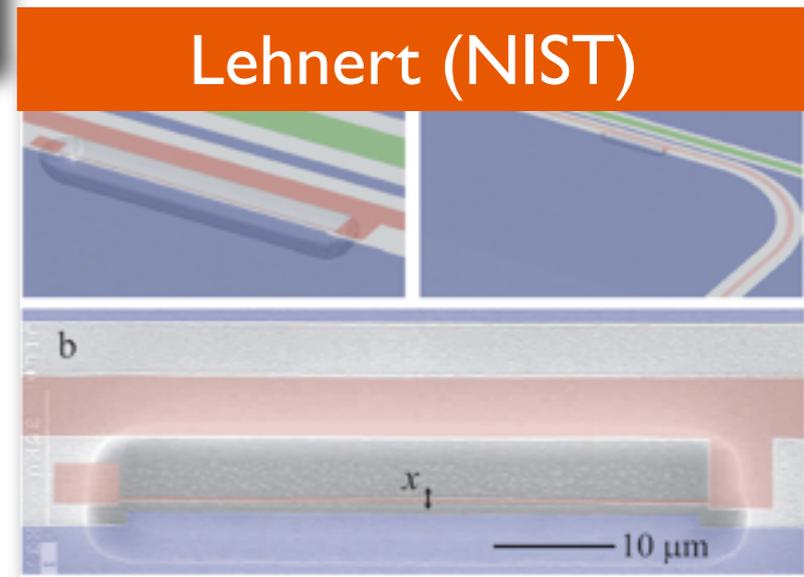
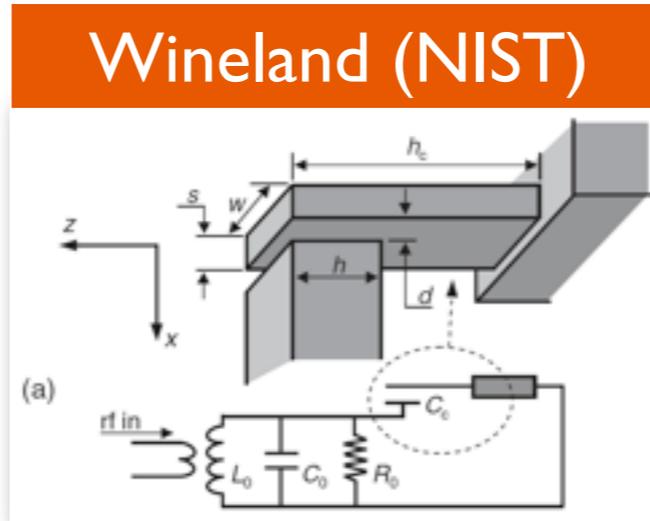
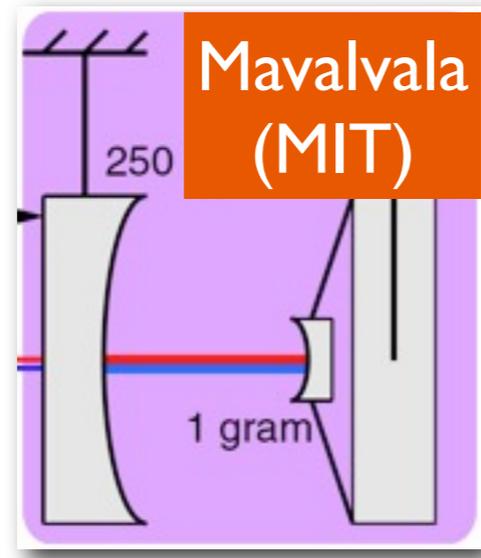
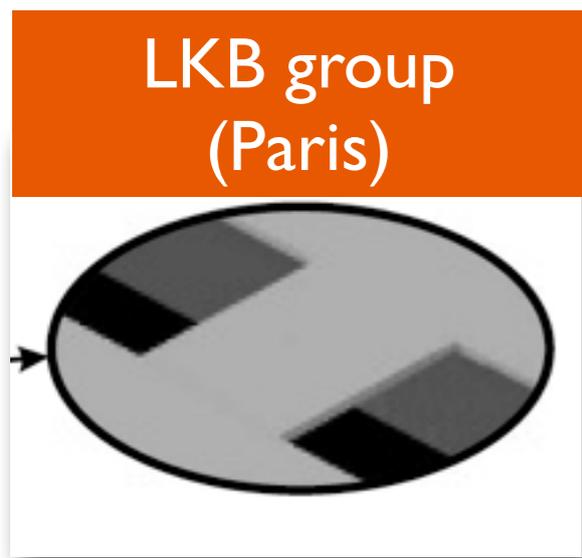
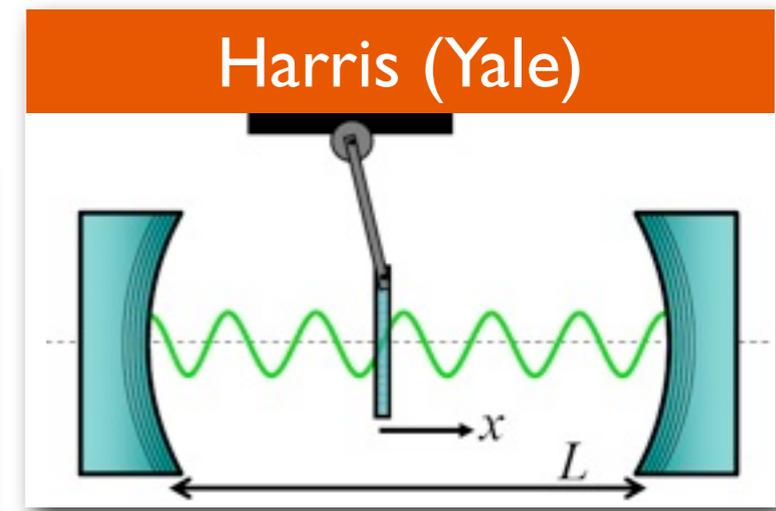
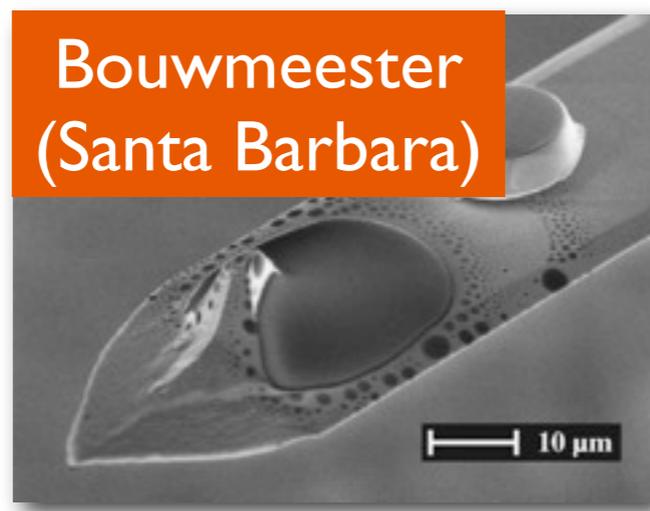
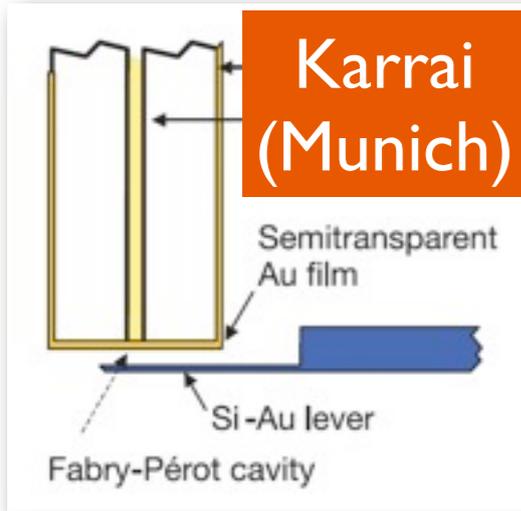


finite optical ringdown time  $\kappa^{-1}$  –  
delayed response to cantilever motion

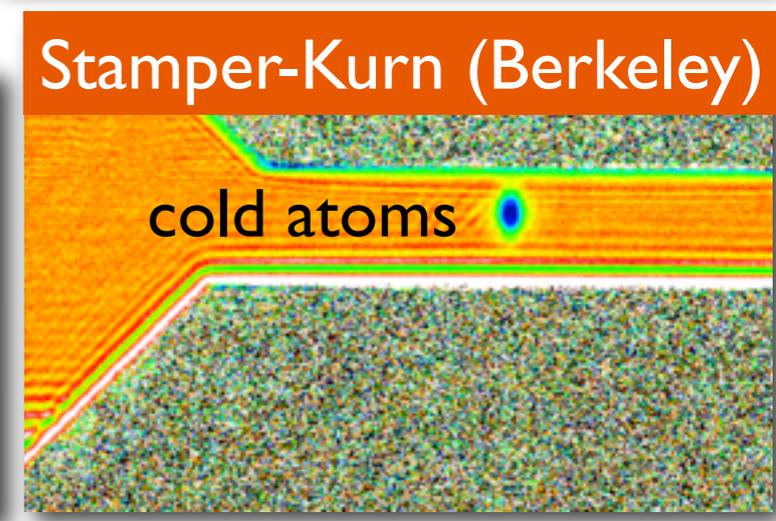


Höhberger-Metzger and Karrai,  
Nature **432**, 1002 (2004):  
300K to 17K [photothermal force]

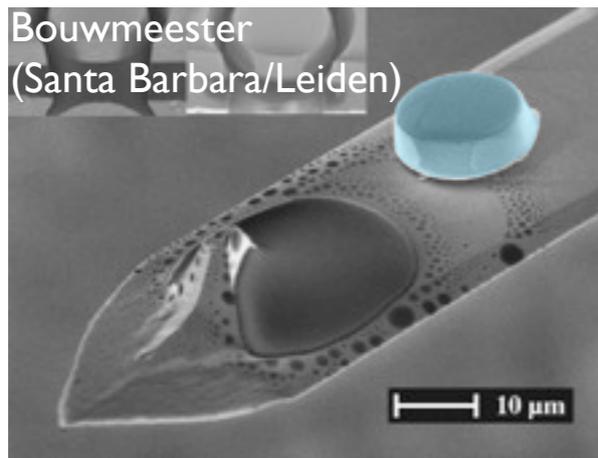
# The zoo of optomechanical (and analogous) systems



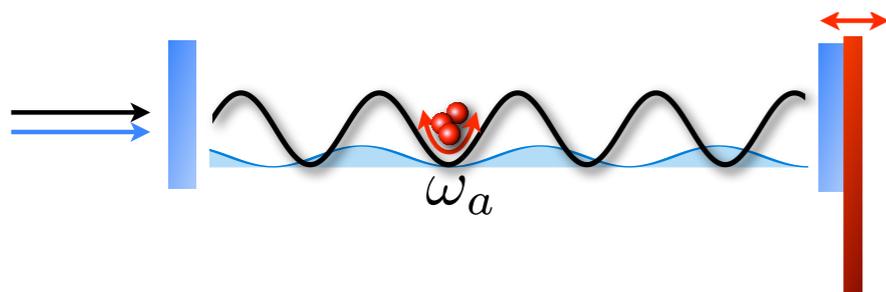
...



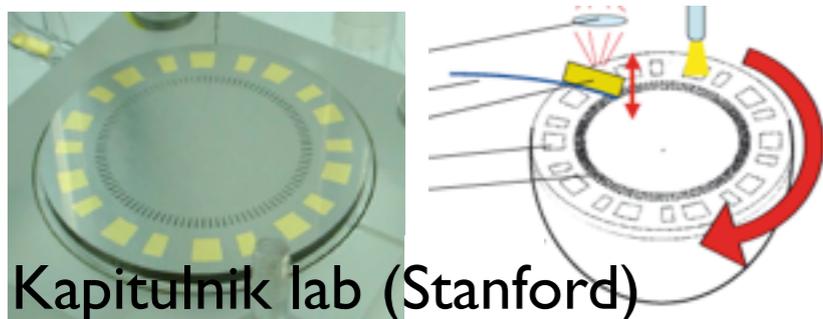
# Optomechanics: general outlook



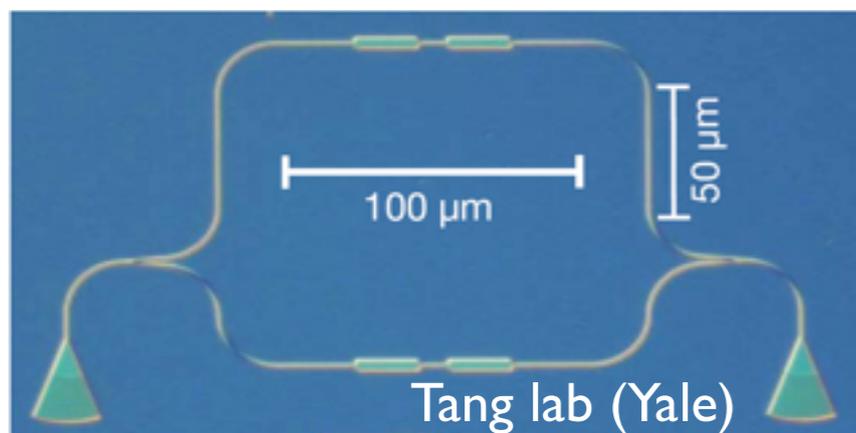
**Fundamental tests of quantum mechanics in a new regime:** entanglement with ‘macroscopic’ objects, unconventional decoherence? [e.g.: gravitationally induced?]



**Mechanics as a ‘bus’ for connecting hybrid components:** superconducting qubits, spins, photons, cold atoms, ...

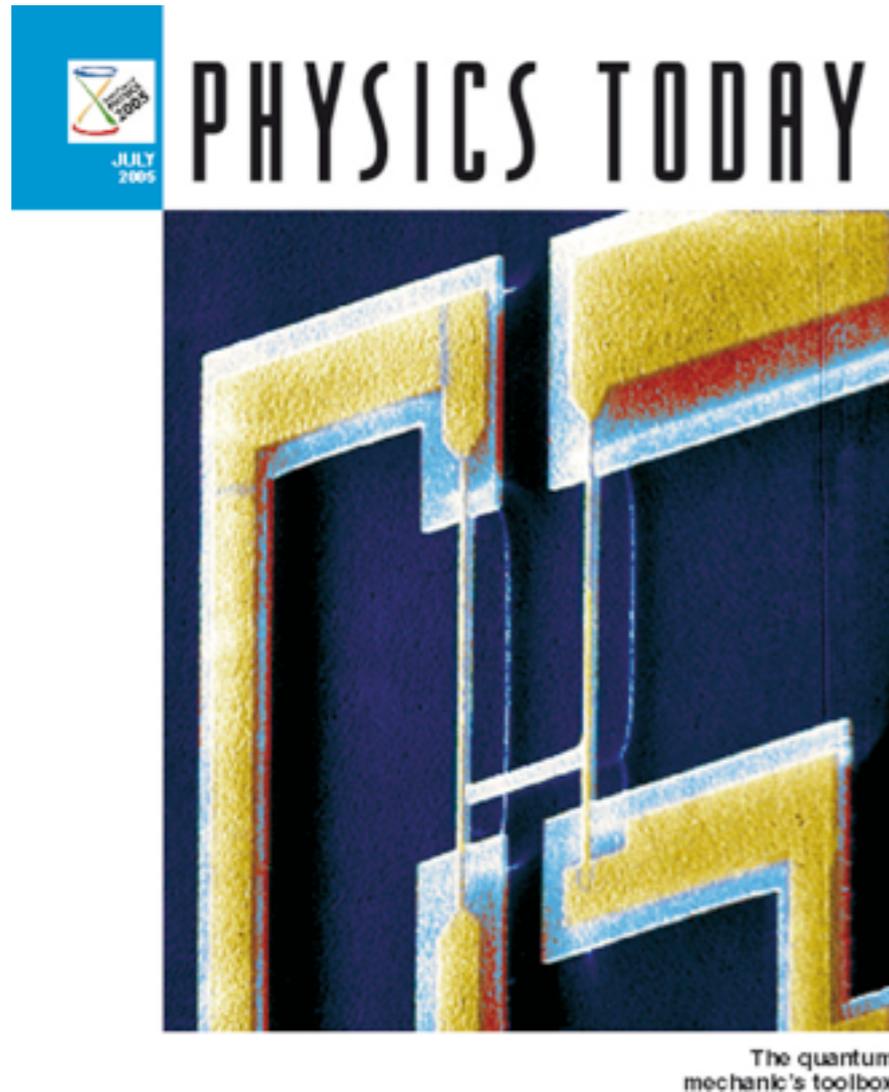


**Precision measurements** [e.g. testing deviations from Newtonian gravity due to extra dimensions]



**Optomechanical circuits & arrays**  
Exploit nonlinearities for classical and quantum information processing, storage, and amplification; study collective dynamics in arrays

# Towards the quantum regime of mechanical motion



## Putting Mechanics into Quantum Mechanics

Nanoelectromechanical structures are starting to approach the ultimate quantum mechanical limits for detecting and exciting motion at the nanoscale. Nonclassical states of a mechanical resonator are also on the horizon.

Keith C. Schwab and Michael L. Roukes

**E**verything moves! In a world dominated by electronic devices and instruments it is easy to forget that all measurements involve motion, whether it be the motion of electrons through a transistor, Cooper pairs or quasiparticles through a superconducting quantum interference device (SQUID), photons through an optical interferometer—or the simple displacement of a mechanical element.

achieved to read out those devices, now bring us to the realm of quantum mechanical systems.

### The quantum realm

What conditions are required to observe the quantum properties of a mechanical structure, and what can we learn when we encounter them? Such questions have received

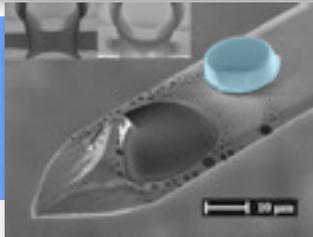
**Schwab and Roukes, Physics Today 2005**

- nano-electro-mechanical systems

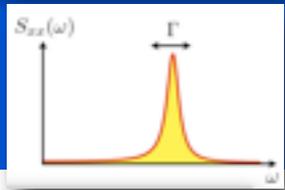
Superconducting qubit coupled to nanoresonator: Cleland & Martinis 2010

- optomechanical systems

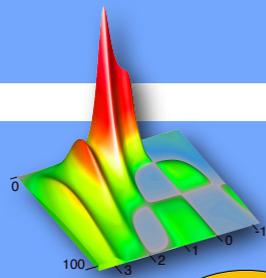
# Optomechanics (Outline)



Introduction

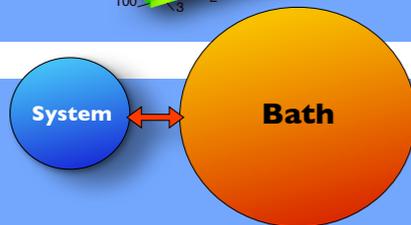


Displacement detection

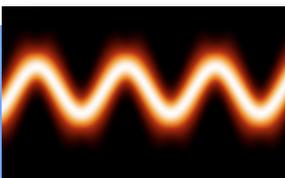


Linear optomechanics

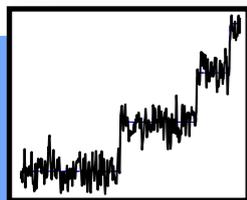
Nonlinear dynamics



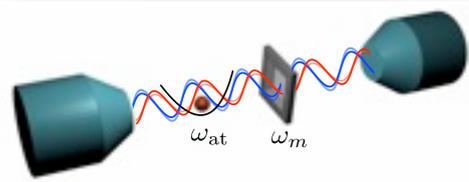
Quantum theory of cooling



Interesting quantum states

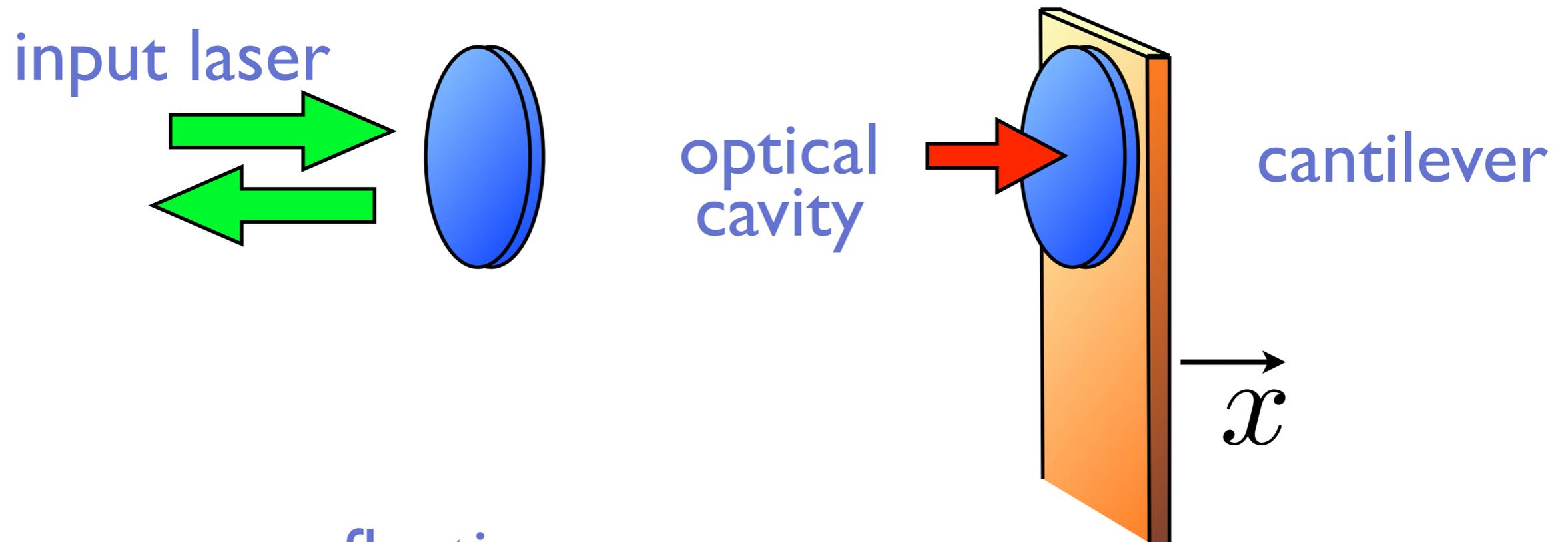


Towards Fock state detection

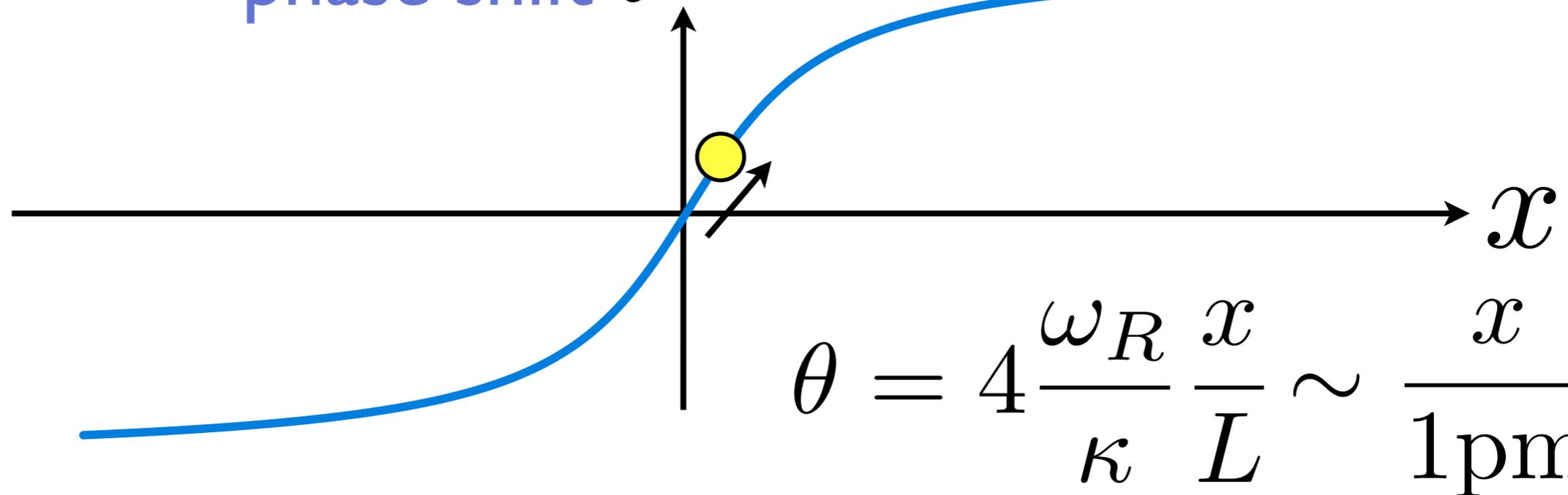


Coupling to the motion of a single atom

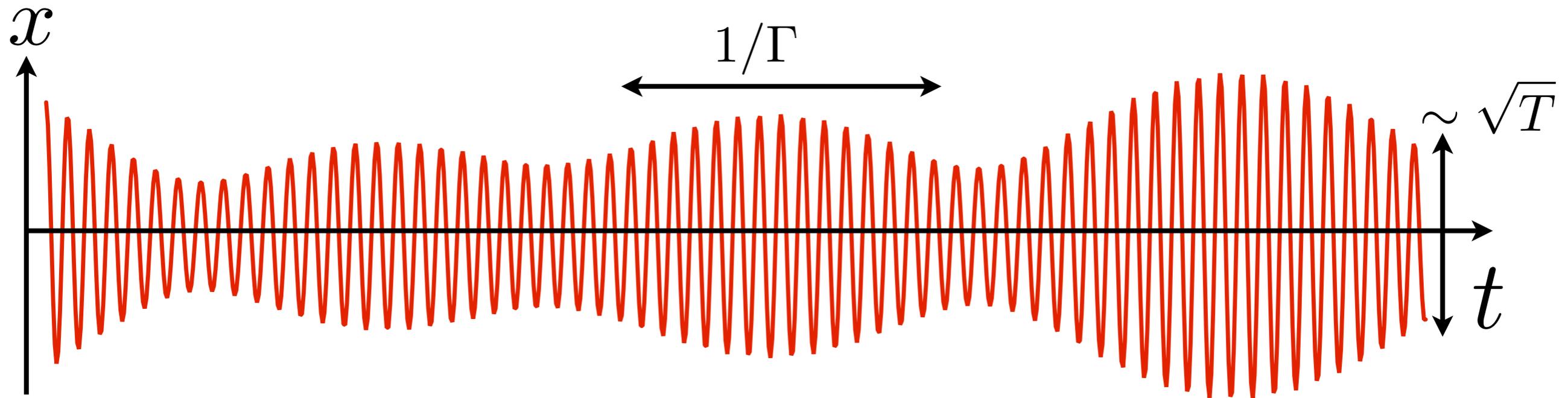
# Optical displacement detection



reflection  
phase shift  $\theta$



# Thermal fluctuations of a harmonic oscillator



Classical equipartition theorem:

$$\frac{m\omega_M^2}{2} \langle x^2 \rangle = \frac{k_B T}{2} \Rightarrow \langle x^2 \rangle = \frac{k_B T}{m\omega_M^2} \text{ extract temperature!}$$

Possibilities:

- Direct time-resolved detection
- Analyze **fluctuation spectrum of x**

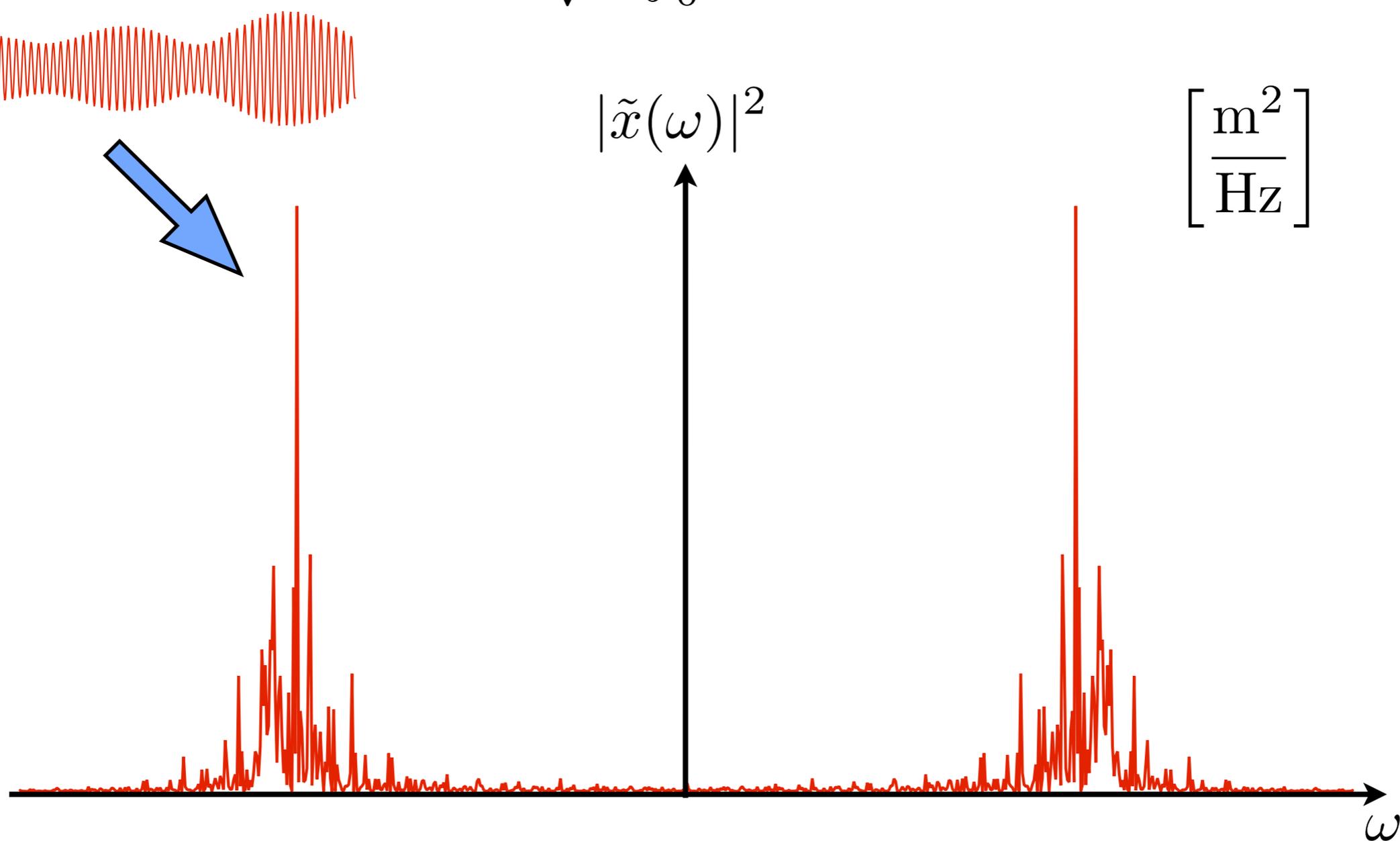
# Fluctuation spectrum

$$\tilde{x}(\omega) = \frac{1}{\sqrt{\tau}} \int_0^{\tau} dt e^{i\omega t} x(t)$$

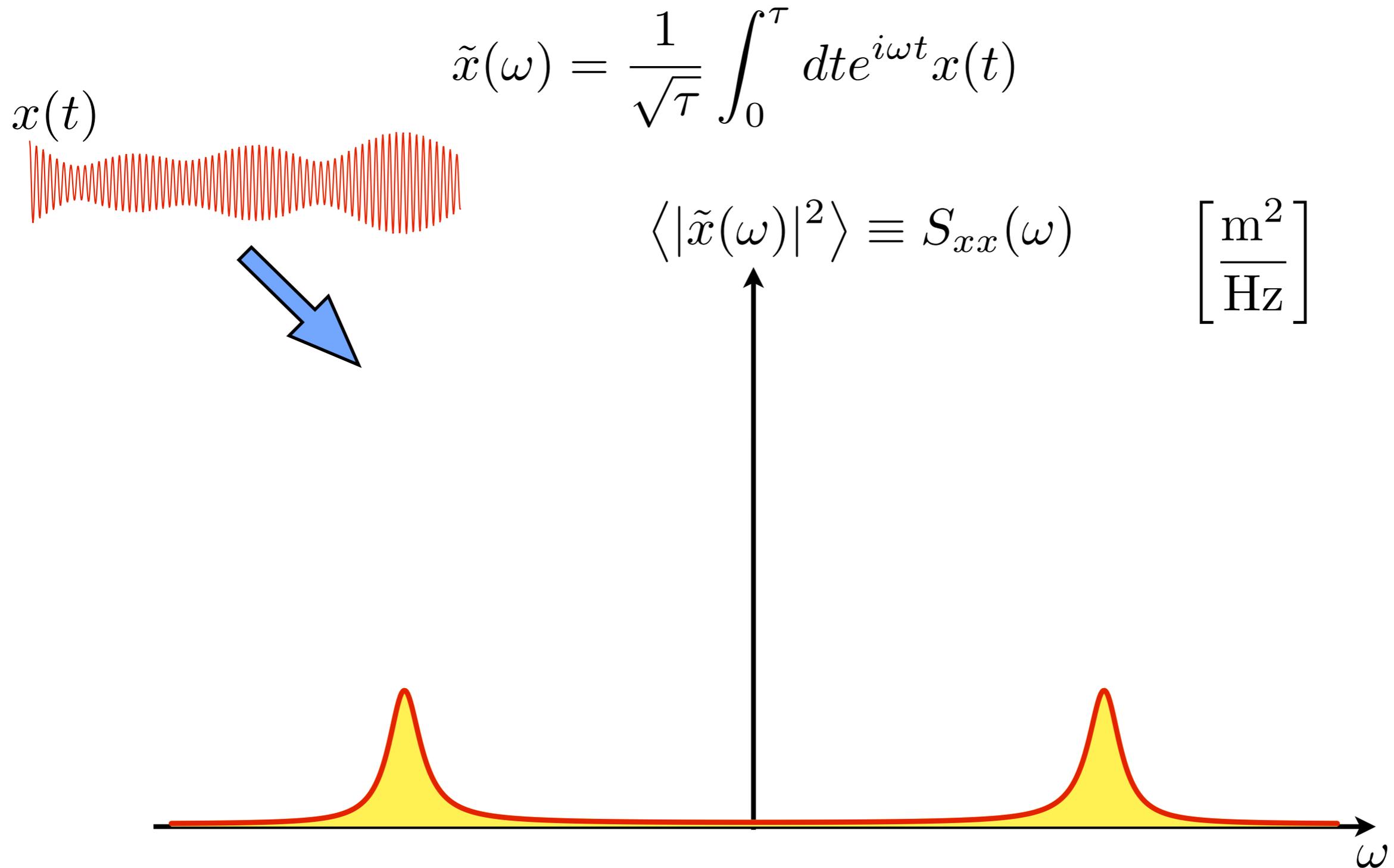
$x(t)$

$|\tilde{x}(\omega)|^2$

$\left[ \frac{\text{m}^2}{\text{Hz}} \right]$



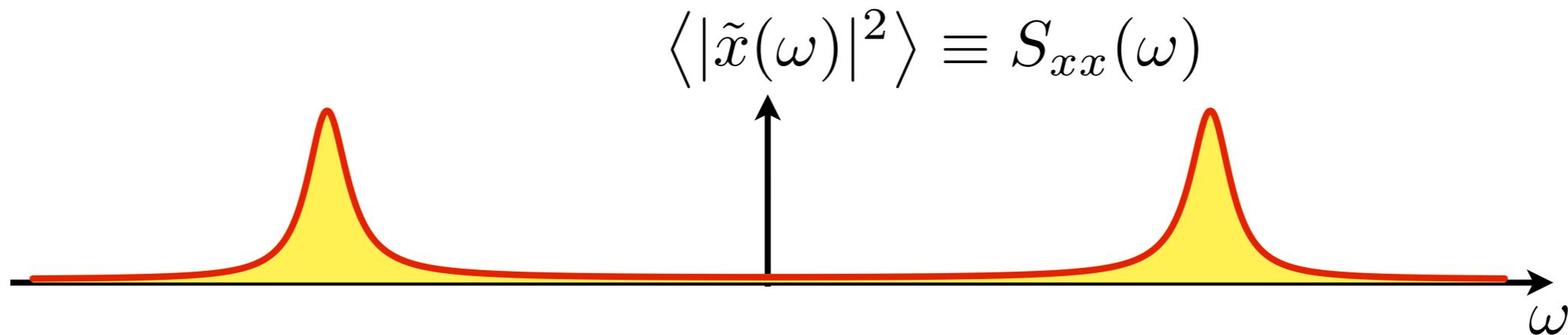
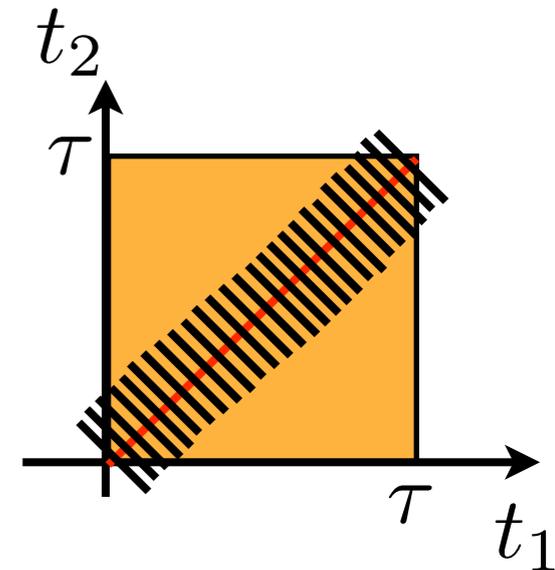
# Fluctuation spectrum



# Fluctuation spectrum

$$\tilde{x}(\omega) = \frac{1}{\sqrt{\tau}} \int_0^{\tau} dt e^{i\omega t} x(t)$$

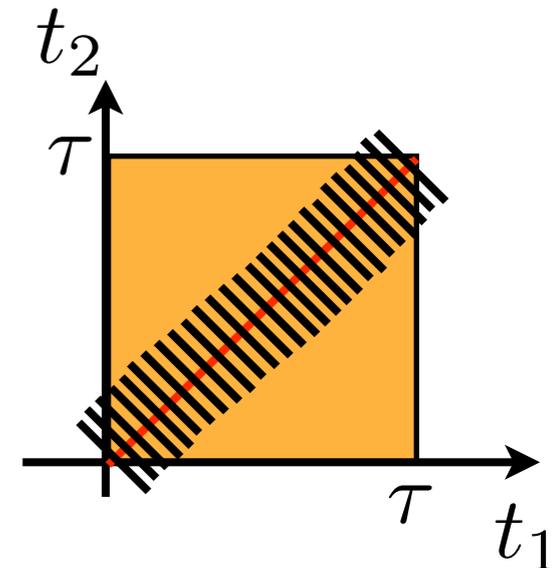
$$S_{xx}(\omega) \equiv \langle |\tilde{x}(\omega)|^2 \rangle =$$
$$\frac{1}{\tau} \int_0^{\tau} dt_1 \int_0^{\tau} dt_2 e^{i\omega(t_2-t_1)} \langle x(t_2)x(t_1) \rangle$$
$$\approx \frac{1}{\tau} \int_{-\infty}^{+\infty} dt e^{i\omega t} \langle x(t)x(0) \rangle$$



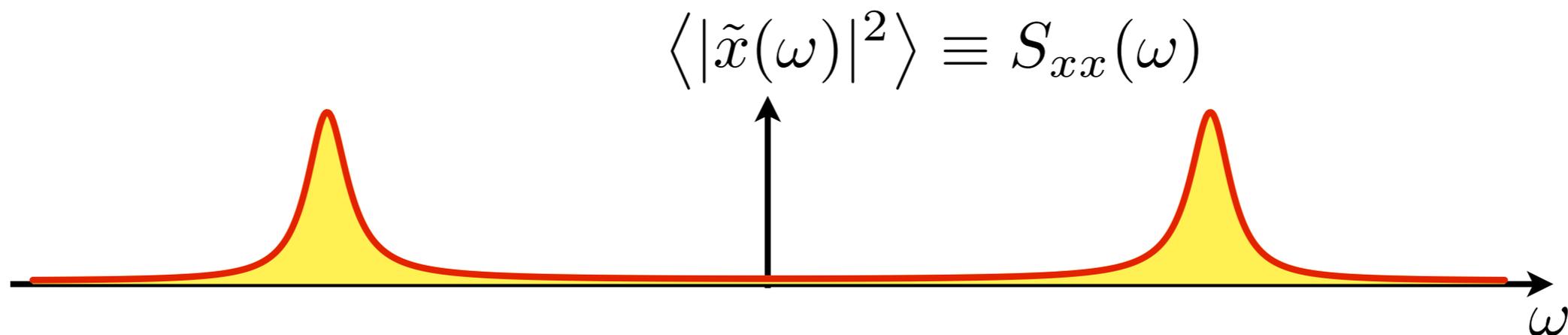
# Fluctuation spectrum

$$\tilde{x}(\omega) = \frac{1}{\sqrt{\tau}} \int_0^{\tau} dt e^{i\omega t} x(t)$$

$$S_{xx}(\omega) \equiv \langle |\tilde{x}(\omega)|^2 \rangle =$$
$$\frac{1}{\tau} \int_0^{\tau} dt_1 \int_0^{\tau} dt_2 e^{i\omega(t_2-t_1)} \langle x(t_2)x(t_1) \rangle$$
$$\approx \int_{-\infty}^{+\infty} dt e^{i\omega t} \langle x(t)x(0) \rangle$$



**“Wiener-Khinchin theorem”**



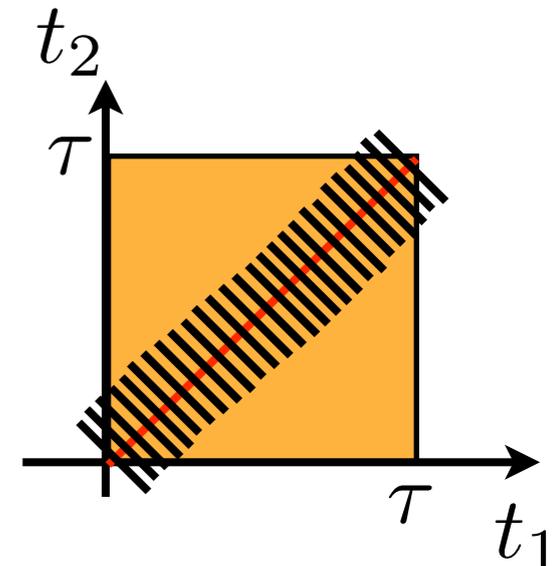
# Fluctuation spectrum

$$\tilde{x}(\omega) = \frac{1}{\sqrt{\tau}} \int_0^{\tau} dt e^{i\omega t} x(t)$$

$$S_{xx}(\omega) \equiv \langle |\tilde{x}(\omega)|^2 \rangle =$$

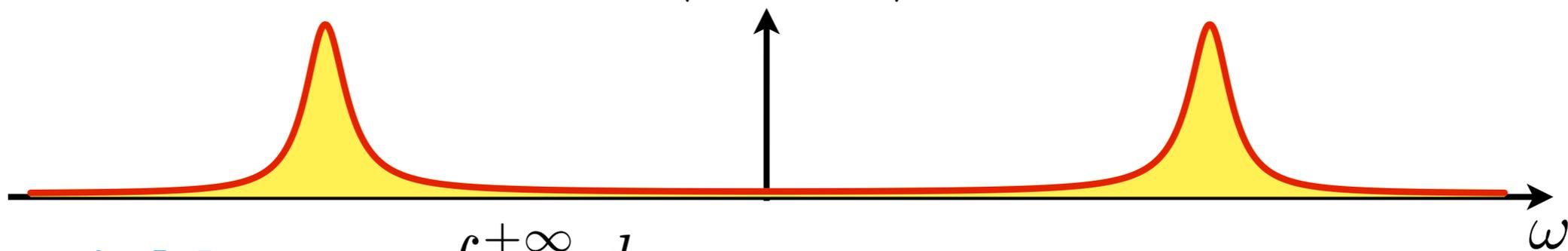
$$\frac{1}{\tau} \int_0^{\tau} dt_1 \int_0^{\tau} dt_2 e^{i\omega(t_2-t_1)} \langle x(t_2)x(t_1) \rangle$$

$$\approx \int_{-\infty}^{+\infty} dt e^{i\omega t} \langle x(t)x(0) \rangle$$



**“Wiener-Khinchin theorem”**

$$\langle |\tilde{x}(\omega)|^2 \rangle \equiv S_{xx}(\omega)$$



**area yields  
variance of x:**

$$\int_{-\infty}^{+\infty} \frac{d\omega}{2\pi} S_{xx}(\omega) = \langle x^2 \rangle$$

# Fluctuation-dissipation theorem

## General relation between noise spectrum and linear response susceptibility

$$\langle \delta x \rangle (\omega) = \chi_{xx}(\omega) F(\omega)$$

**susceptibility**

$$S_{xx}(\omega) = \frac{2k_B T}{\omega} \text{Im} \chi_{xx}(\omega) \quad (\text{classical limit})$$

# Fluctuation-dissipation theorem

## General relation between noise spectrum and linear response susceptibility

$$\langle \delta x \rangle (\omega) = \chi_{xx}(\omega) F(\omega)$$

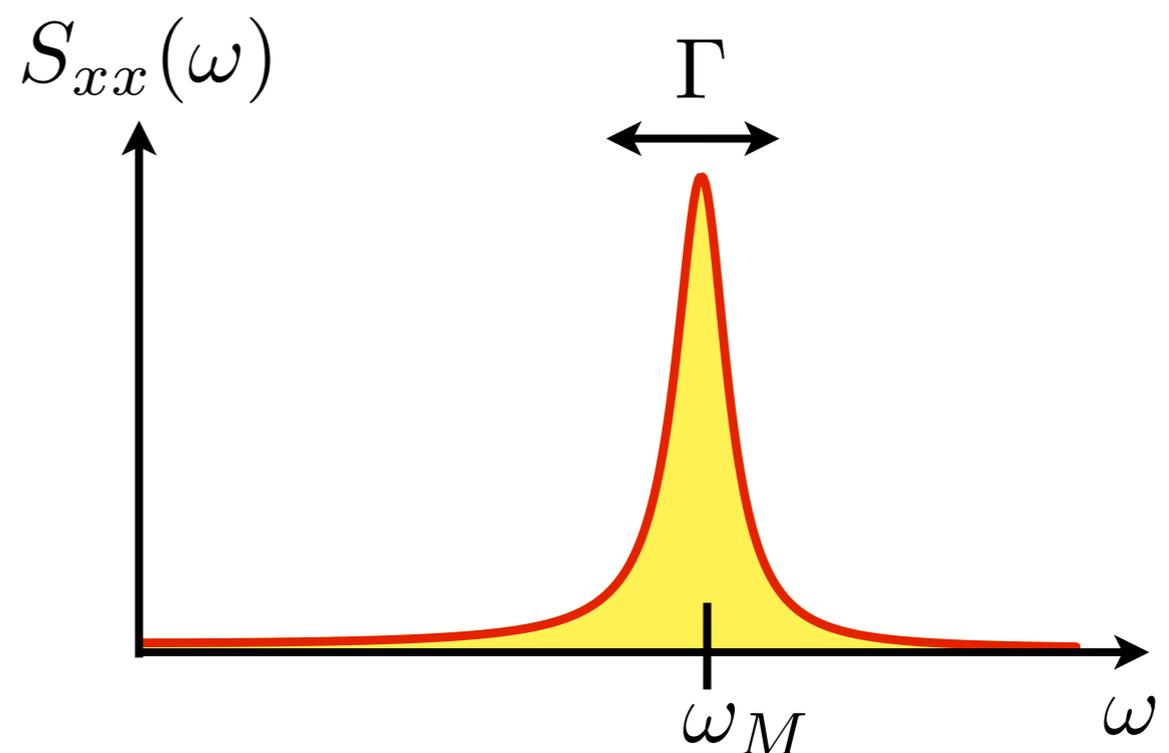
**susceptibility**

$$S_{xx}(\omega) = \frac{2k_B T}{\omega} \text{Im} \chi_{xx}(\omega) \quad (\text{classical limit})$$

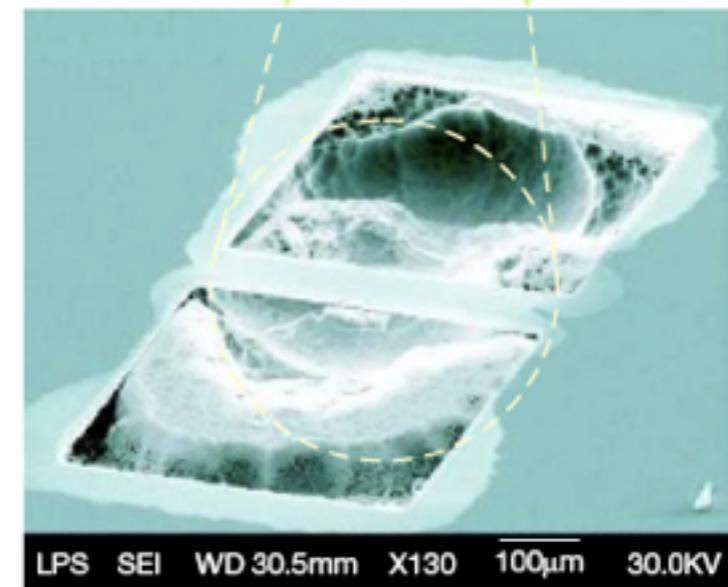
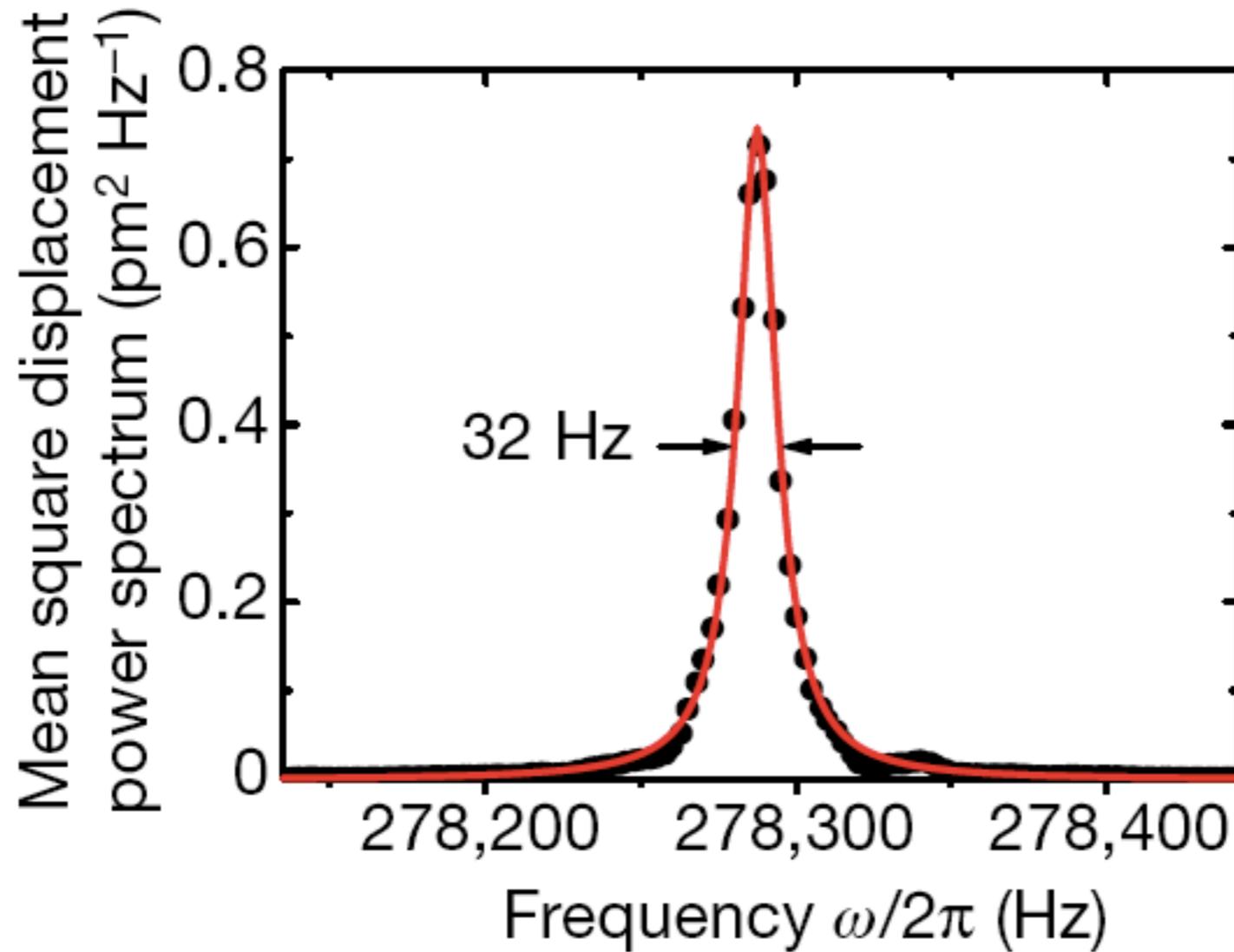
for the damped oscillator:

$$m\ddot{x} + m\omega_M^2 x + m\Gamma\dot{x} = F$$

$$x(\omega) = \frac{1}{\underbrace{m(\omega_M^2 - \omega^2) - im\Gamma\omega}_{\chi_{xx}(\omega)}} F(\omega)$$



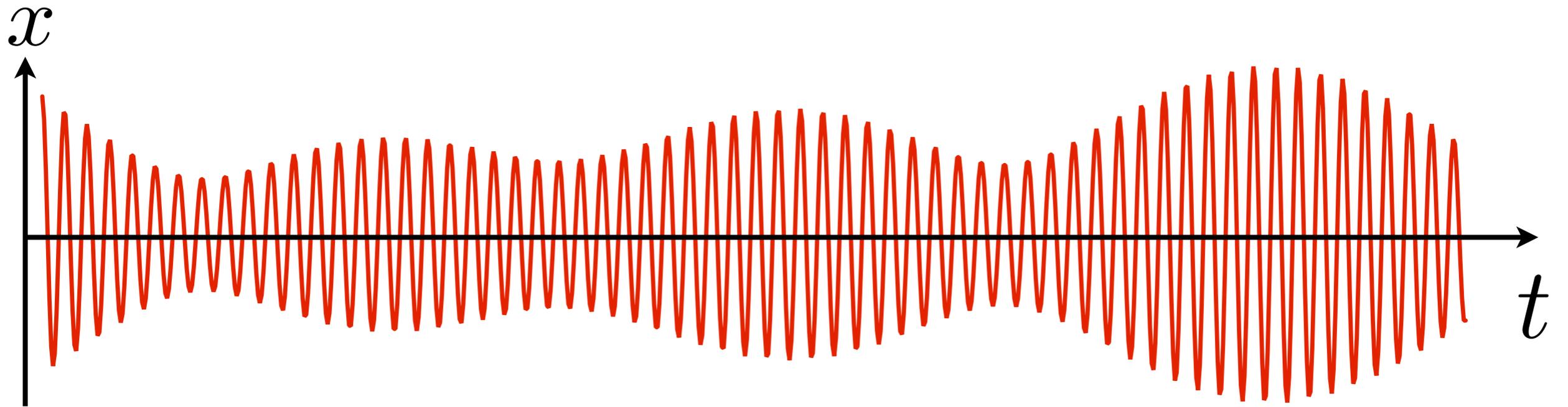
# Displacement spectrum



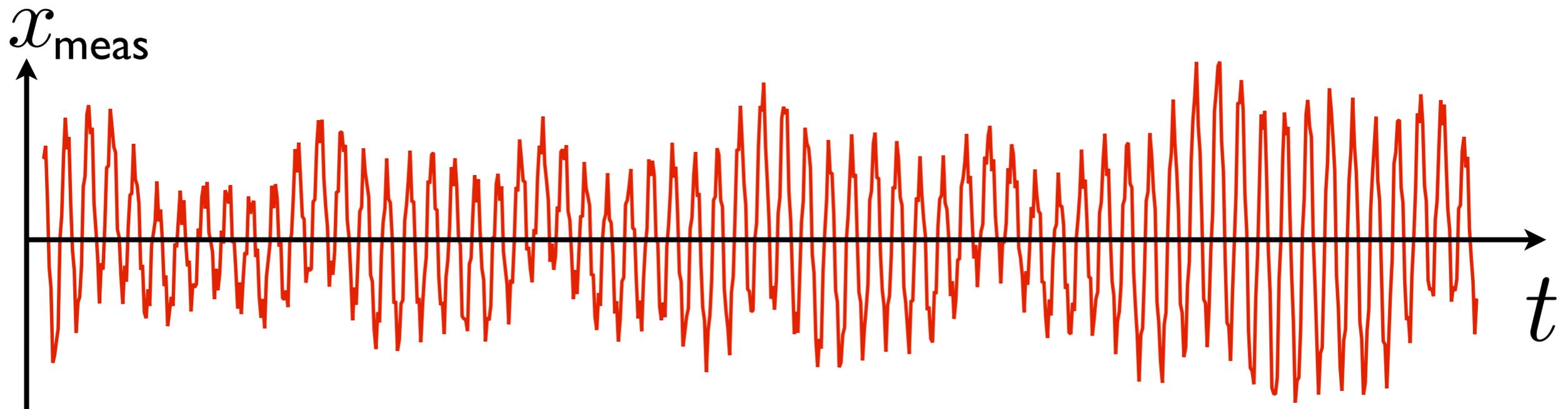
T=300 K

Experimental curve:  
Gigan et al., Nature 2006

# Measurement noise



# Measurement noise



$$x_{\text{meas}}(t) = x(t) + x_{\text{noise}}(t)$$

Two contributions to  $x_{\text{noise}}(t)$

1. measurement imprecision

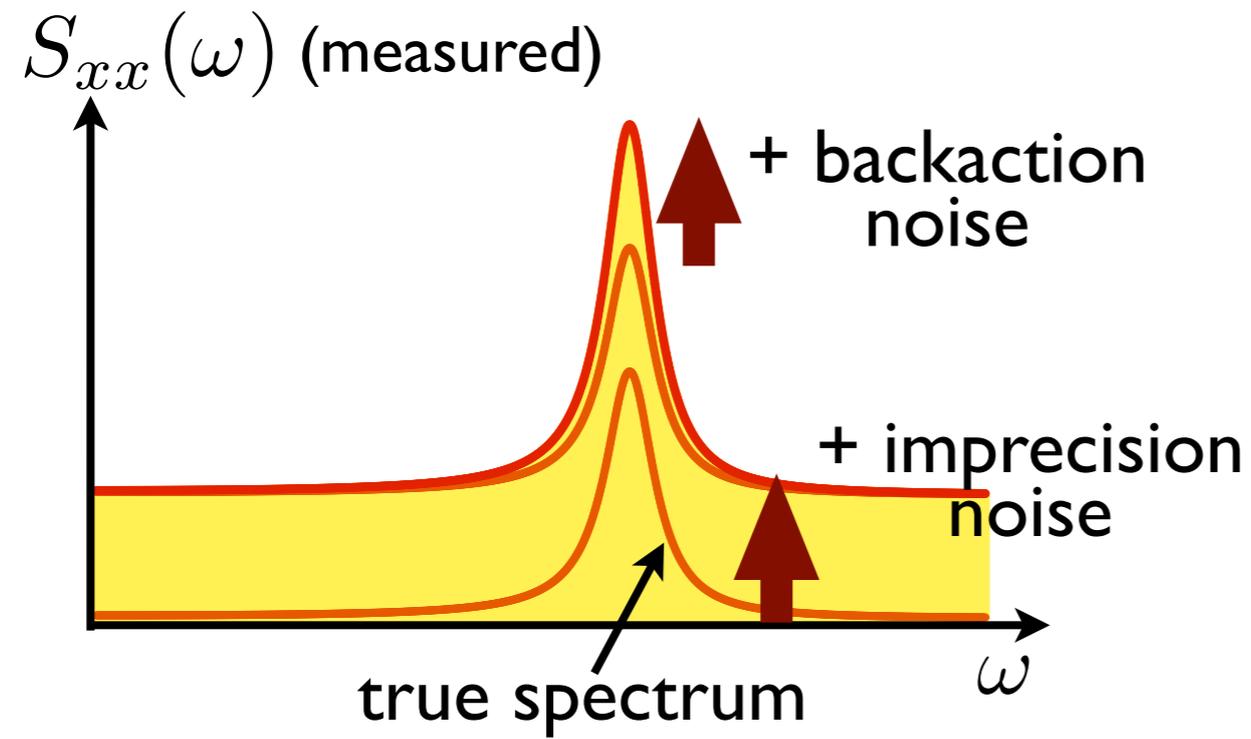
2. measurement back-action:

fluctuating force on system

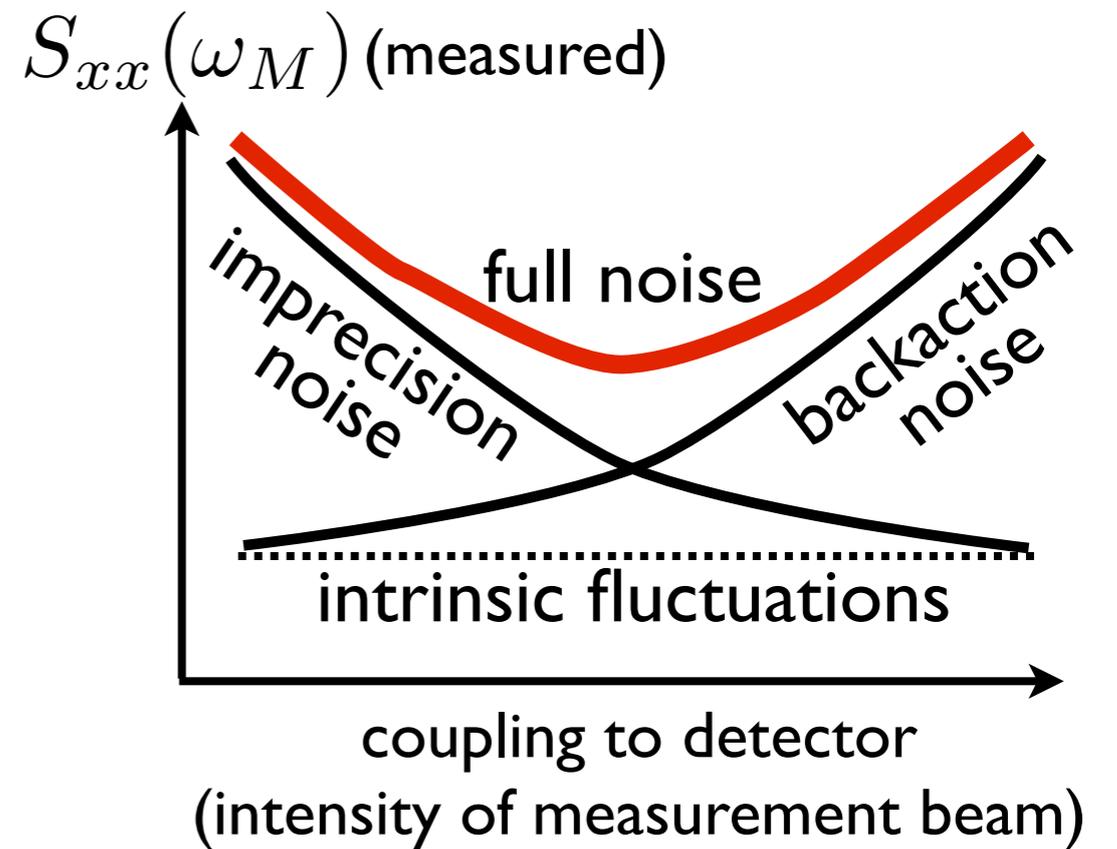
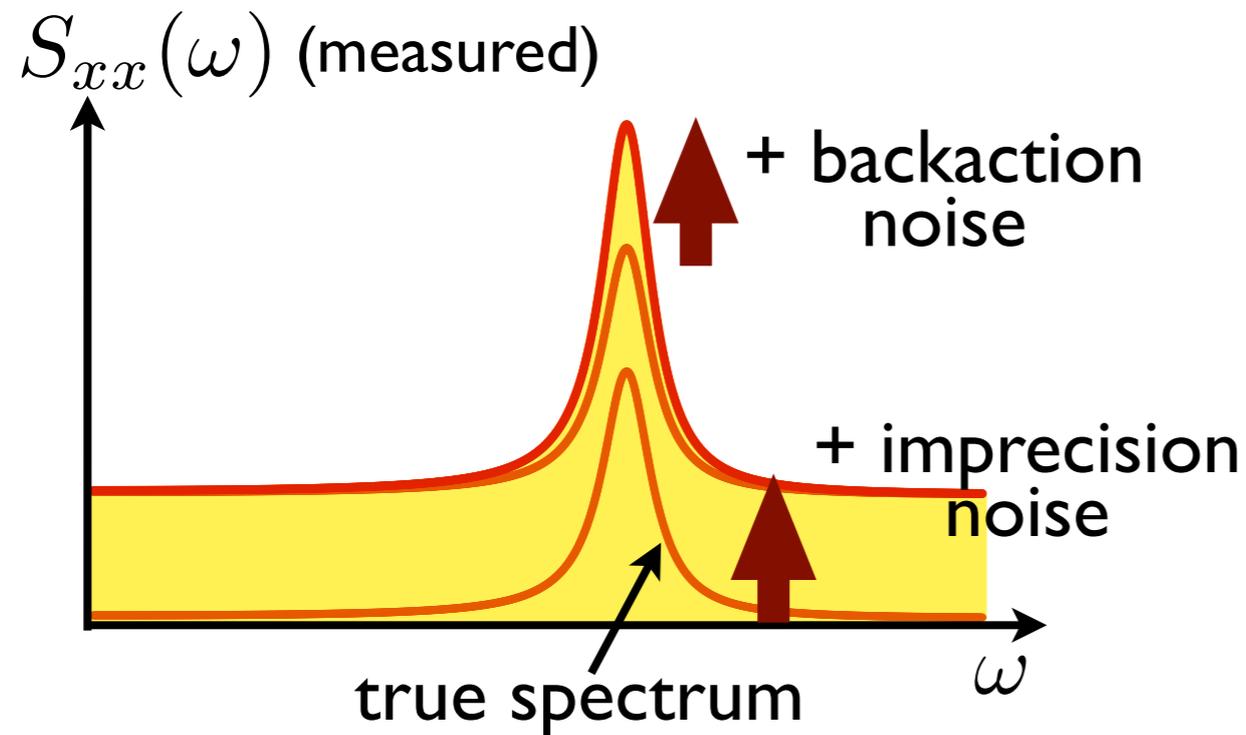
phase noise of  
laser beam (shot  
noise limit!)

noisy radiation  
pressure force

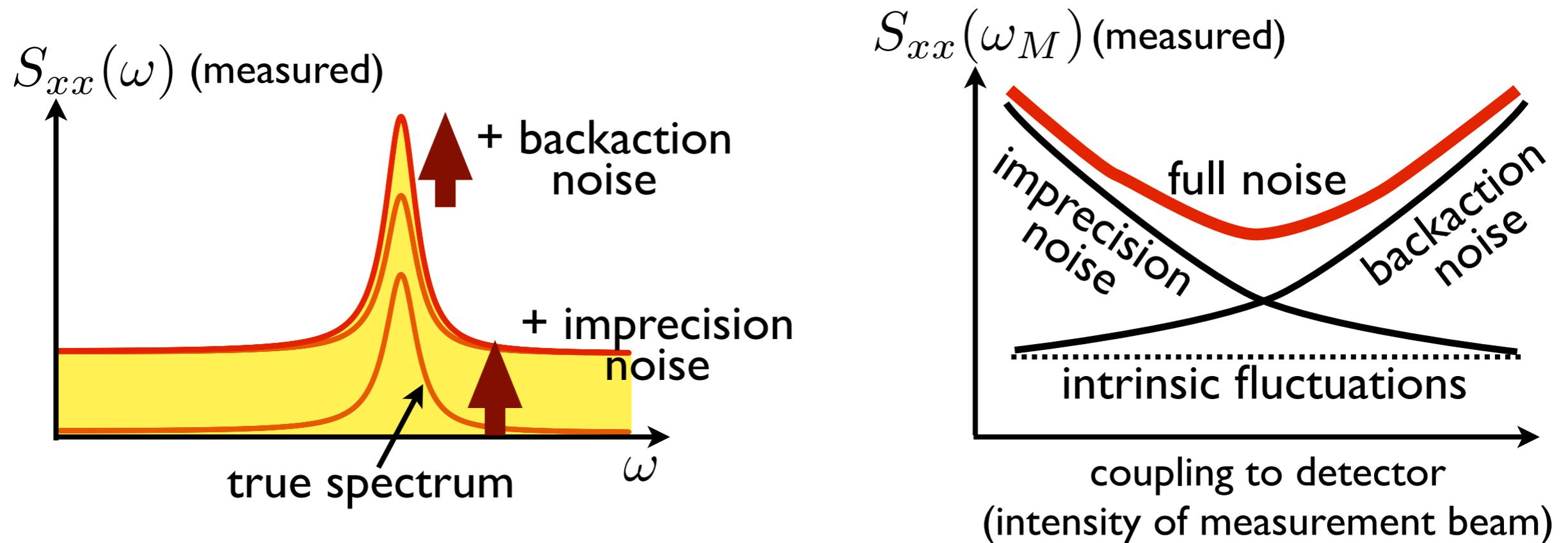
# “Standard Quantum Limit”



# “Standard Quantum Limit”



# “Standard Quantum Limit”



Best case allowed by quantum mechanics:

$$S_{xx}^{(\text{meas})}(\omega) \geq 2 \cdot S_{xx}^{T=0}(\omega)$$

“Standard quantum limit (SQL) of displacement detection”

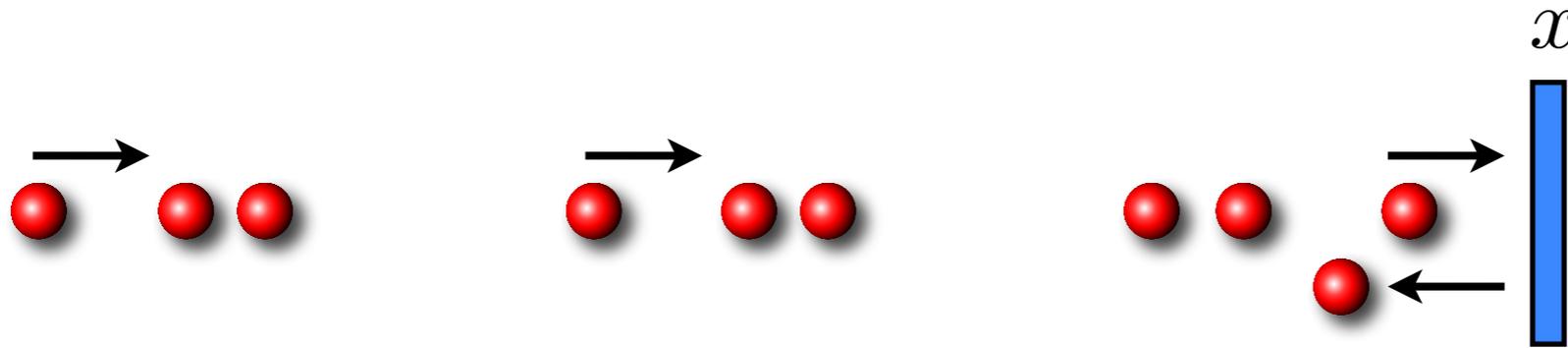
...as if adding the zero-point fluctuations a second time: “adding half a photon”

# Notes on the SQL



- “**weak measurement**”: integrating the signal over time to suppress the noise
- trying to detect slowly varying “quadratures of motion”:  $\hat{x}(t) = \hat{X}_1 \cos(\omega_M t) + \hat{X}_2 \sin(\omega_M t)$   
 $[\hat{X}_1, \hat{X}_2] = 2x_{\text{ZPF}}^2$  **Heisenberg is the reason for SQL!**  
**no limit for instantaneous measurement of  $x(t)$ !**
- SQL means: detect  $\hat{X}_{1,2}$  down to  $x_{\text{ZPF}}$  on a time scale  $1/\Gamma$  **Impressive:  $x_{\text{ZPF}} \sim 10^{-15} m$ !**

# Enforcing the SQL (Heisenberg) in a weak optical measurement



reflection phase shift:  $\theta = 2kx$   
(here: free space)

$N$  photons arrive in time  $t$

fluctuations:  $\delta N = \sqrt{\text{Var}N} = \sqrt{\bar{N}}$

Poisson distribution for a coherent laser beam

1. Uncertainty in phase estimation:

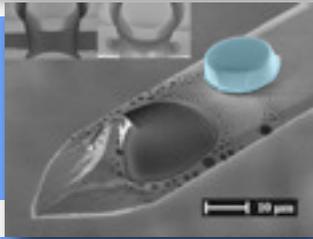
$$\delta N \cdot \delta \theta \geq \frac{1}{2} \Rightarrow \delta \theta \geq \frac{1}{2\sqrt{\bar{N}}} \Rightarrow \delta x = \frac{\delta \theta}{2k} \sim \frac{1}{2\sqrt{\bar{N}}2k}$$

2. Fluctuating force: momentum transfer  $\Delta p = 2\hbar k \cdot N$

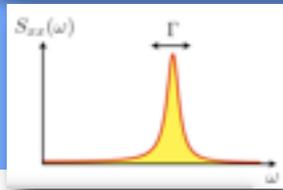
$$\delta p = \sqrt{\text{Var}\Delta p} = 2\hbar k \sqrt{\bar{N}}$$

Uncertainty product:  $\delta x \delta p \geq \frac{\hbar}{2}$  Heisenberg

# Optomechanics (Outline)

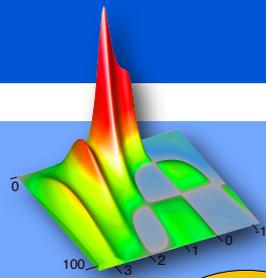


Introduction

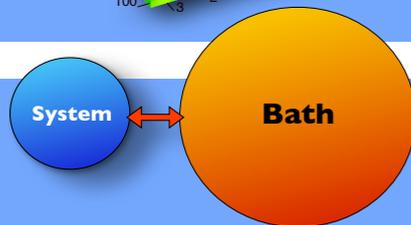


Displacement detection

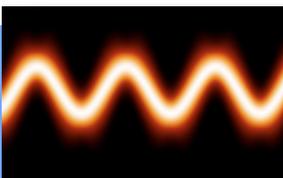
Linear optomechanics



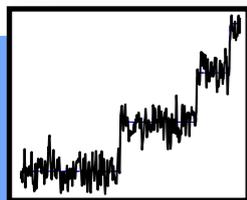
Nonlinear dynamics



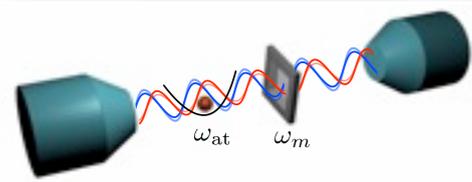
Quantum theory of cooling



Interesting quantum states

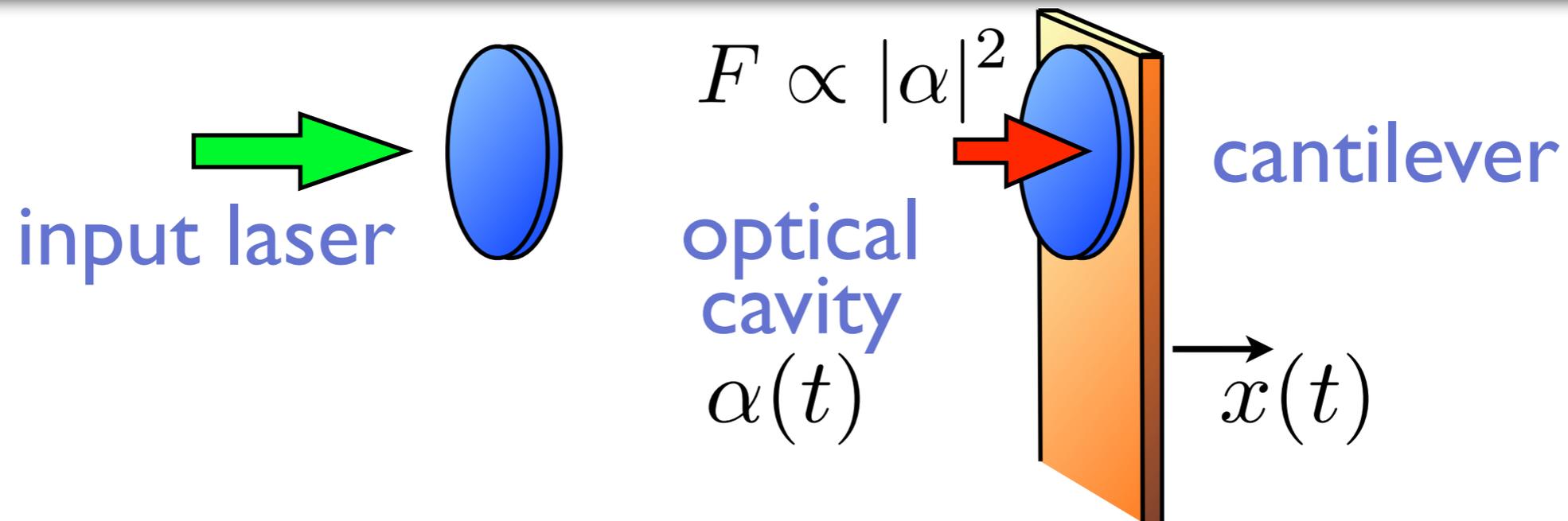


Towards Fock state detection

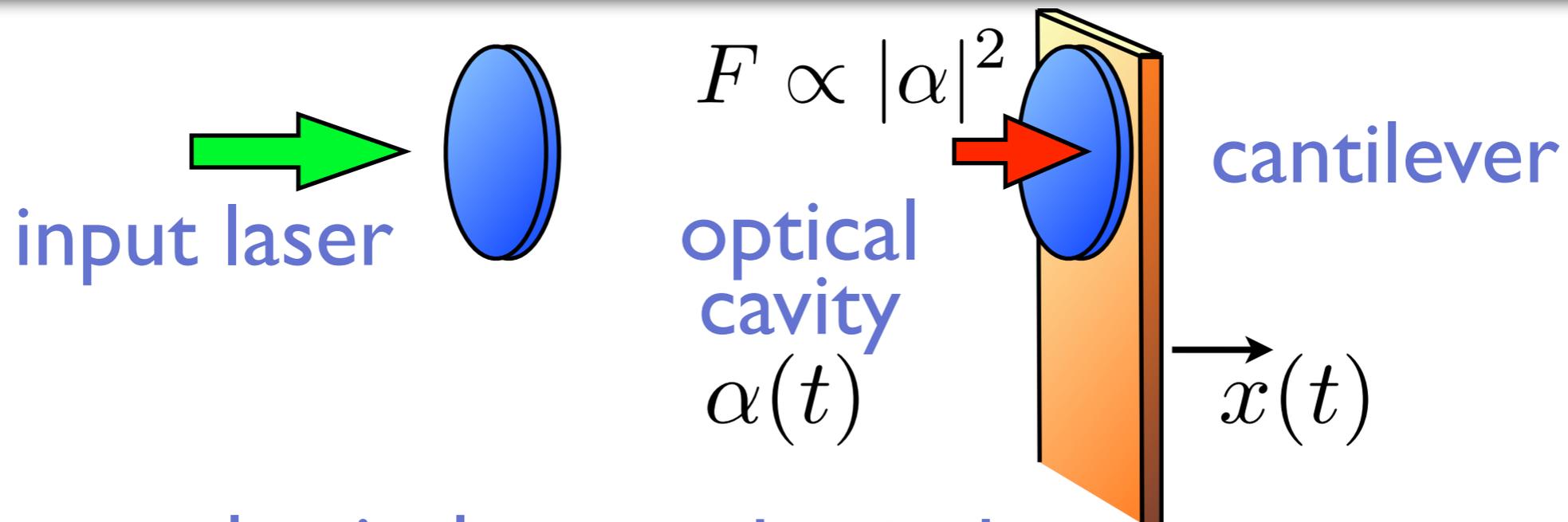


Coupling to the motion of a single atom

# Equations of motion



# Equations of motion



$$\ddot{x} = -\omega_M^2 (x - x_0) - \Gamma \dot{x} + F/m$$

mechanical frequency

mechanical damping

radiation pressure

equilibrium position

$$F = \frac{\hbar \omega_R}{L} |\alpha|^2$$

$$\dot{\alpha} = i\omega_R \frac{x}{L} \alpha - \frac{\kappa}{2} (\alpha - \alpha_{\text{in}})$$

detuning from resonance

cavity decay rate

laser amplitude

# Linearized optomechanics

$$\alpha(t) = \bar{\alpha} + \delta\alpha(t)$$

$$x(t) = \bar{x} + \delta x(t)$$

$\Rightarrow \dots \Rightarrow$

(solve for arbitrary  $F_{\text{ext}}(\omega)$ )

$$\delta x(\omega) = \frac{1}{\underbrace{m(\omega_M^2 - \omega^2) - im\omega\Gamma + \Sigma(\omega)}_{\chi_{xx}^{\text{eff}}(\omega)}} F_{\text{ext}}(\omega)$$

$$\delta\omega_M^2 = \frac{1}{m} \text{Re}\Sigma(\omega_M)$$

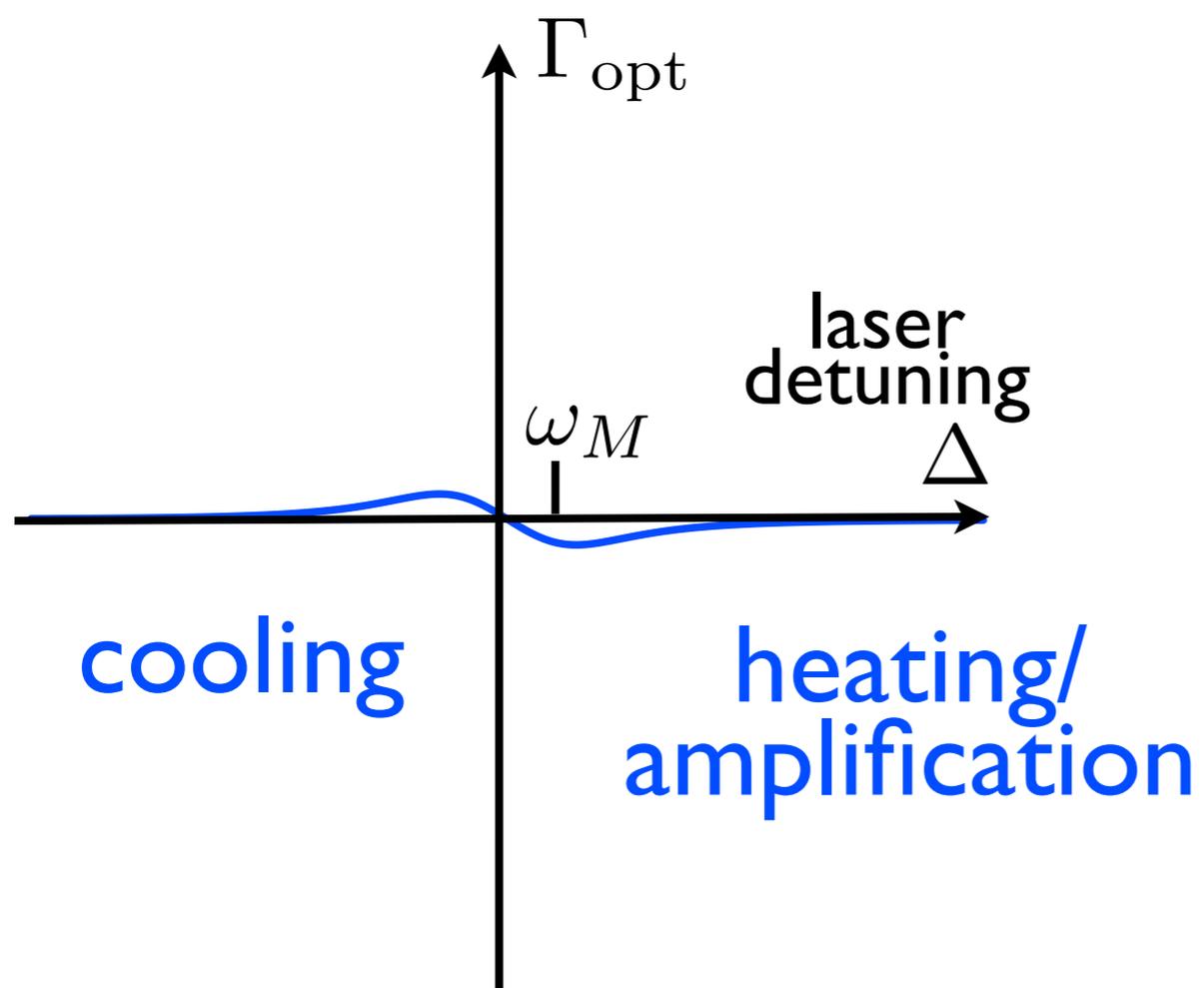
Optomechanical  
frequency shift  
("optical spring")

$$\Gamma_{\text{opt}} = -\frac{1}{m\omega_M} \text{Im}\Sigma(\omega_M)$$

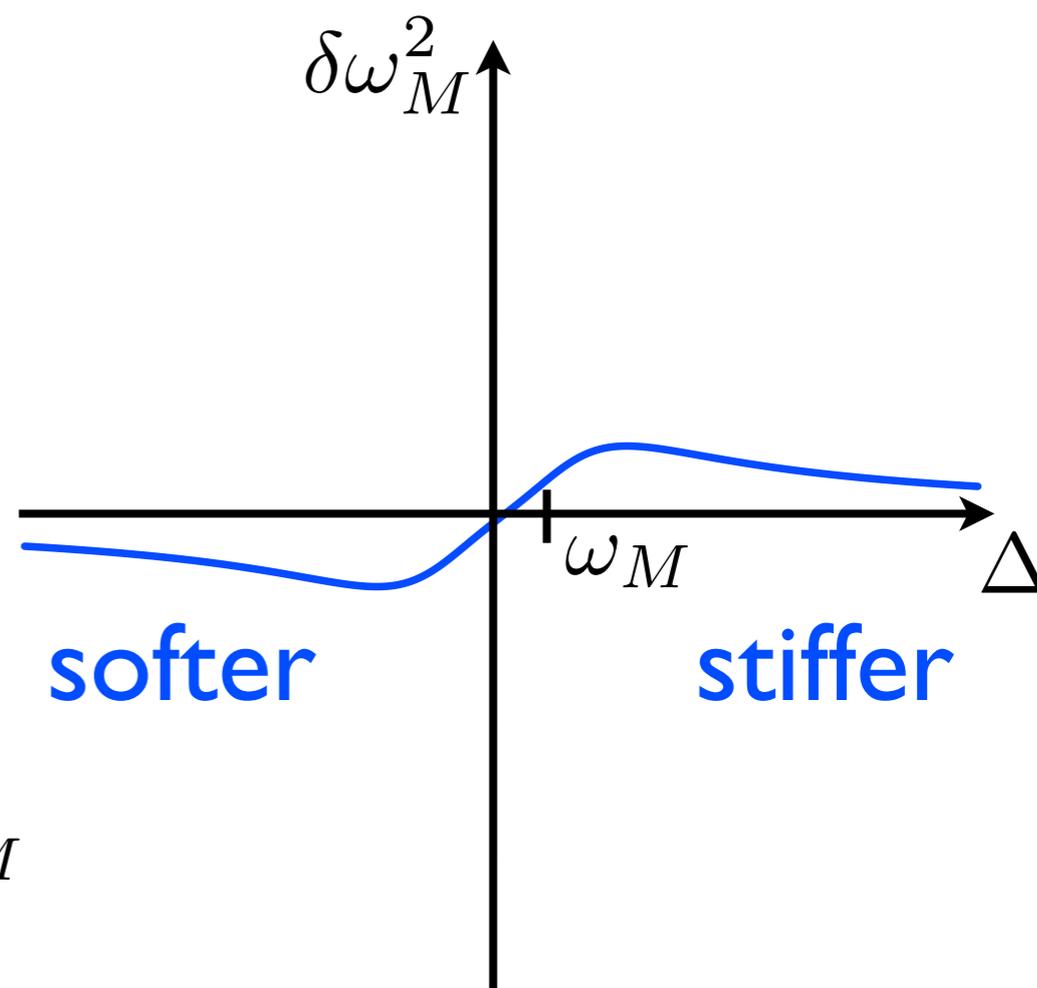
Effective  
optomechanical  
damping rate

# Linearized dynamics

Effective  
optomechanical  
damping rate



Optomechanical  
frequency shift  
("optical spring")

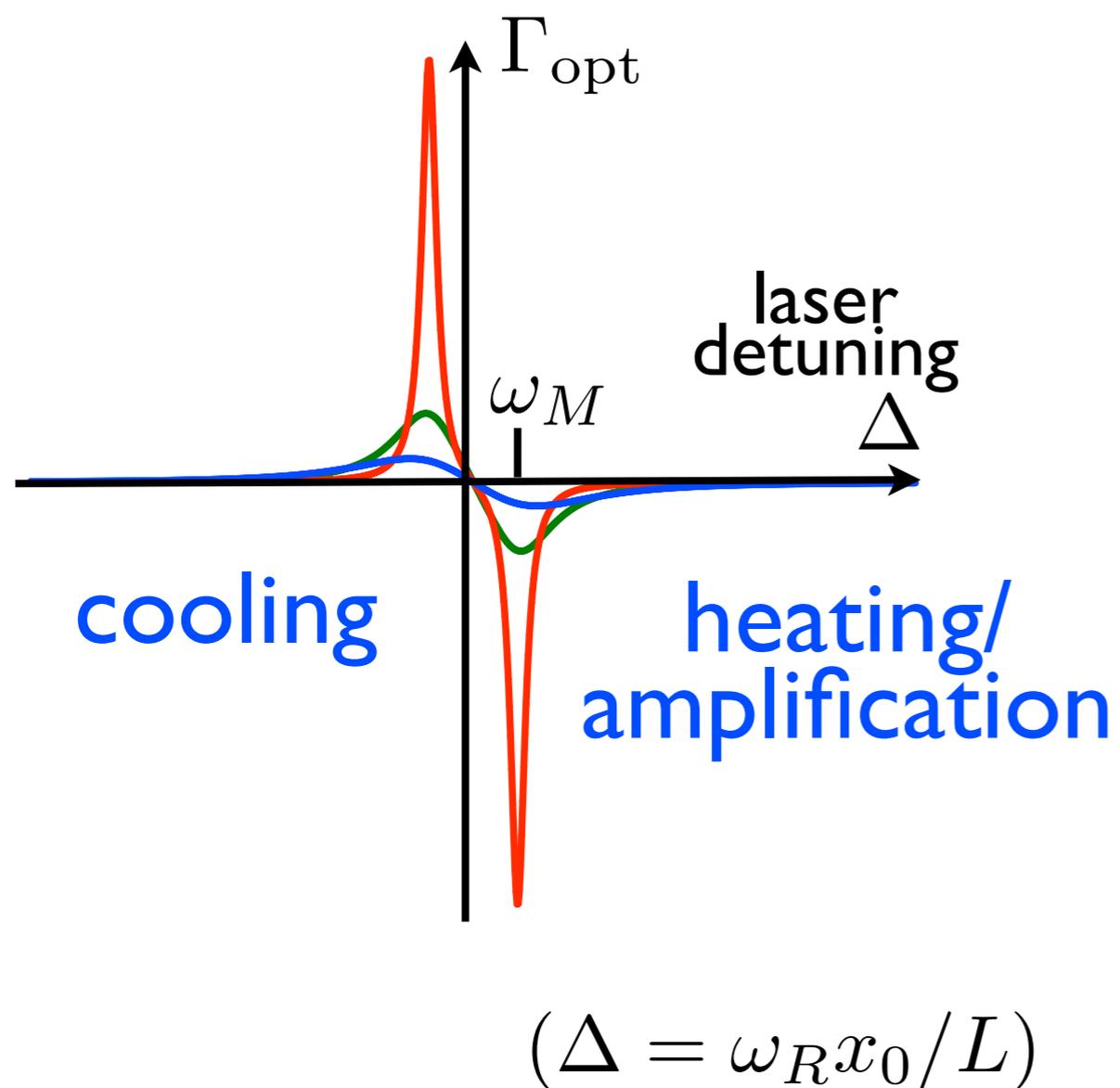


$\kappa/\omega_M$   
■ 2

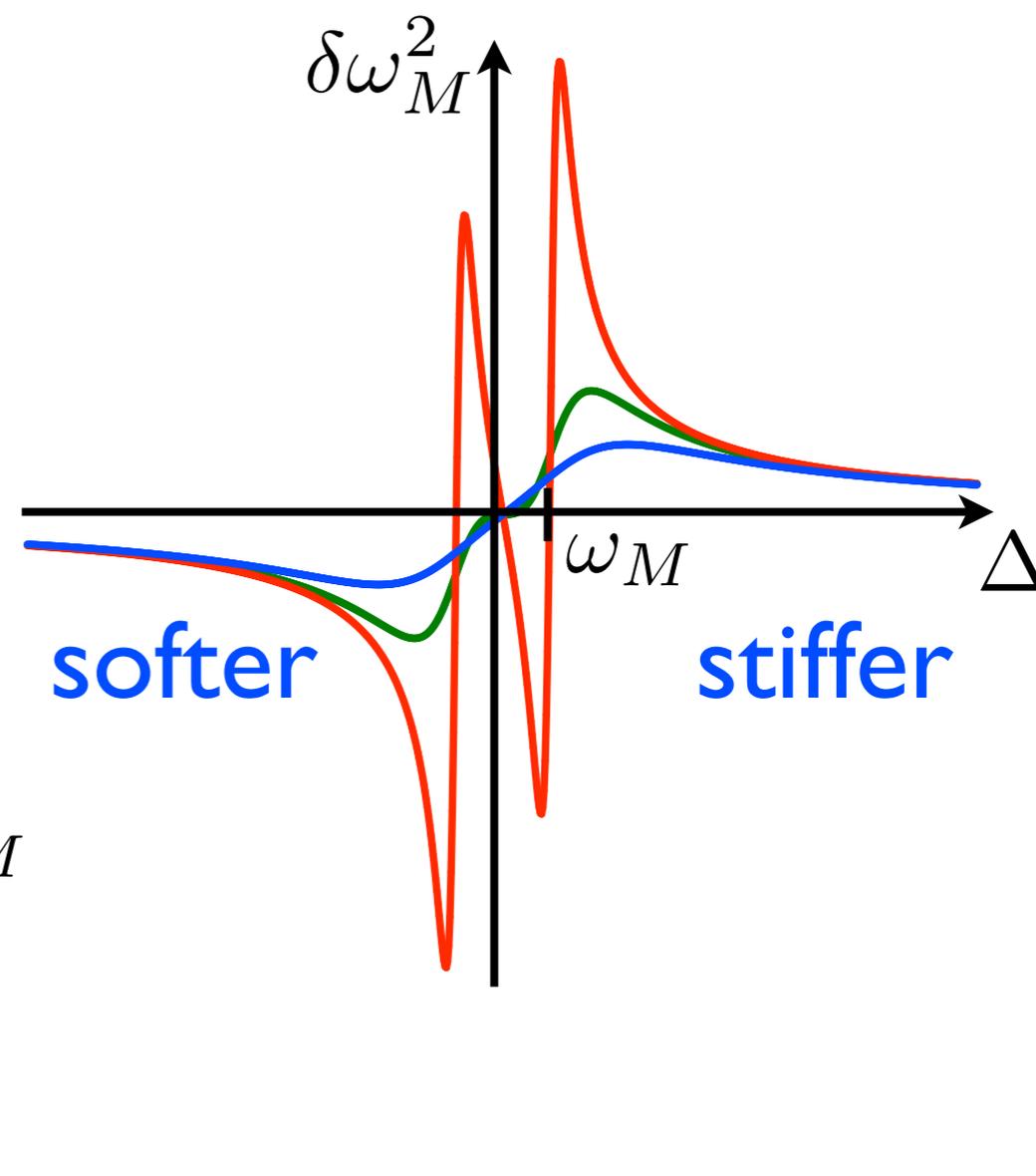
$(\Delta = \omega_R x_0 / L)$

# Linearized dynamics

Effective  
optomechanical  
damping rate

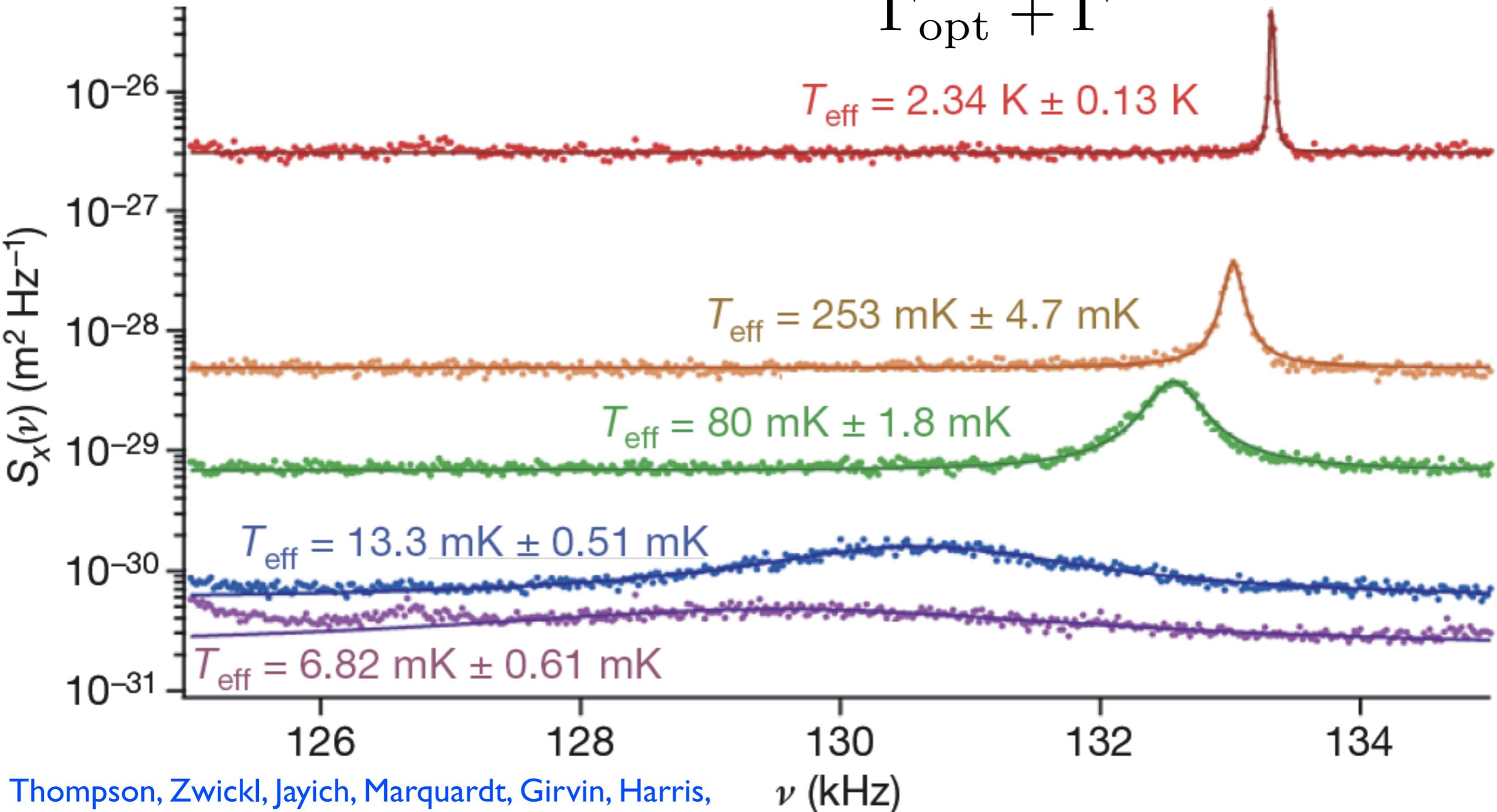


Optomechanical  
frequency shift  
("optical spring")

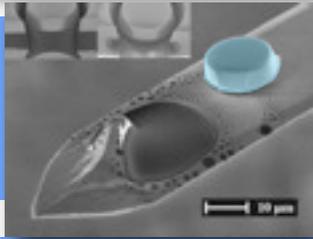


# Cooling by damping

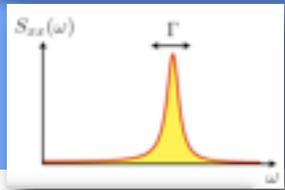
$$T_{\text{eff}} = T \cdot \frac{\Gamma}{\Gamma_{\text{opt}} + \Gamma} \quad T=300 \text{ K}$$



# Optomechanics (Outline)

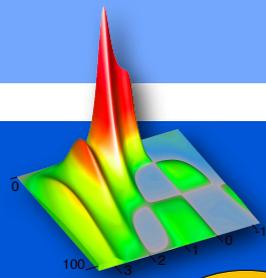


Introduction

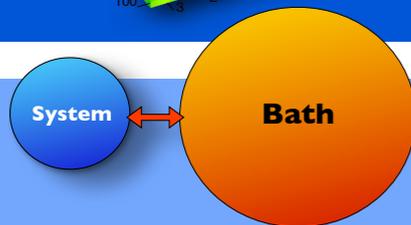


Displacement detection

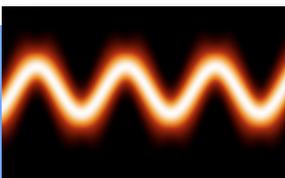
Linear optomechanics



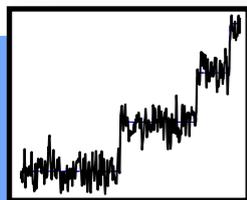
Nonlinear dynamics



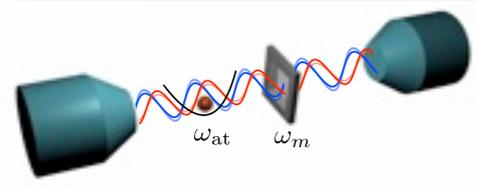
Quantum theory of cooling



Interesting quantum states

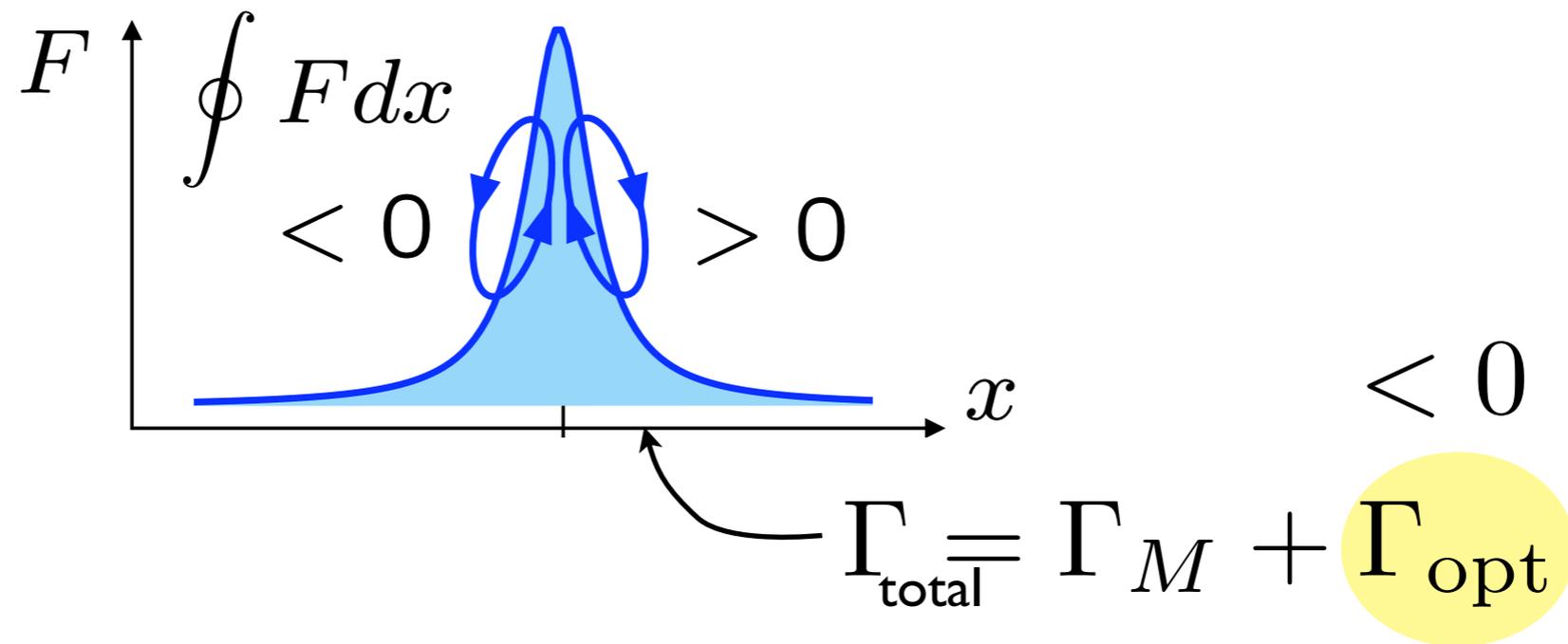


Towards Fock state detection

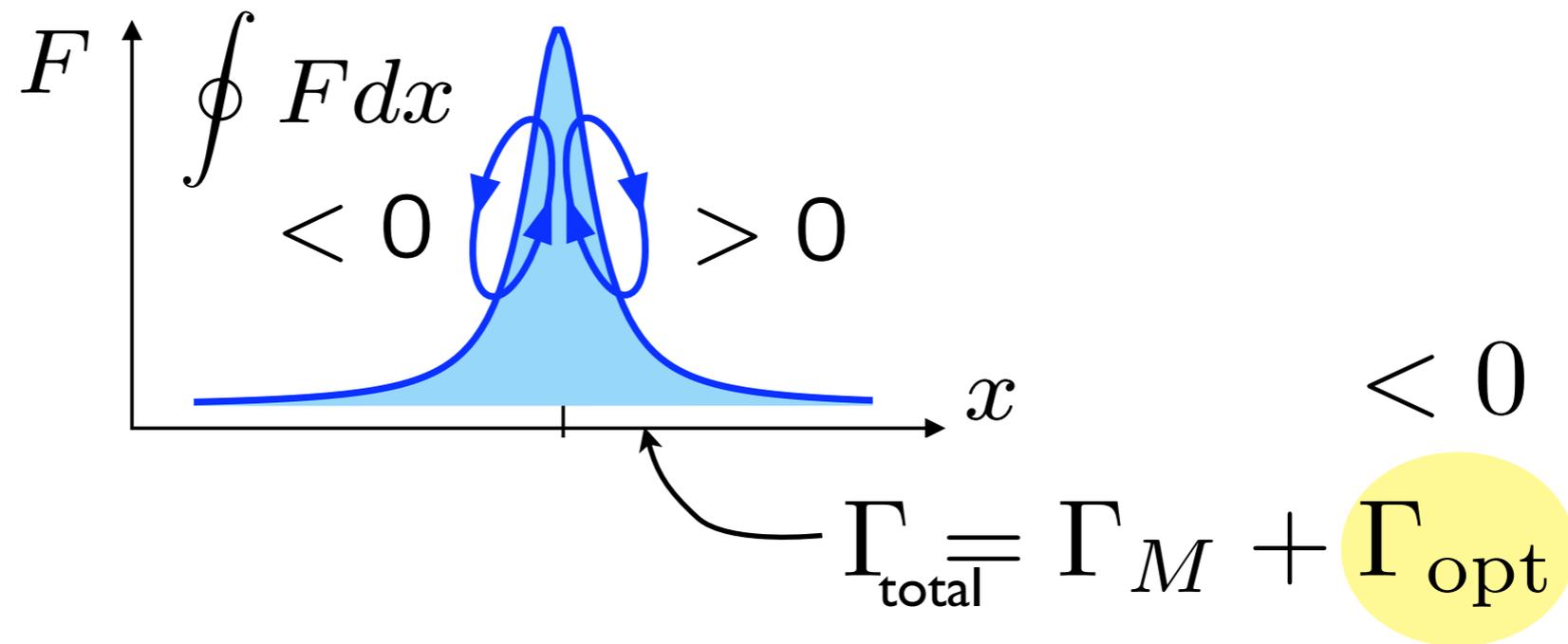


Coupling to the motion of a single atom

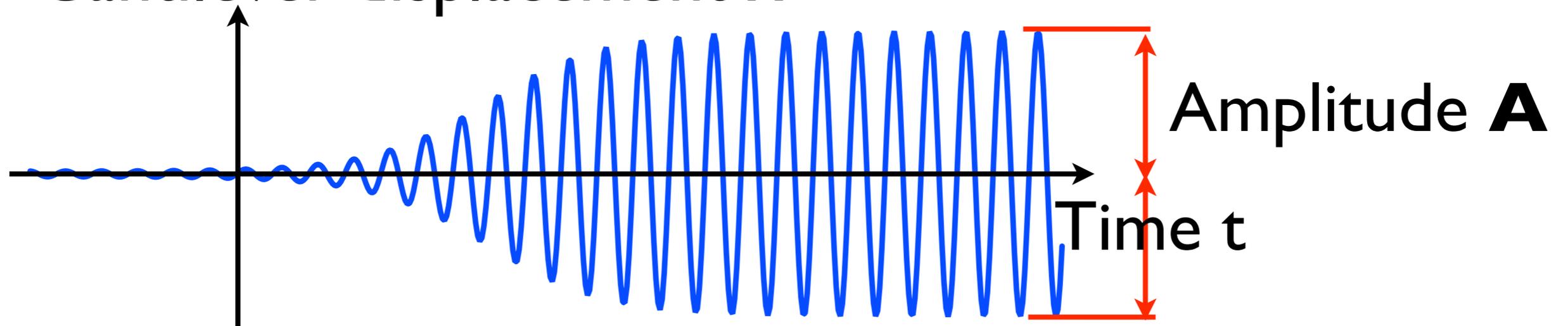
# Self-induced oscillations



# Self-induced oscillations

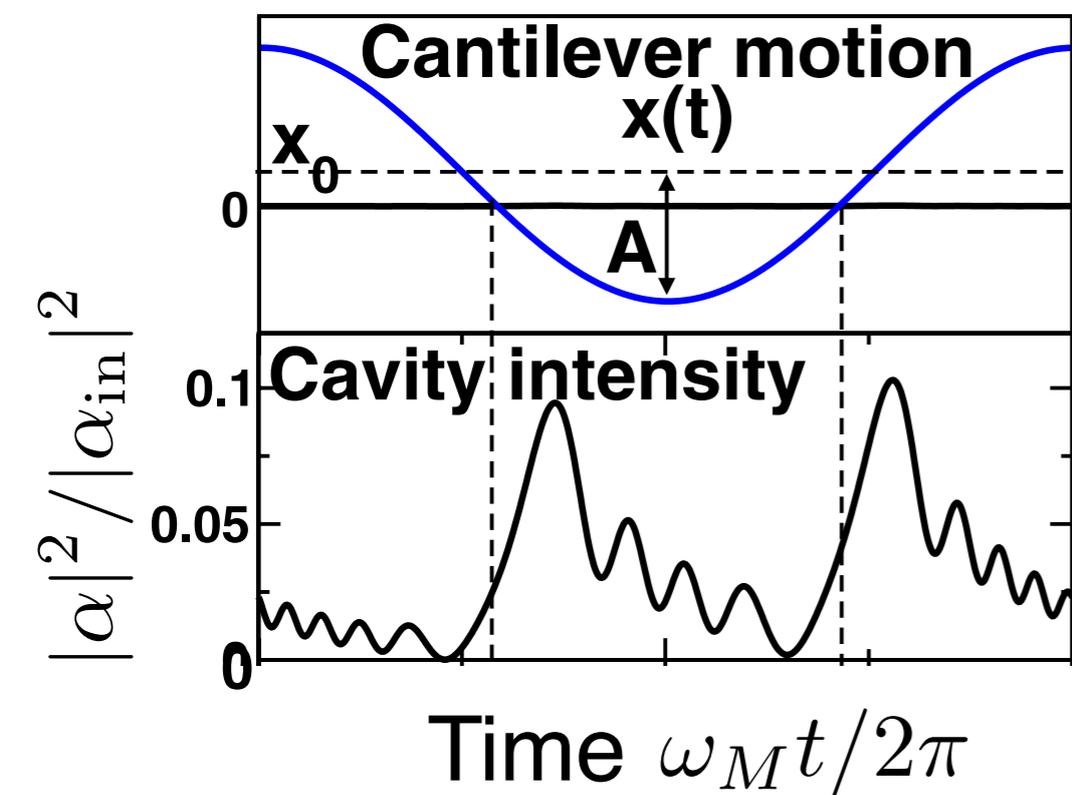


Beyond some laser input power threshold: instability  
Cantilever displacement  $x$



# Self-induced oscillations

$$x(t) \approx \bar{x} + A \cos(\omega_M t)$$



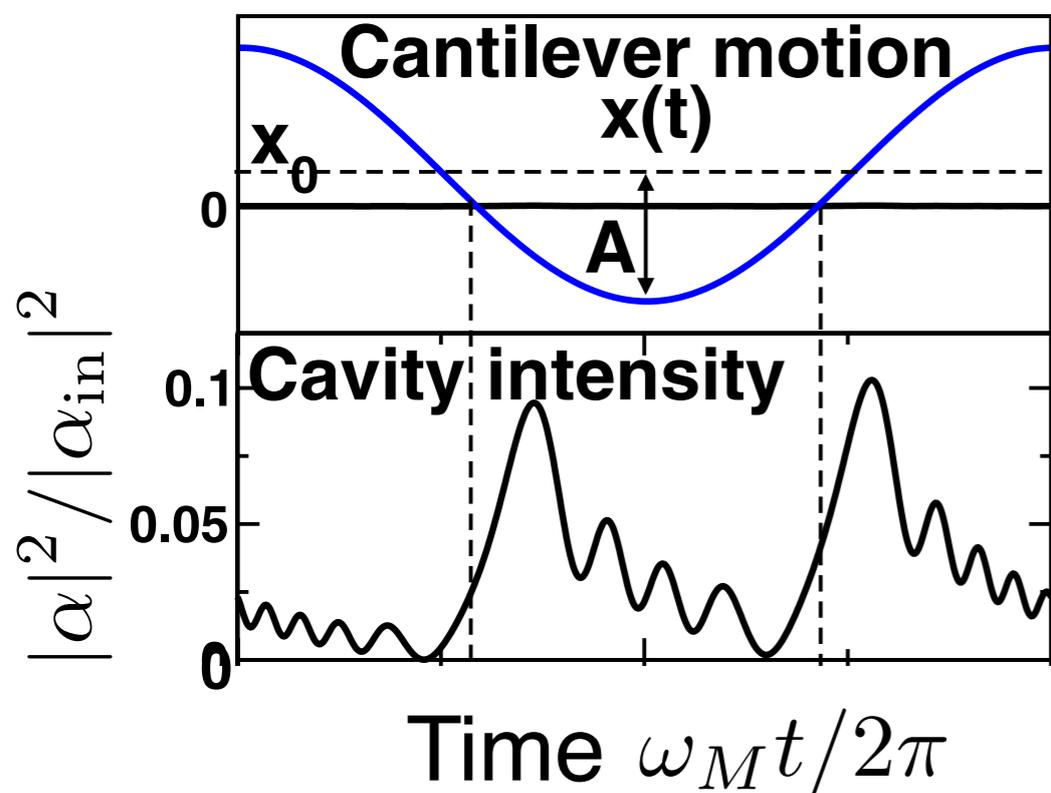
# Self-induced oscillations

$$x(t) \approx \bar{x} + A \cos(\omega_M t)$$

**cavity intensity oscillations:**

$$\alpha(t) = e^{i\varphi(t)} \sum_n \alpha_n e^{in\omega_M t}$$

$$\alpha_n = \alpha_n(\bar{x}, A) \quad [\text{analytical solution}]$$



**1. force balance:**  $\langle \ddot{x} \rangle = 0$

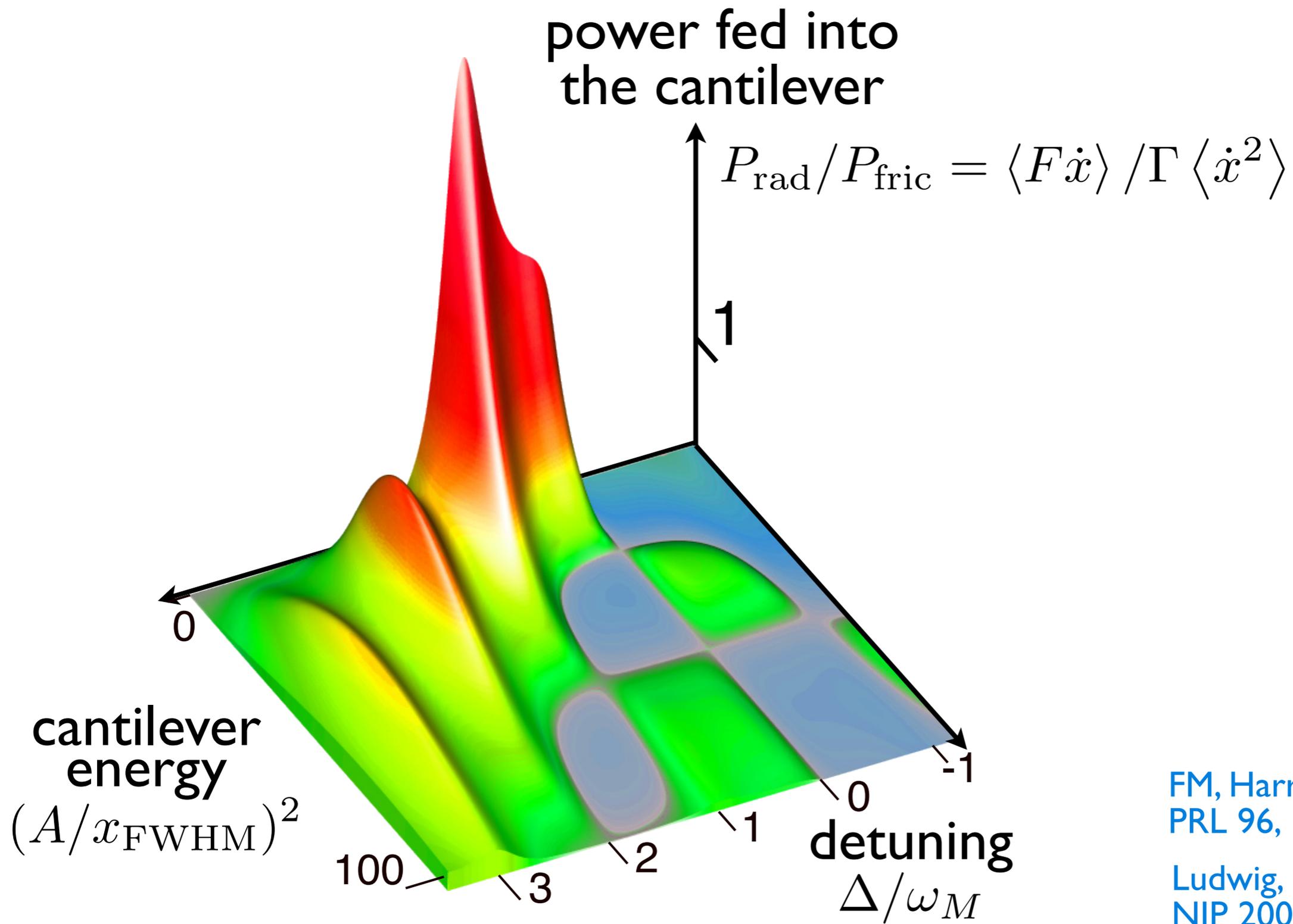
$$\langle F \rangle = m\omega_M^2(\bar{x} - x_0)$$

**2. power balance:**  $\langle \dot{x}\ddot{x} \rangle = 0$

$$\langle F\dot{x} \rangle = \Gamma \langle \dot{x}^2 \rangle$$

Two equations for two unknowns:  $\bar{x}, A$

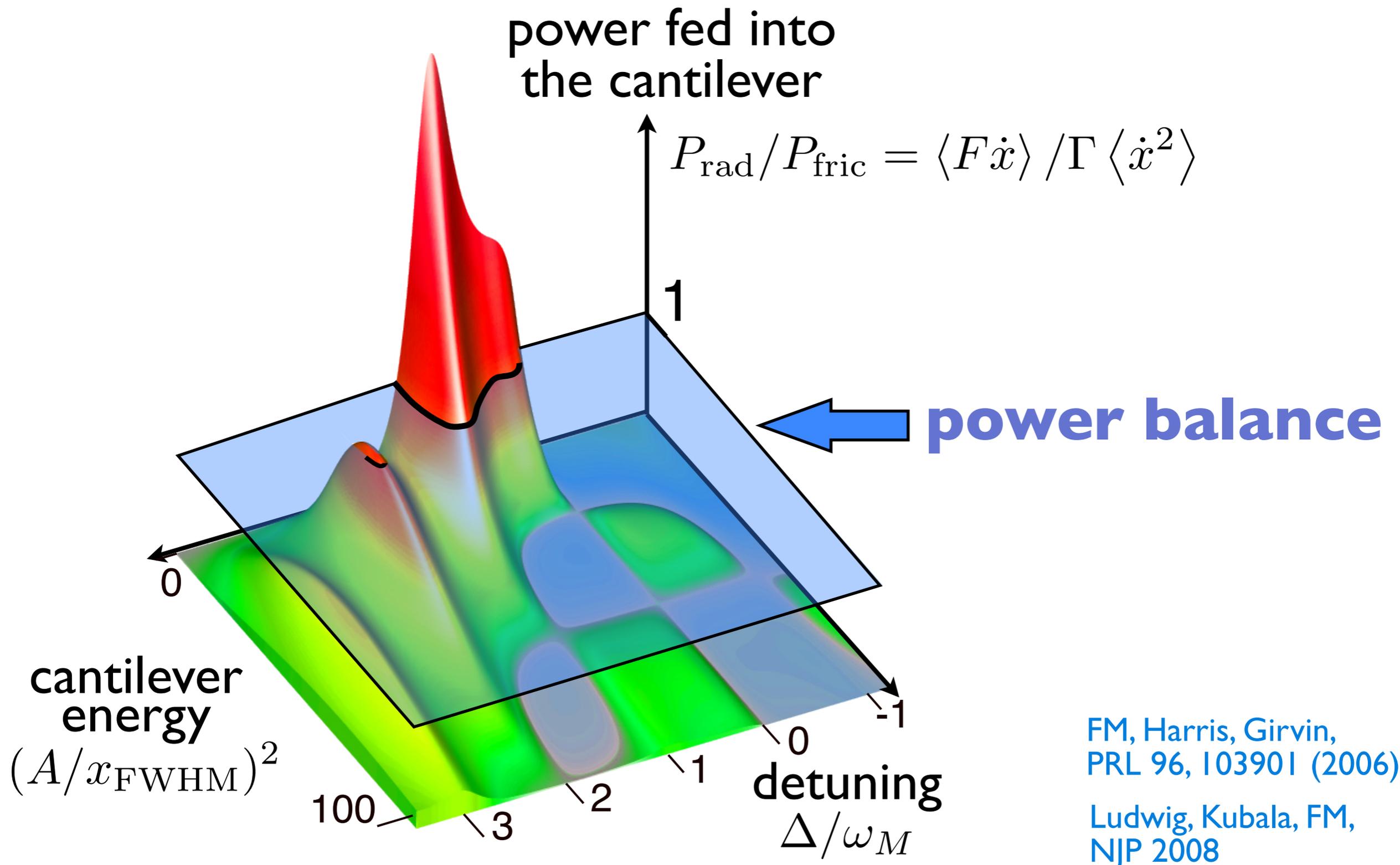
# Attractor diagram



FM, Harris, Girvin,  
PRL 96, 103901 (2006)

Ludwig, Kubala, FM,  
NJP 2008

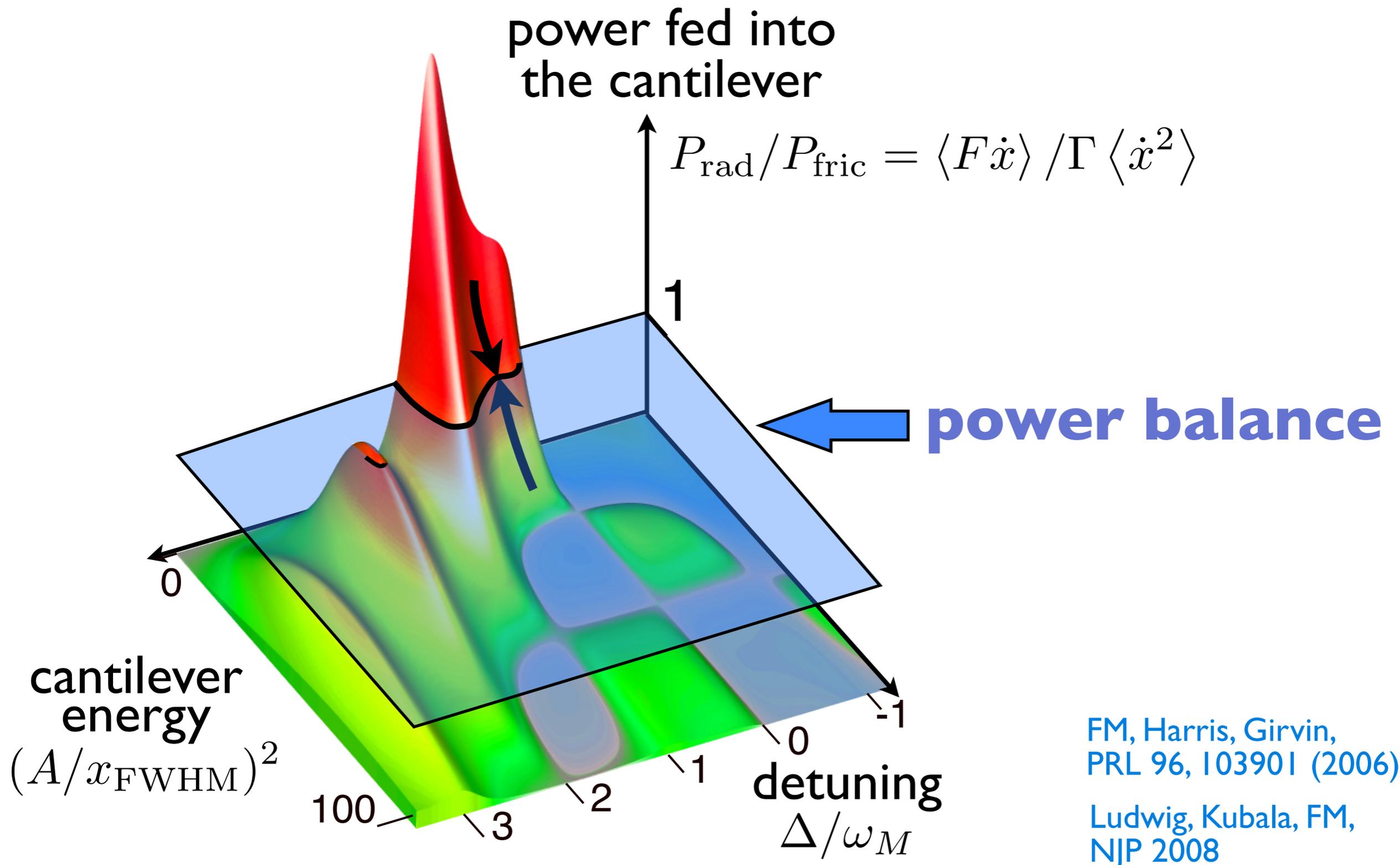
# Attractor diagram



FM, Harris, Girvin,  
PRL 96, 103901 (2006)

Ludwig, Kubala, FM,  
NJP 2008

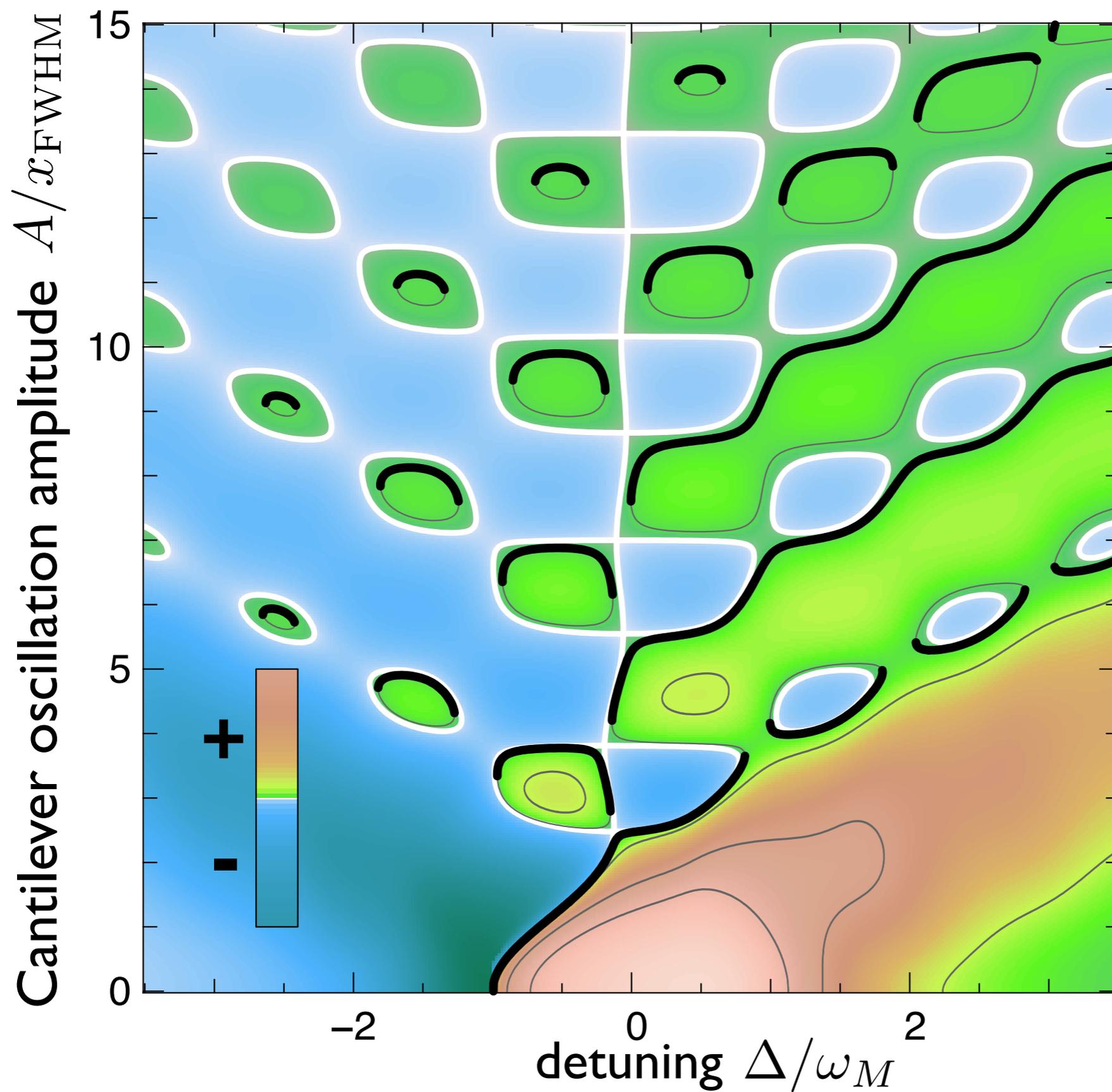
# Attractor diagram



FM, Harris, Girvin,  
PRL 96, 103901 (2006)

Ludwig, Kubala, FM,  
NJP 2008

# Attractor diagram



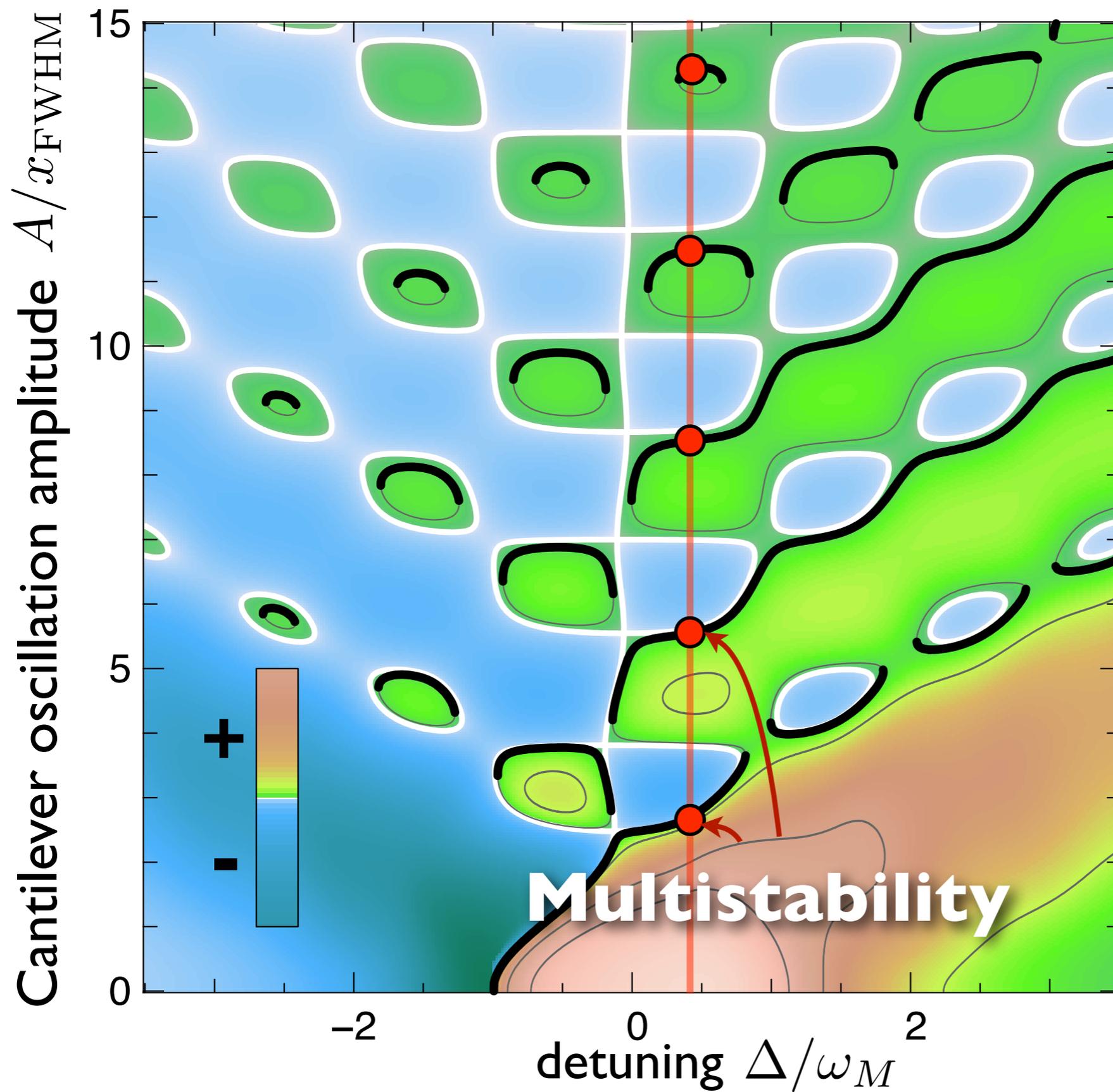
Color scale:  
power fed into  
cantilever

FM, Harris, Girvin,  
PRL **96**, 103901 (2006)

$$\Gamma/\omega_M = 0.001$$

$$\omega_M/\kappa = 1$$

# Attractor diagram



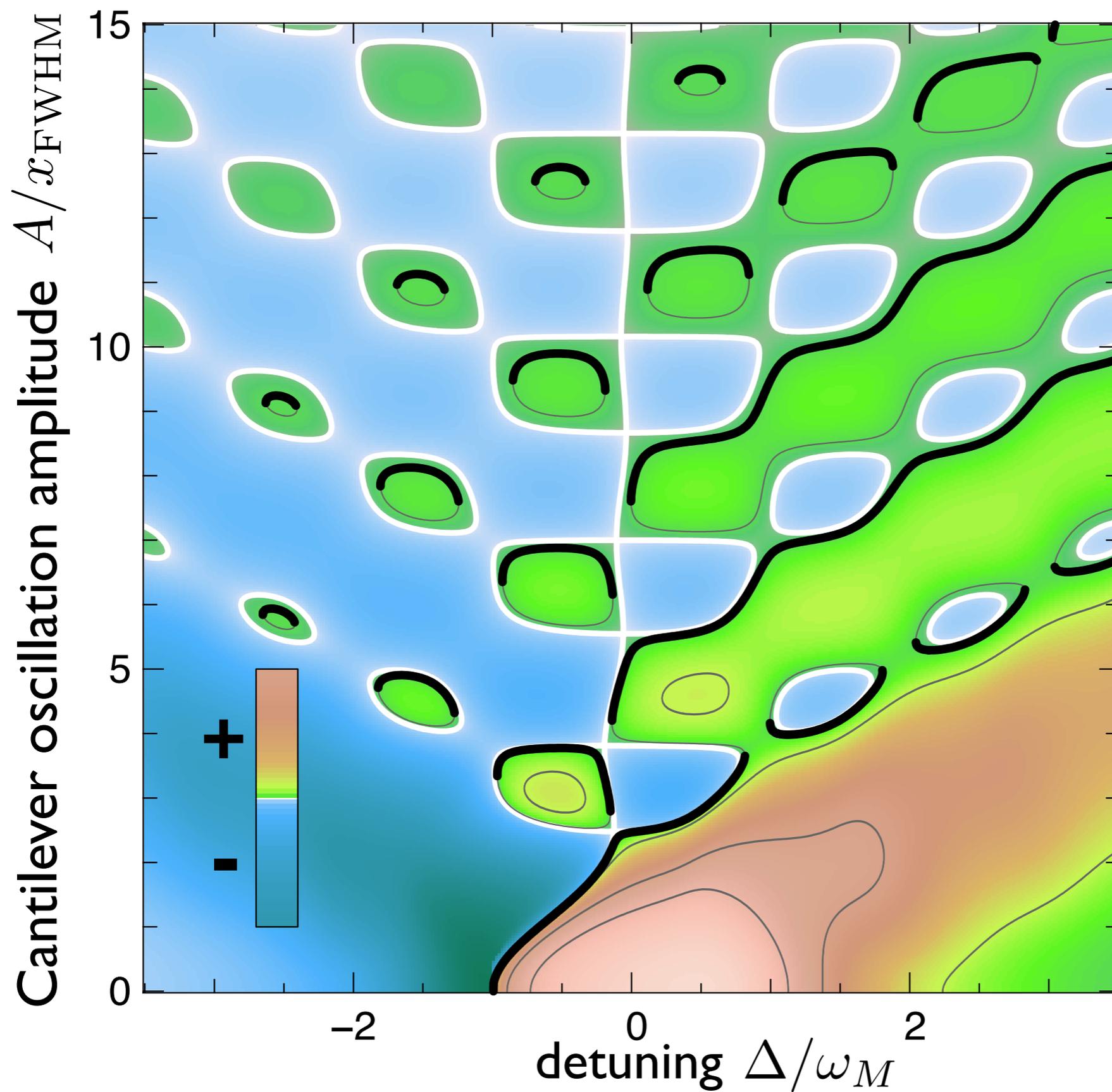
Color scale:  
power fed into  
cantilever

FM, Harris, Girvin,  
PRL **96**, 103901 (2006)

$$\Gamma/\omega_M = 0.001$$

$$\omega_M/\kappa = 1$$

# Attractor diagram



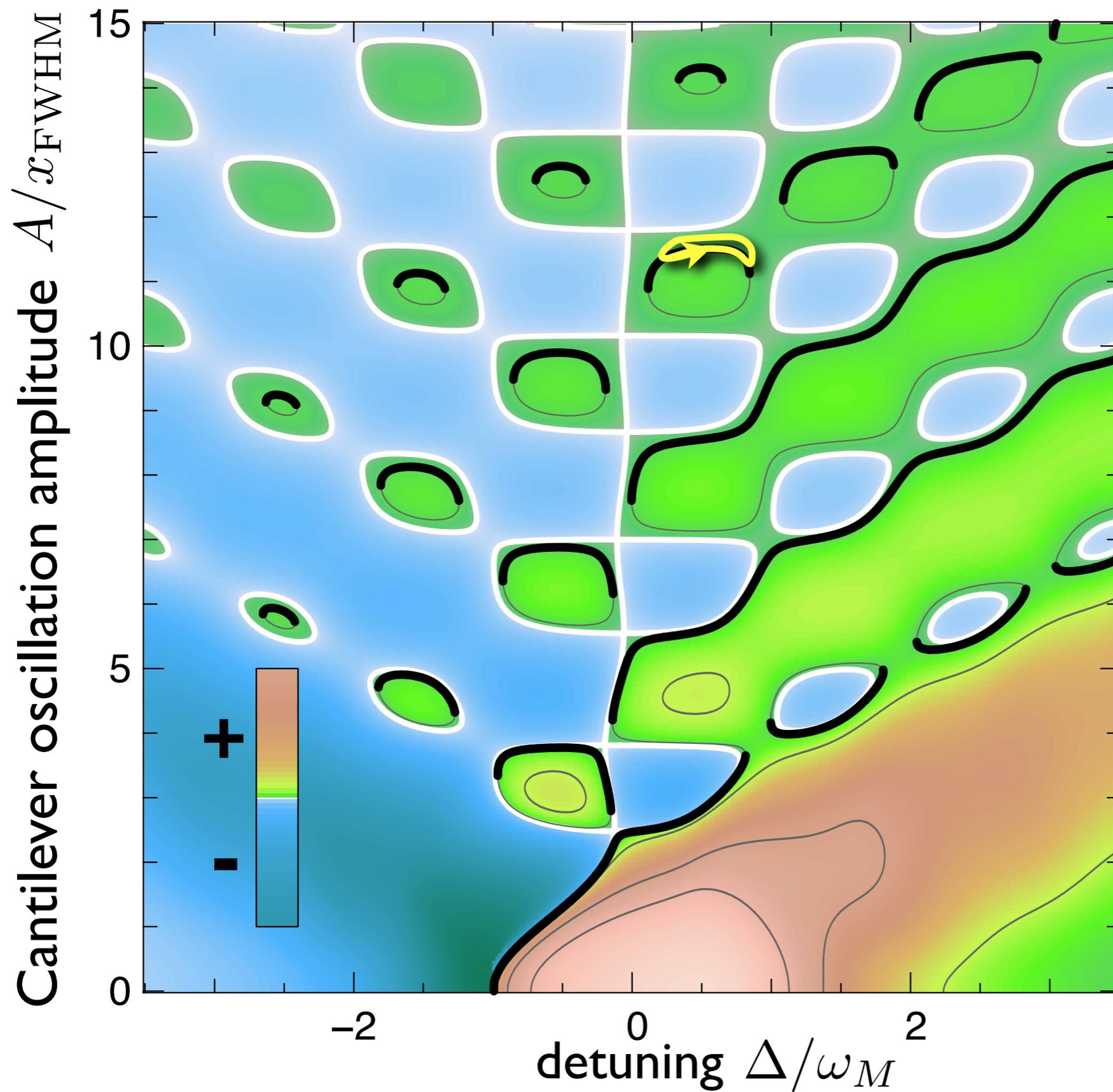
Color scale:  
power fed into  
cantilever

FM, Harris, Girvin,  
PRL **96**, 103901 (2006)

$$\Gamma/\omega_M = 0.001$$

$$\omega_M/\kappa = 1$$

# Attractor diagram



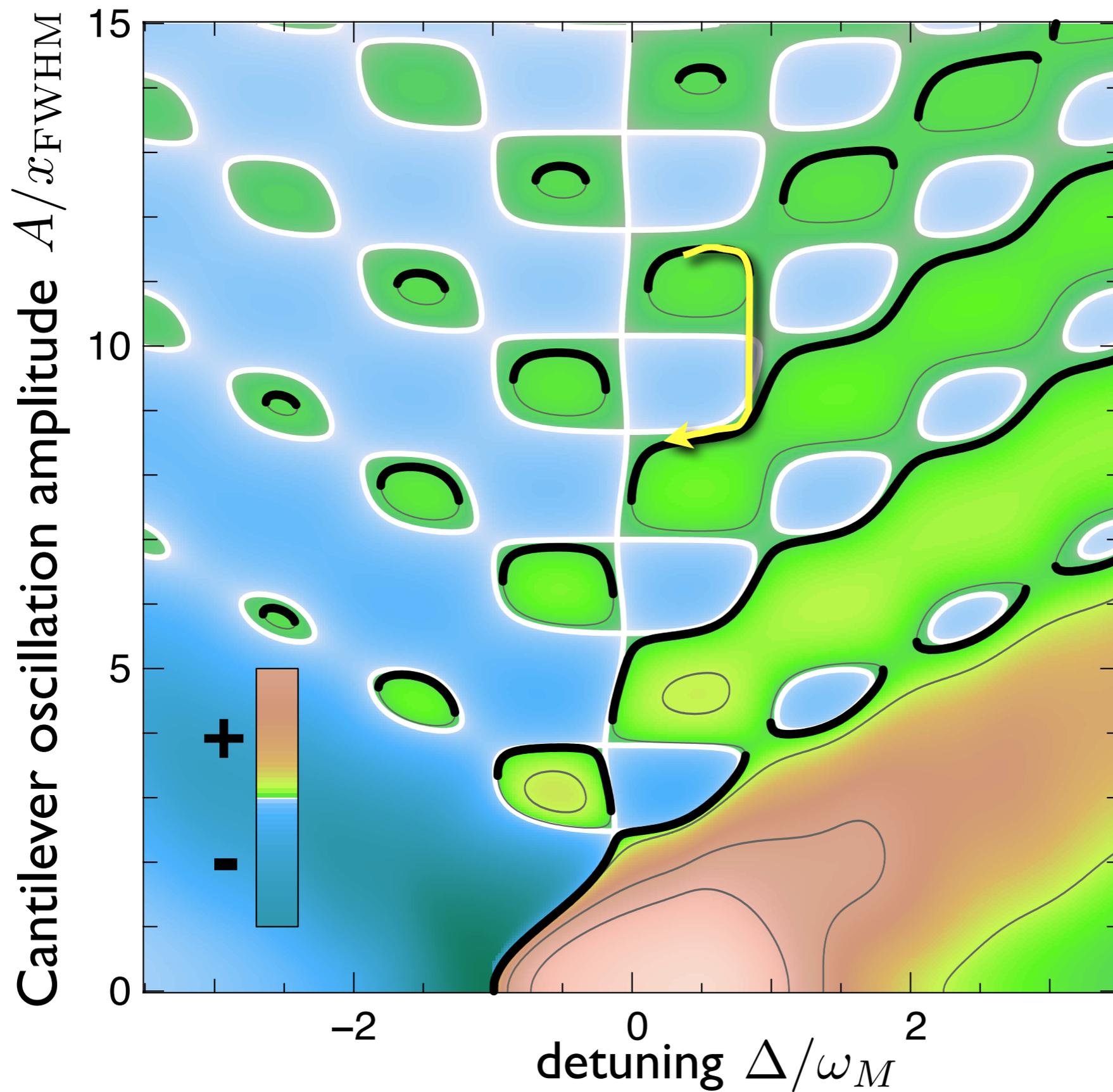
Color scale:  
power fed into  
cantilever

FM, Harris, Girvin,  
PRL **96**, 103901 (2006)

$$\Gamma/\omega_M = 0.001$$

$$\omega_M/\kappa = 1$$

# Attractor diagram



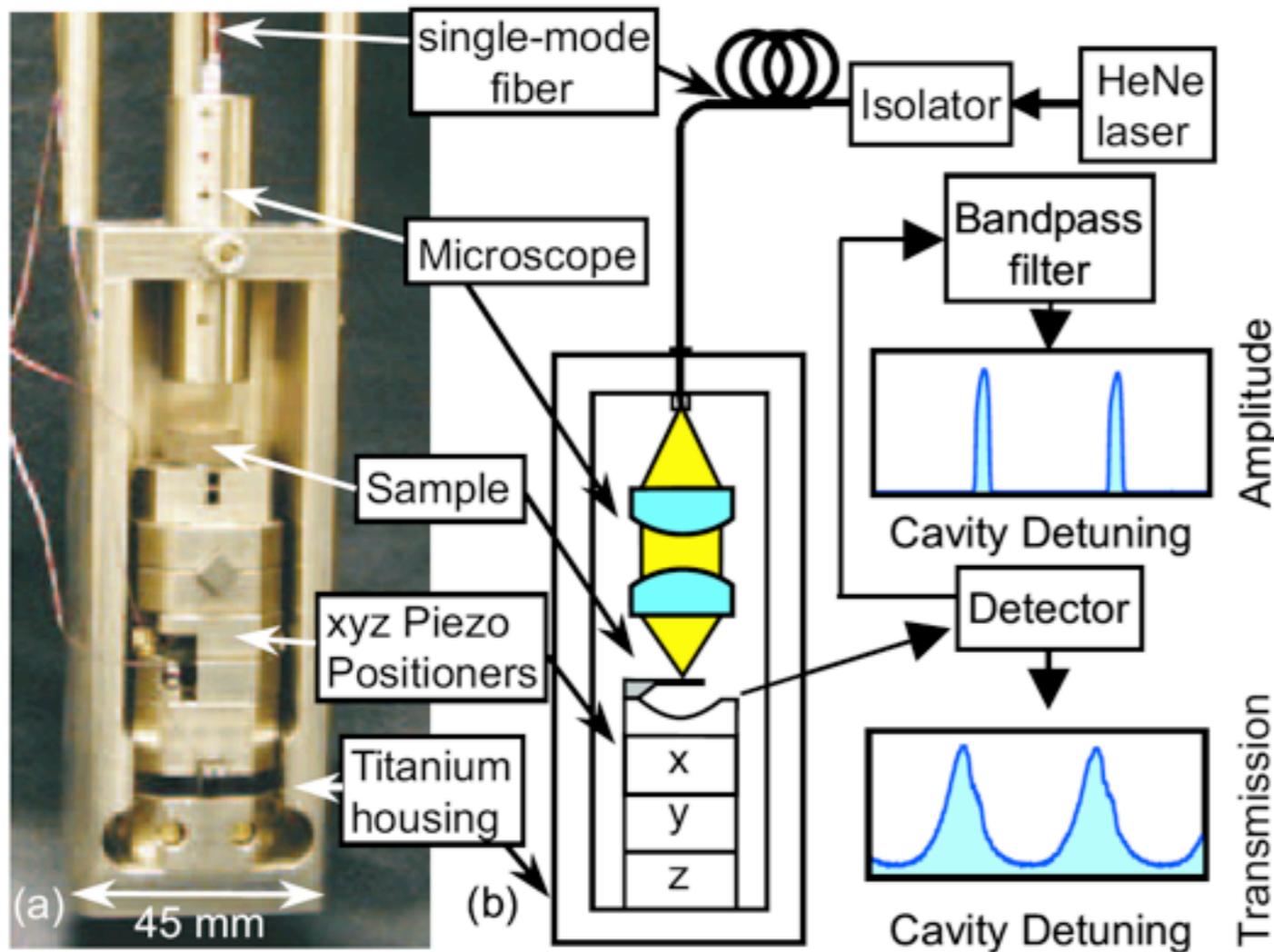
Color scale:  
power fed into  
cantilever

FM, Harris, Girvin,  
PRL **96**, 103901 (2006)

$$\Gamma/\omega_M = 0.001$$

$$\omega_M/\kappa = 1$$

# First experimental observation of attractor diagram



Time-delayed  
**bolometric** force:

$$F(t) \propto \int_{-\infty}^t e^{-(t-t')/\tau} I(t') \frac{dt'}{\tau}$$

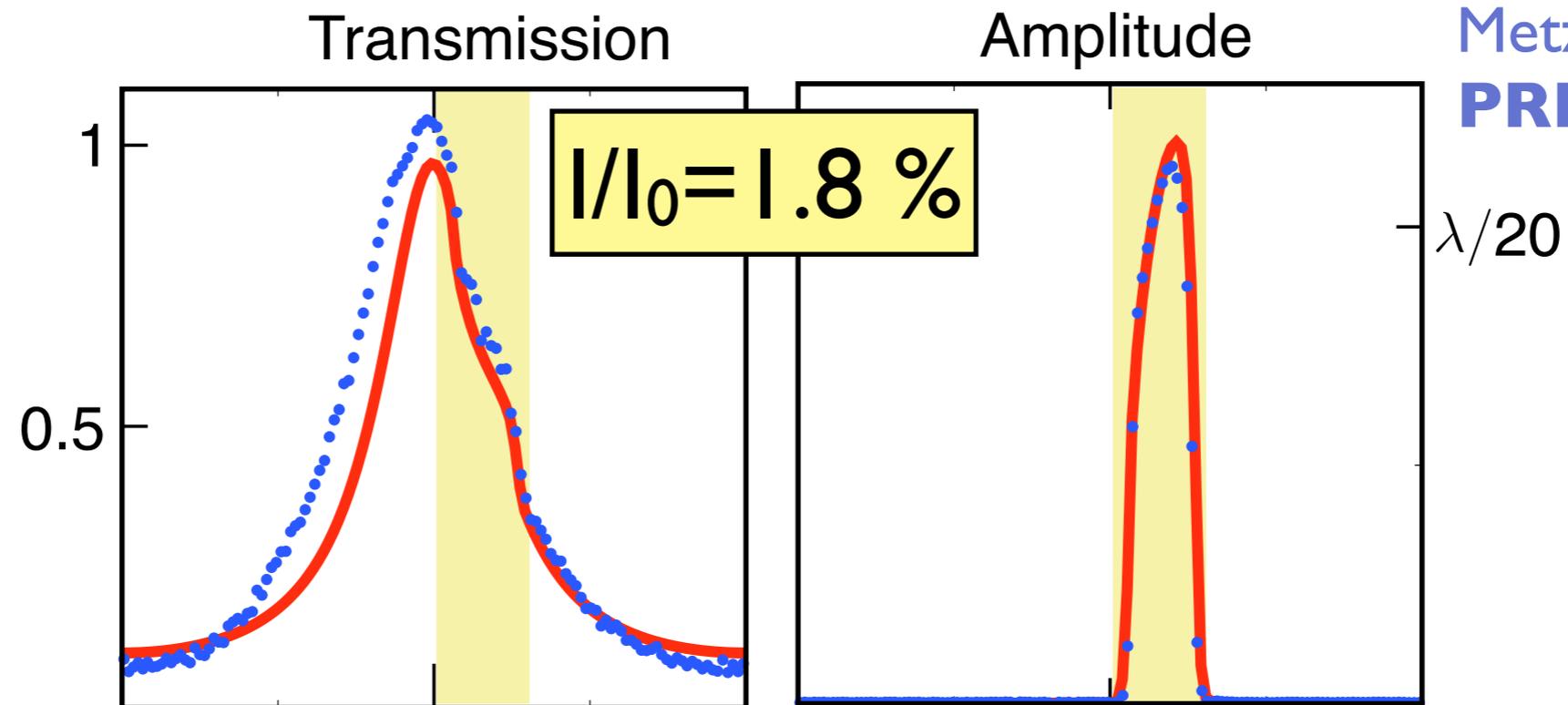
Low optical finesse –  
light intensity follows  
instantaneously:

$$I(t) = I[x(t)]$$

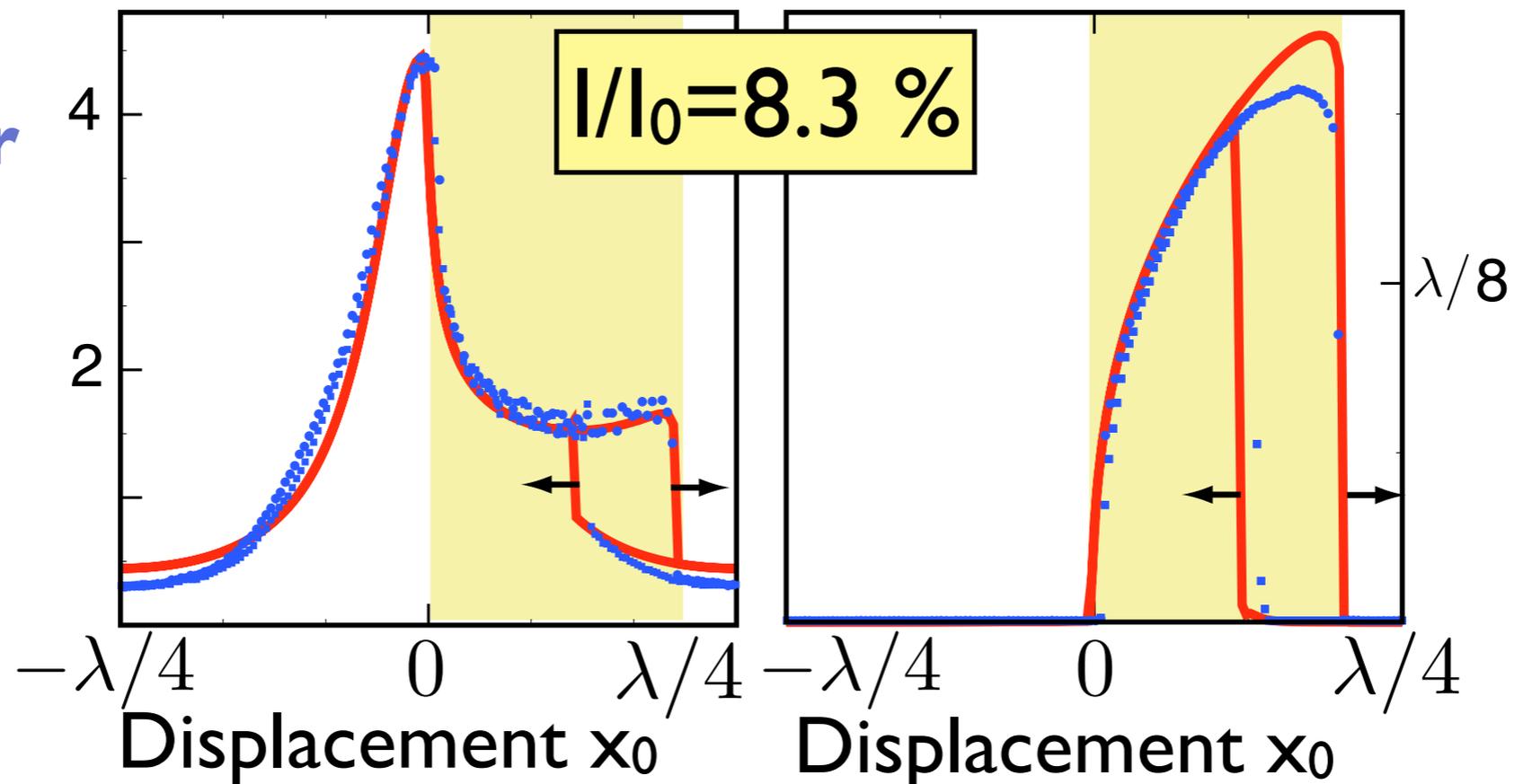
# Comparison theory/experiment

Metzger et al.,  
**PRL 2008**

low laser  
power



medium  
laser power

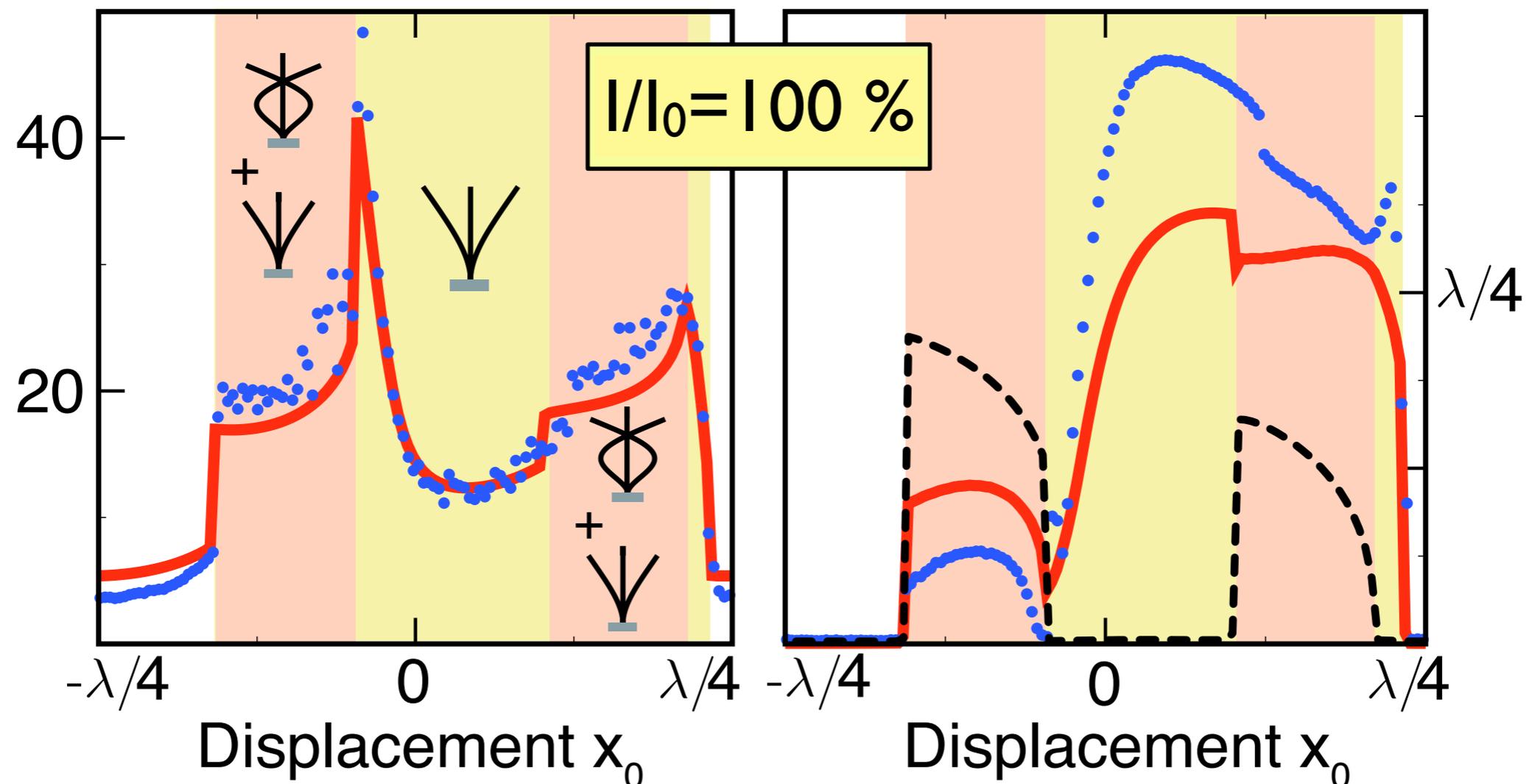


# Comparison theory/experiment

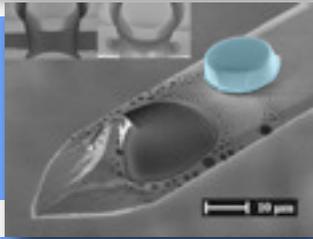
Metzger et al.,  
**PRL 2008**

## Coupled self-oscillations of two mechanical modes

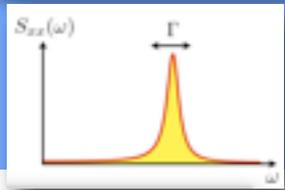
high laser  
power



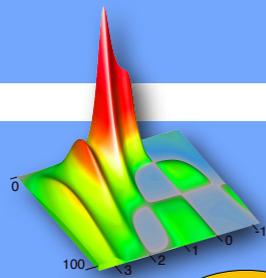
# Optomechanics (Outline)



Introduction

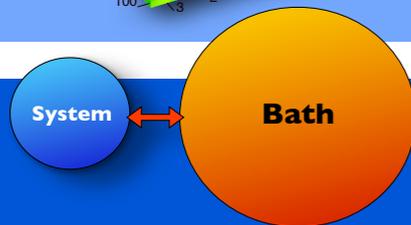


Displacement detection

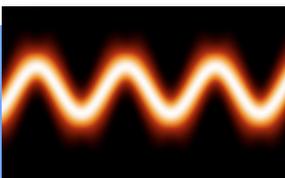


Linear optomechanics

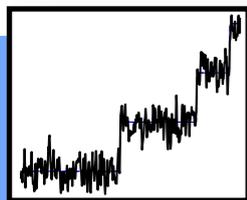
Nonlinear dynamics



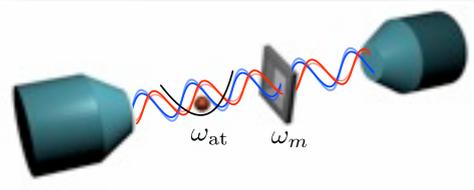
Quantum theory of cooling



Interesting quantum states

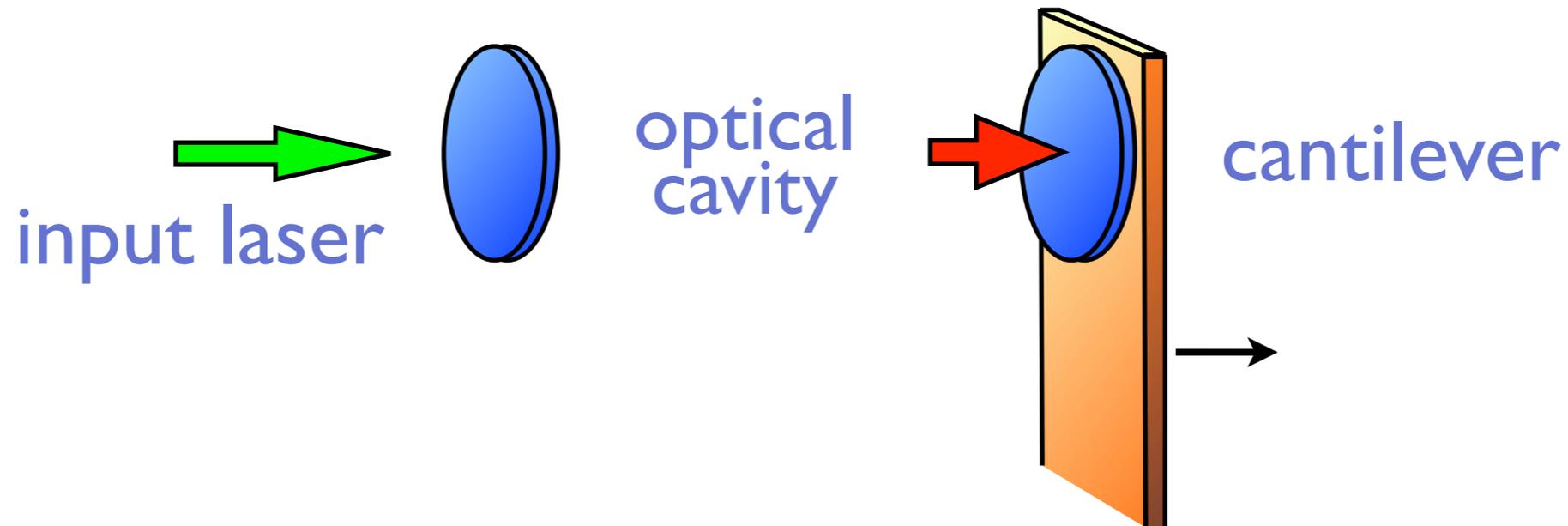


Towards Fock state detection



Coupling to the motion of a single atom

# Cooling with light



**Current goal in the field: *ground state* of mechanical motion of a macroscopic cantilever**

$$k_B T_{\text{eff}} \ll \hbar \omega_M$$

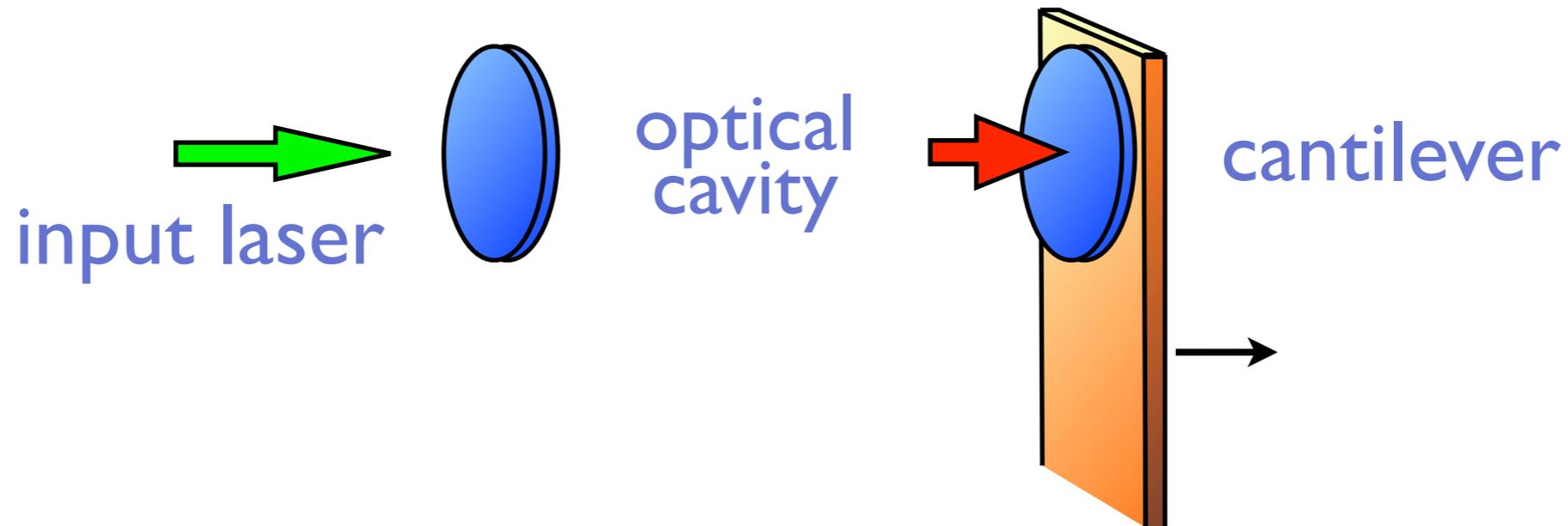
**Classical theory:**

$$T_{\text{eff}} = T \cdot \frac{\Gamma_M}{\Gamma_{\text{opt}} + \Gamma_M}$$

optomechanical damping rate

Pioneering theory and experiments: **Braginsky**  
(since 1960s)

# Cooling with light



**Current goal in the field: *ground state* of mechanical motion of a macroscopic cantilever**

$$k_B T_{\text{eff}} \ll \hbar \omega_M$$

**Classical theory:**

$$T_{\text{eff}} = T \cdot \frac{\Gamma_M}{\Gamma_{\text{opt}} + \Gamma_M} \rightarrow 0 ?$$

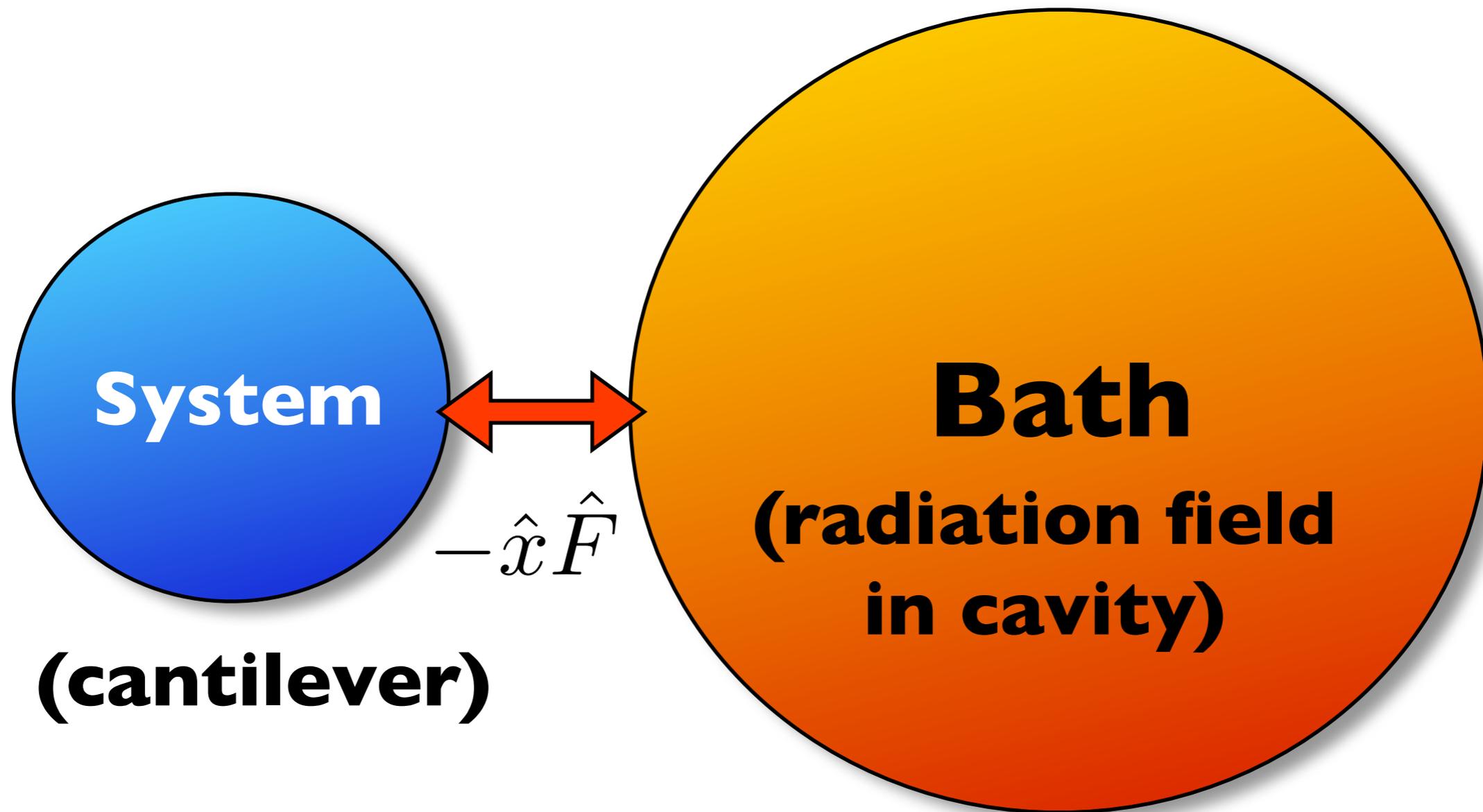
**quantum limit?**  
**shot noise!**

optomechanical damping rate

Pioneering theory and experiments: **Braginsky**  
(since 1960s)

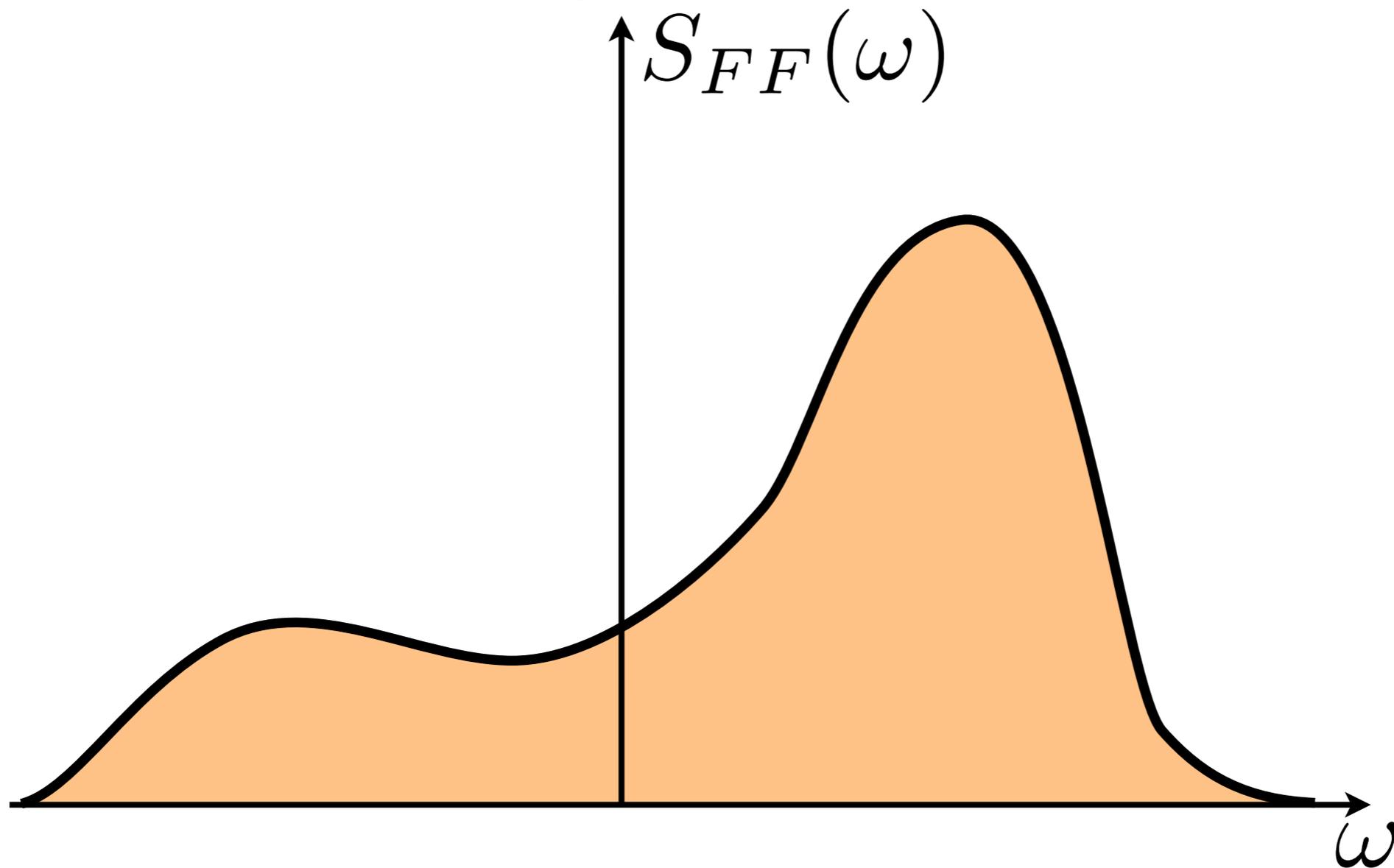


# Quantum noise approach



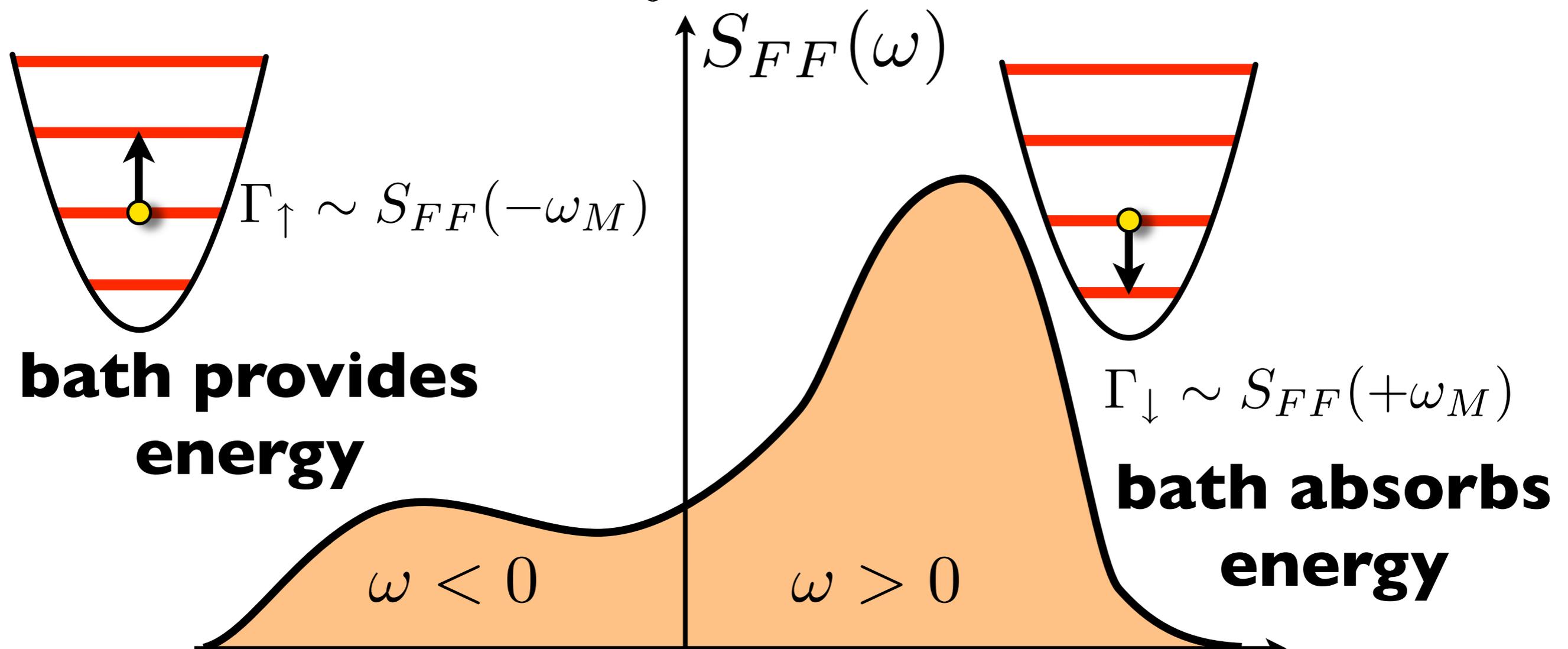
# Quantum noise approach

**spectrum**  $S_{FF}(\omega) = \int e^{i\omega t} \langle \hat{F}(t) \hat{F}(0) \rangle dt$



# Quantum noise approach

**spectrum**  $S_{FF}(\omega) = \int e^{i\omega t} \langle \hat{F}(t) \hat{F}(0) \rangle dt$



**transition rate**  $\Gamma_{f \leftarrow i} = \frac{|x_{fi}|^2}{\hbar^2} S_{FF} \left( \frac{\epsilon_i - \epsilon_f}{\hbar} \right)$

# Quantum theory of optomechanical cooling

## Spectrum of radiation pressure fluctuations

$$S_{FF}(\omega) = \int e^{i\omega t} \langle \hat{F}(t) \hat{F}(0) \rangle dt$$

radiation  
pressure  
force

$$\hat{F} = \left( \frac{\hbar\omega_R}{L} \right) \hat{a}^\dagger \hat{a}$$

photon number

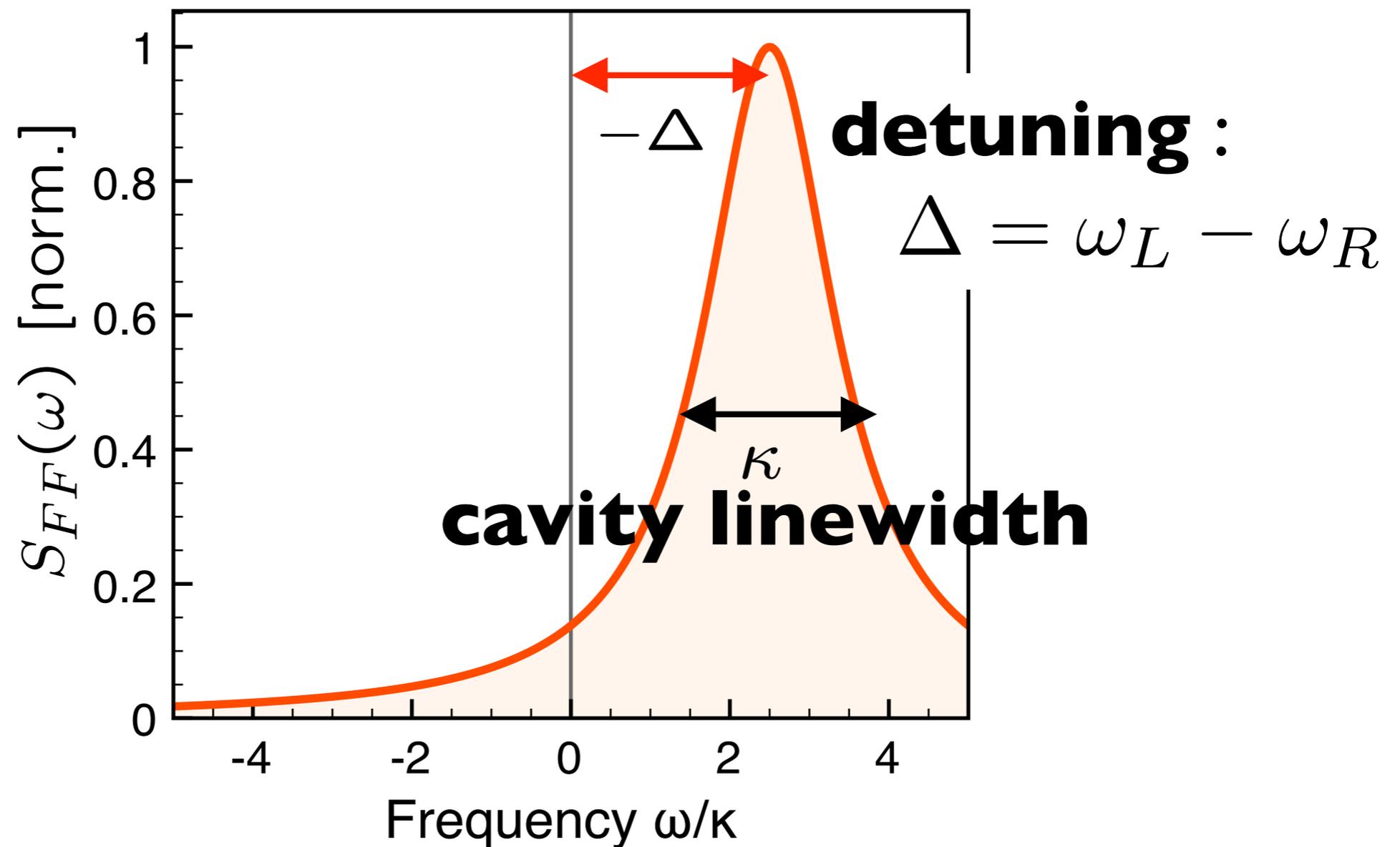
$$S_{FF}(\omega) = \left( \frac{\hbar\omega_R}{L} \right)^2 \bar{n}_p \frac{\kappa}{(\omega + \Delta)^2 + (\kappa/2)^2}$$

photon shot noise spectrum

# Quantum theory of optomechanical cooling

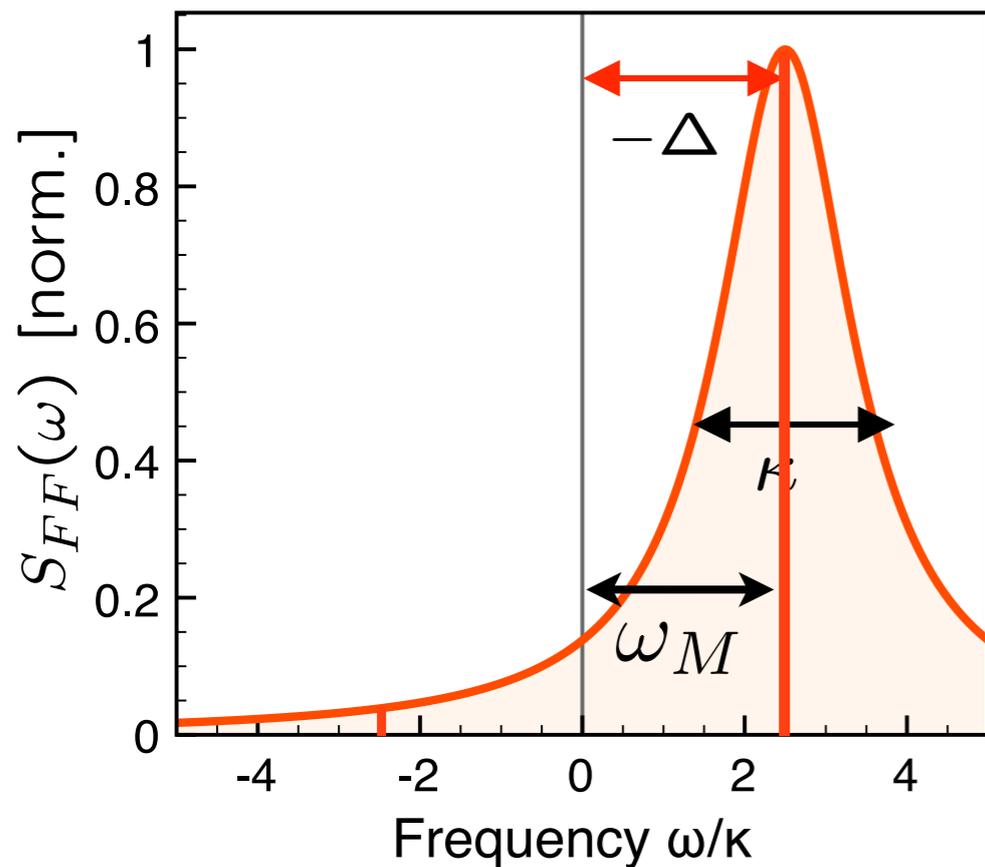
## Spectrum of radiation pressure fluctuations

$$S_{FF}(\omega) = \int e^{i\omega t} \langle \hat{F}(t) \hat{F}(0) \rangle dt$$



cavity emits energy / absorbs energy

# Quantum theory of optomechanical cooling



cavity emits energy / absorbs energy

## Cooling rate

$$\Gamma_{\text{opt}} = \frac{x_{\text{ZPF}}^2}{\hbar^2} [S_{FF}(+\omega_M) - S_{FF}(-\omega_M)]$$

## Quantum limit for cantilever phonon number

$$\frac{n_{\text{opt}} + 1}{n_{\text{opt}}} = \frac{S_{FF}(+\omega_M)}{S_{FF}(-\omega_M)}$$

$$\Delta = -\omega_M \Rightarrow n_{\text{opt}} = \left( \frac{\kappa}{4\omega_M} \right)^2$$

## Ground-state cooling

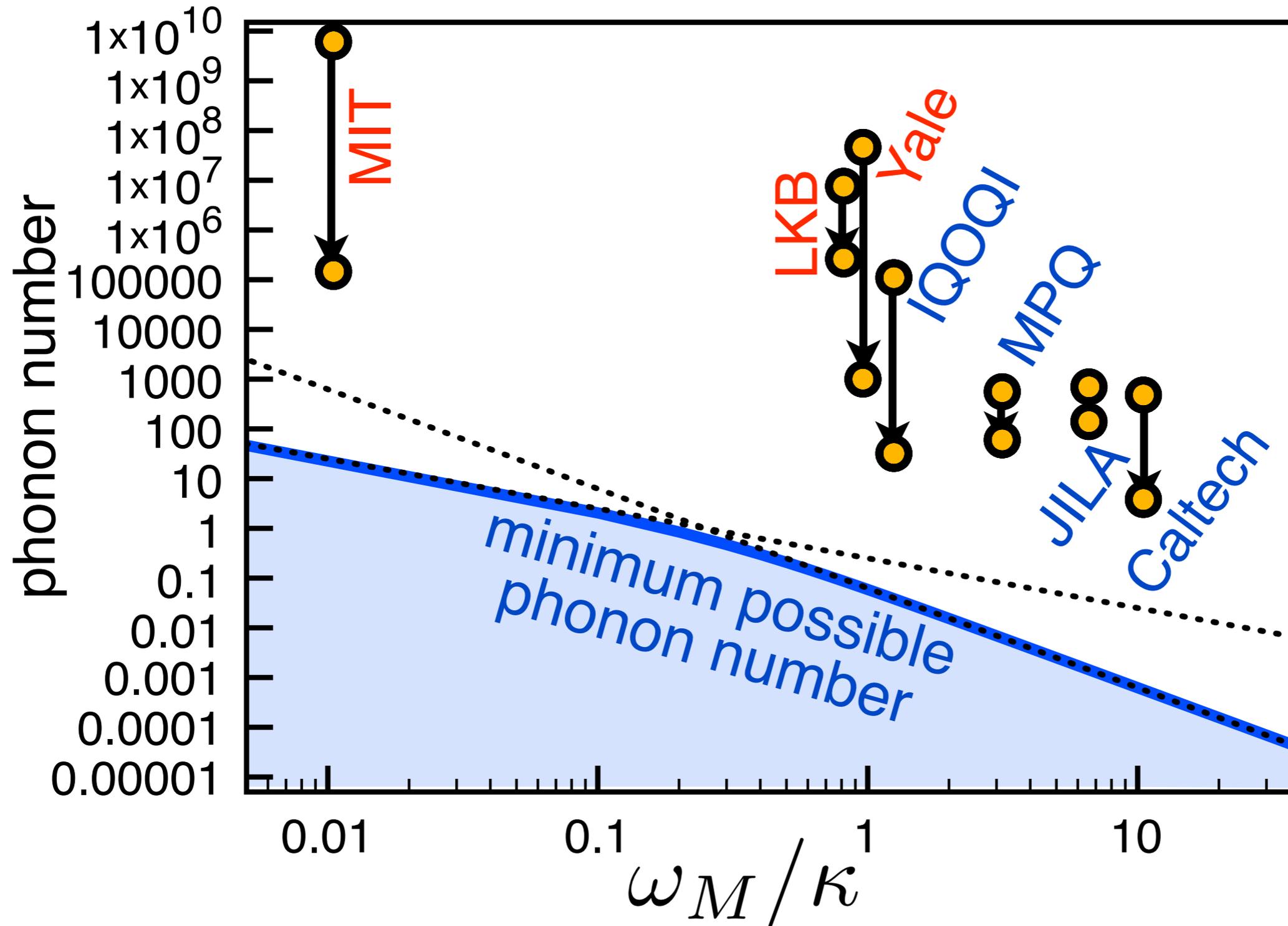
needs: high optical finesse / large mechanical frequency

FM, Chen, Clerk, Girvin,  
PRL **93**, 093902 (2007)

also: Wilson-Rae, Nooshi, Zwerger,  
Kippenberg, PRL **99**, 093901 (2007);  
Genes et al, PRA 2008

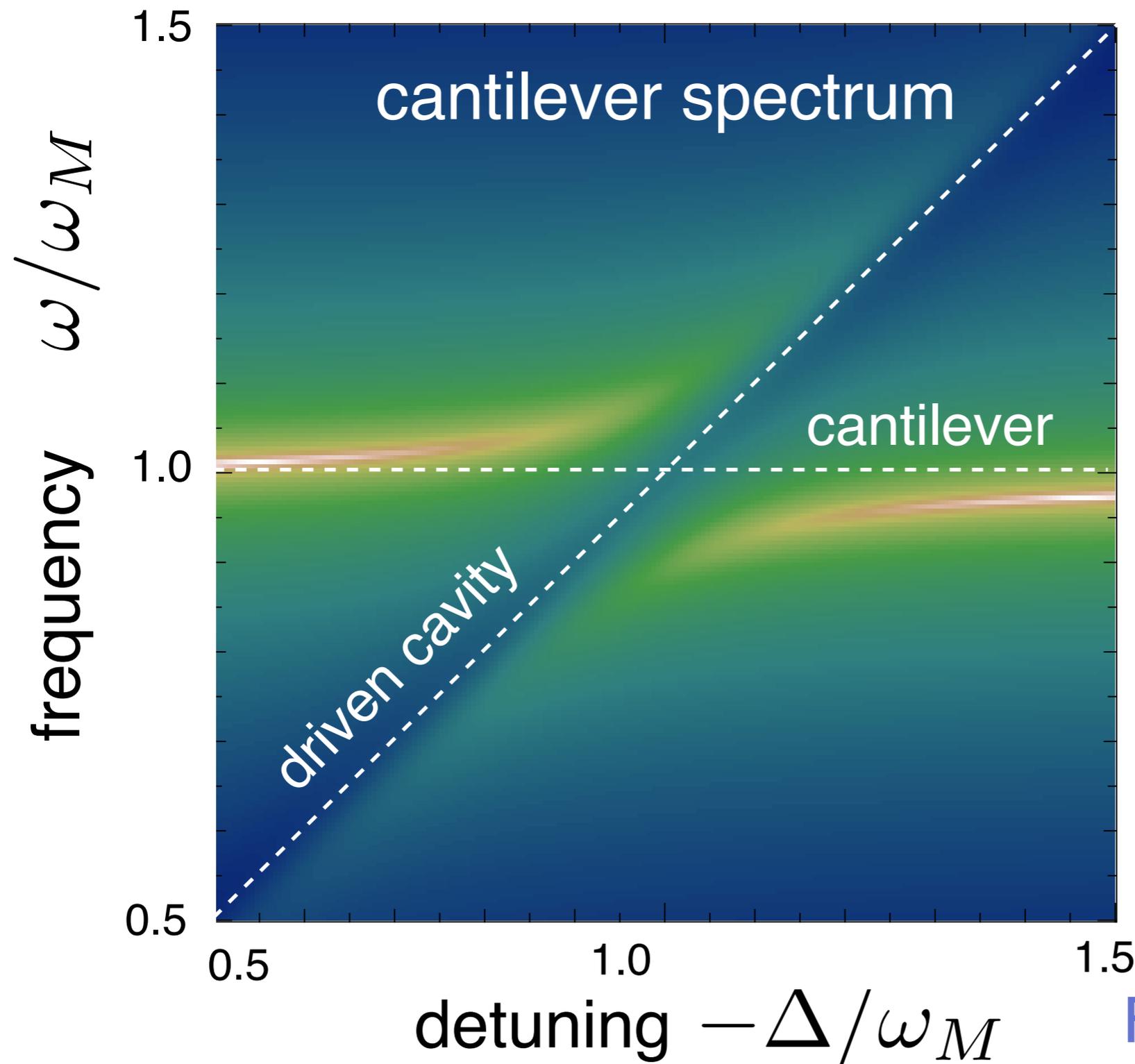
experiment with  $\kappa/\omega_M \approx 1/20$   
Kippenberg group 2007

# Towards the ground state



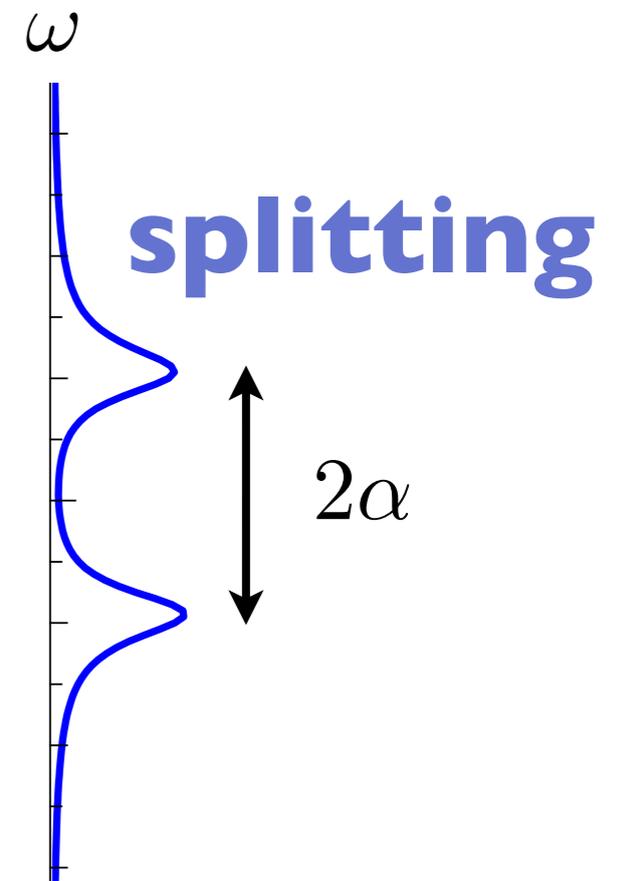
Results from groups at MIT (Mavalvala), LKB (Pinard, Heidmann, Cohadon), Yale (Harris), IQOQI Wien (Aspelmeyer), MPQ Munich (Kippenberg), JILA Boulder (Lehnert), Caltech (Schwab)

# Strong coupling: resonances of light and mechanics hybridize



**strong coupling**

$$\Gamma_{\text{opt}} > \kappa/2$$

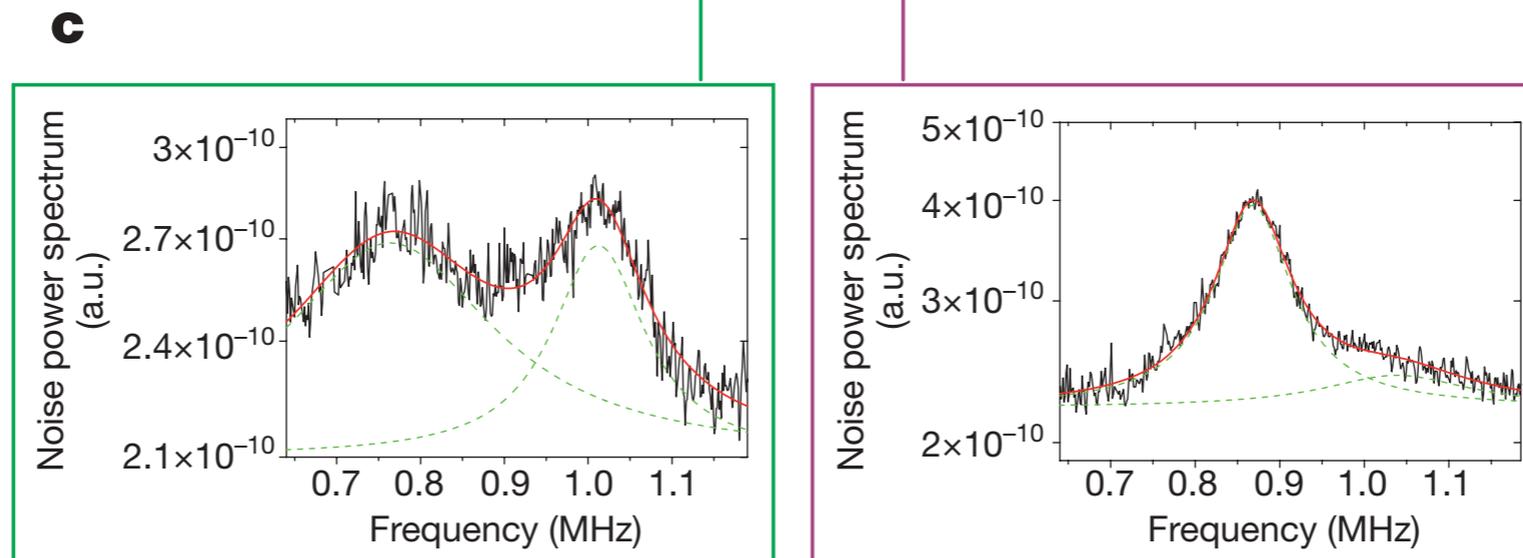
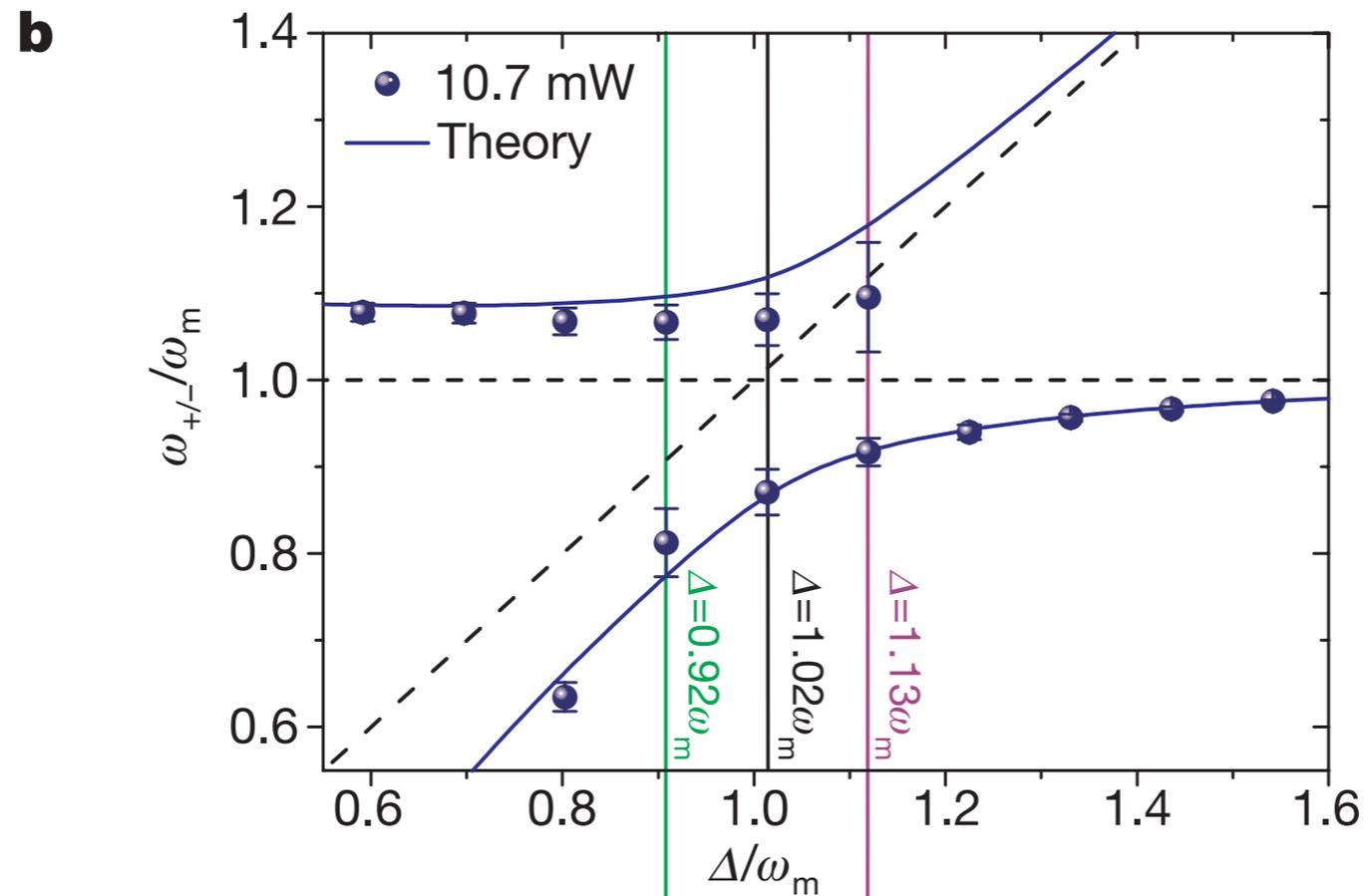


$$\alpha = \omega_R \sqrt{\bar{n}_p} \frac{x_{\text{ZPF}}}{L}$$

FM, Chen, Clerk, Girvin,  
PRL **93**, 093902 (2007)

# Experiment: Optomechanical hybridization

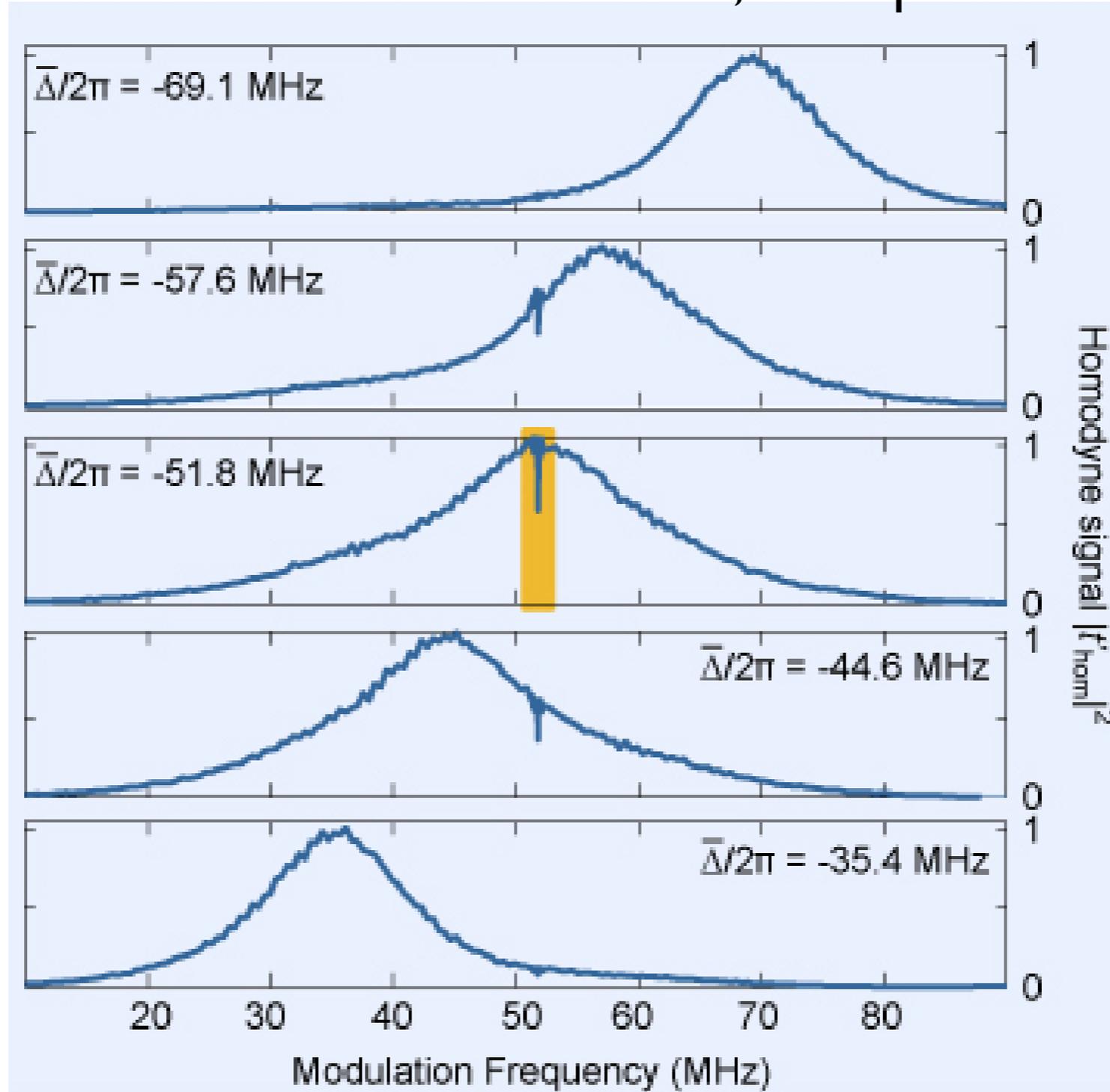
(Mechanical spectrum under strong illumination)



Aspelmeyer group 2009

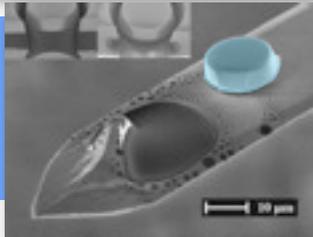
# Experiment: Optomechanically induced transparency

(Light field transmission of a second, weak probe beam)

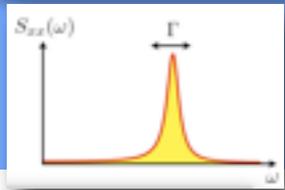


Kippenberg group 2010

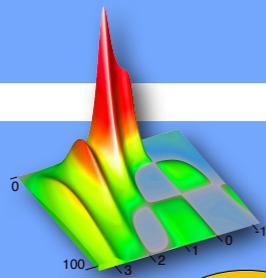
# Optomechanics (Outline)



Introduction

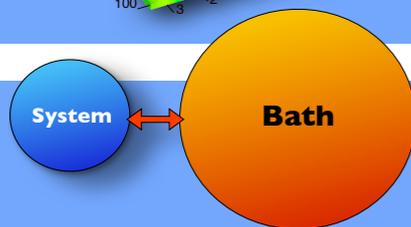


Displacement detection

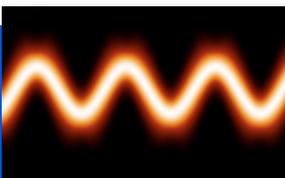


Linear optomechanics

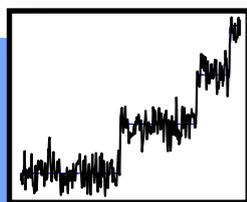
Nonlinear dynamics



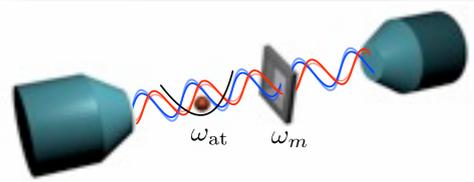
Quantum theory of cooling



Interesting quantum states



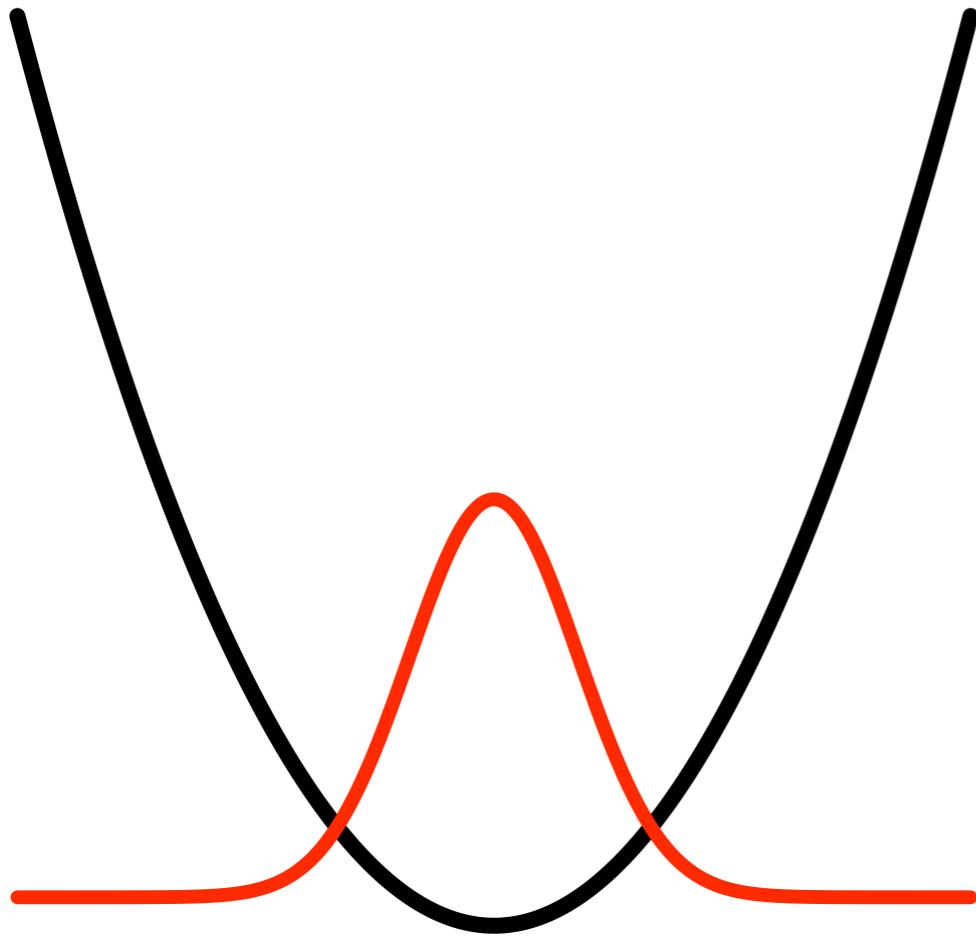
Towards Fock state detection



Coupling to the motion of a single atom

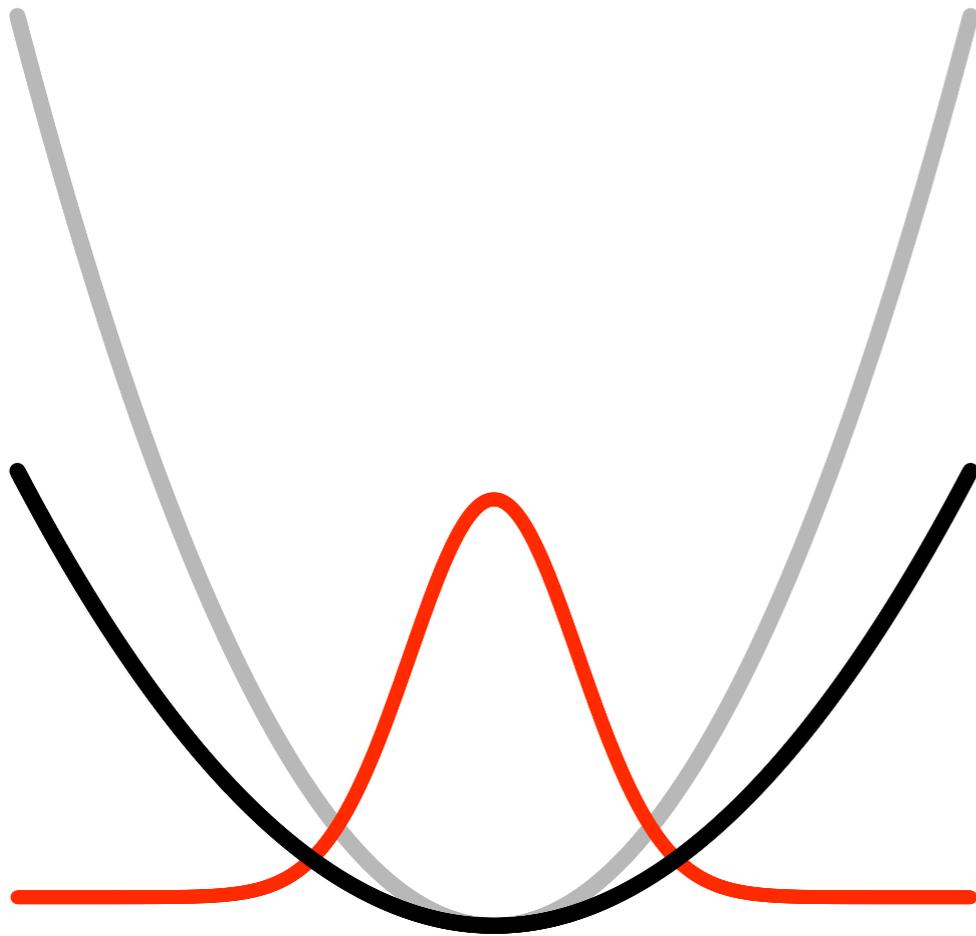
# Squeezed states

Squeezing the mechanical oscillator state



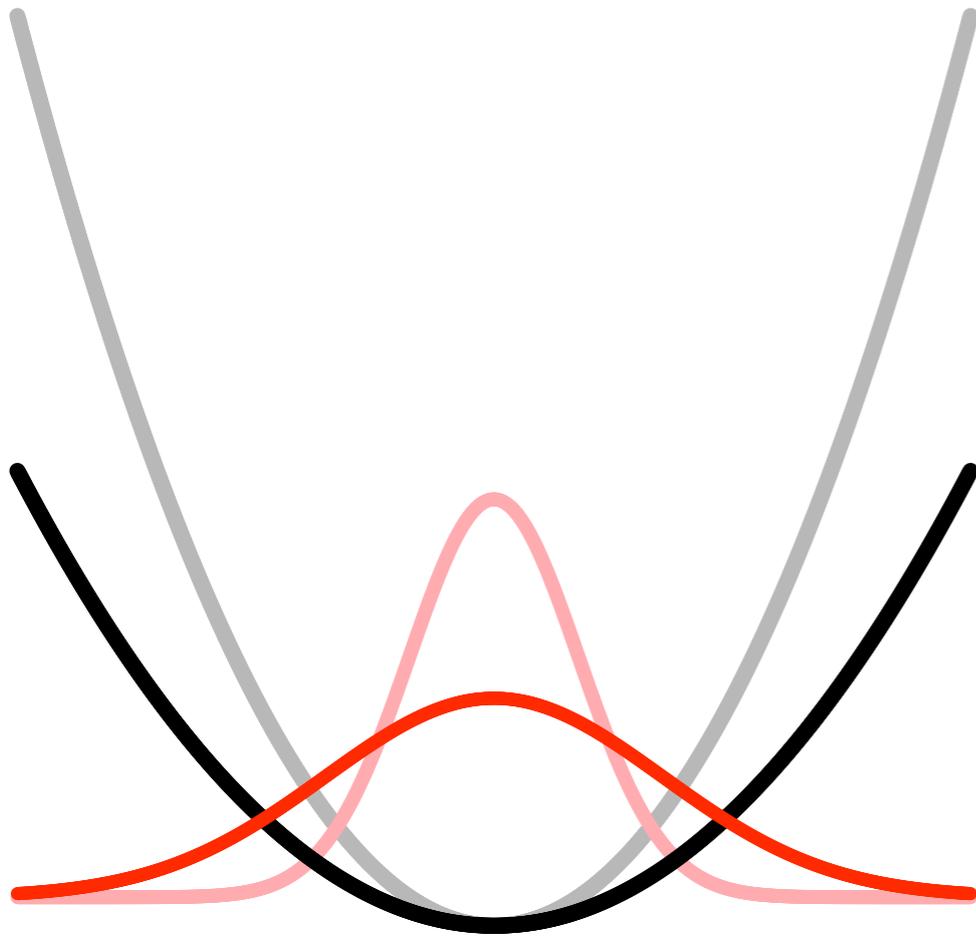
# Squeezed states

Squeezing the mechanical oscillator state



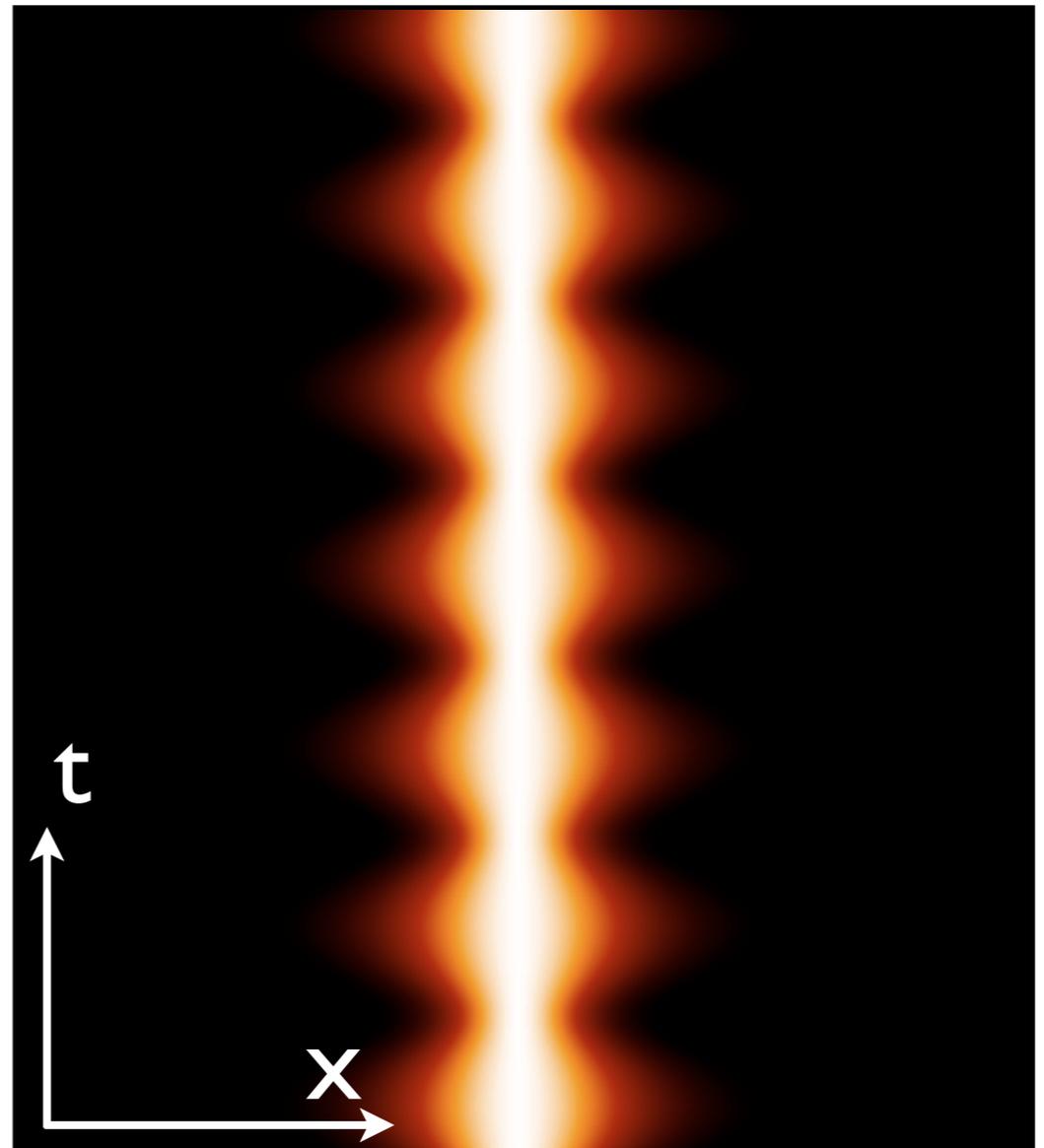
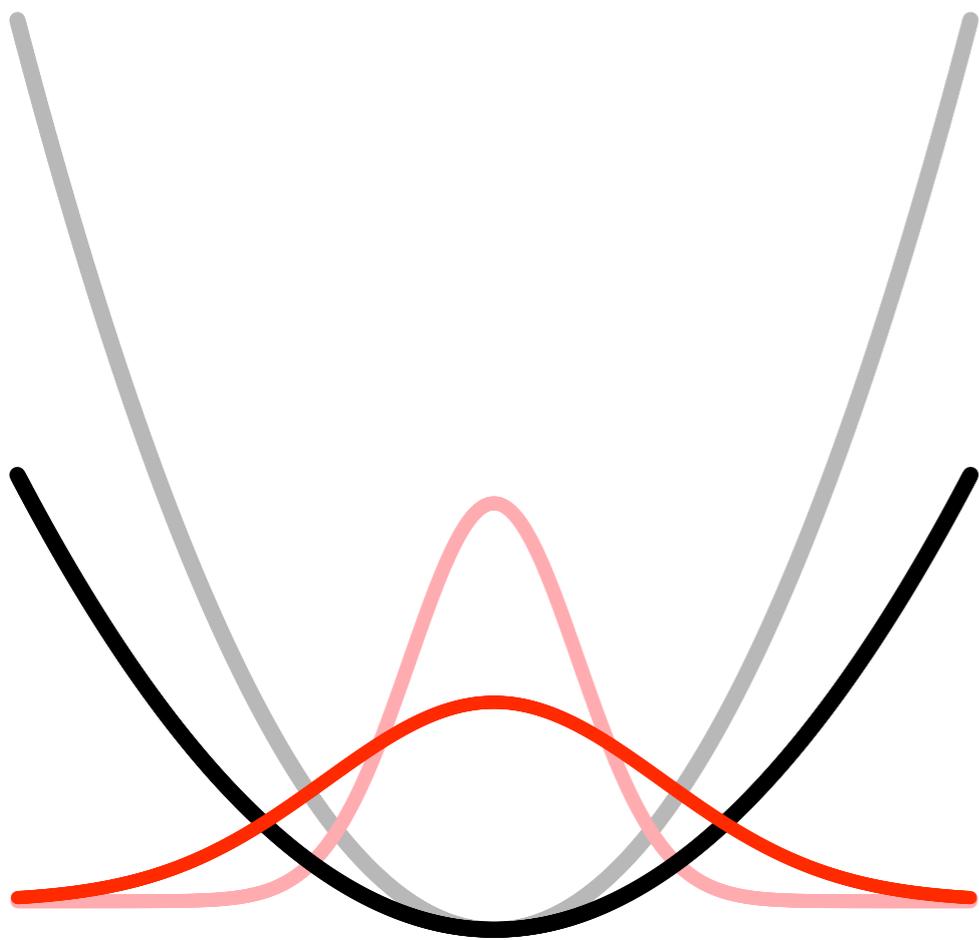
# Squeezed states

Squeezing the mechanical oscillator state



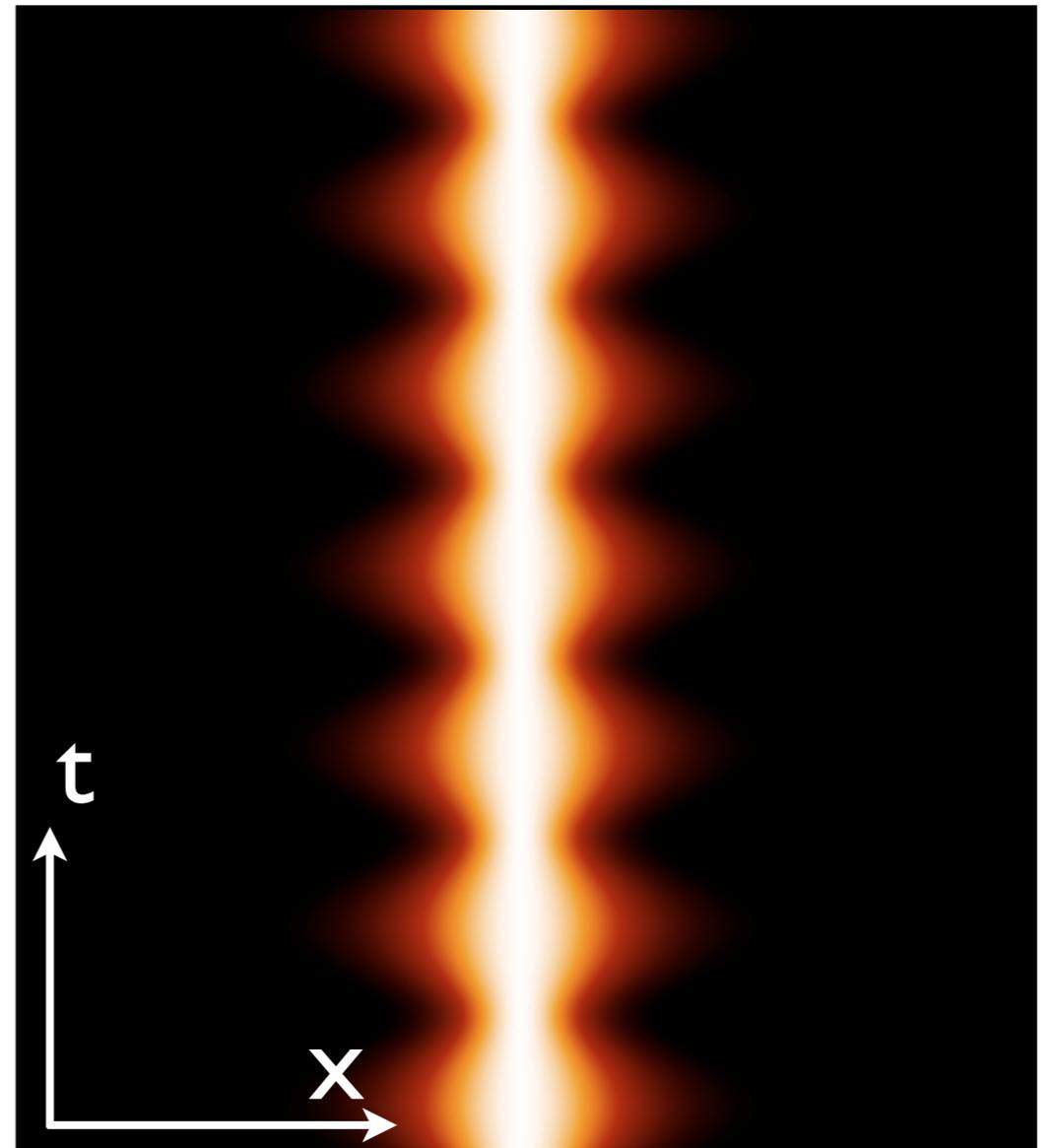
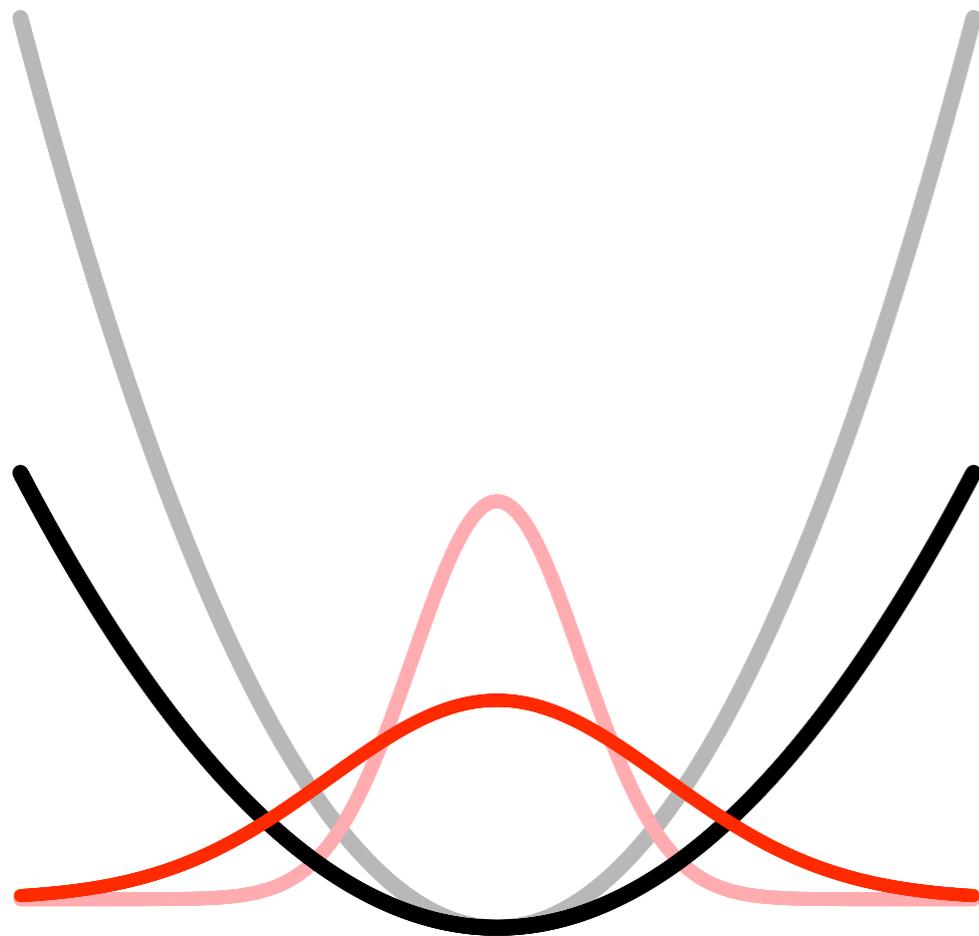
# Squeezed states

Squeezing the mechanical oscillator state



# Squeezed states

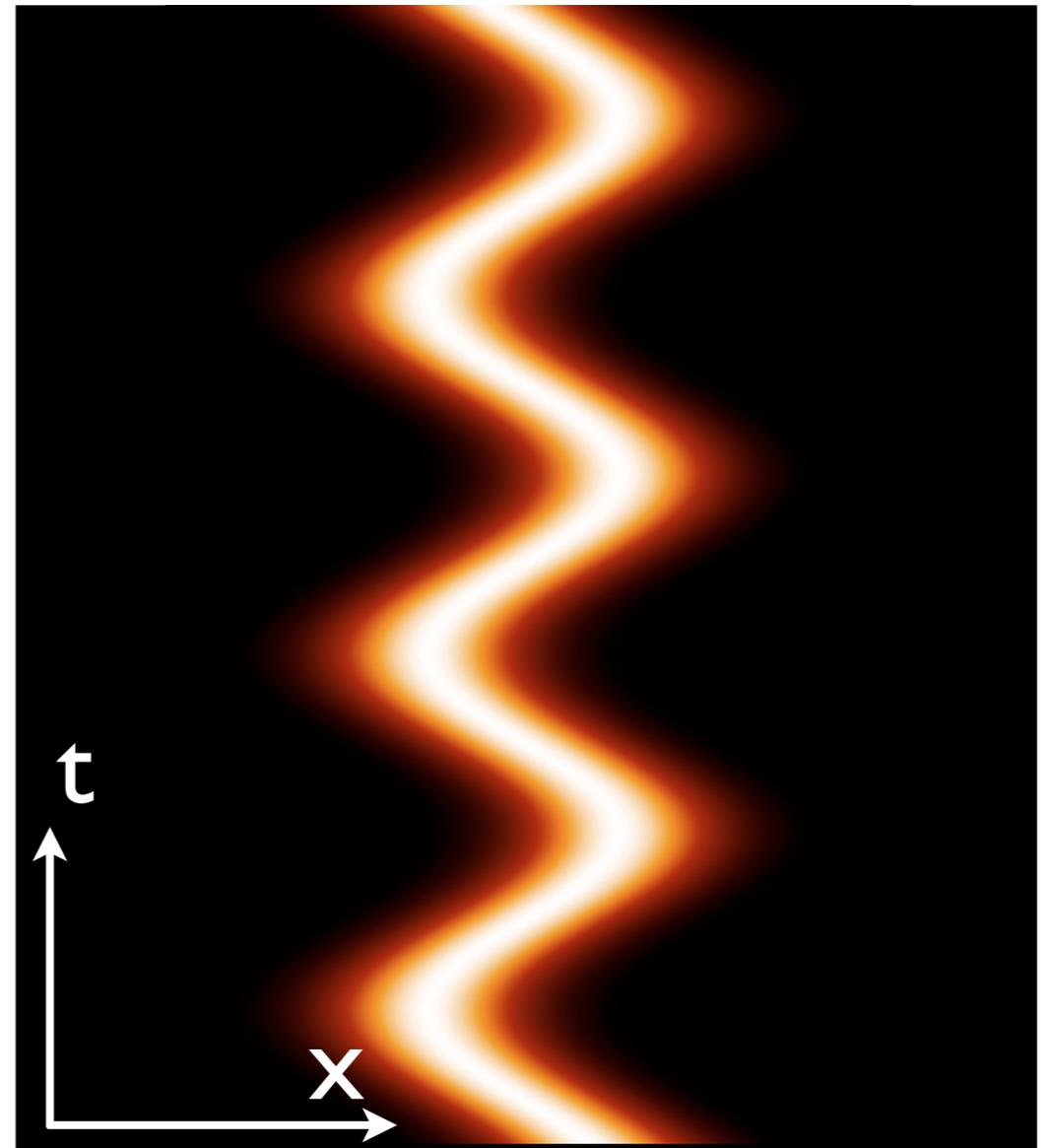
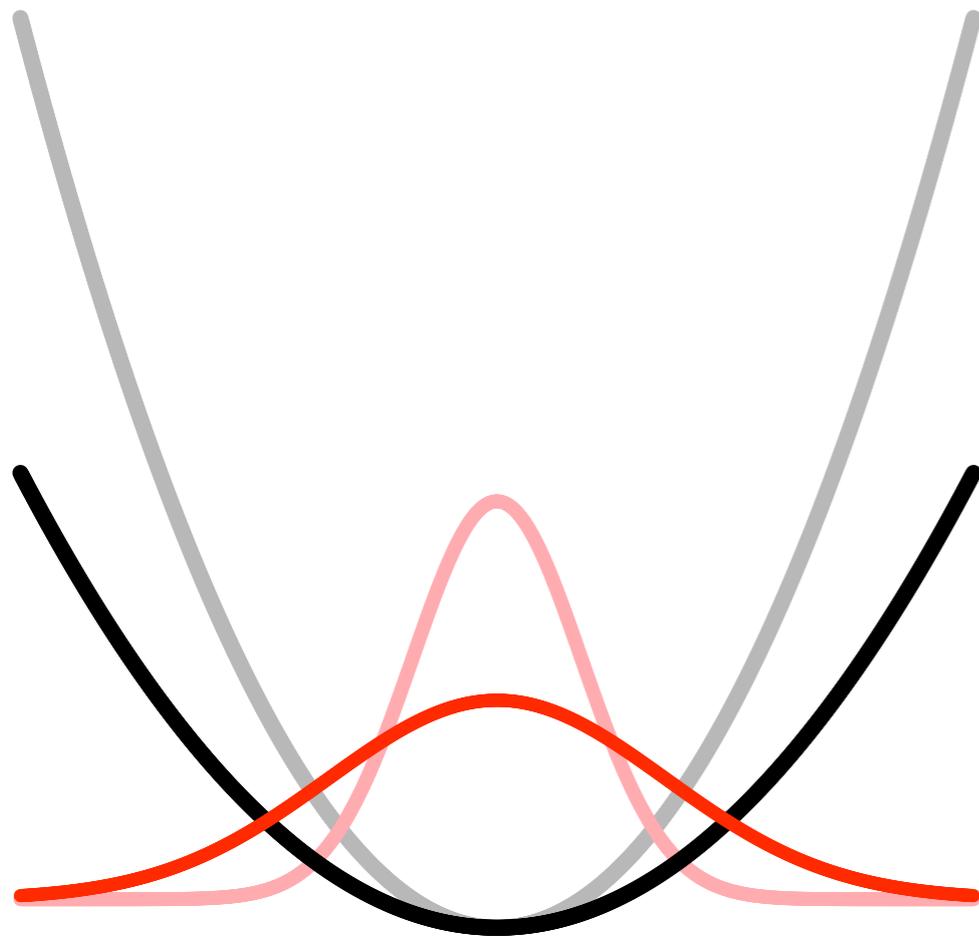
Squeezing the mechanical oscillator state



Periodic modulation of spring constant:  $\delta\omega_M^2(t) \propto \cos(2\omega_M t)$   
Parametric amplification

# Squeezed states

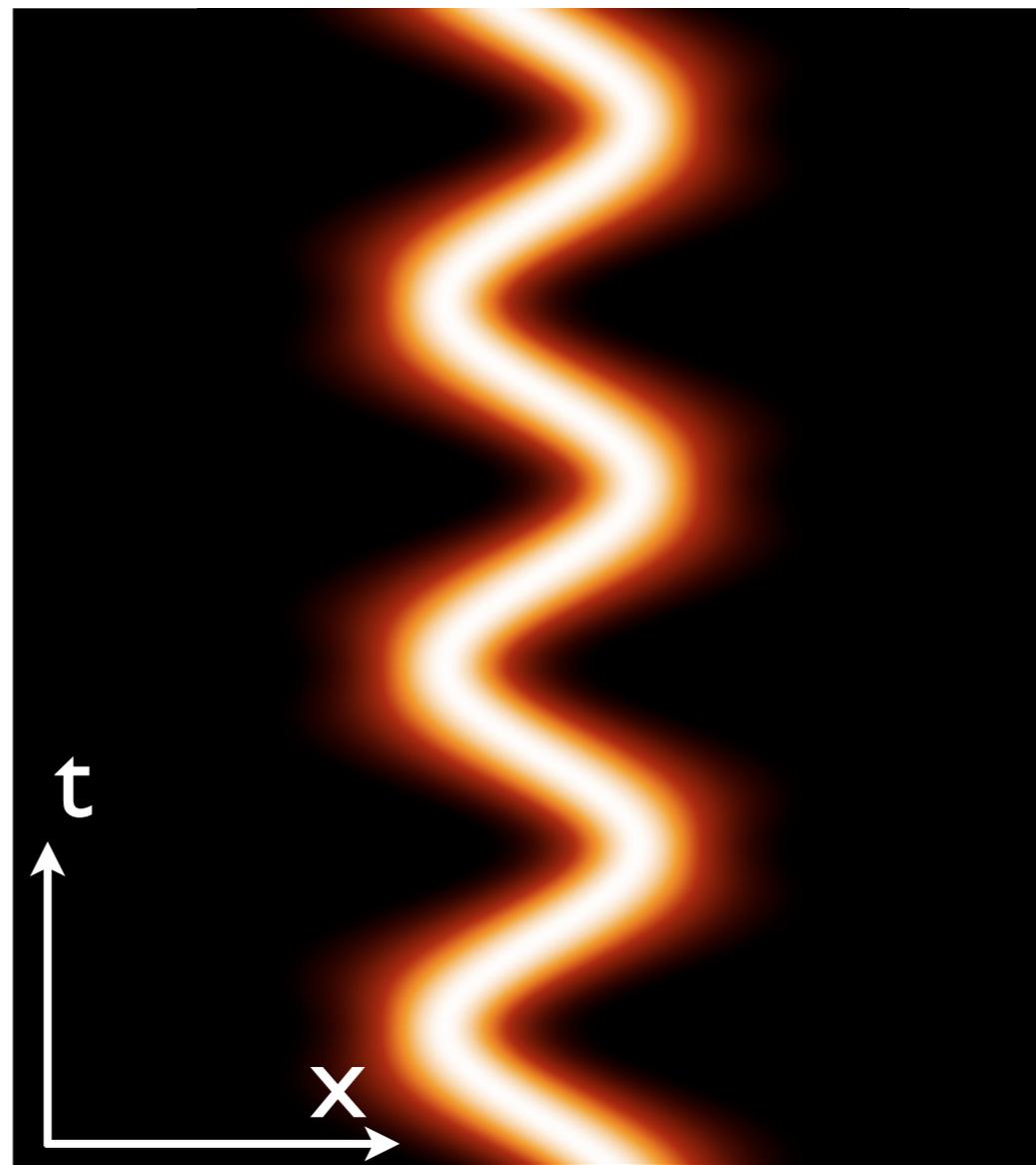
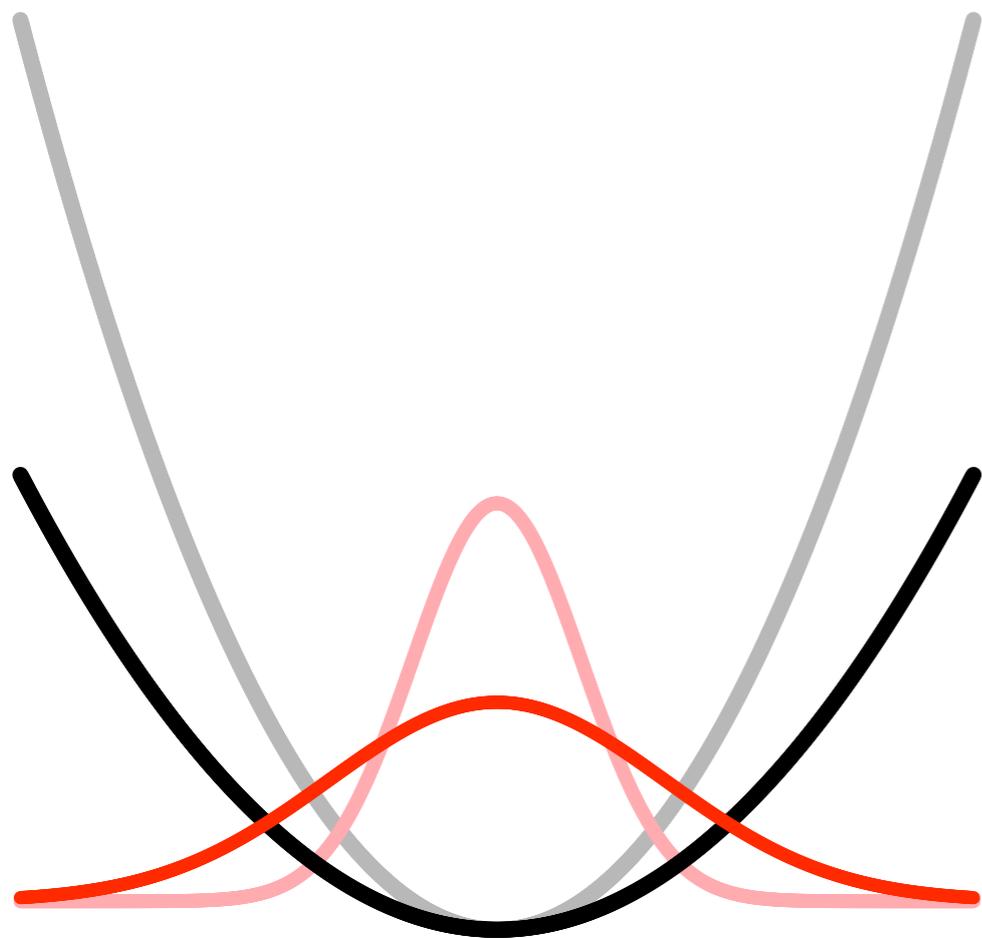
Squeezing the mechanical oscillator state



Periodic modulation of spring constant:  $\delta\omega_M^2(t) \propto \cos(2\omega_M t)$   
Parametric amplification

# Squeezed states

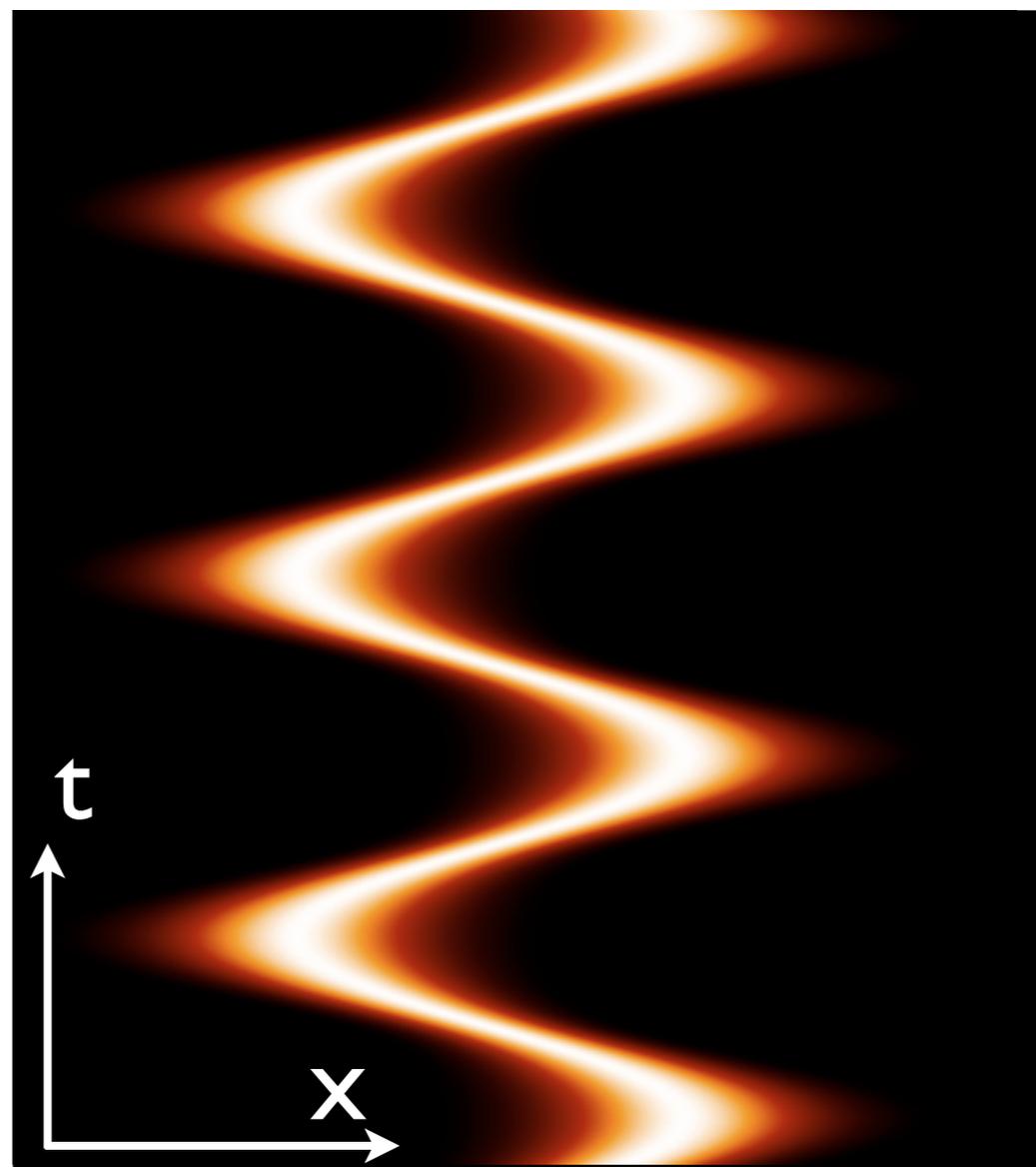
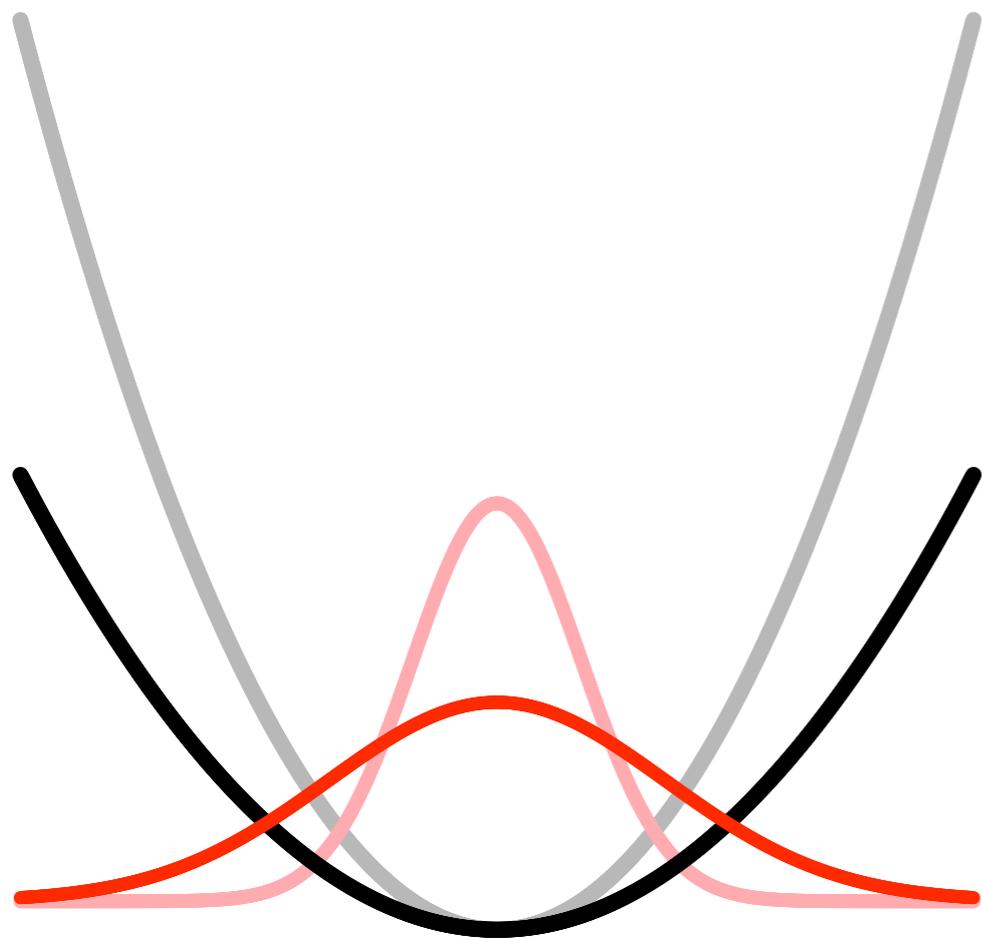
Squeezing the mechanical oscillator state



Periodic modulation of spring constant:  $\delta\omega_M^2(t) \propto \cos(2\omega_M t)$   
Parametric amplification

# Squeezed states

Squeezing the mechanical oscillator state

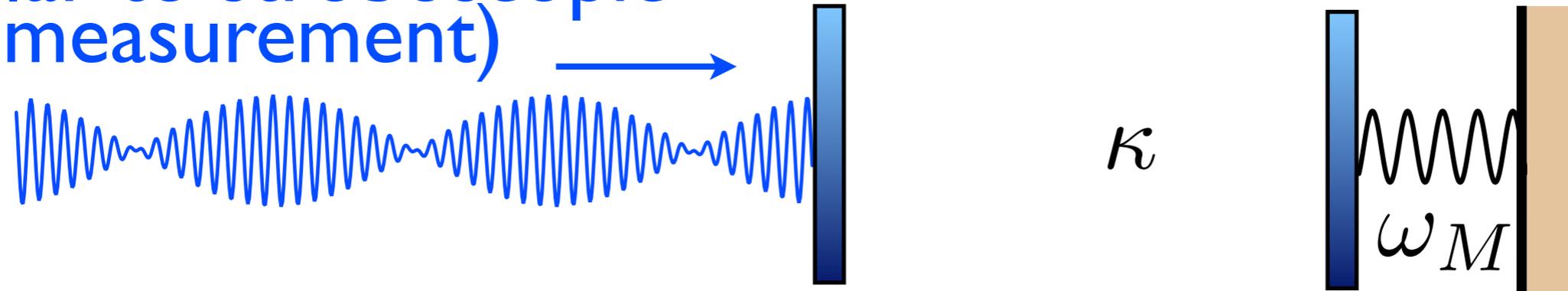


Periodic modulation of spring constant:  $\delta\omega_M^2(t) \propto \cos(2\omega_M t)$   
Parametric amplification

# Measuring quadratures ("beating the SQL")

Clerk, Marquardt, Jacobs; NJP **10**, 095010 (2008)

amplitude-modulated input field  
(similar to stroboscopic  
measurement)

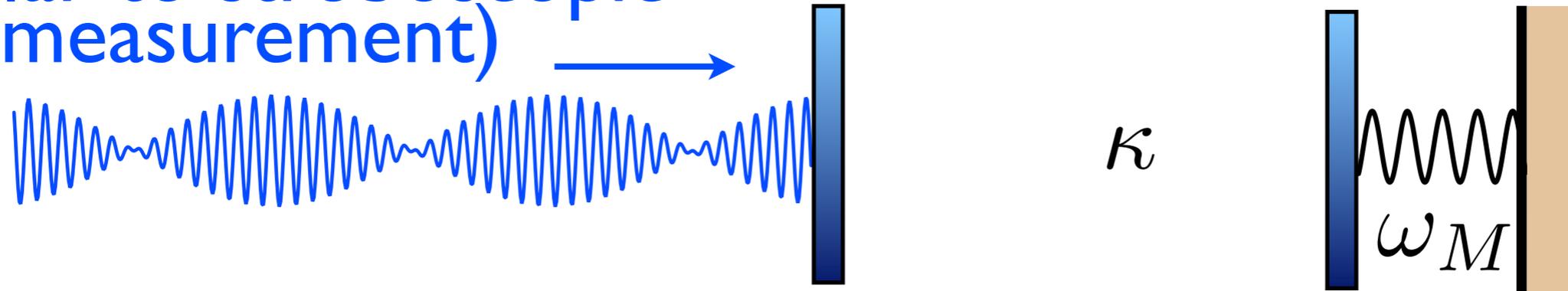


measure only one quadrature, back-action noise affects  
only the other one...need:  $\kappa \ll \omega_M$

# Measuring quadratures ("beating the SQL")

Clerk, Marquardt, Jacobs; NJP **10**, 095010 (2008)

amplitude-modulated input field  
(similar to stroboscopic  
measurement)

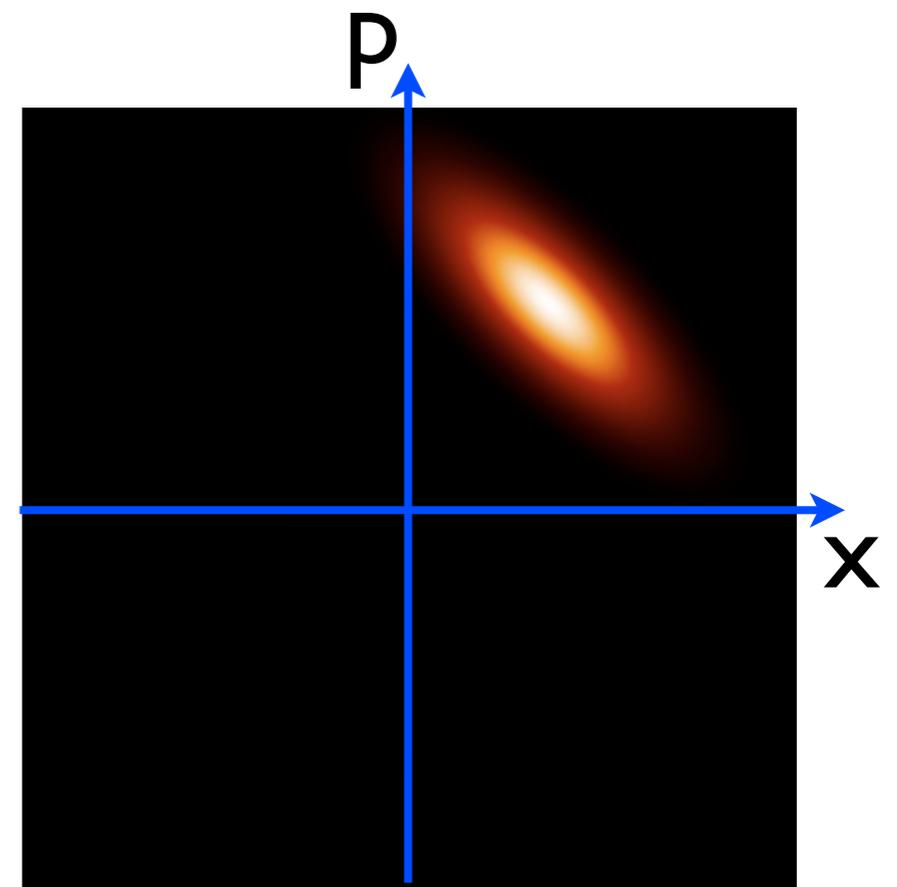


measure only one quadrature, back-action noise affects  
only the other one...need:  $\kappa \ll \omega_M$

**reconstruct  
mechanical  
Wigner density**

(quantum state tomography)

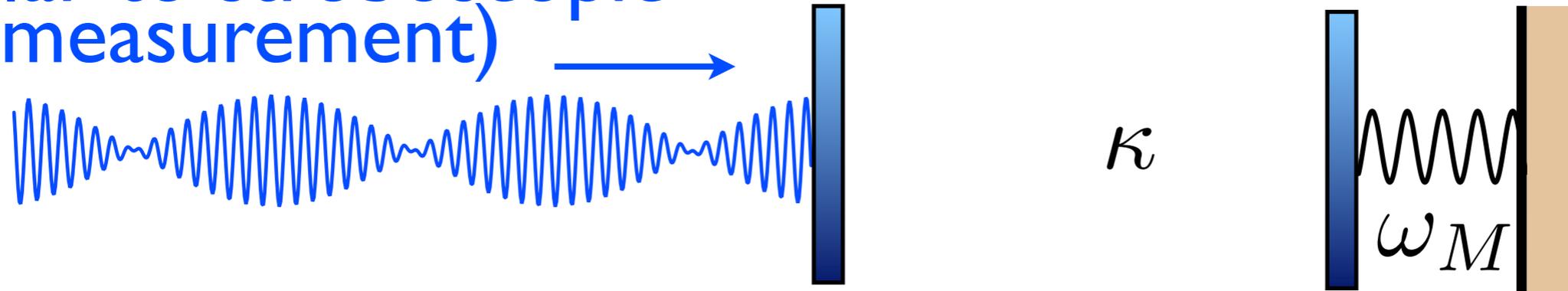
$$W(x, p) \propto \int dy e^{ipy/\hbar} \rho(x - y/2, x + y/2)$$



# Measuring quadratures ("beating the SQL")

Clerk, Marquardt, Jacobs; NJP **10**, 095010 (2008)

amplitude-modulated input field  
(similar to stroboscopic measurement)

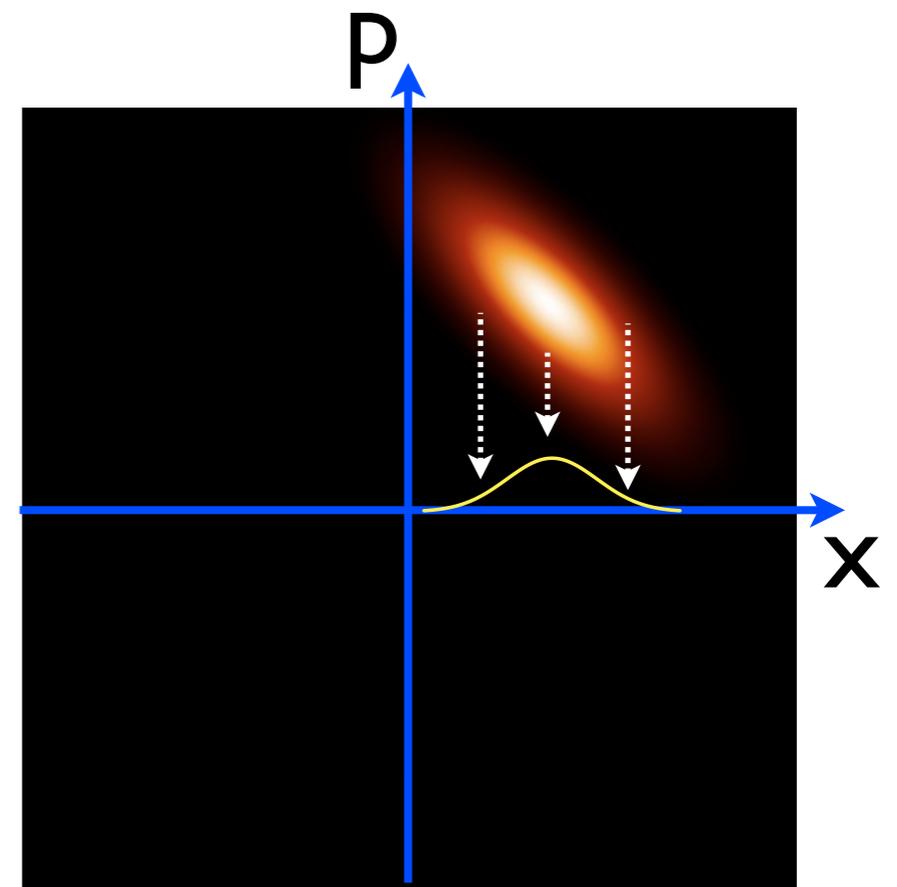


measure only one quadrature, back-action noise affects only the other one...need:  $\kappa \ll \omega_M$

**reconstruct  
mechanical  
Wigner density**

(quantum state tomography)

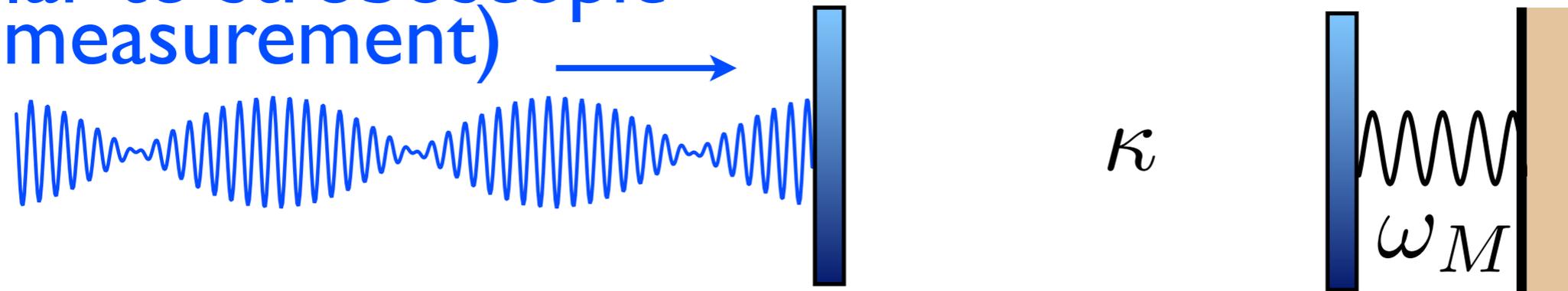
$$W(x, p) \propto \int dy e^{ipy/\hbar} \rho(x - y/2, x + y/2)$$



# Measuring quadratures ("beating the SQL")

Clerk, Marquardt, Jacobs; NJP **10**, 095010 (2008)

amplitude-modulated input field  
(similar to stroboscopic measurement)

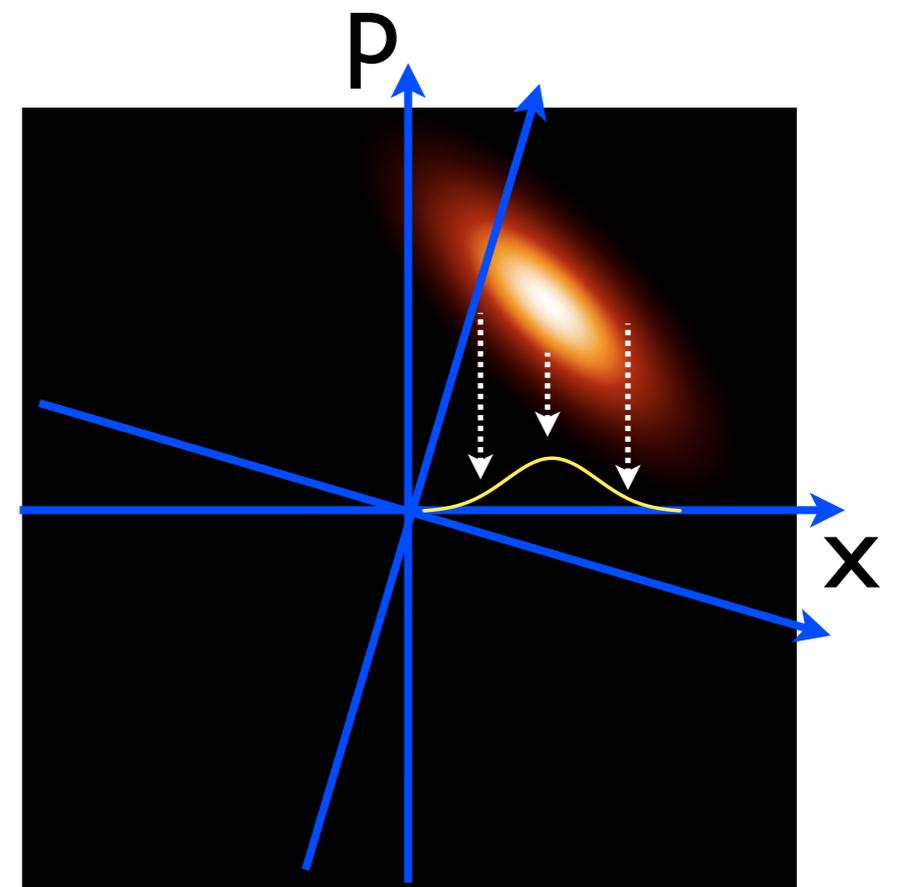


measure only one quadrature, back-action noise affects only the other one...need:  $\kappa \ll \omega_M$

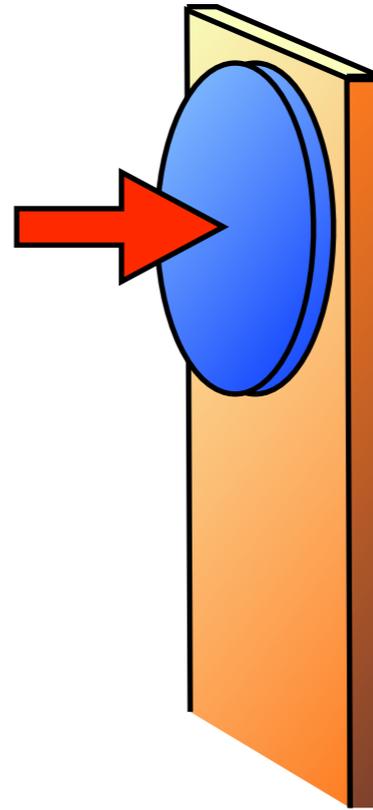
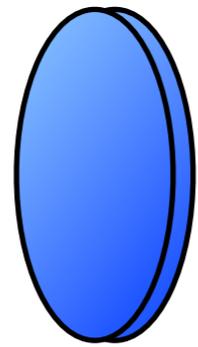
**reconstruct  
mechanical  
Wigner density**

(quantum state tomography)

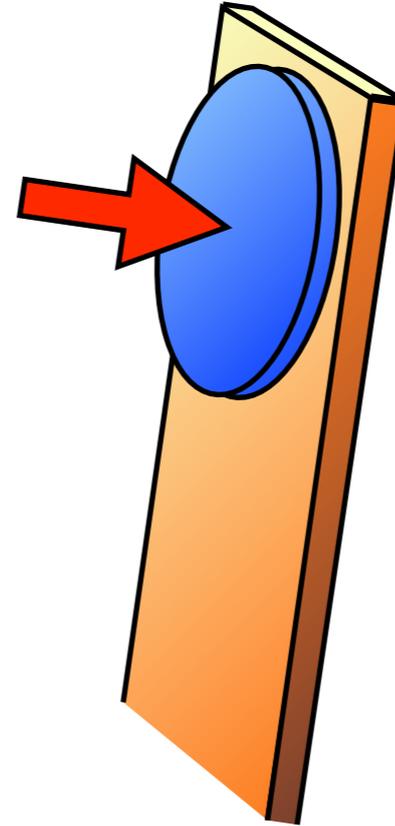
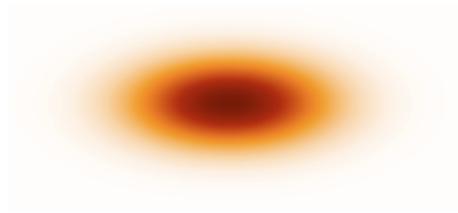
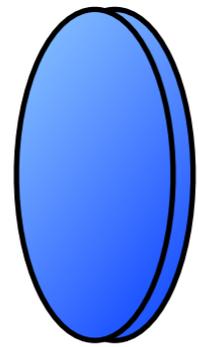
$$W(x, p) \propto \int dy e^{ipy/\hbar} \rho(x - y/2, x + y/2)$$



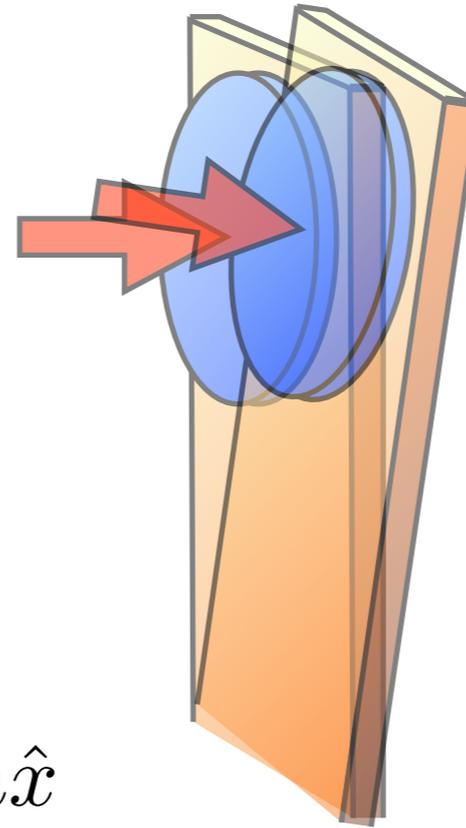
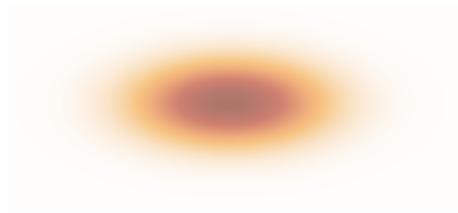
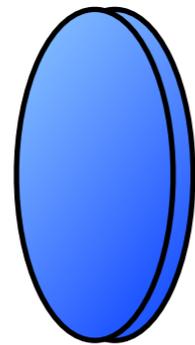
# Optomechanical entanglement



# Optomechanical entanglement



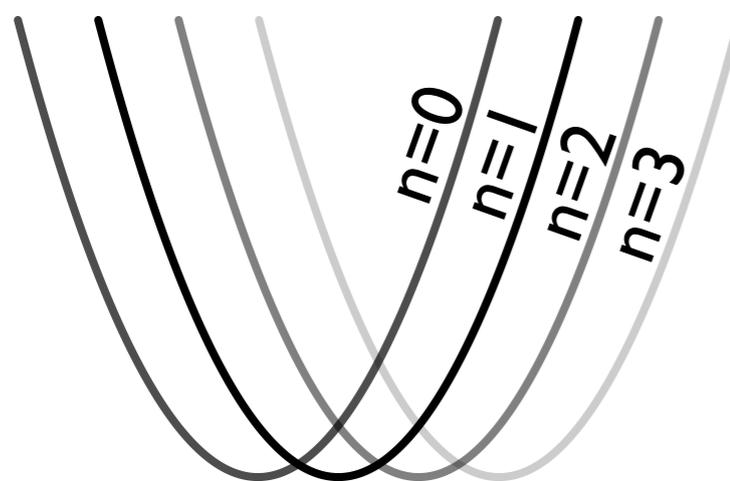
# Optomechanical entanglement



$$\hat{H} = \dots + g_0 \hat{n} \hat{x}$$

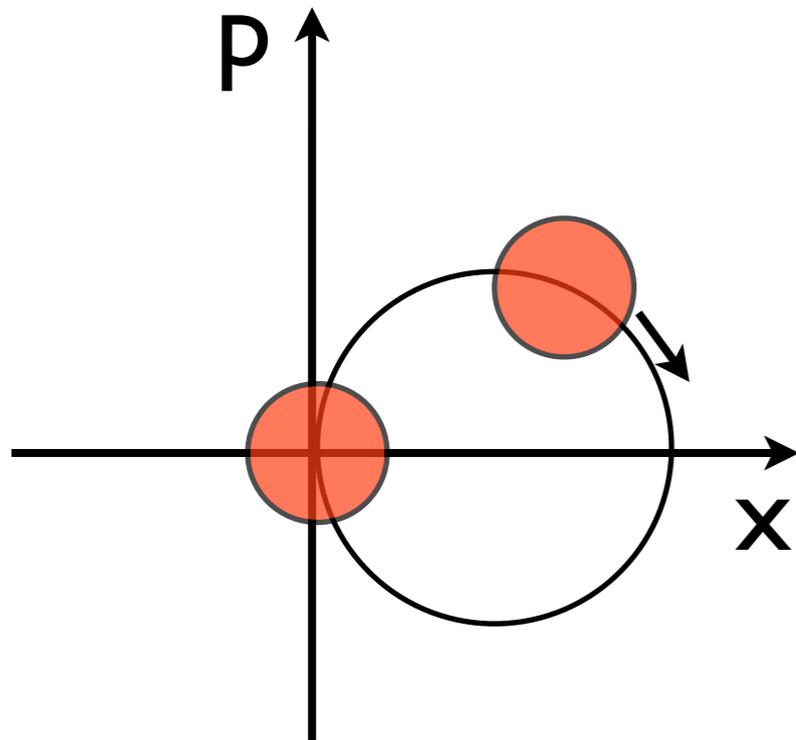
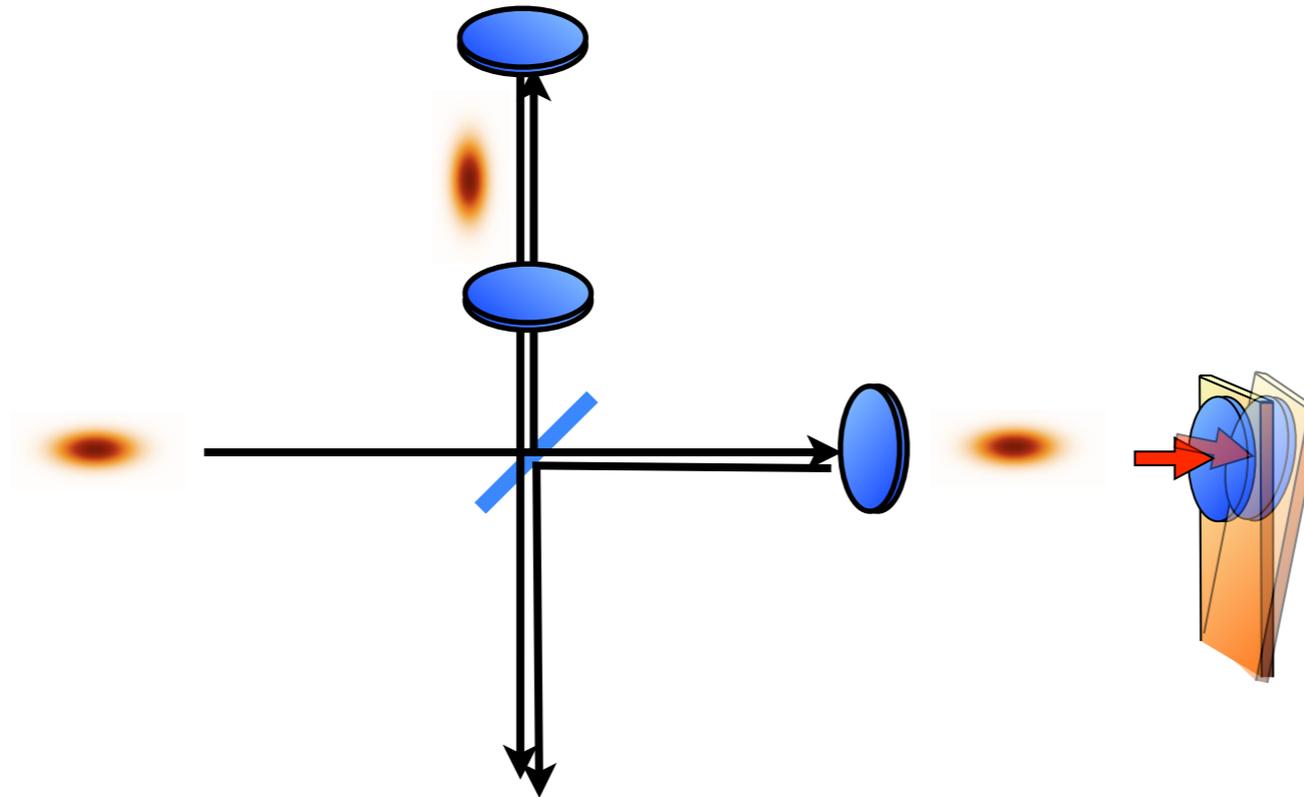
$$|\Psi\rangle = \sum_{n=0}^{\infty} c_n e^{-i\varphi_n(t)} |n\rangle \otimes |\alpha = \alpha_n(t)\rangle$$

coherent mechanical state



entangled state  
(light field/mechanics)

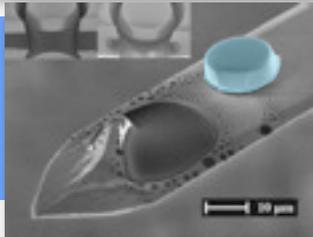
# Proposed optomechanical which-path experiment and quantum eraser



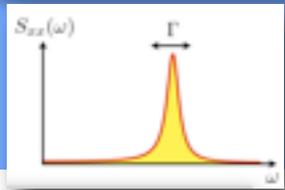
Recover photon coherence if interaction time equals a multiple of the mechanical period!

cf. Haroche experiments in 90s

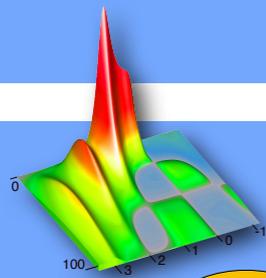
# Optomechanics (Outline)



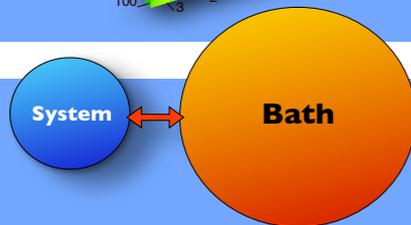
Introduction



Displacement detection

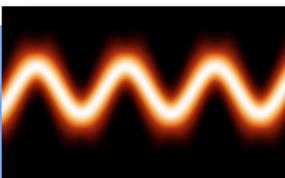


Linear optomechanics

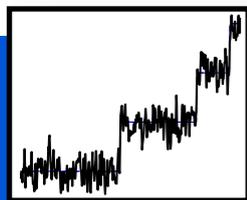


Nonlinear dynamics

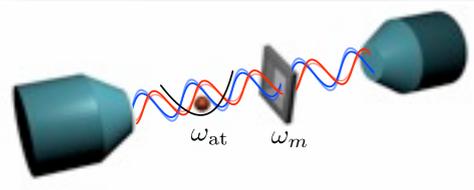
Quantum theory of cooling



Interesting quantum states

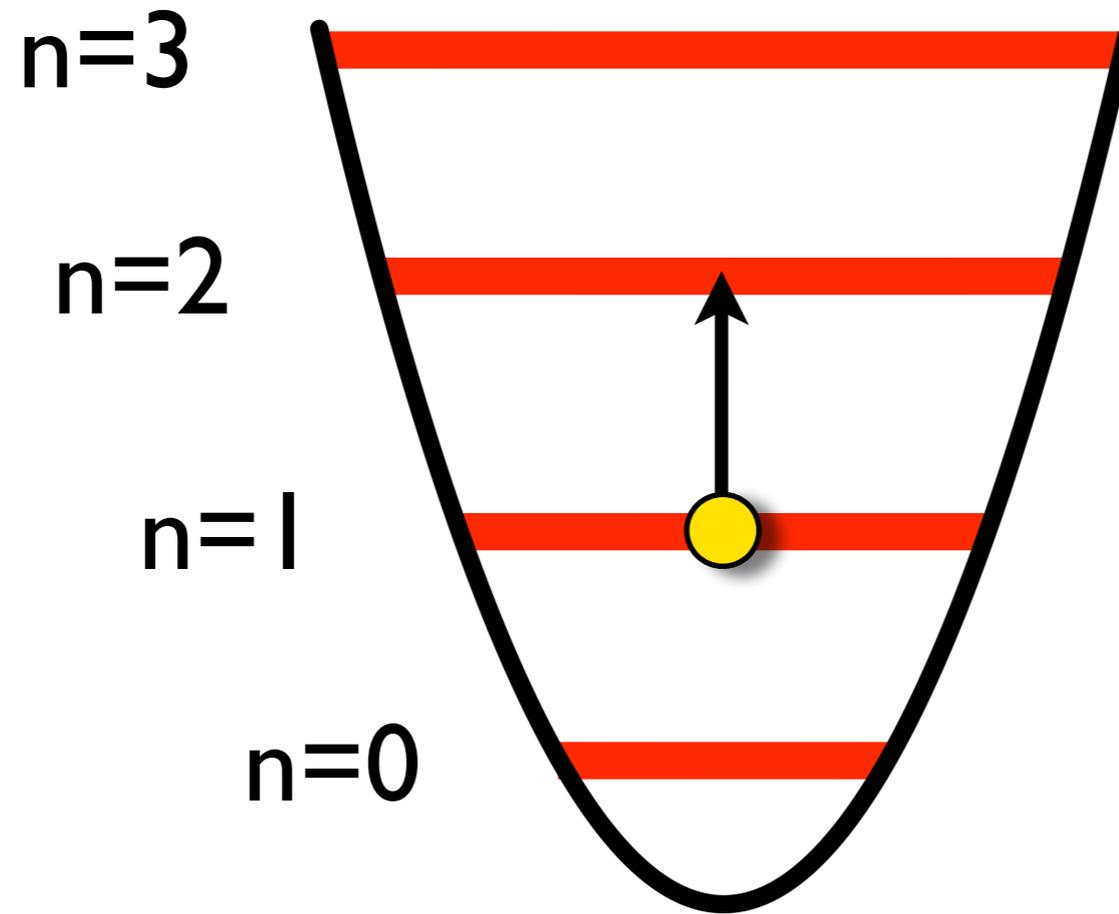


Towards Fock state detection

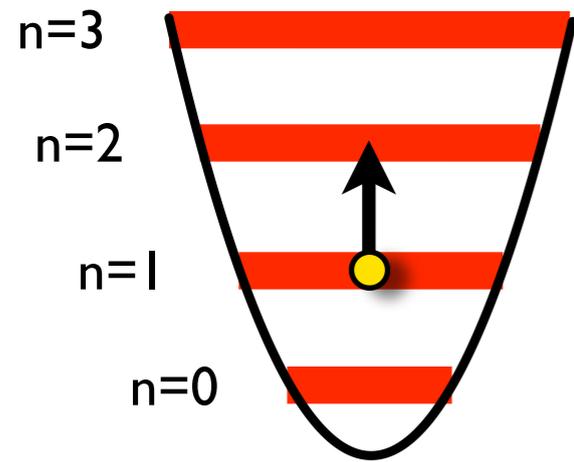


Coupling to the motion of a single atom

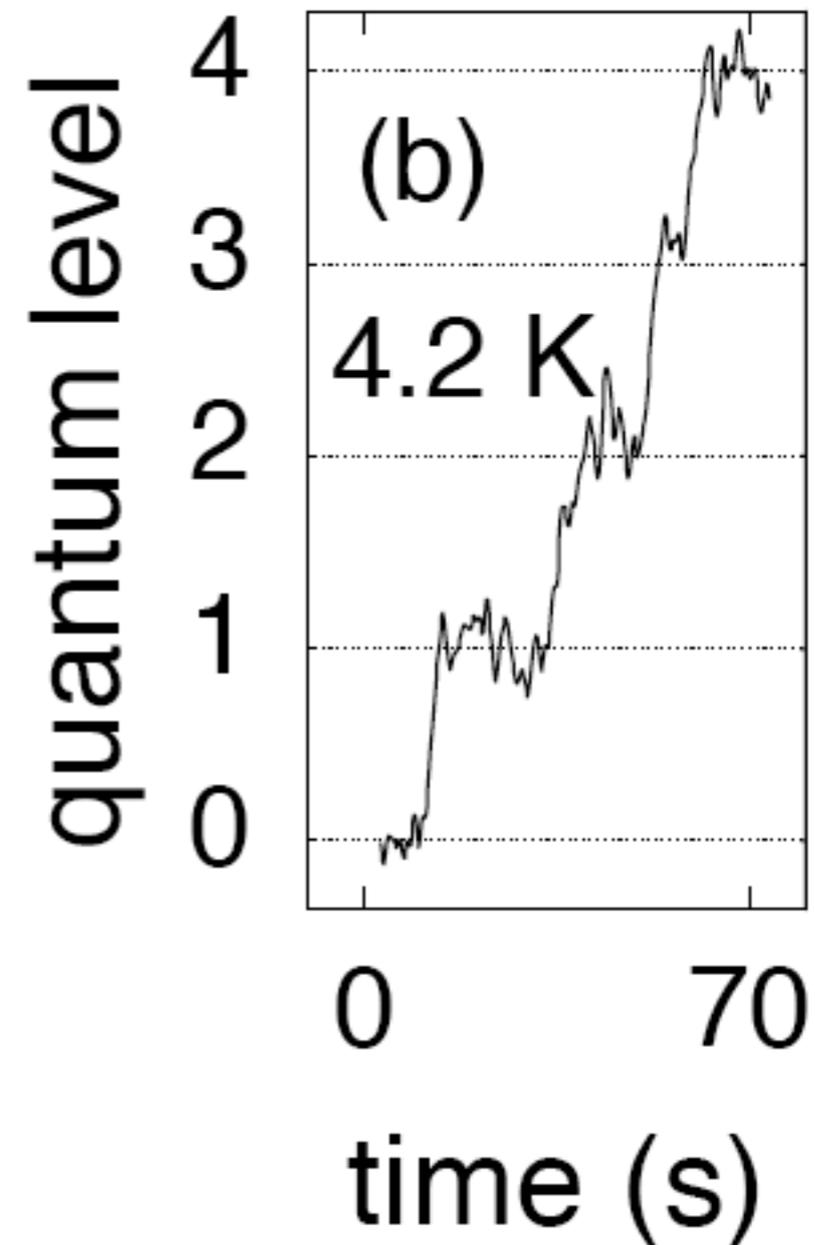
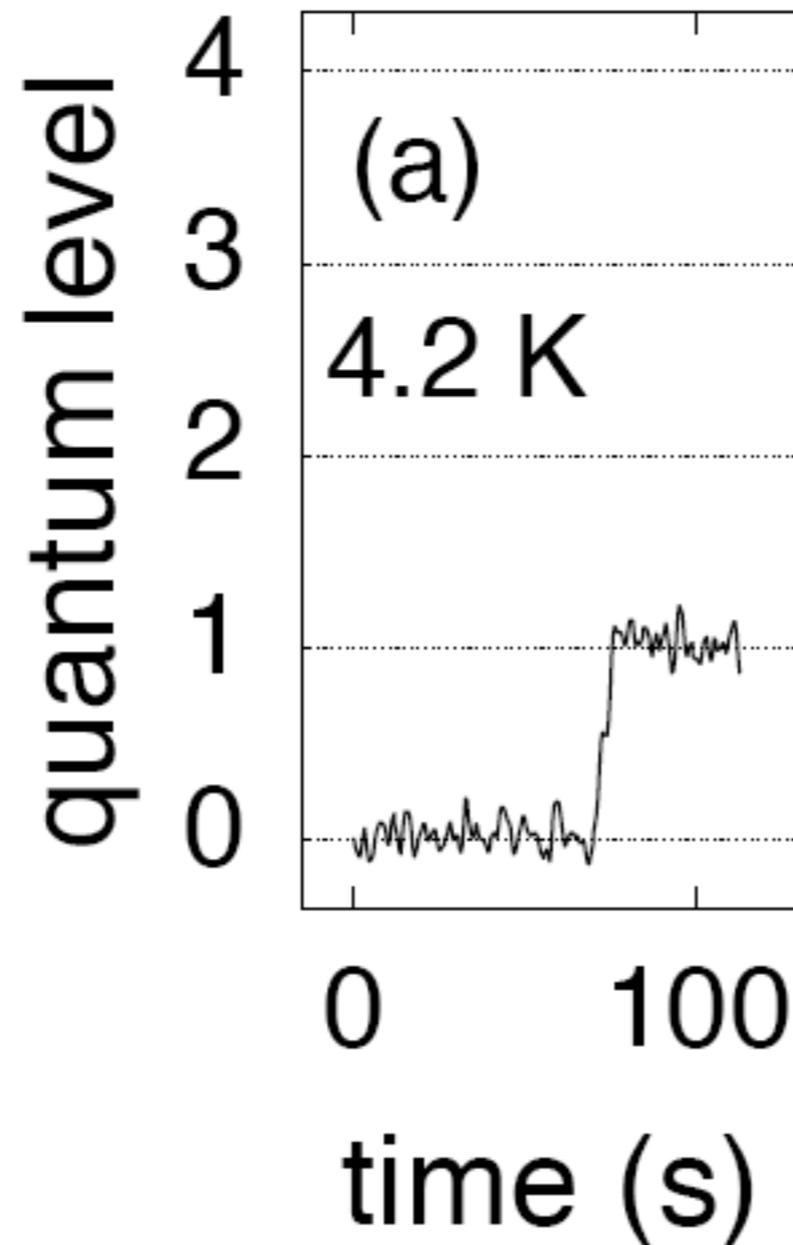
# Towards Fock state detection of a macroscopic object



# Towards Fock state detection of a macroscopic object

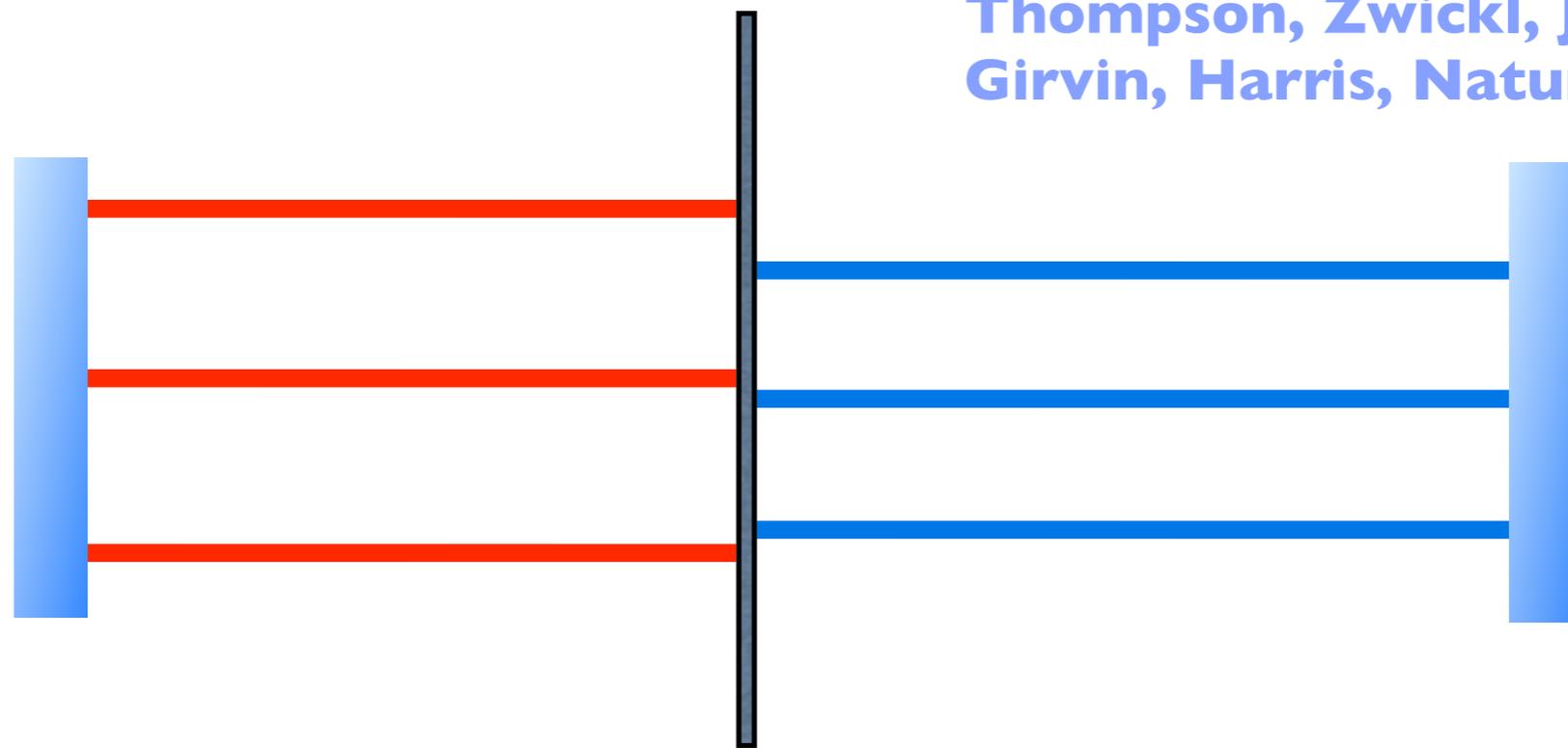


Electron oscillations in Penning trap:  
Peil and Gabrielse, 1999



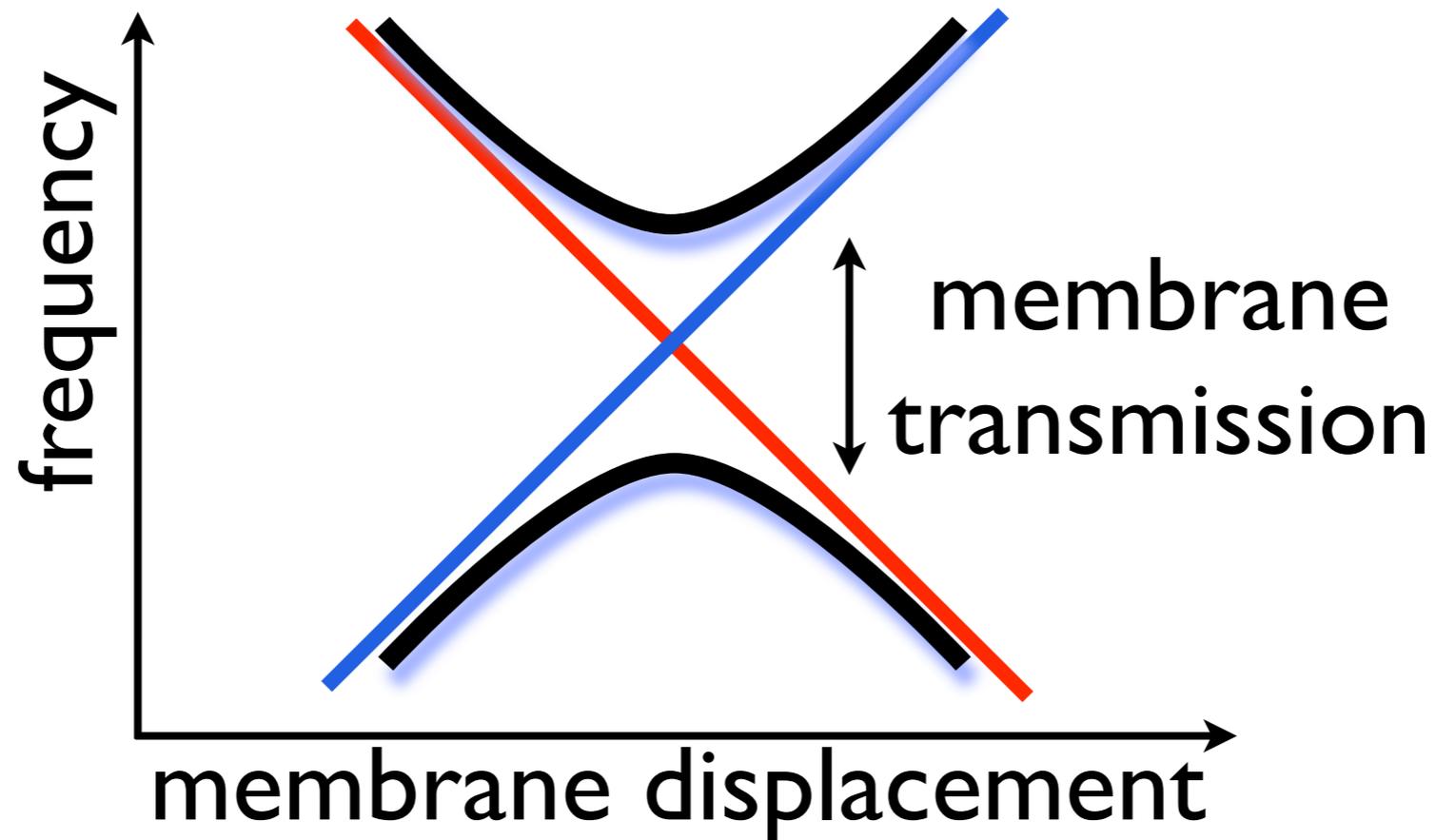
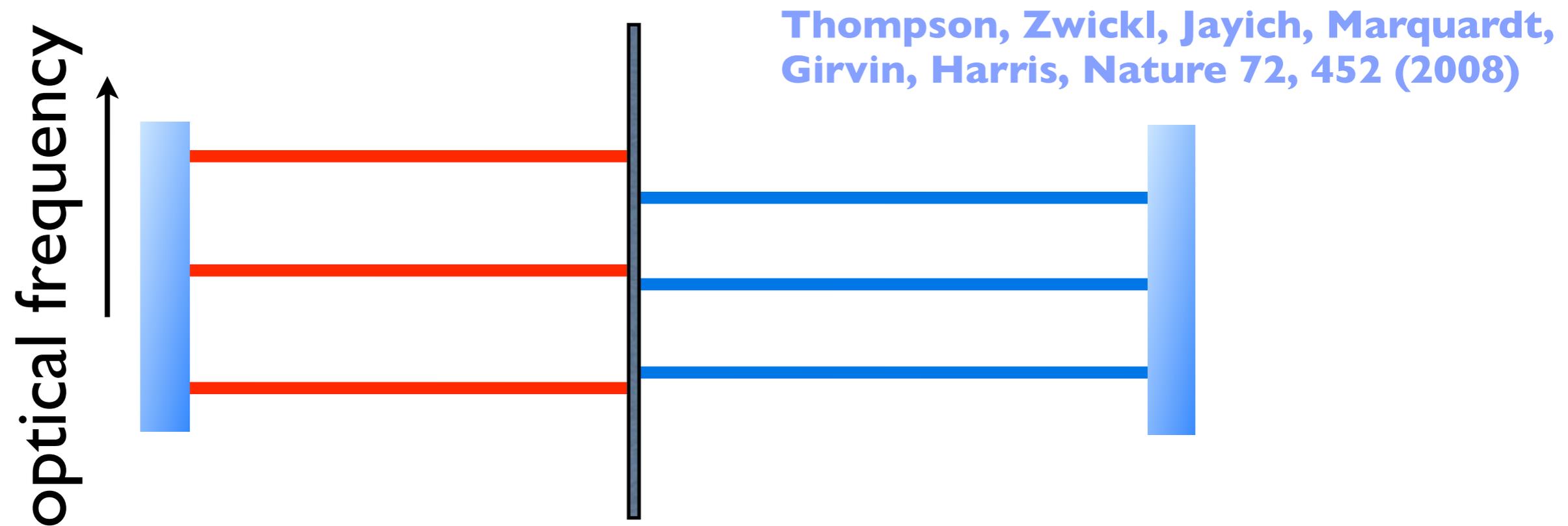
# “Membrane in the middle” setup

optical frequency ↑



Thompson, Zwickl, Jayich, Marquardt,  
Girvin, Harris, *Nature* 72, 452 (2008)

# “Membrane in the middle” setup



# Experiment (Harris group, Yale)



Mechanical frequency:

$$\omega_M = 2\pi \cdot 134 \text{ kHz}$$

Mechanical quality factor:

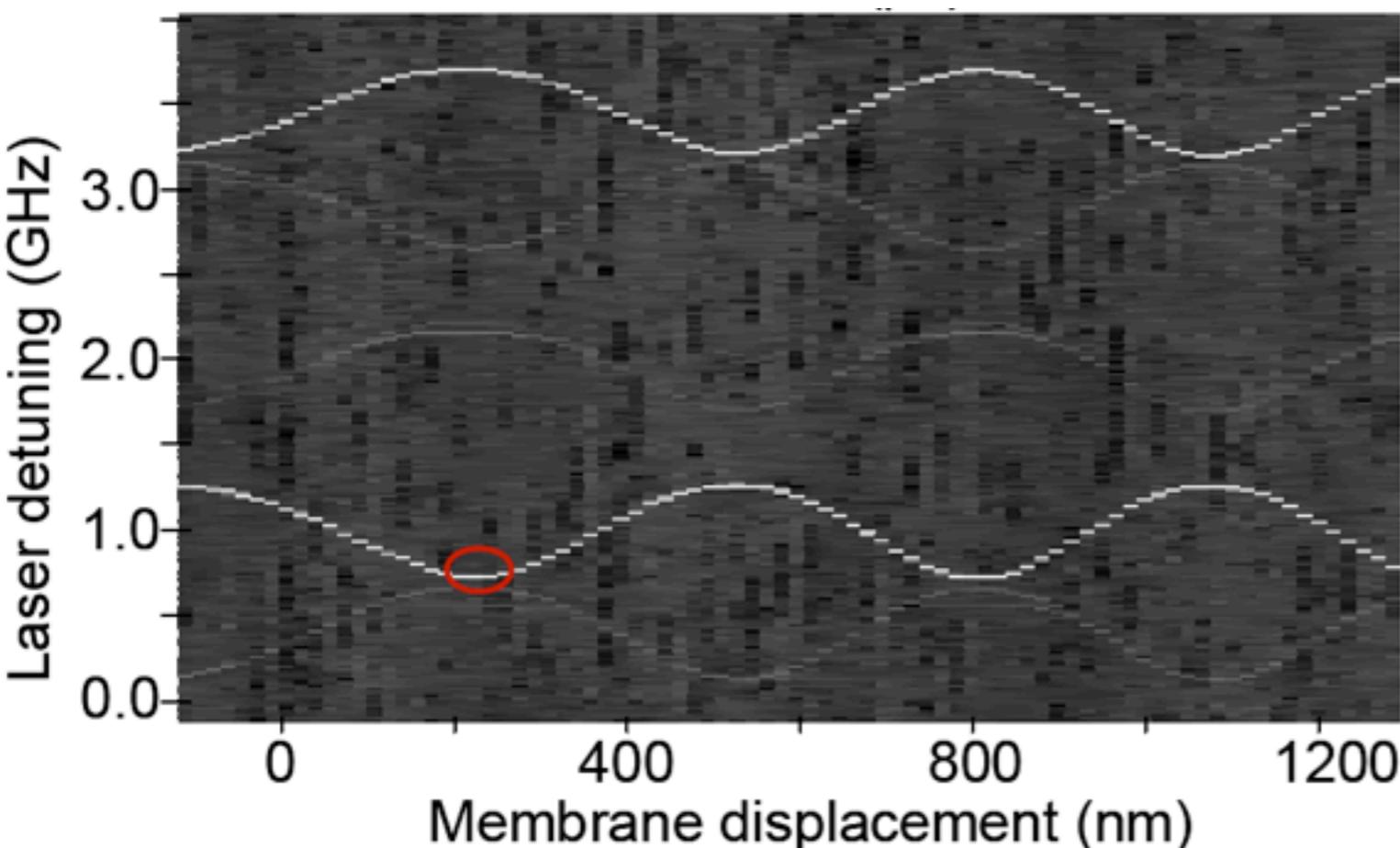
$$Q = 10^6 \div 10^7$$

Current optical finesse:

$$7000 \div 15000 \quad (5 \cdot 10^5)$$

[almost sideband regime]

Optomechanical cooling  
from **300K** to **7mK**



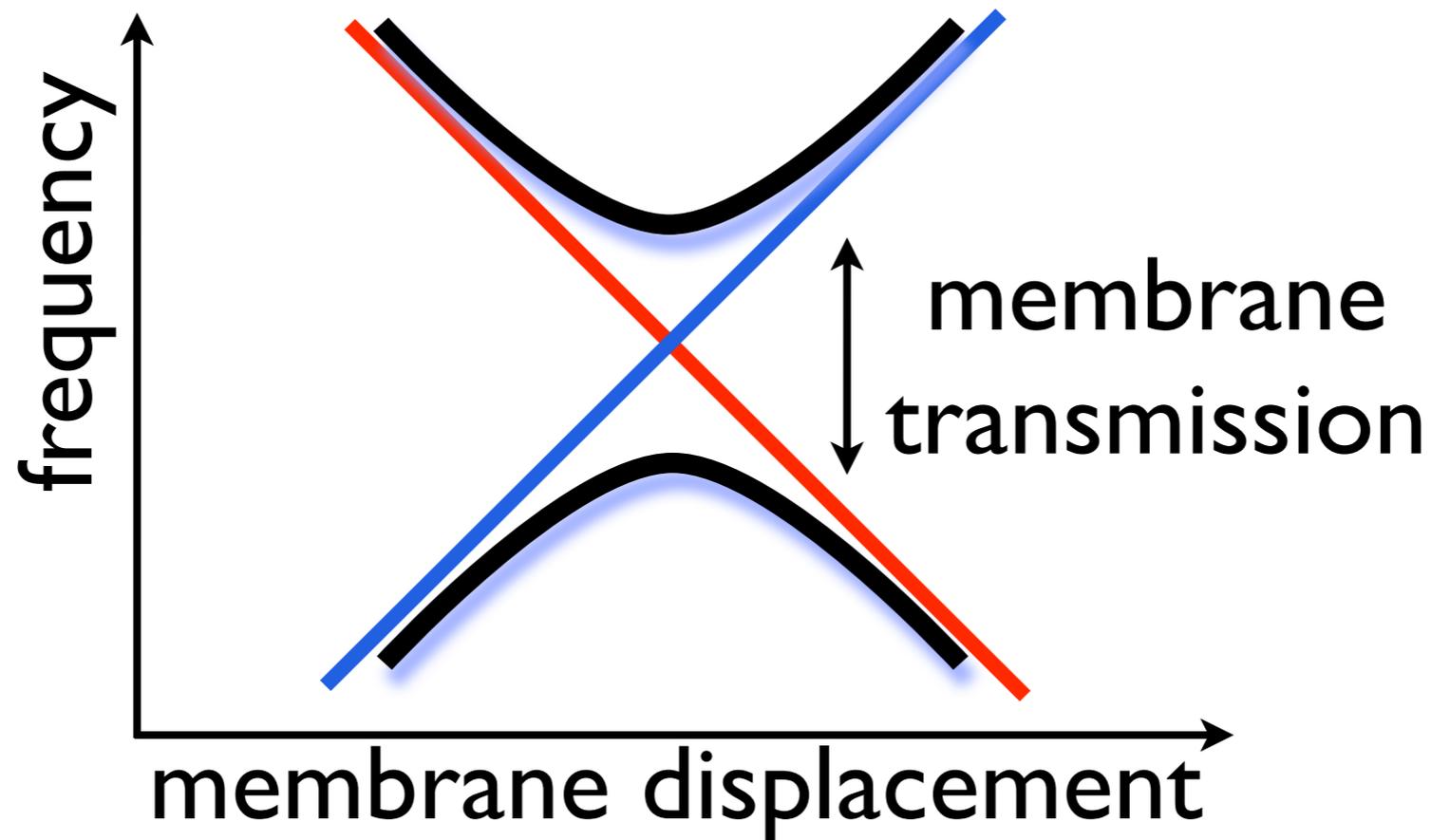
Thompson, Zwickl, Jayich, Marquardt, Girvin, Harris, *Nature* 72, 452 (2008)

# Towards Fock state detection of a macroscopic object

Detection of displacement  $x$ : *not* what we need!

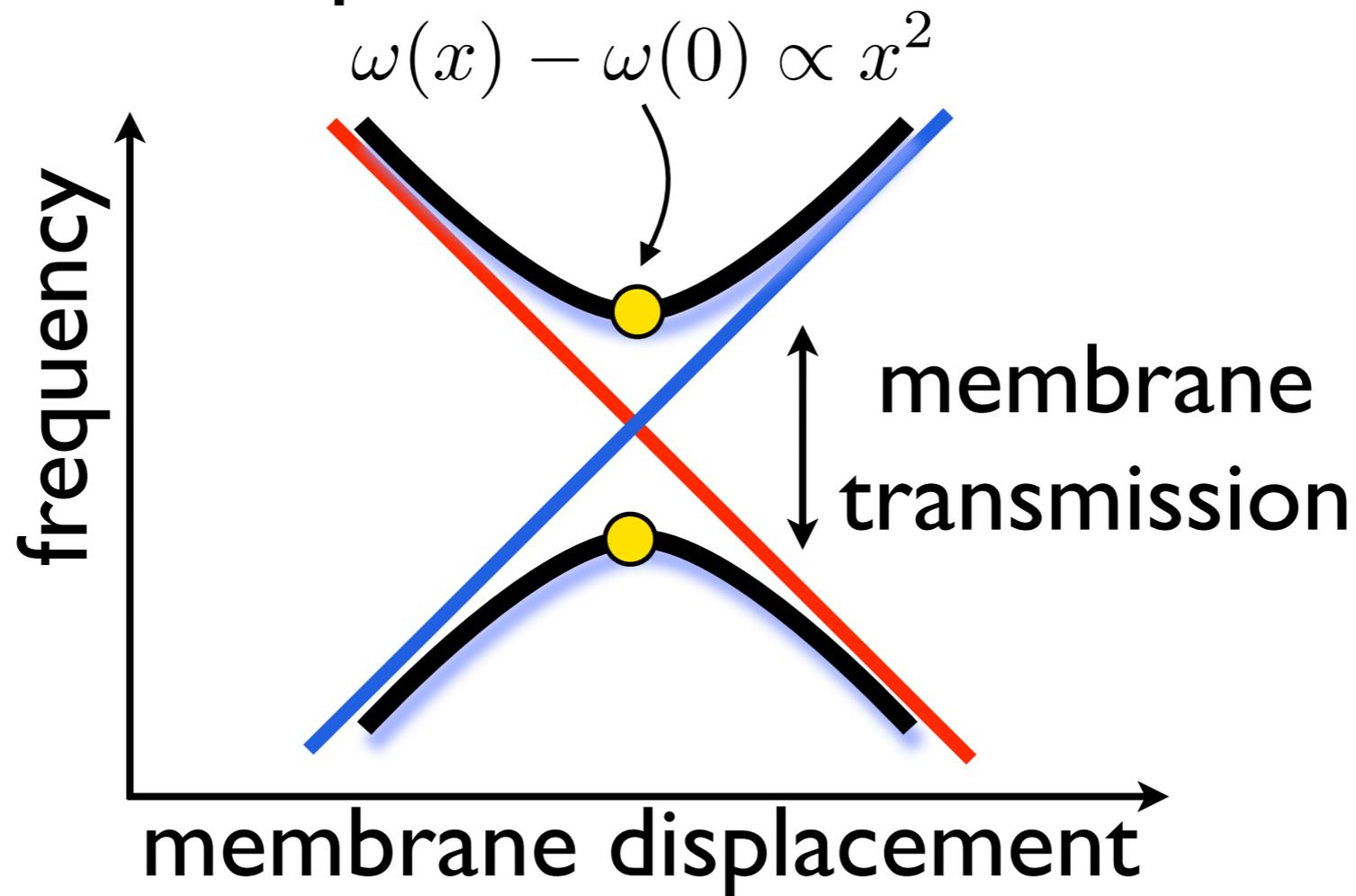
# Towards Fock state detection of a macroscopic object

Detection of displacement  $x$ : *not* what we need!

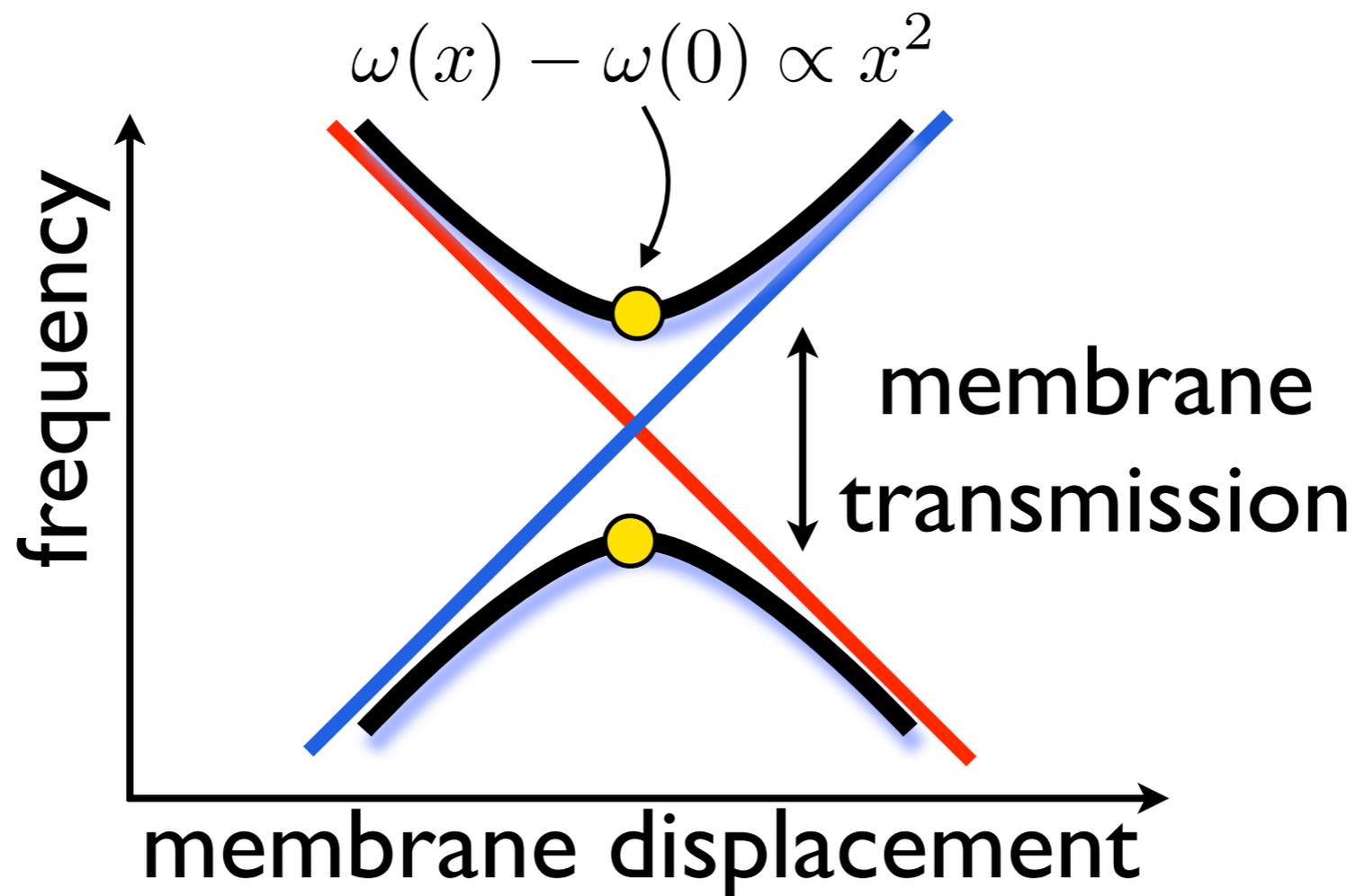


# Towards Fock state detection of a macroscopic object

Detection of displacement  $x$ : *not* what we need!



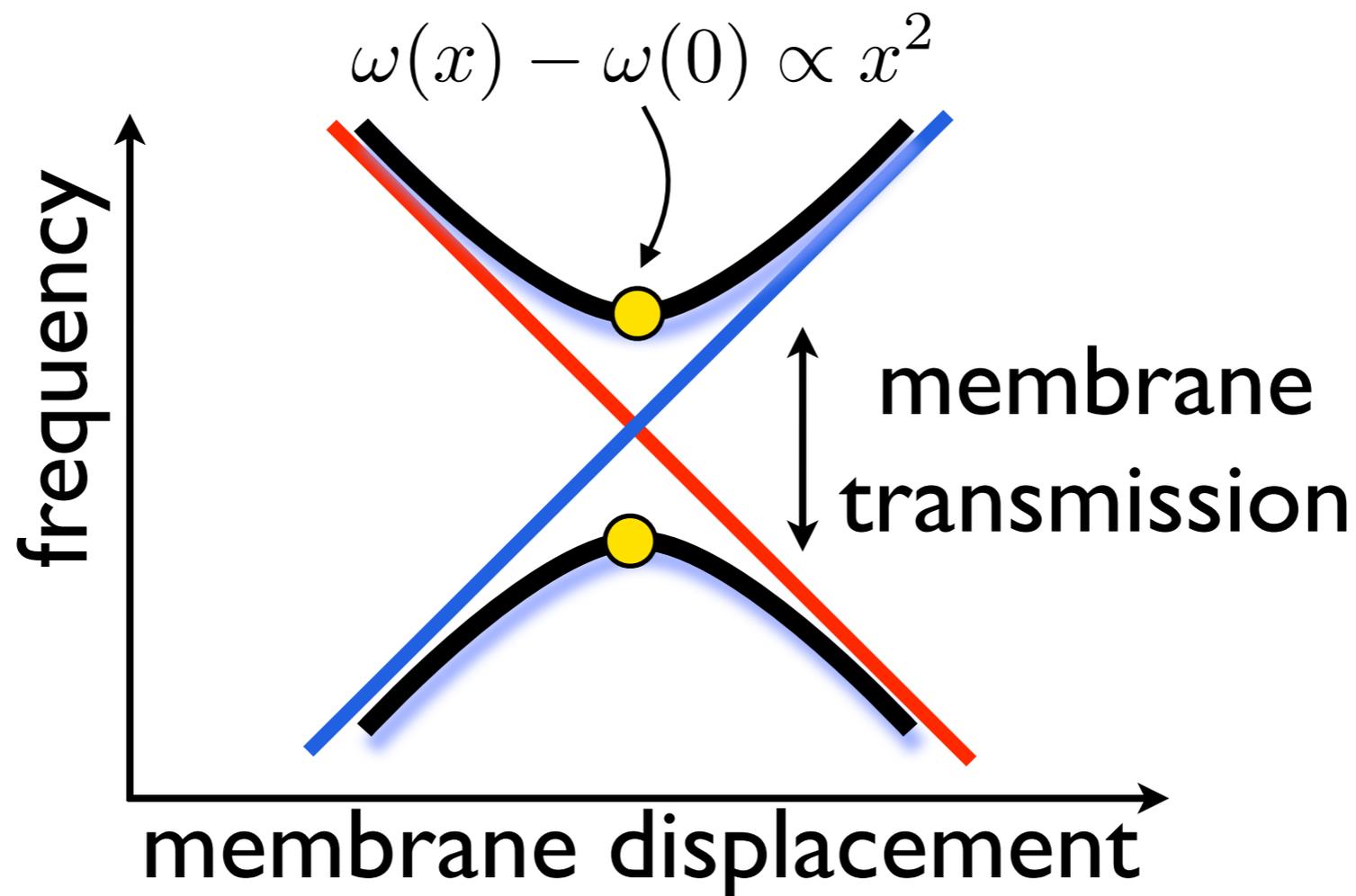
# Towards Fock state detection of a macroscopic object



phase shift of measurement beam:

$$\hat{\theta} \propto \hat{x}(t)^2 \propto (\hat{b}(t) + \hat{b}^\dagger(t))^2 = \hat{b}^2 e^{-i2\omega_M t} + \hat{b}^{\dagger 2} e^{+i2\omega_M t} + \hat{b}^\dagger \hat{b} + \hat{b} \hat{b}^\dagger$$

# Towards Fock state detection of a macroscopic object



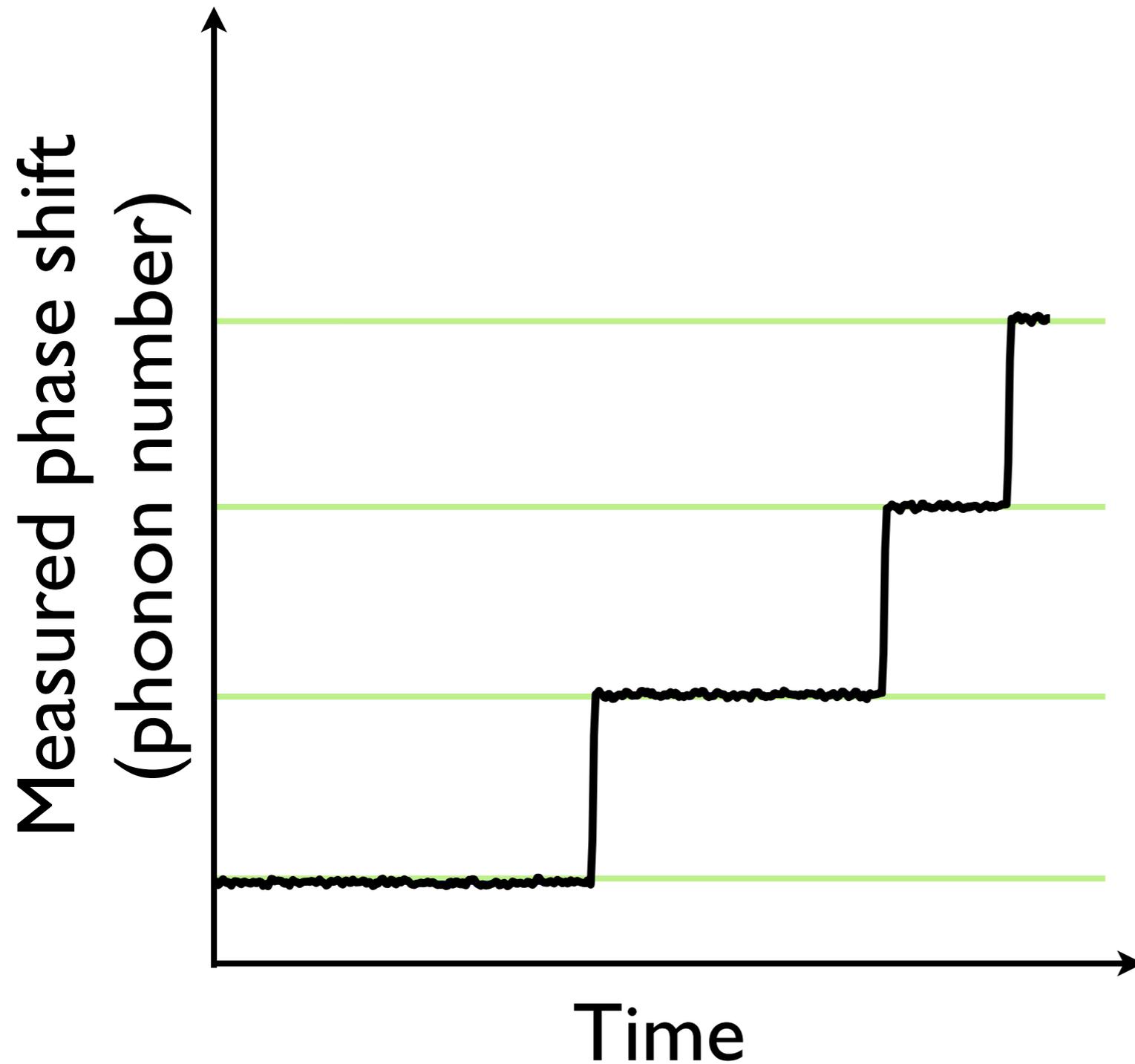
phase shift of measurement beam:

$$\overline{\hat{\theta}} \propto \overline{\hat{x}(t)^2} \propto \overline{(\hat{b}(t) + \hat{b}^\dagger(t))^2} \approx \underline{2\hat{b}^\dagger\hat{b}} + 1$$

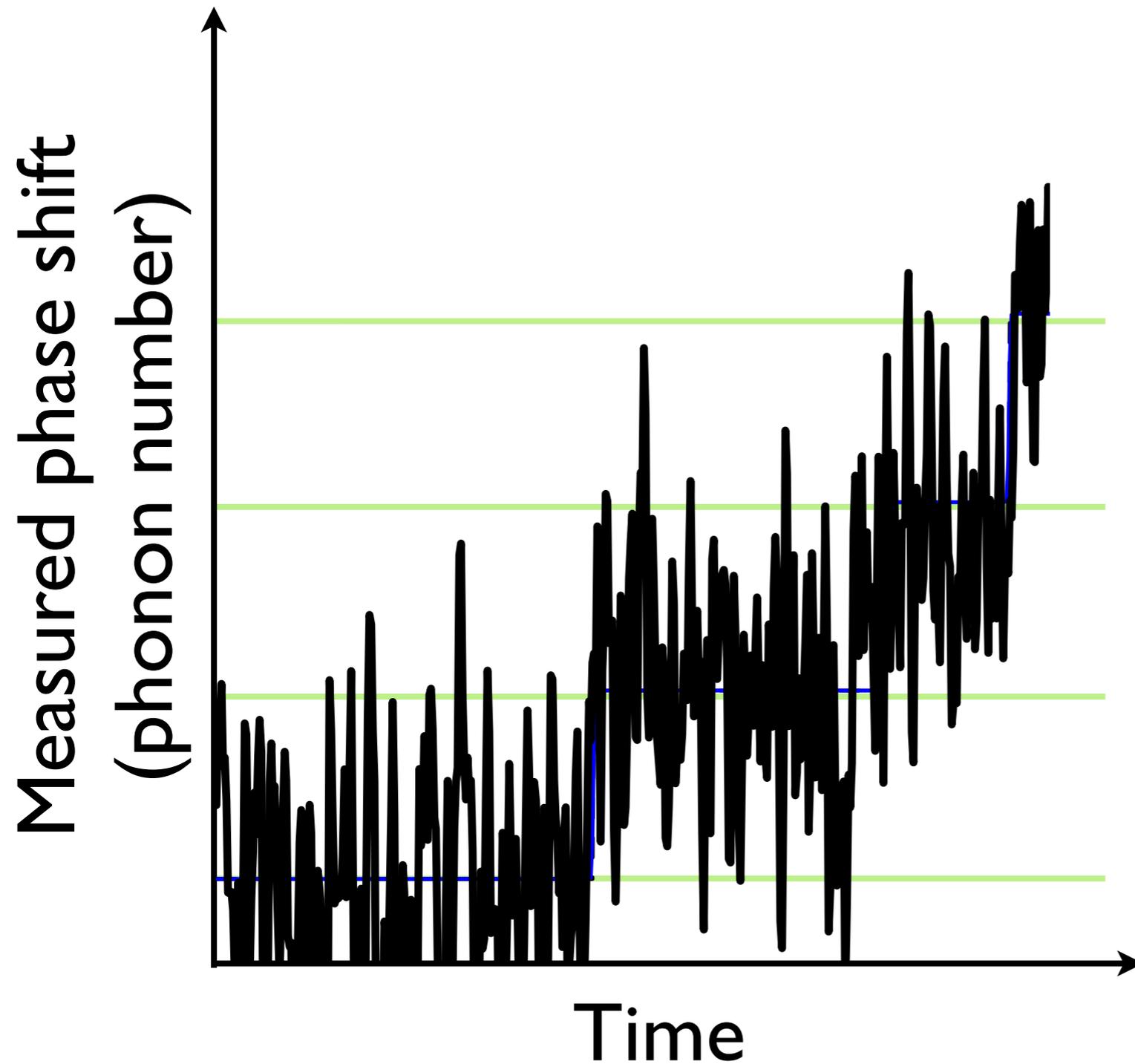
(Time-average over  
cavity ring-down time)

**QND measurement  
of phonon number!**

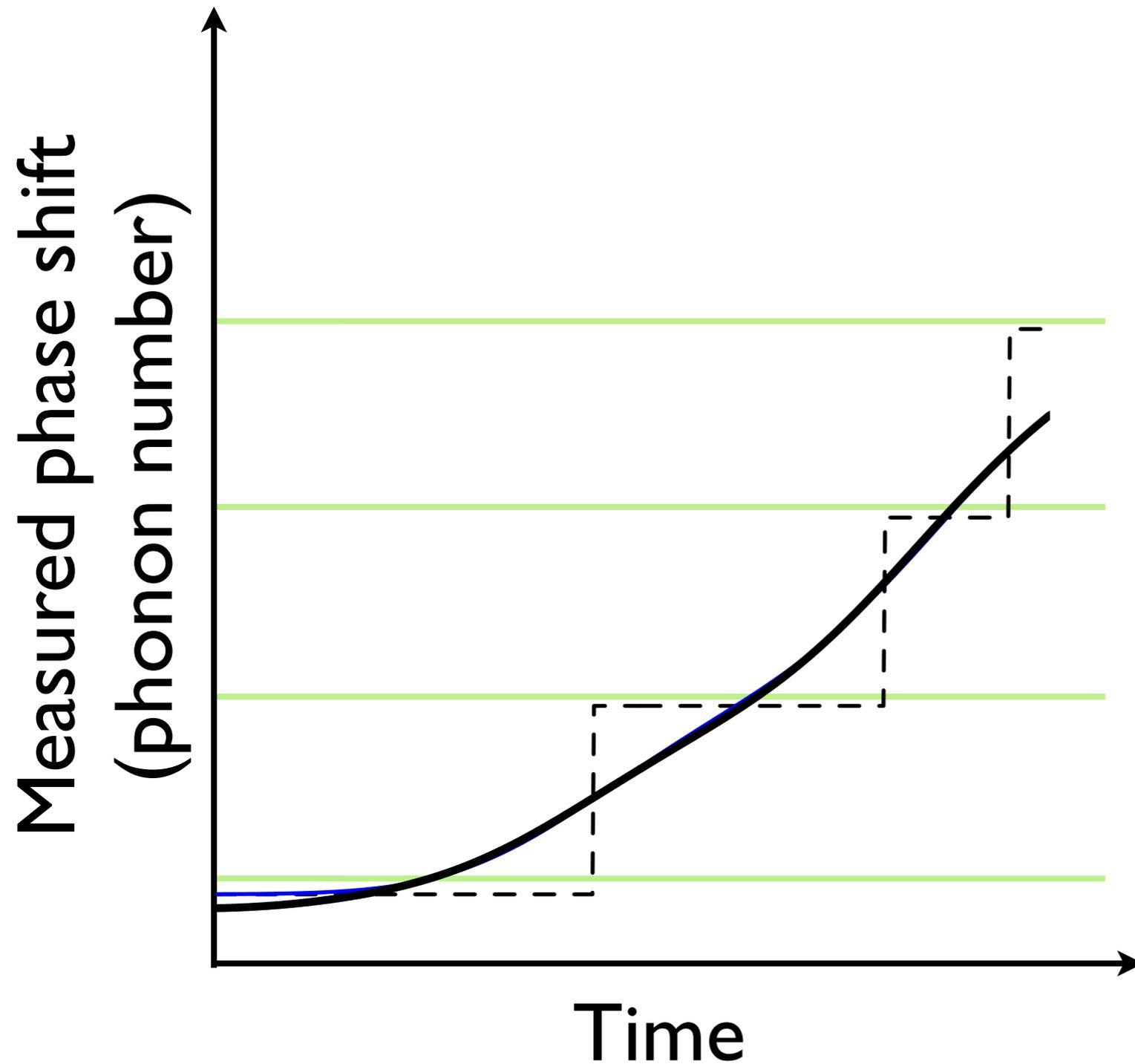
# Towards Fock state detection of a macroscopic object



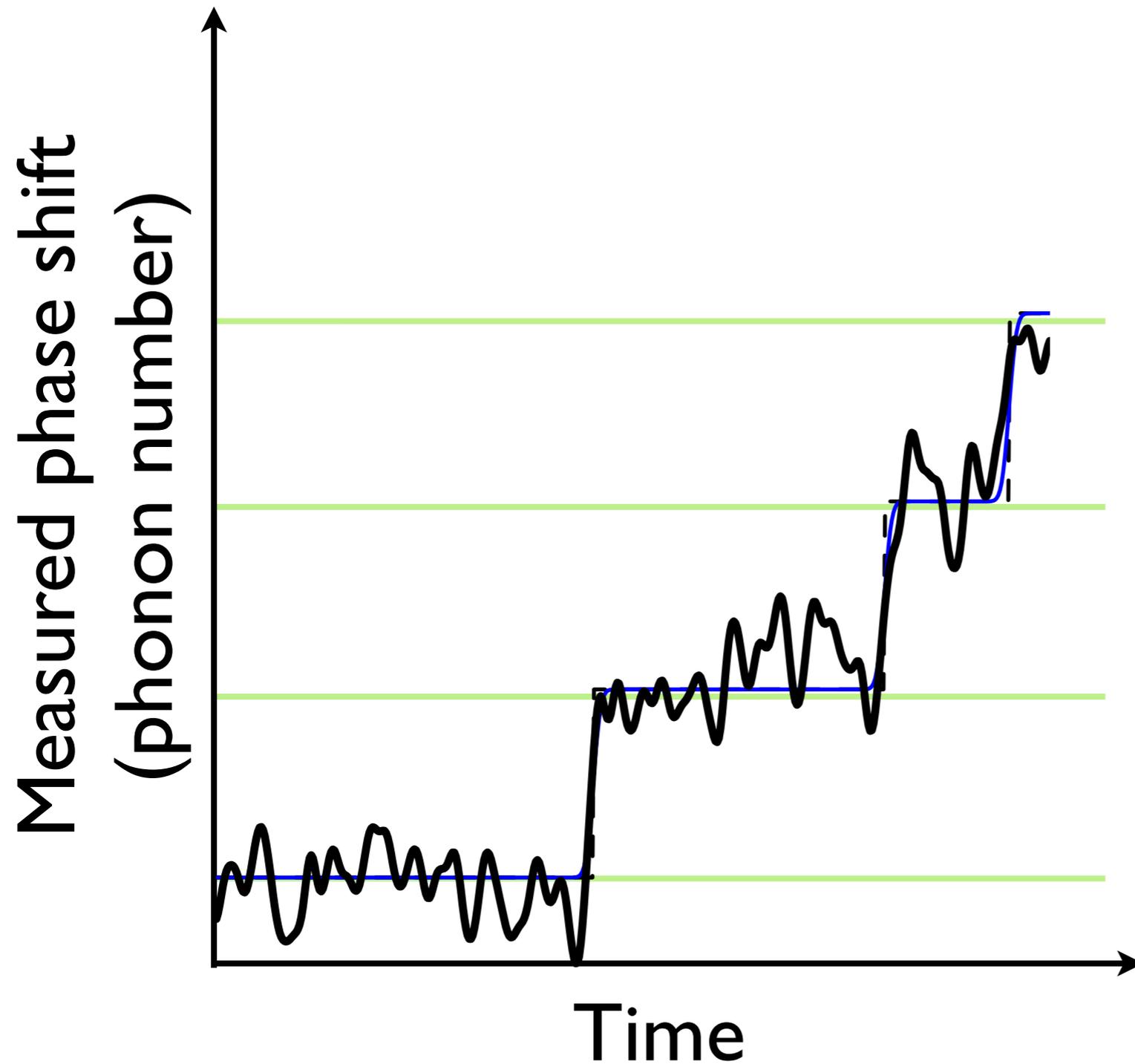
# Towards Fock state detection of a macroscopic object



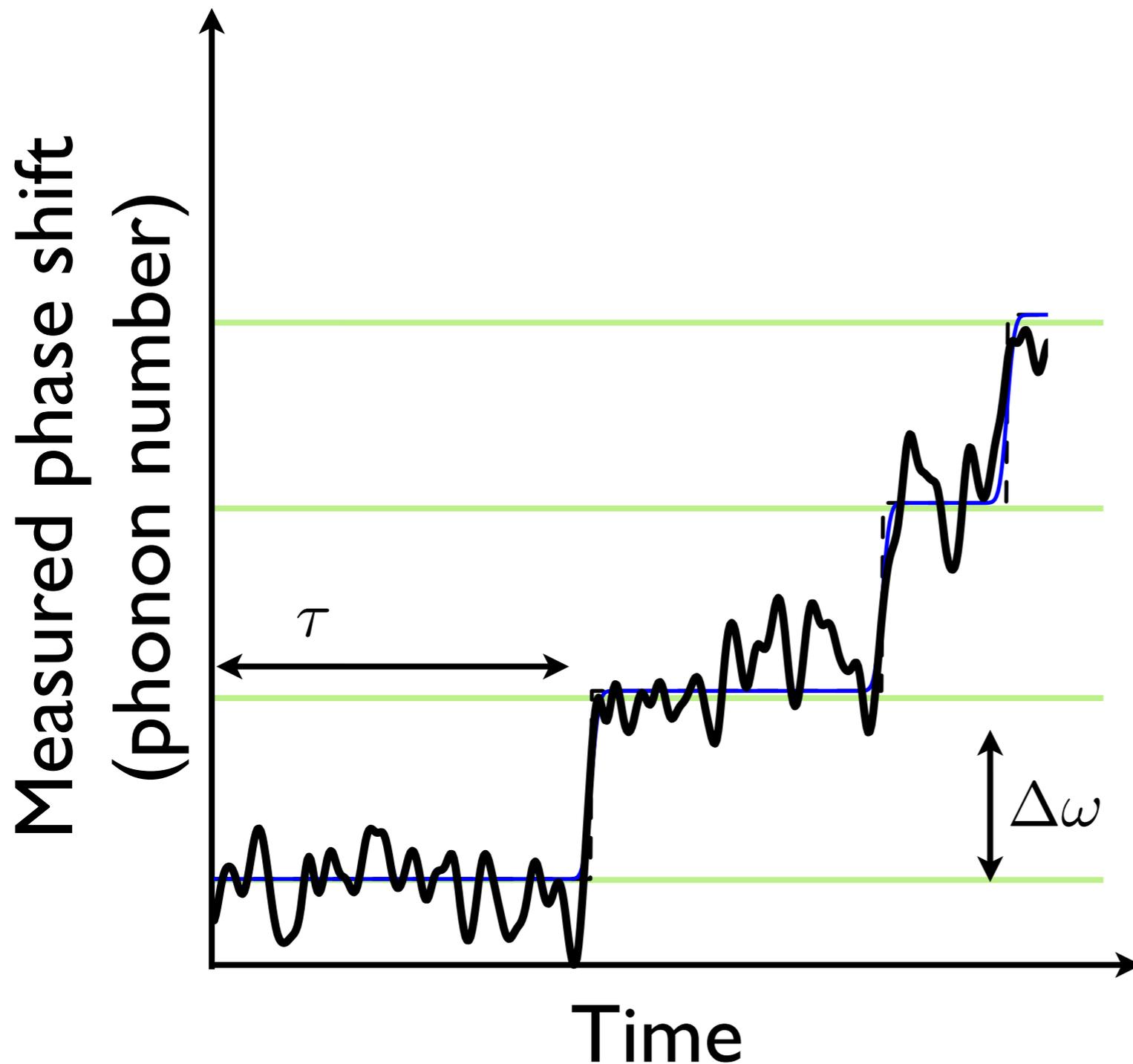
# Towards Fock state detection of a macroscopic object



# Towards Fock state detection of a macroscopic object



# Towards Fock state detection of a macroscopic object



**Signal-to-noise**

**ratio:** 
$$\frac{\tau \Delta\omega^2}{S_\omega}$$

Optical freq. shift  
per phonon:

$$\Delta\omega = x_{\text{ZPF}}^2 \omega''$$

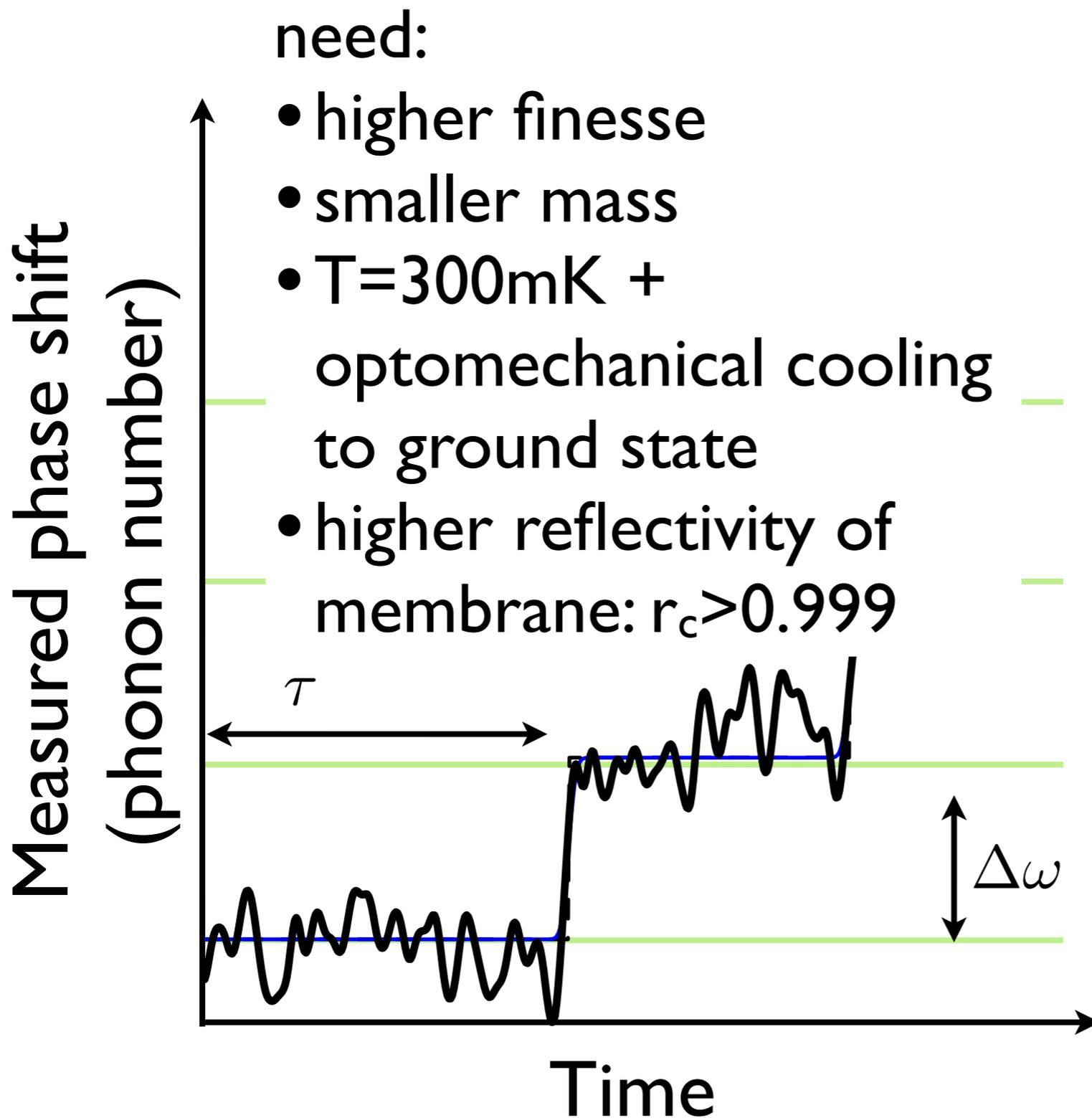
Noise power of  
freq. measurement:

$$S_\omega = \frac{\kappa}{16\bar{n}_{\text{cavity}}}$$

Ground state lifetime:

$$\frac{1}{\tau} = \Gamma \bar{n}_{\text{thermal}}$$

# Towards Fock state detection of a macroscopic object



**Signal-to-noise**

**ratio:** 
$$\frac{\tau \Delta\omega^2}{S_\omega}$$

Optical freq. shift  
per phonon:

$$\Delta\omega = x_{\text{ZPF}}^2 \omega''$$

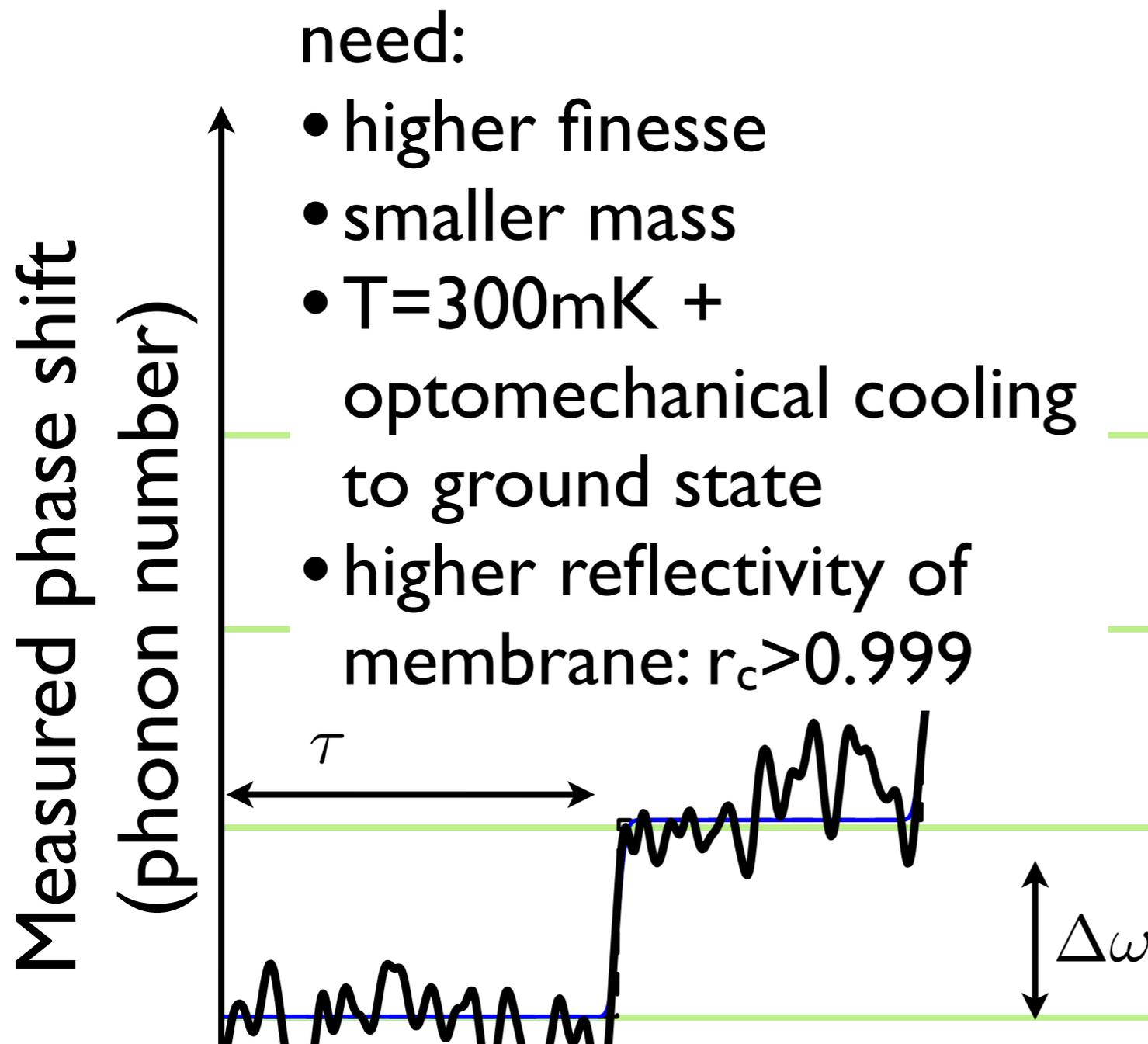
Noise power of  
freq. measurement:

$$S_\omega = \frac{\kappa}{16\bar{n}_{\text{cavity}}}$$

Ground state lifetime:

$$\frac{1}{\tau} = \Gamma \bar{n}_{\text{thermal}}$$

# Towards Fock state detection of a macroscopic object



**Signal-to-noise**

**ratio:** 
$$\frac{\tau \Delta\omega^2}{S_\omega}$$

Optical freq. shift per phonon:

$$\Delta\omega = x_{\text{ZPF}}^2 \omega''$$

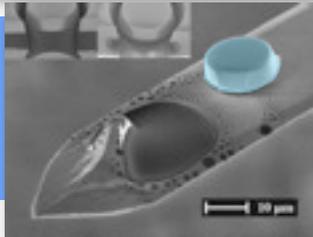
Noise power of freq. measurement:

$$S_\omega = \frac{\kappa}{16\bar{n}_{\text{cavity}}}$$

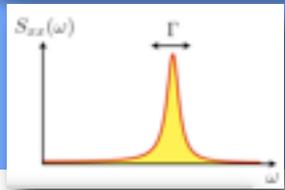
**Alternative:**

**Phonon shot noise measurement (Clerk, FM, Harris 2010)**

# Optomechanics (Outline)

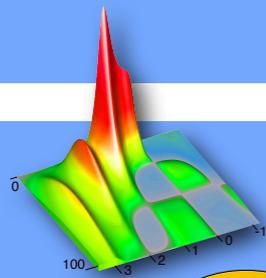


Introduction

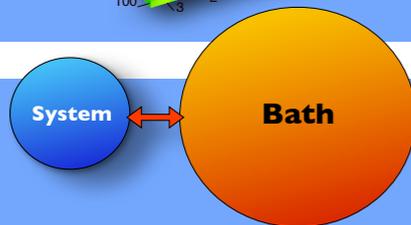


Displacement detection

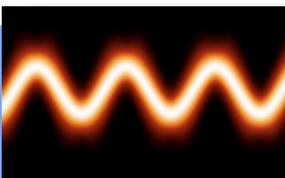
Linear optomechanics



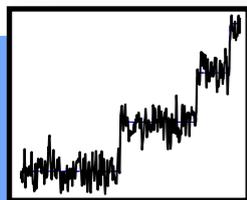
Nonlinear dynamics



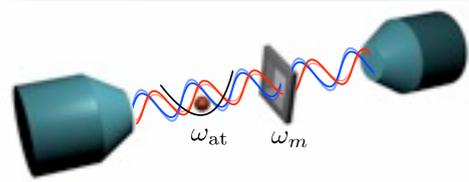
Quantum theory of cooling



Interesting quantum states



Towards Fock state detection



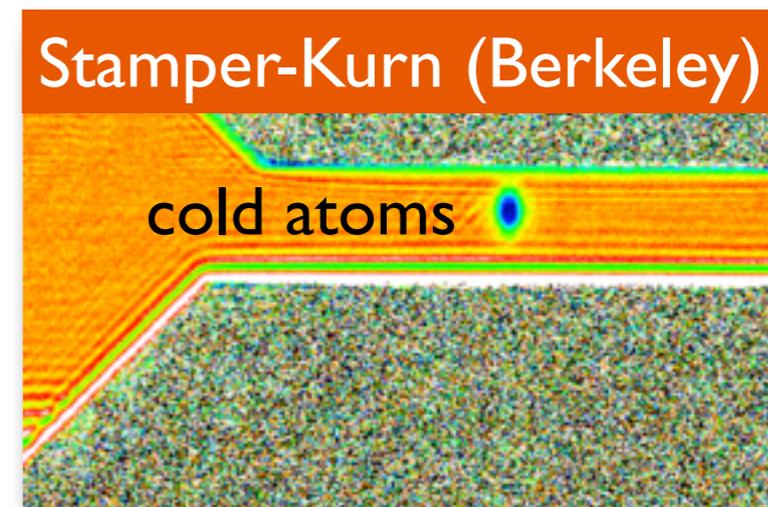
Coupling to the motion of a single atom

# Atom-membrane coupling

Note: Existing works simulate optomechanical effects using cold atoms

K. W. Murch, K. L. Moore, S. Gupta, and D. M. Stamper-Kurn, Nature Phys. **4**, 561 (2008).

F. Brennecke, S. Ritter, T. Donner, and T. Esslinger, Science **322**, 235 (2008).

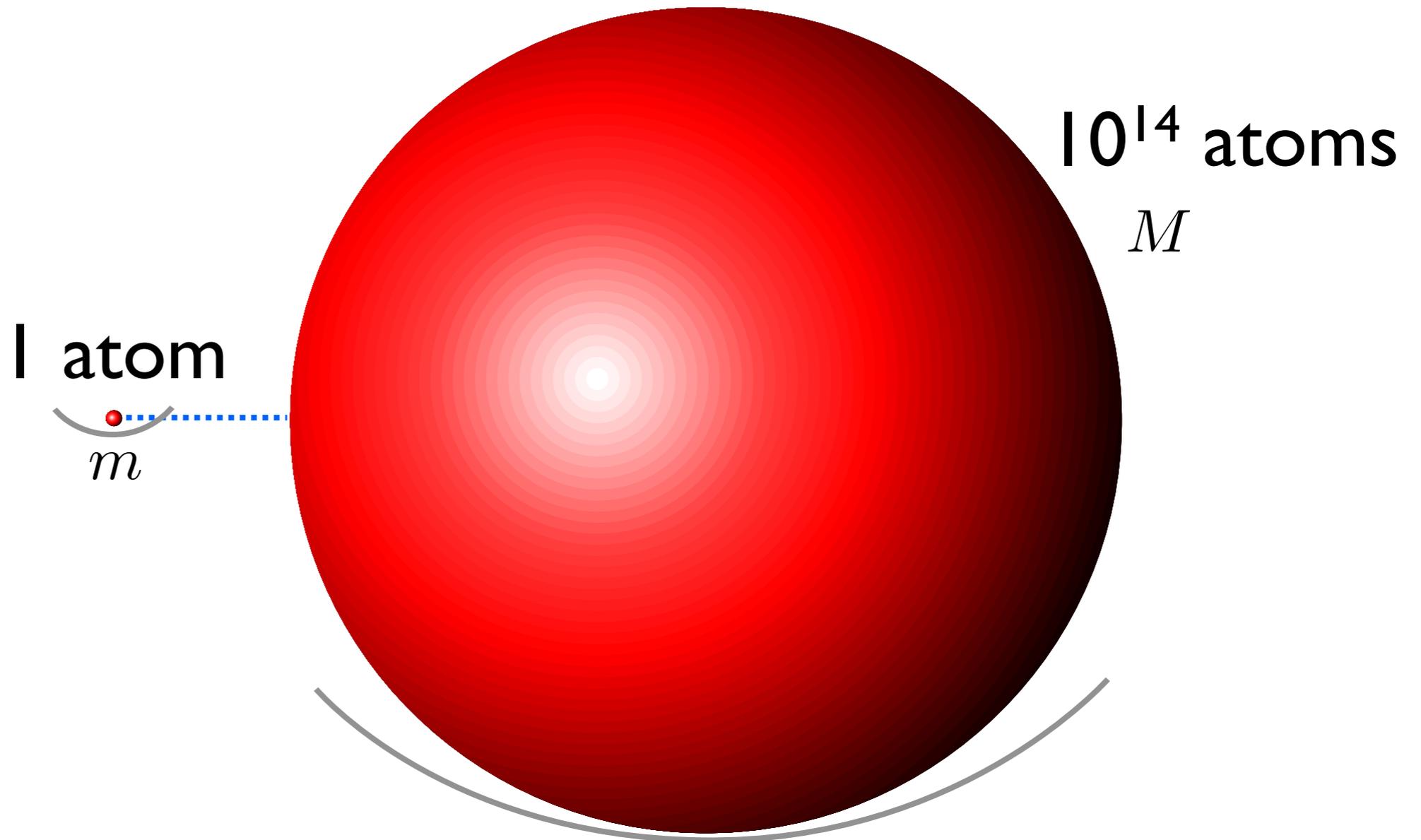


...profit from small mass of atomic cloud

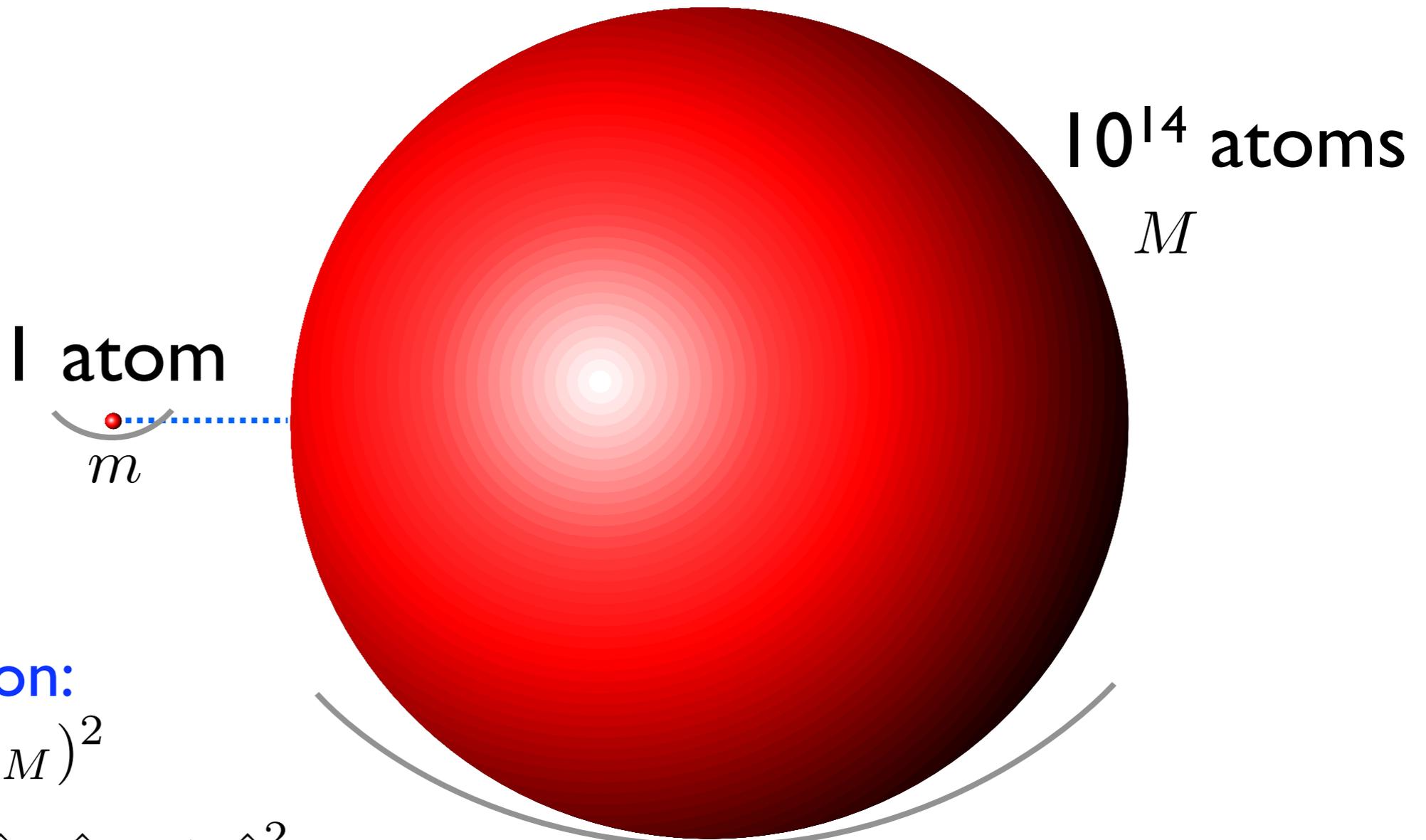
Here: Coupling a single atom to a macroscopic mechanical object

Challenge: huge mass ratio

# Coupling a single atom to a heavy object: Why it is hard



# Coupling a single atom to a heavy object: Why it is hard



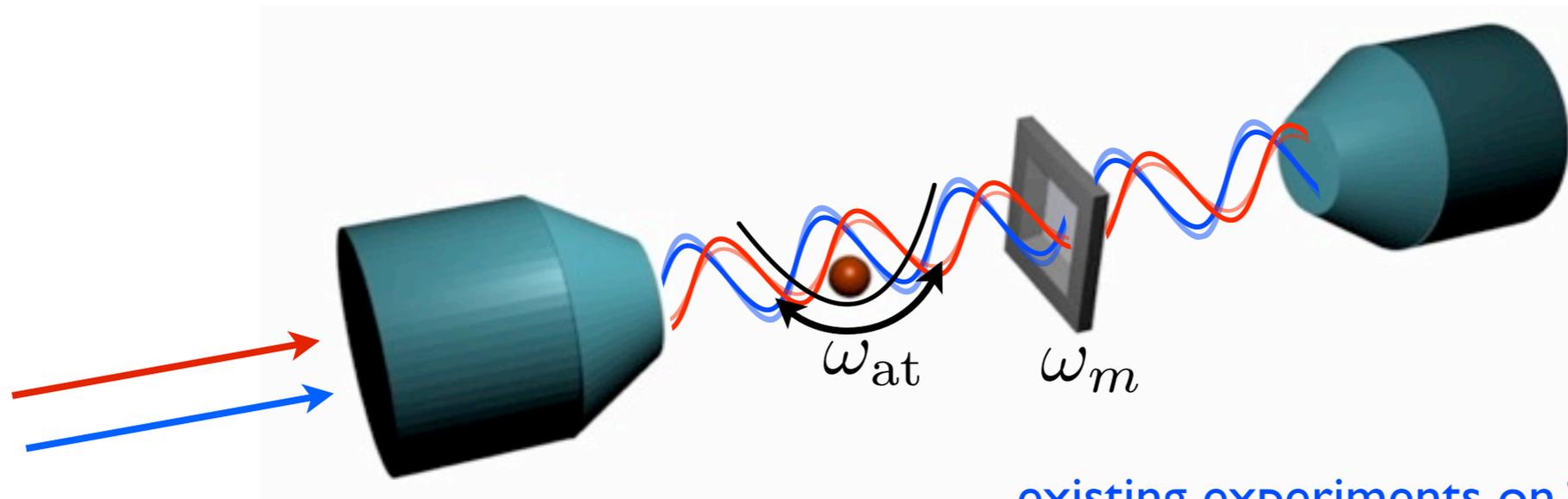
interaction:

$$\begin{aligned}
 & (\hat{x}_m - \hat{x}_M)^2 \\
 &= \hat{x}_m^2 - 2\hat{x}_m\hat{x}_M + \hat{x}_M^2 \\
 &= \frac{\hbar}{2m\omega} \left[ (\hat{a} + \hat{a}^\dagger)^2 - 2\sqrt{\frac{m}{M}} (\hat{a} + \hat{a}^\dagger)(\hat{b} + \hat{b}^\dagger) + \frac{m}{M} (\hat{b} + \hat{b}^\dagger)^2 \right]
 \end{aligned}$$

freq. shift      coupling      freq. shift

$$m/M \sim 10^{-14} - 10^{-8} \Rightarrow \text{coupling term small!}$$

# Strong atom-membrane coupling via the light field



existing experiments on “optomechanics with cold atoms”: labs of Dan-Stamper Kurn (Berkeley) and Tilman Esslinger (ETH)

collaboration:

LMU (M. Ludwig, FM, P. Treutlein),

Innsbruck (K. Hammerer, C. Genes, M. Wallquist, P. Zoller),

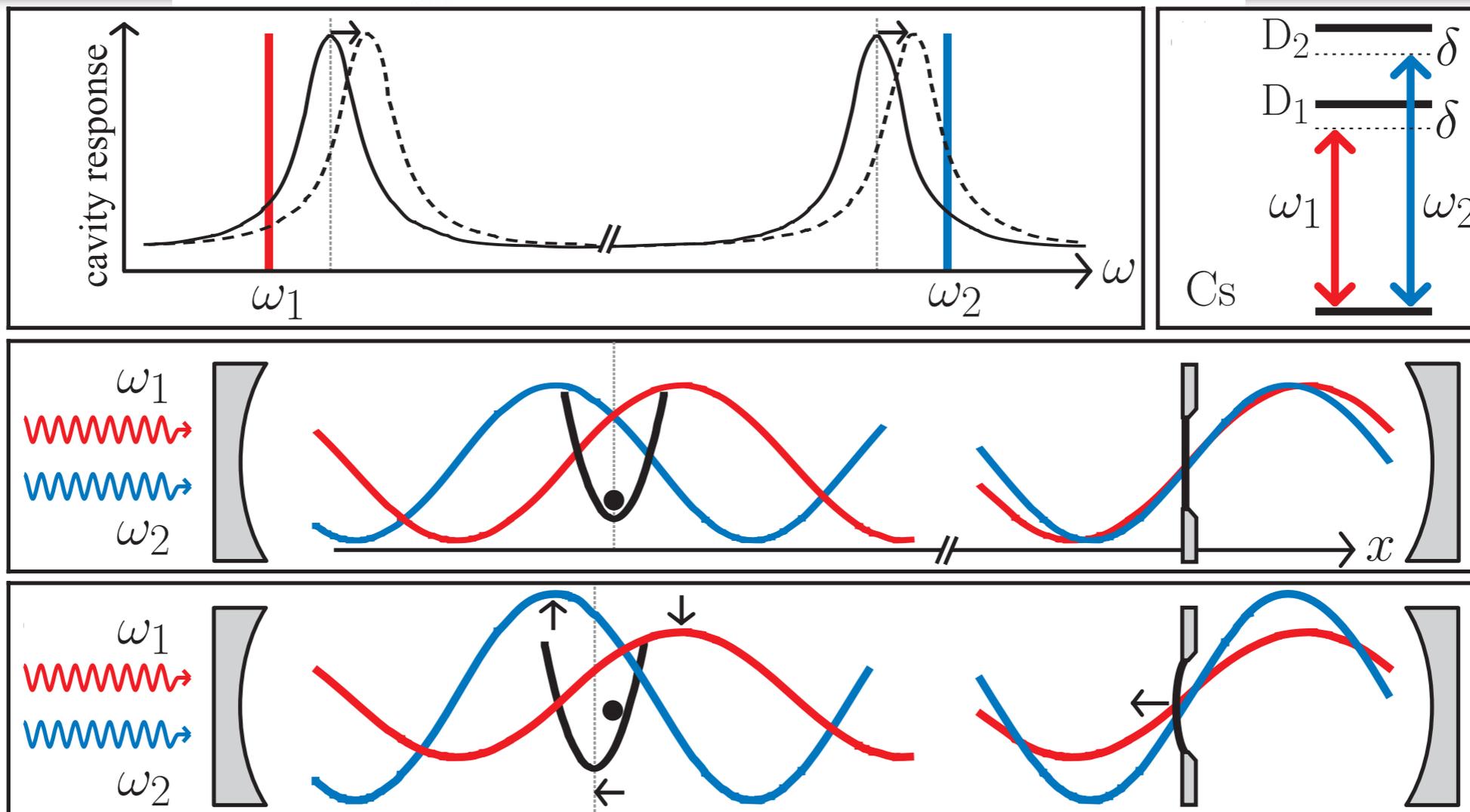
Boulder (J. Ye), Caltech (H. J. Kimble)

[Hammerer et al., PRL 2009](#)

Goal:

$$\hat{H} = \underbrace{\hbar\omega_{\text{at}}\hat{a}^\dagger\hat{a}}_{\text{atom}} + \underbrace{\hbar\omega_m\hat{b}^\dagger\hat{b}}_{\text{membrane}} + \underbrace{\hbar G_{\text{eff}}(\hat{a}^\dagger + \hat{a})(\hat{b}^\dagger + \hat{b})}_{\text{atom-membrane coupling}}$$

# Cavity-mediated coupling



for each cavity mode:

$$\hat{H}_{\text{atom-cavity}} = U_0 \sin^2(k\hat{x}_{\text{at}}) \hat{C}^\dagger \hat{C} = \dots + g_{\text{at}} (\hat{c}^\dagger + \hat{c}) (\hat{a}^\dagger + \hat{a})$$

$$(\hat{C} = \bar{C} + \hat{c}) \quad \text{(likewise for membrane)}$$

atom-membrane coupling via virtual transitions:

$$G_{\text{eff}} = 4 \frac{g_{\text{at}} g_{\text{m}}}{\Delta} \sim 100 \text{kHz}$$

$$\Delta \gg \omega_m, k$$

detuning laser-cavity

# Decoherence and decay

Thermal ground-state decay rate:

$$\Gamma_{\text{th}} = \bar{n}_{\text{th}} \Gamma_m = \frac{k_B T}{\hbar Q}$$

Note: T limited by light absorption,  $T \sim 1 \text{ K}$

Relaxation of atom/membrane motion via driven cavity modes:

$$\Gamma_c \sim \frac{\kappa g^2}{\Delta^2} \quad \text{Choose } \Delta \gg \omega_m, \kappa$$

Atomic momentum diffusion from photon scattering:

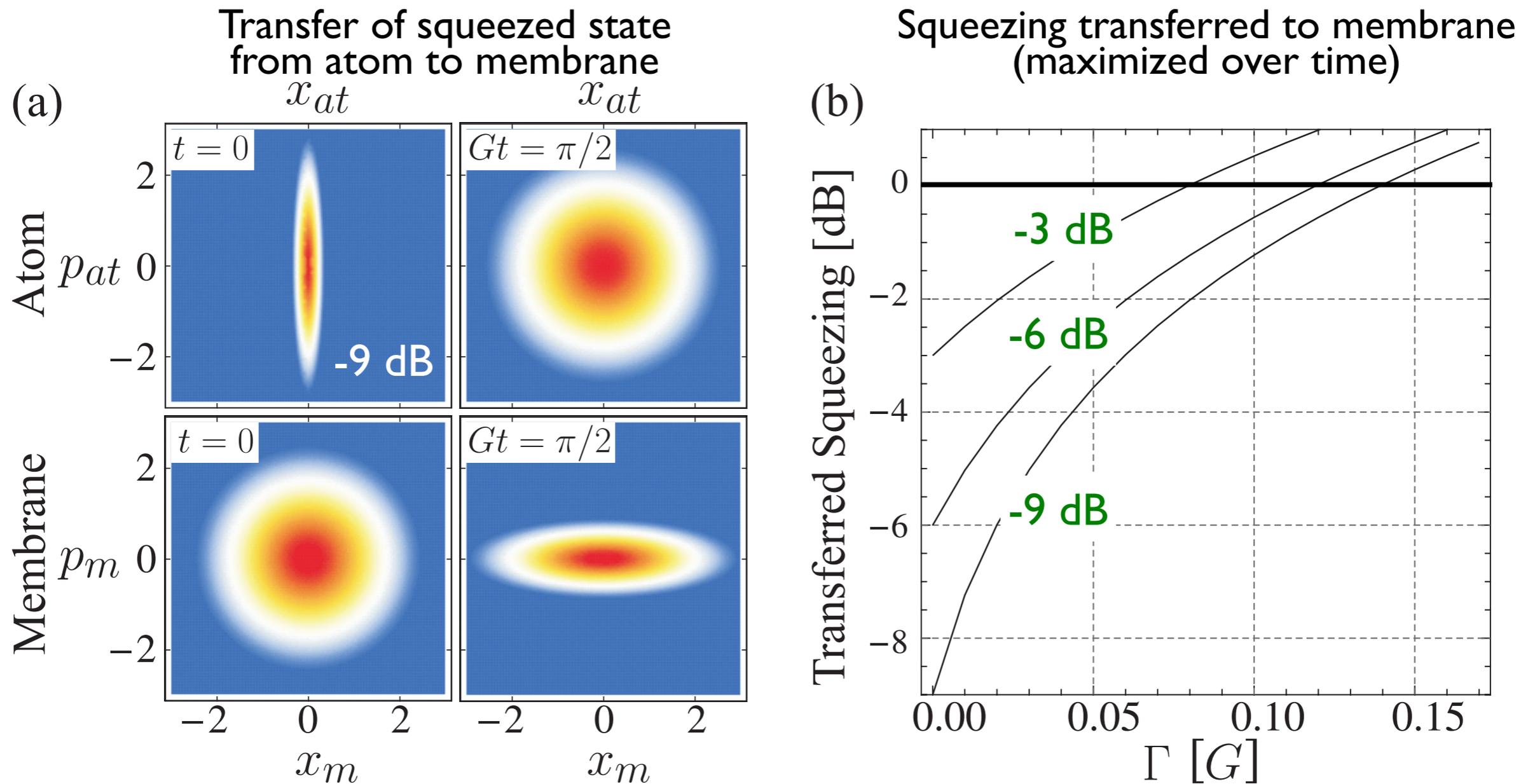
$$\Gamma_{\text{at}} \sim \gamma \frac{g_{\text{at}}^2}{\Omega^2}$$

Parameter optimization for currently achievable setups:

$$\Gamma_{\text{at}}, \Gamma_c, \Gamma_{\text{at}} \sim 0.1 \times G_{\text{eff}}$$

**can reach strong coupling regime!**

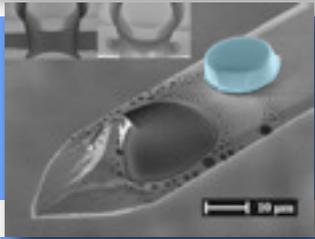
# Example: State transfer



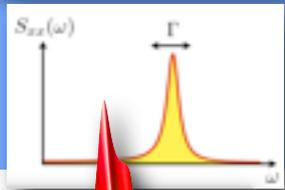
## Exploit toolbox for single-atom manipulation

- Creation of arbitrary atom states and transfer to membrane
- Transfer of membrane states to atom and measurement

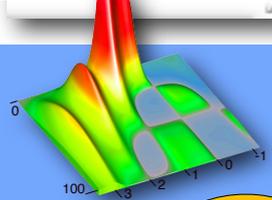
# Optomechanics (Outline)



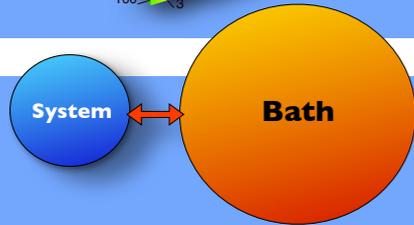
Introduction



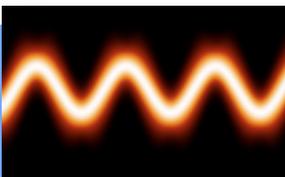
Displacement detection



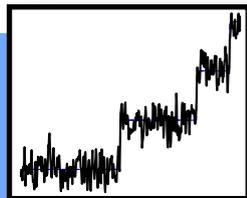
Nonlinear dynamics



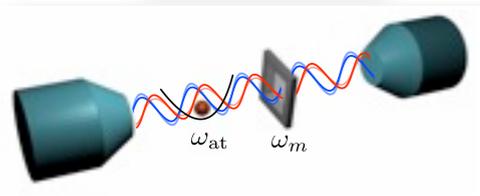
Quantum theory of cooling



Interesting quantum states



Towards Fock state detection

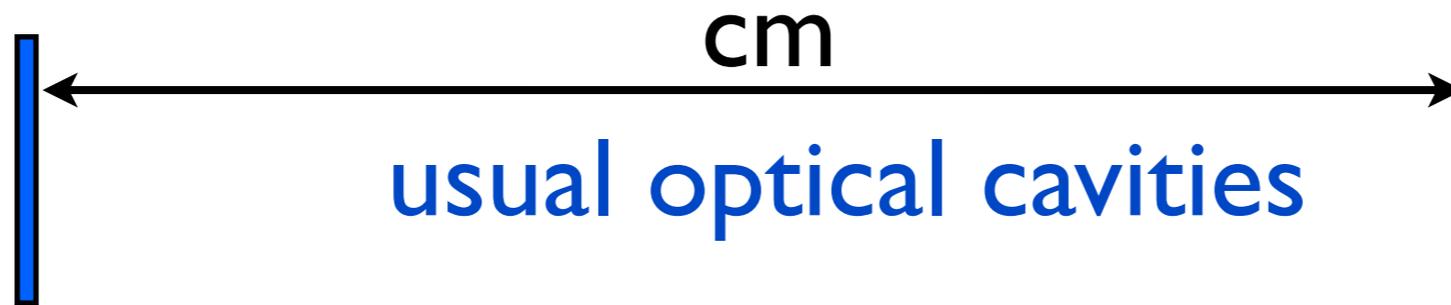
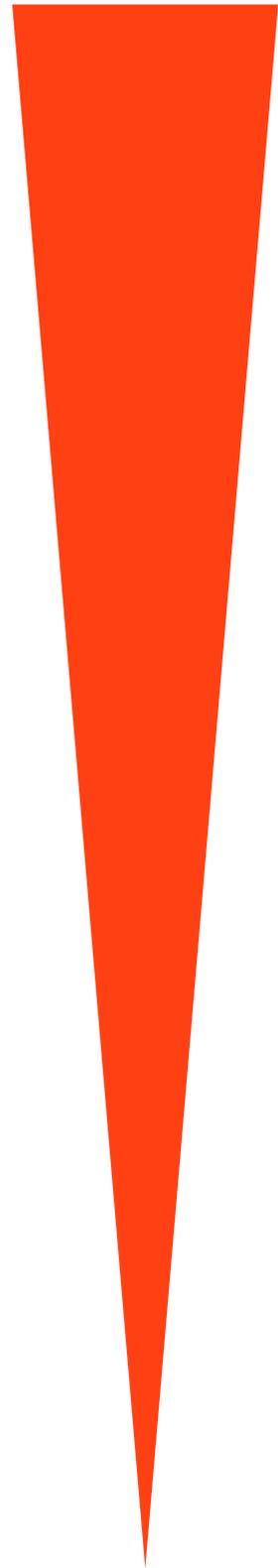


Coupling to the motion of a single atom



Optomechanical arrays

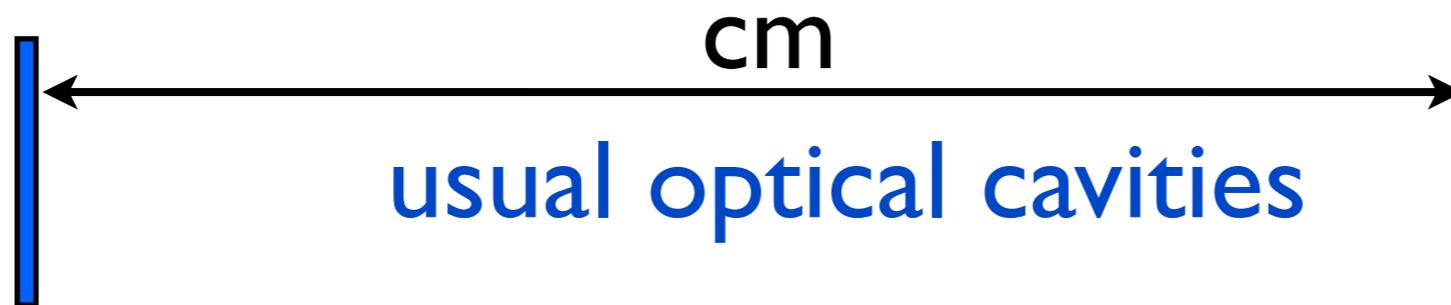
# Scaling down



10  $\mu\text{m}$

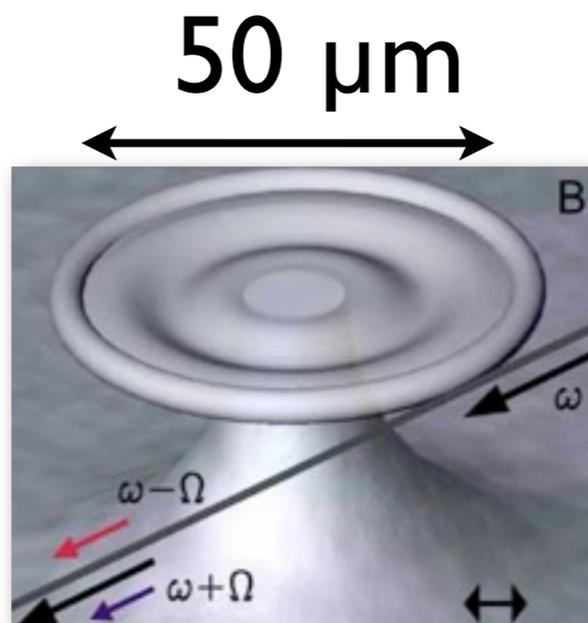
LKB, Aspelmeyer, Harris,  
Bouwmeester, ....

# Scaling down



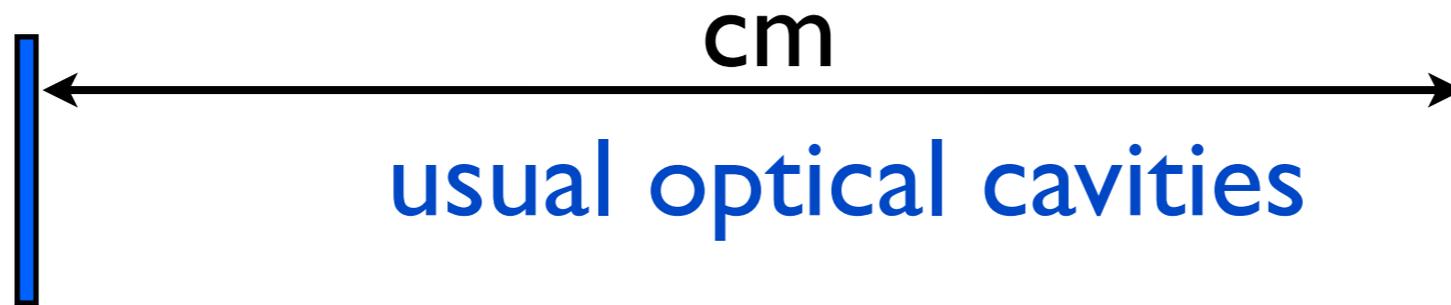
LKB, Aspelmeyer, Harris,  
Bouwmeester, ....

microtoroids



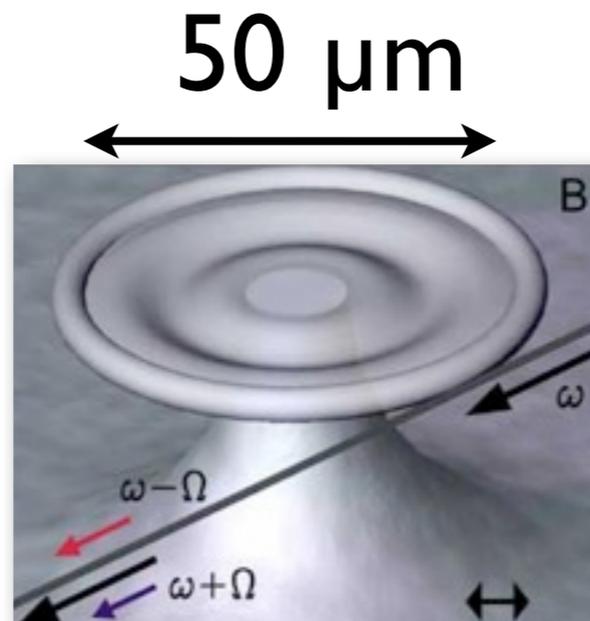
Vahala, Kippenberg, Carmon, ...

# Scaling down



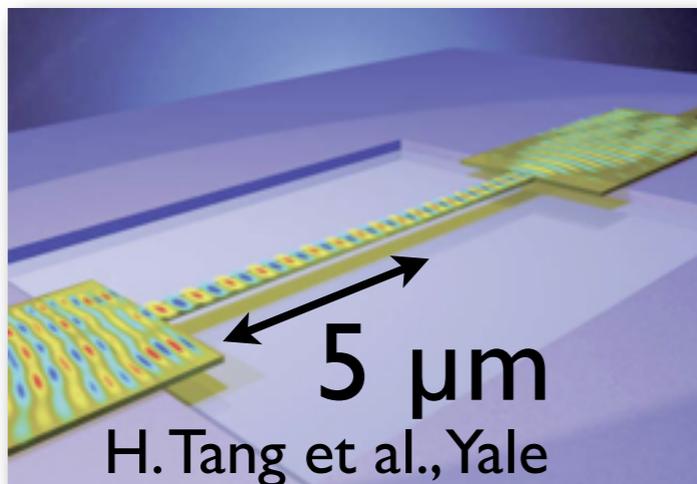
LKB, Aspelmeyer, Harris, Bouwmeester, ...

microtoroids

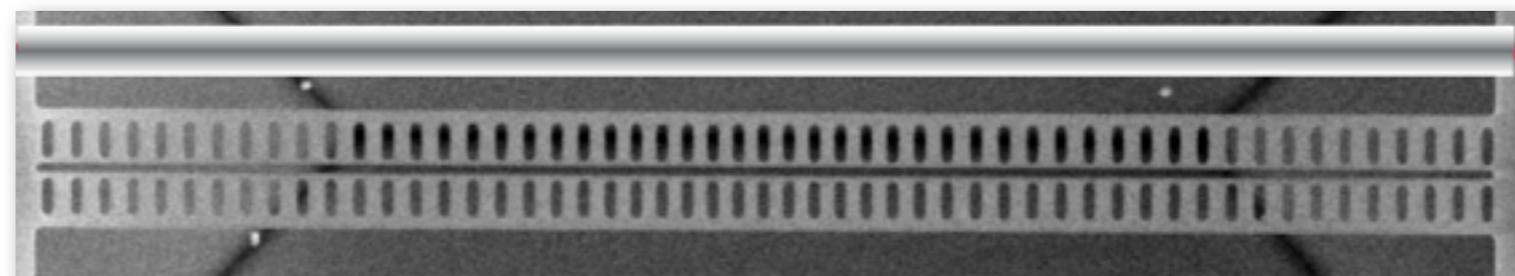


Vahala, Kippenberg, Carmon, ...

optomechanics in photonic circuits



optomechanical crystals

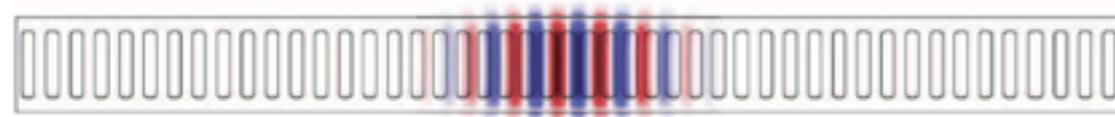


O. Painter et al., Caltech

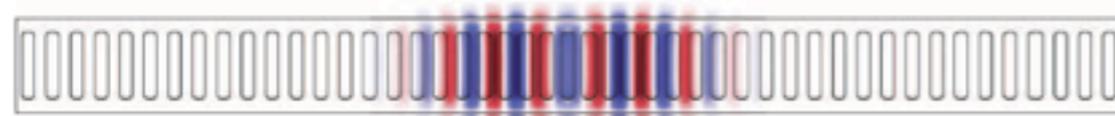
# Optomechanical crystals

## free-standing photonic crystal structures

### optical modes



Fundamental 202 THz  $V_{\text{eff}} = 1.38 (\lambda_0/n)^3$



Second Order 195 THz  $V_{\text{eff}} = 1.72 (\lambda_0/n)^3$



Third Order 189 THz  $V_{\text{eff}} = 1.89 (\lambda_0/n)^3$

### vibrational modes



Breathing Mode 2.24 GHz  $m_{\text{eff}} = 334 \text{ fg}$



Accordian Mode 1.53 GHz  $m_{\text{eff}} = 681 \text{ fg}$



Pinch Mode 898 MHz  $m_{\text{eff}} = 68 \text{ fg}$

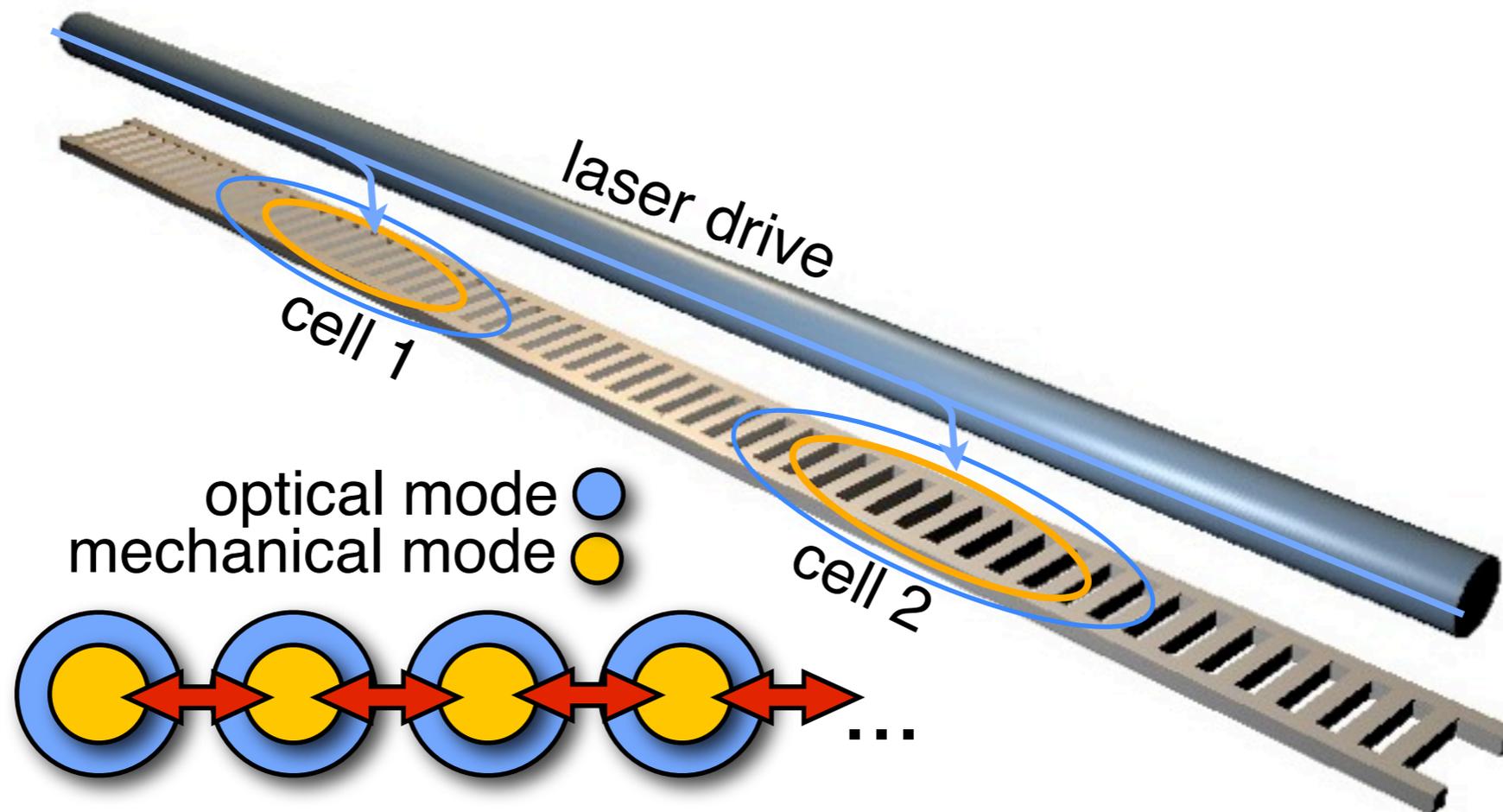
### advantages:

tight vibrational confinement:  
high frequencies, small mass  
(stronger quantum effects)

tight optical confinement:  
large optomechanical coupling  
(100 GHz/nm)

integrated on a chip

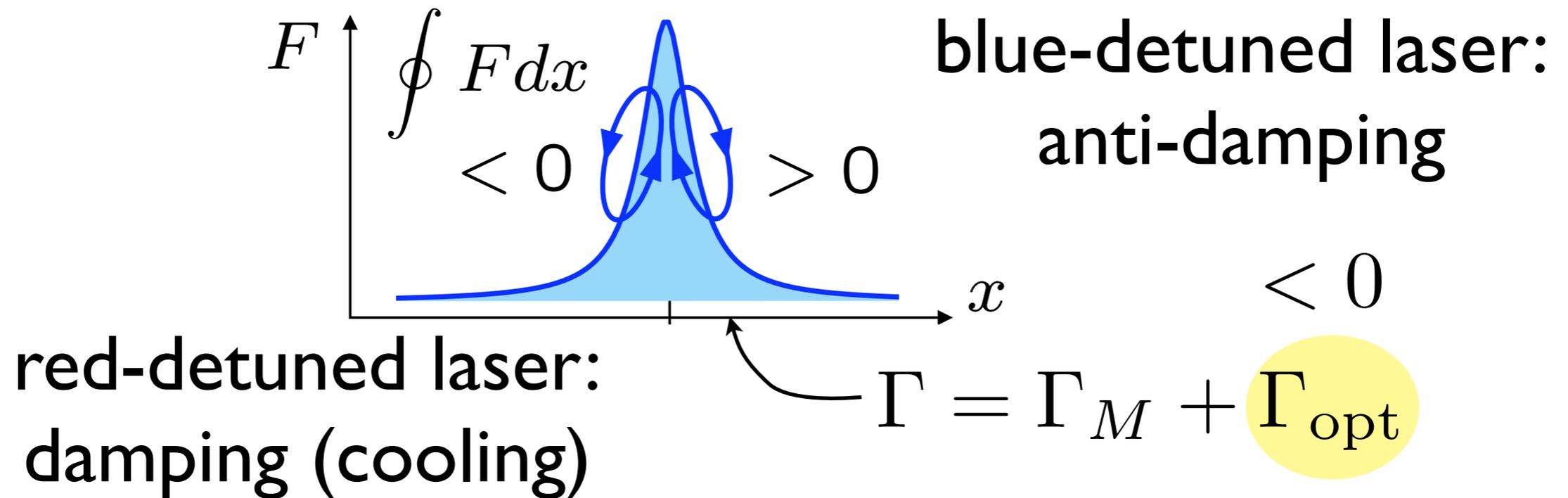
# Optomechanical arrays



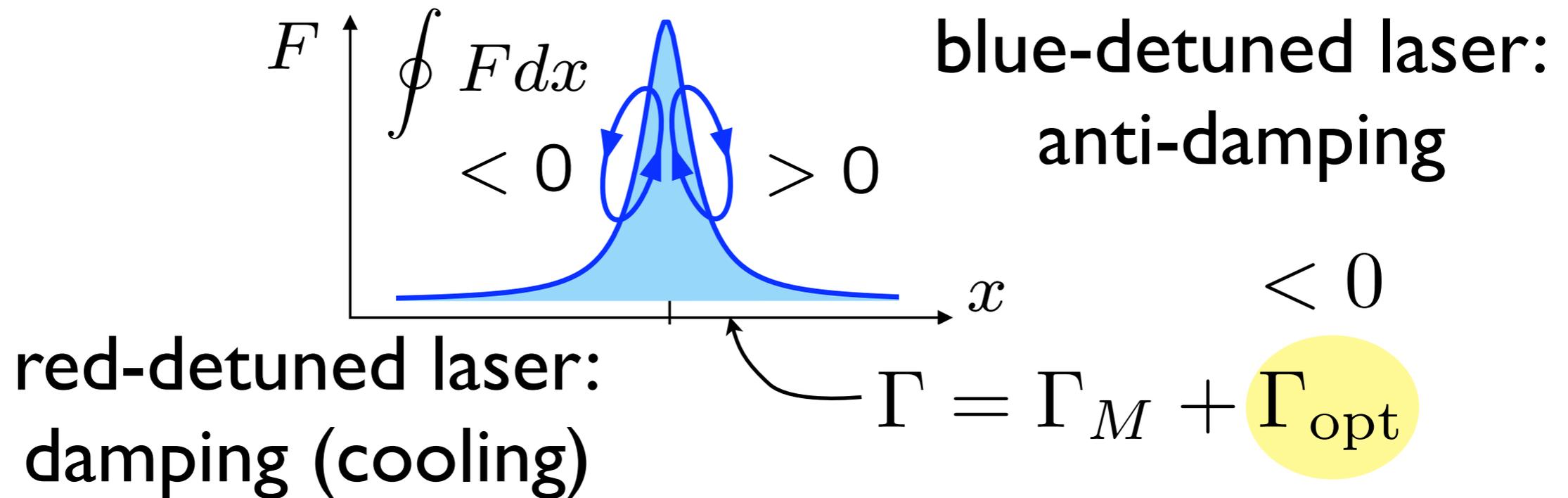
collective nonlinear dynamics:  
classical / quantum

cf. Josephson arrays

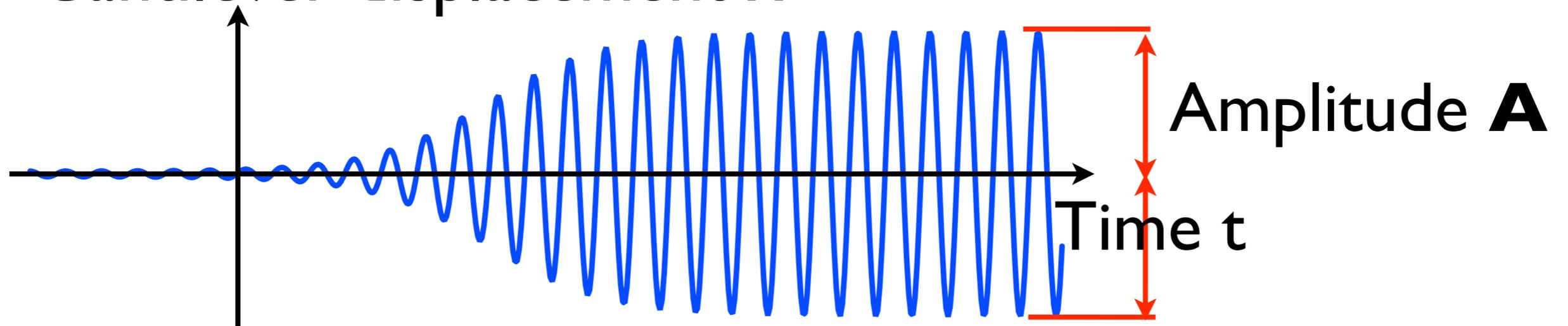
# Nonlinear dynamics of a single optomechanical cell: Self-induced oscillations



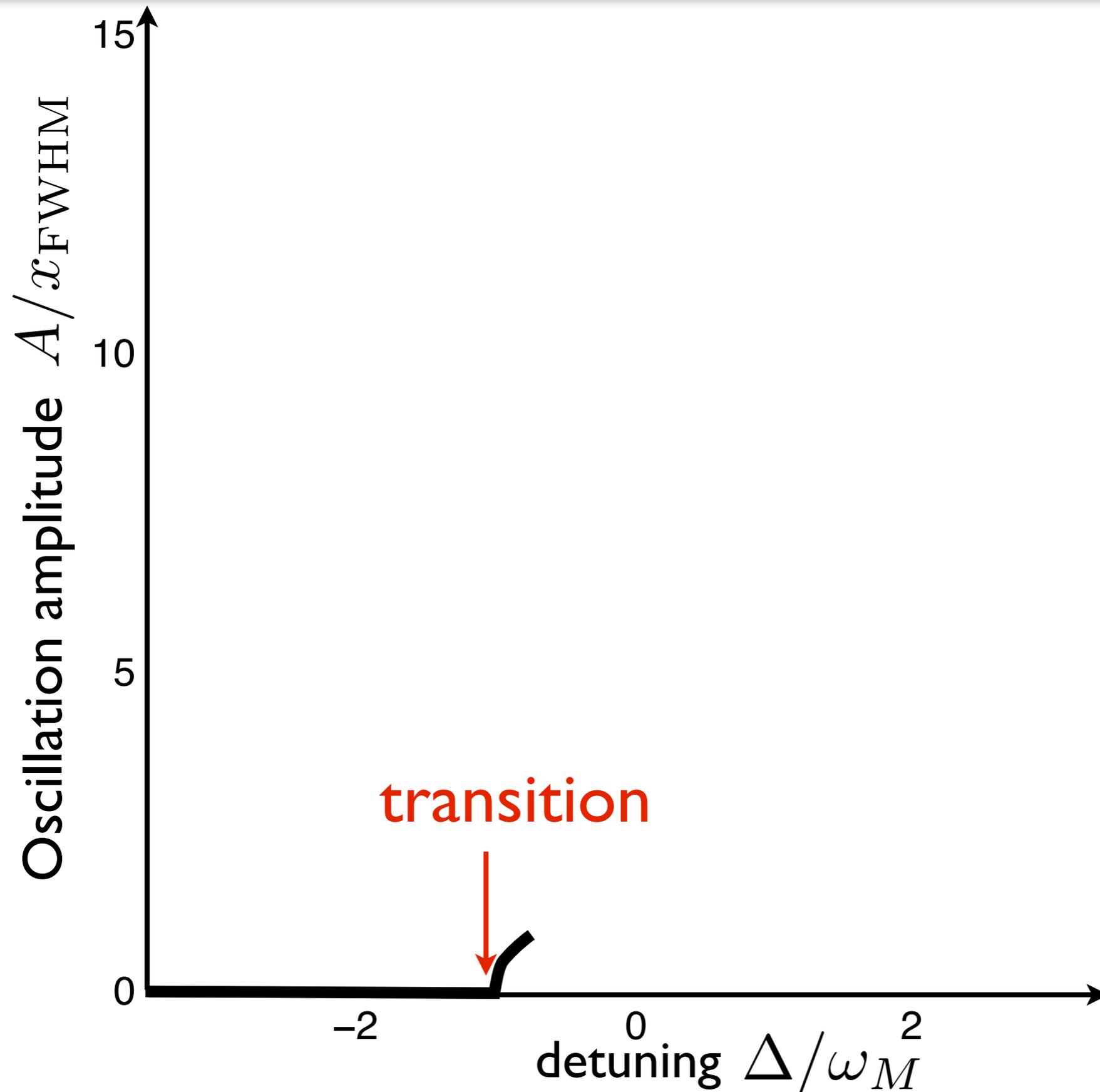
# Nonlinear dynamics of a single optomechanical cell: Self-induced oscillations



Beyond some laser input power threshold: instability  
Cantilever displacement  $x$



# Attractor diagram



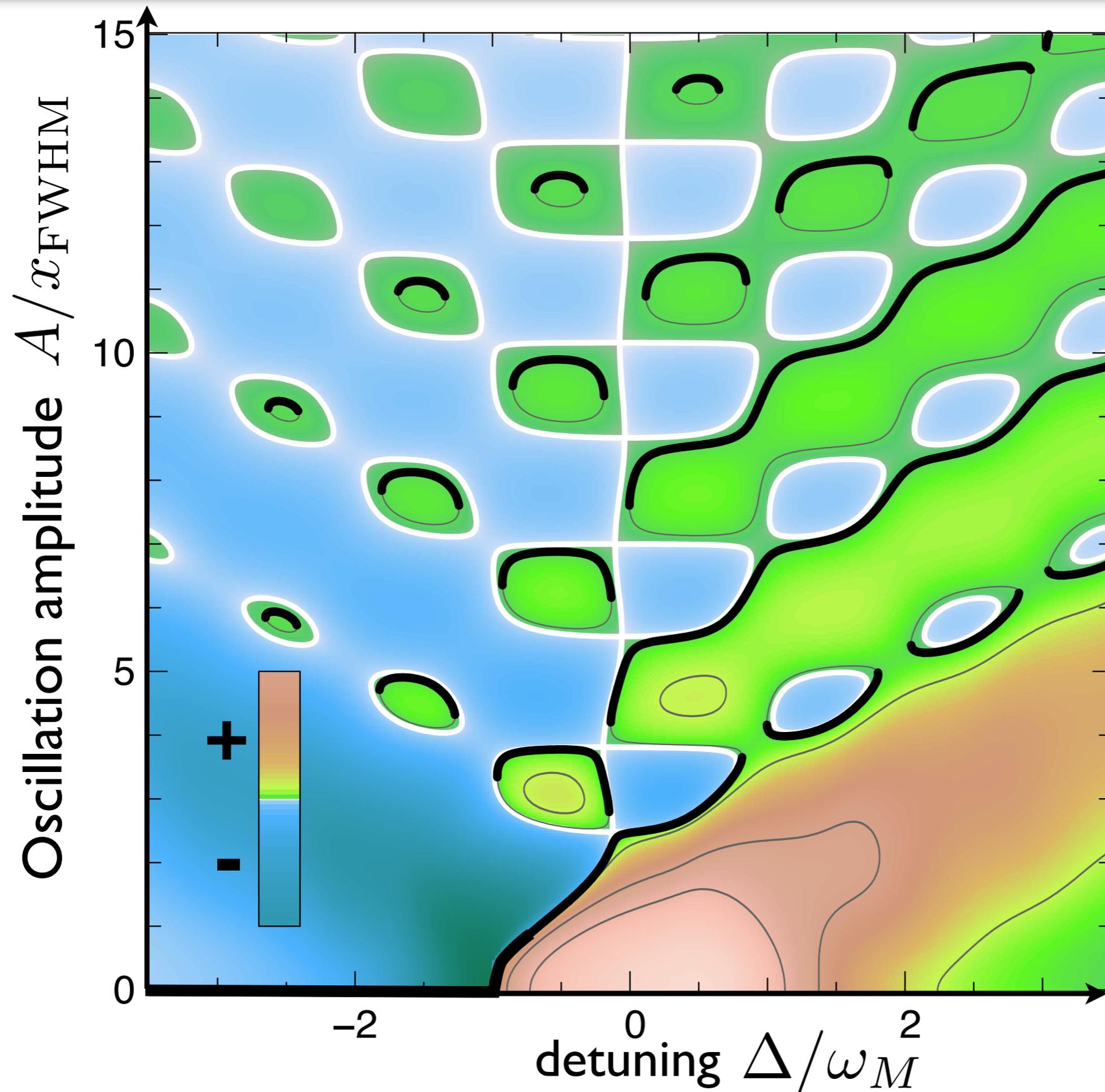
Höhberger, Karrai, IEEE  
proceedings 2004

Carmon, Rokhsari,  
Yang, Kippenberg,  
Vahala, PRL 2005

FM, Harris, Girvin,  
PRL 2006

Metzger et al.,  
PRL 2008

# Attractor diagram



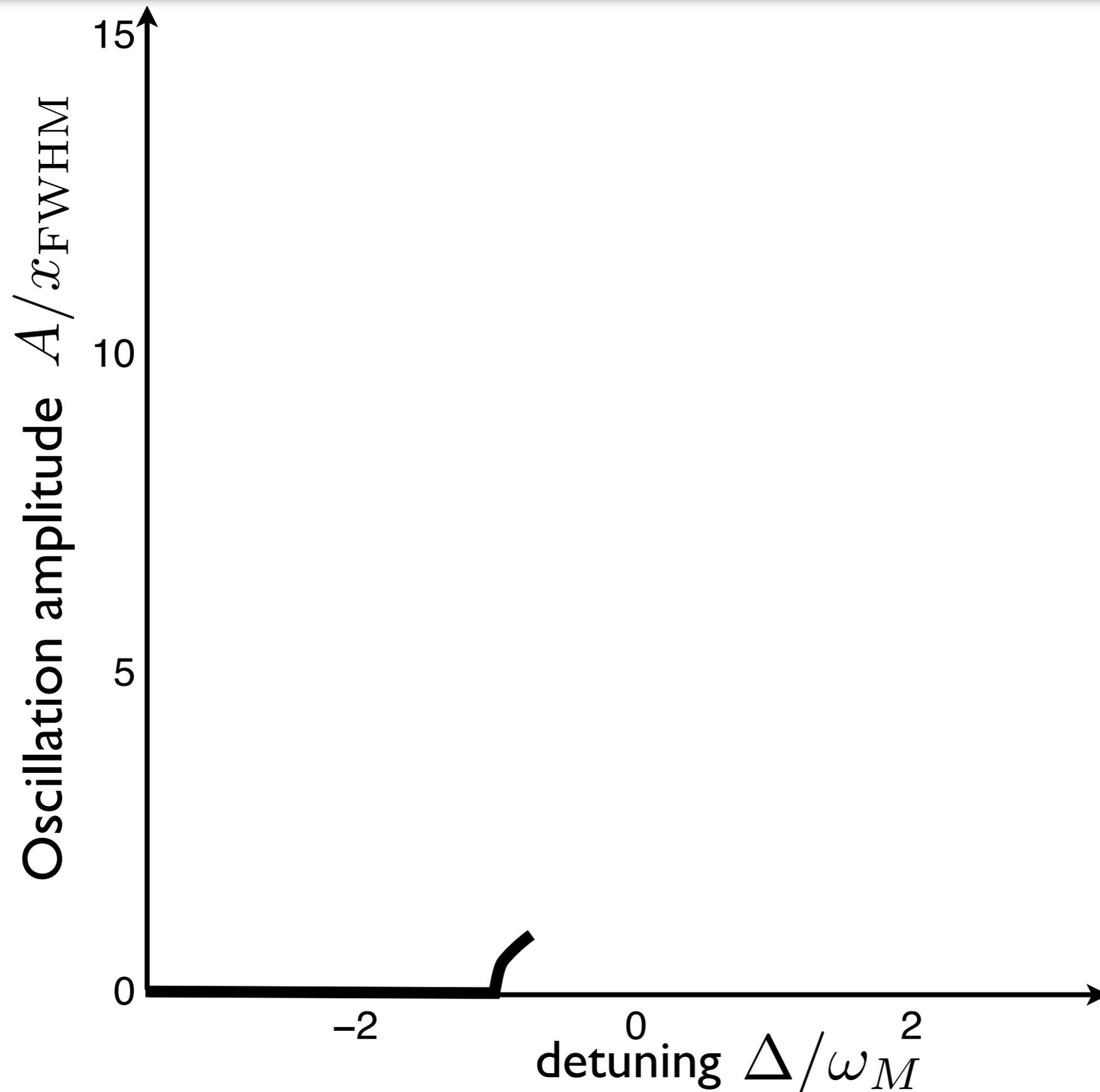
Höhberger, Karrai, IEEE  
proceedings 2004

Carmon, Rokhsari,  
Yang, Kippenberg,  
Vahala, PRL 2005

FM, Harris, Girvin,  
PRL 2006

Metzger et al.,  
PRL 2008

# Attractor diagram



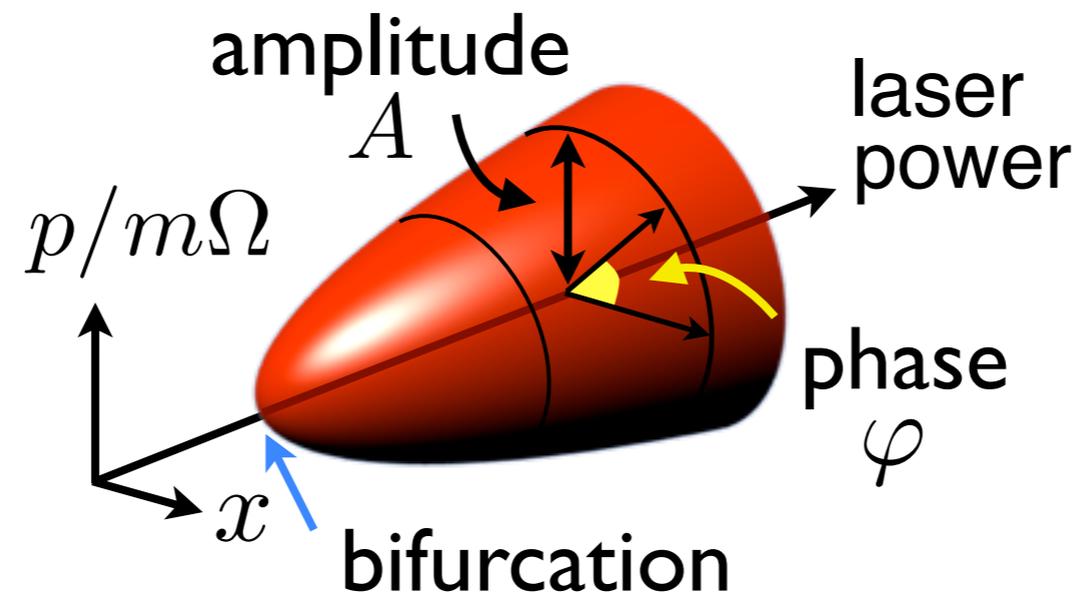
Höhberger, Karrai, IEEE  
proceedings 2004

Carmon, Rokhsari,  
Yang, Kippenberg,  
Vahala, PRL 2005

FM, Harris, Girvin,  
PRL 2006

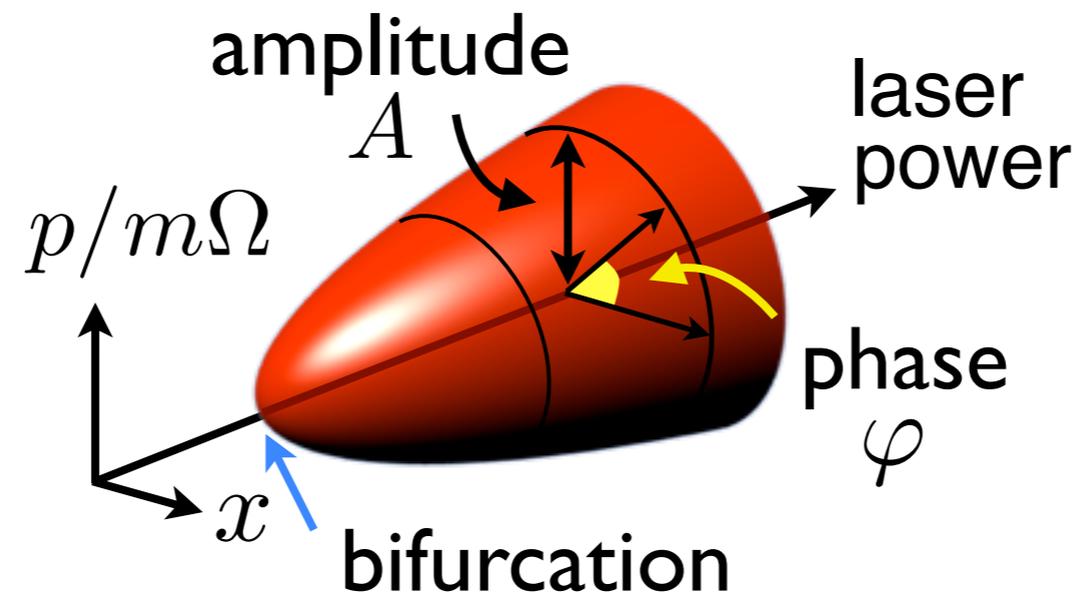
Metzger et al.,  
PRL 2008

# An optomechanical cell as a Hopf oscillator

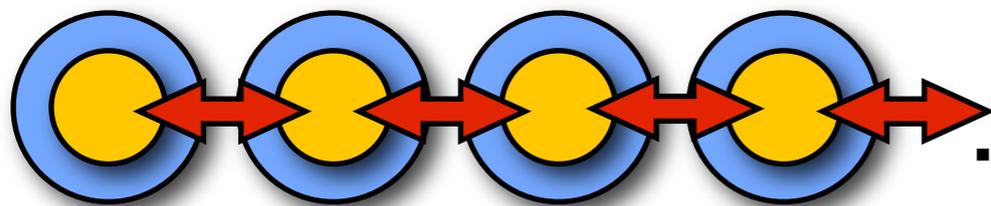


Amplitude fixed, phase undetermined!

# An optomechanical cell as a Hopf oscillator



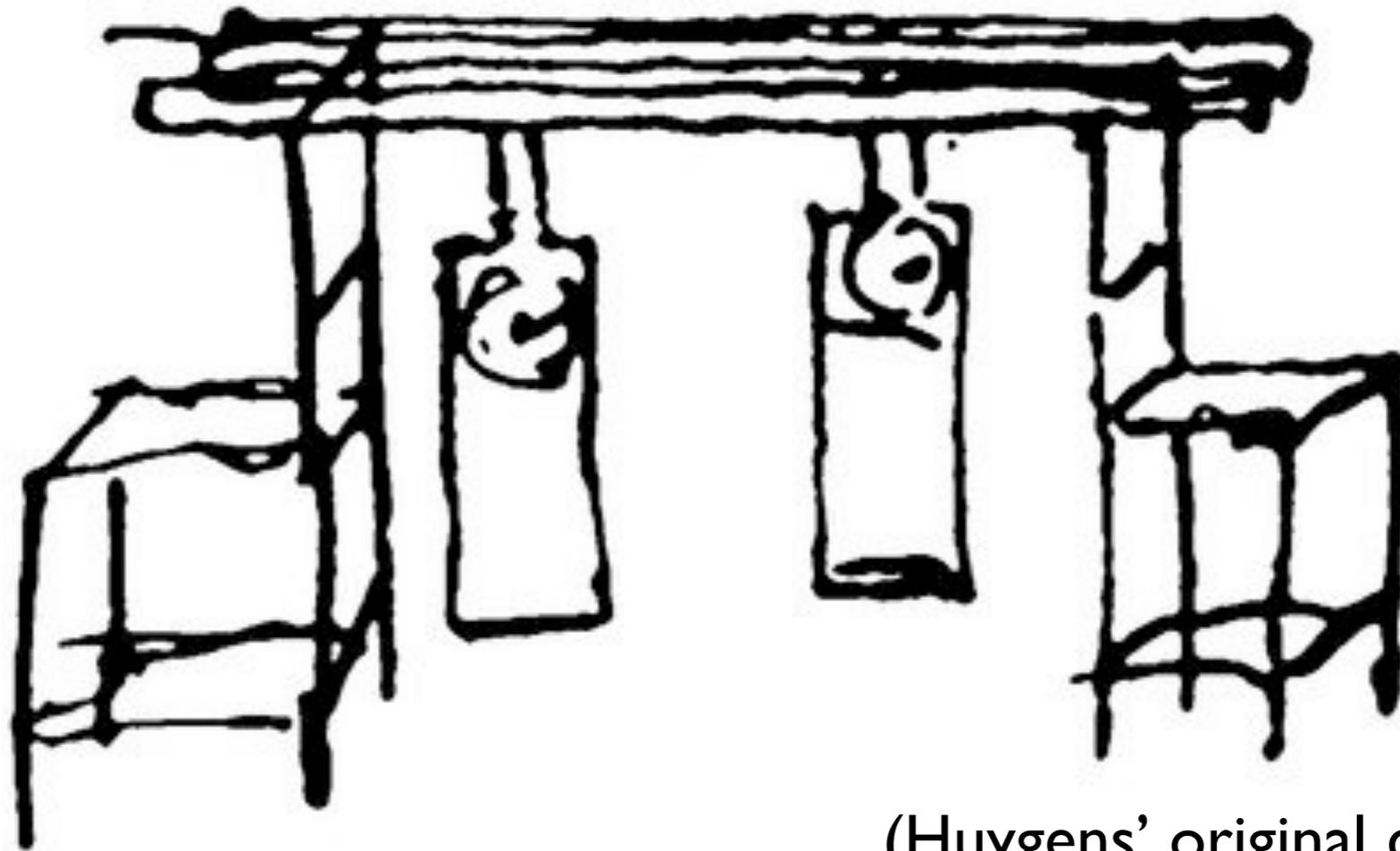
Amplitude fixed, phase undetermined!



Collective dynamics in an array of coupled cells?

Phase-locking: synchronization!

# Synchronization: Huygens' observation



(Huygens' original drawing!)

Coupled pendula synchronize...

...even though frequencies slightly different  
...due to nonlinear effects

# Fireflies synchronizing (Source: YouTube)



# Coupled phase oscillators



# Coupled phase oscillators



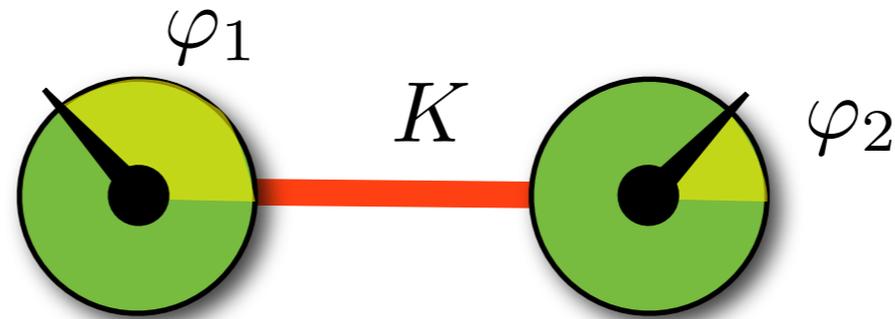
# Coupled phase oscillators



# Coupled phase oscillators



# The Kuramoto model



Kuramoto model:

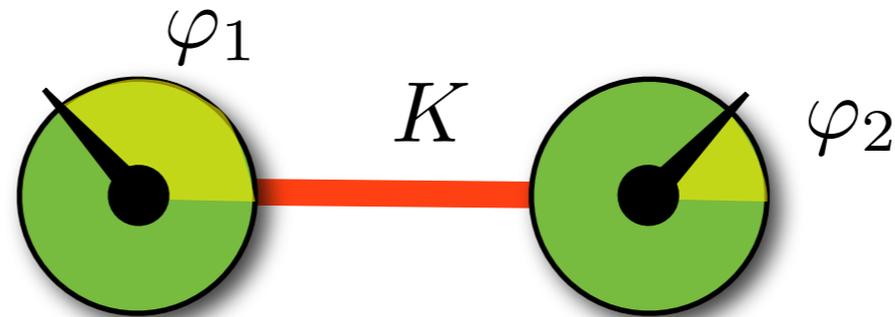
$$\begin{aligned}\dot{\varphi}_1 &= \Omega_1 + K \sin(\varphi_2 - \varphi_1) \\ \dot{\varphi}_2 &= \Omega_2 + K \sin(\varphi_1 - \varphi_2)\end{aligned}$$

- captures essential features
- often found as limiting model

Kuramoto 1975, 1984

Acebron et al., Rev. Mod. Phys. 77, 137 (2005)

# The Kuramoto model



$$\dot{\varphi}_1 = \Omega_1 + K \sin(\varphi_2 - \varphi_1)$$
$$\dot{\varphi}_2 = \Omega_2 + K \sin(\varphi_1 - \varphi_2)$$

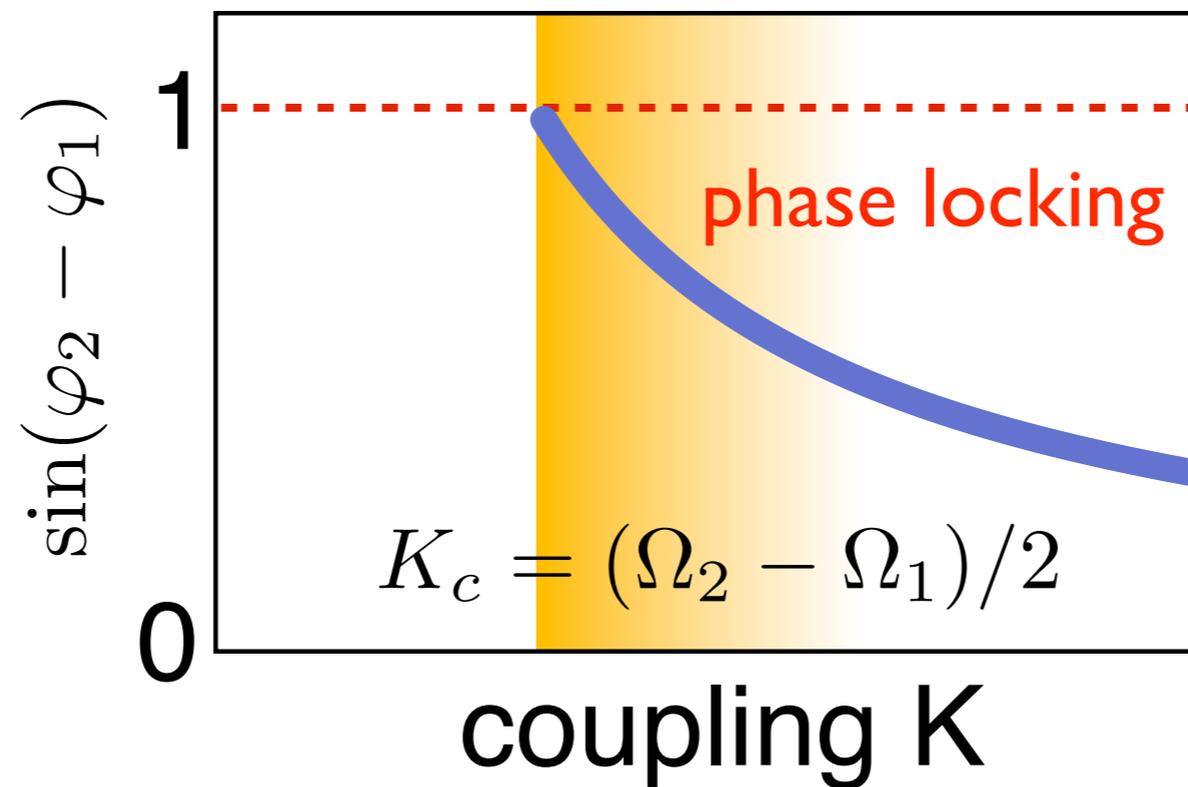
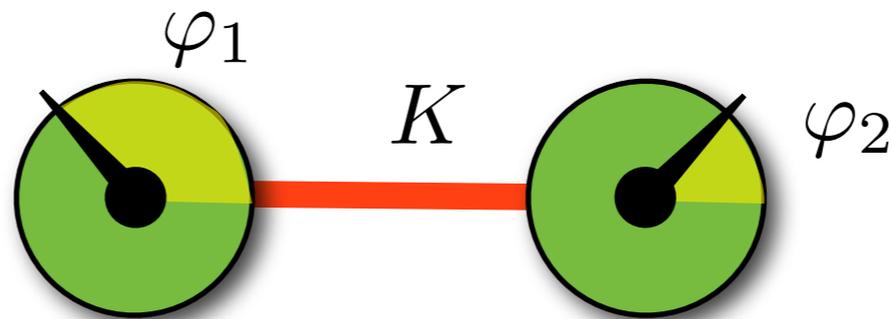
**Synchronization:**

$$\dot{\varphi}_1 = \dot{\varphi}_2 \quad \Rightarrow$$

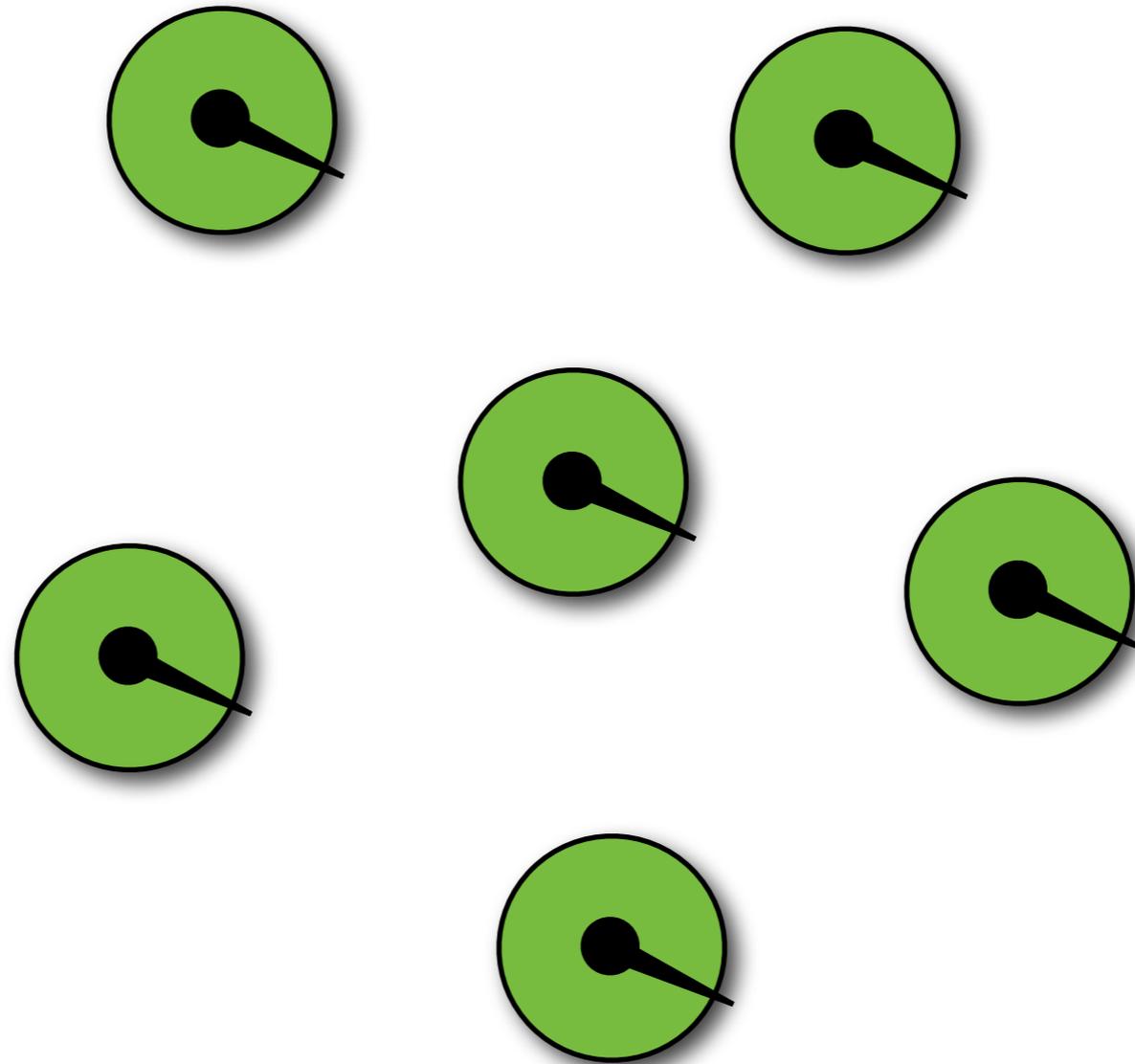
$$\sin(\varphi_2 - \varphi_1) = \frac{\Omega_2 - \Omega_1}{2K}$$

phase lag

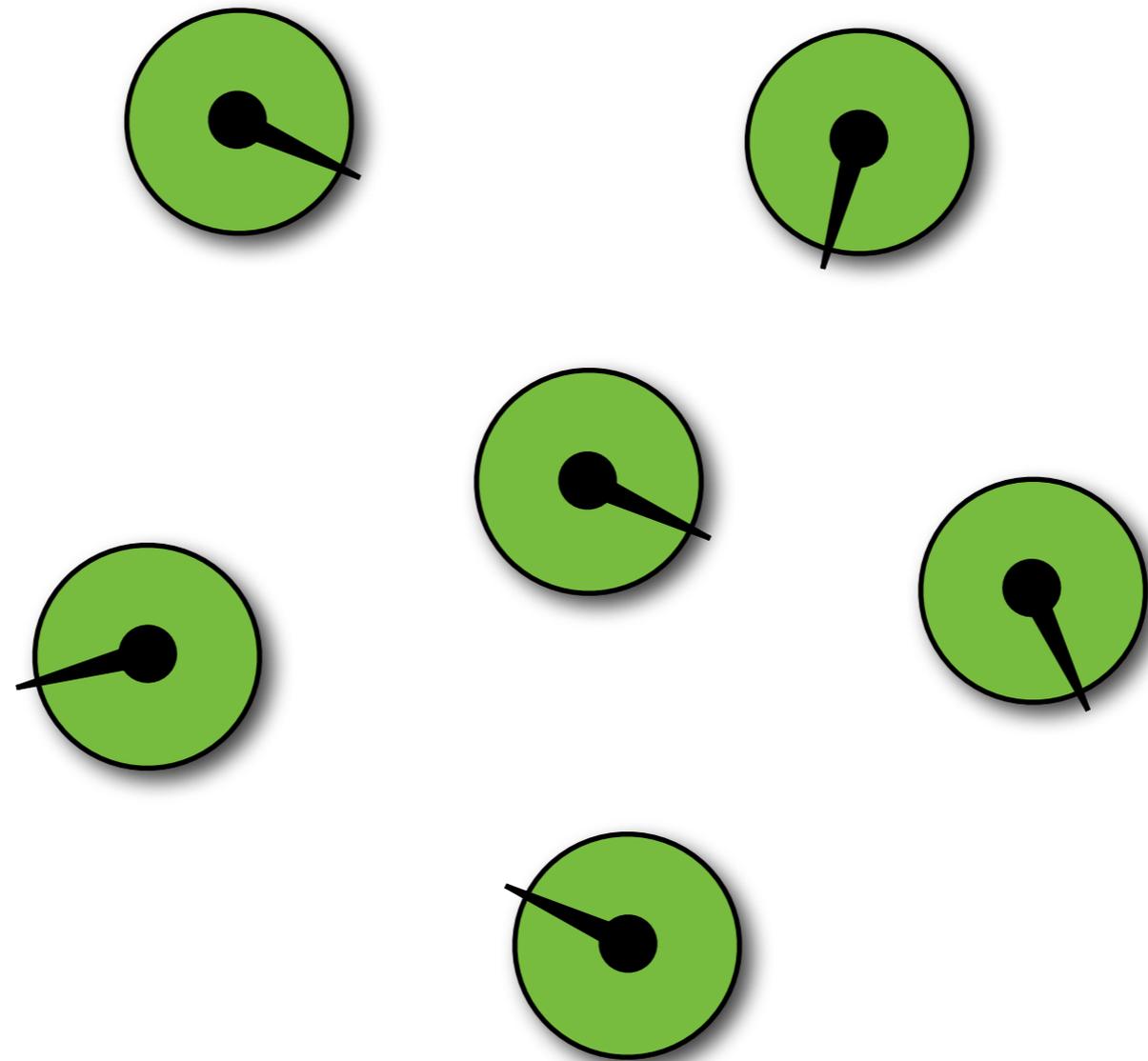
# The Kuramoto model



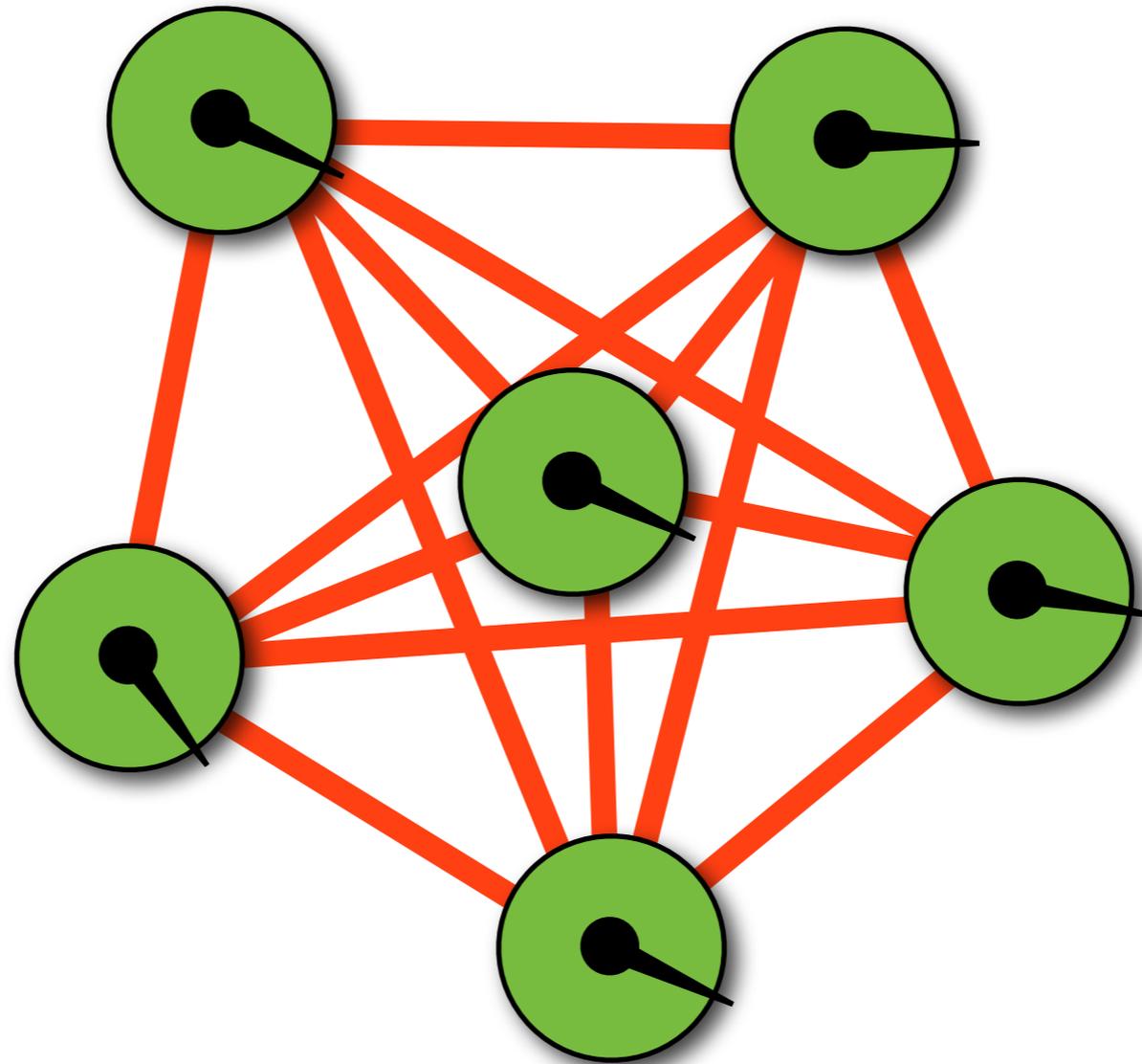
# Many phase oscillators



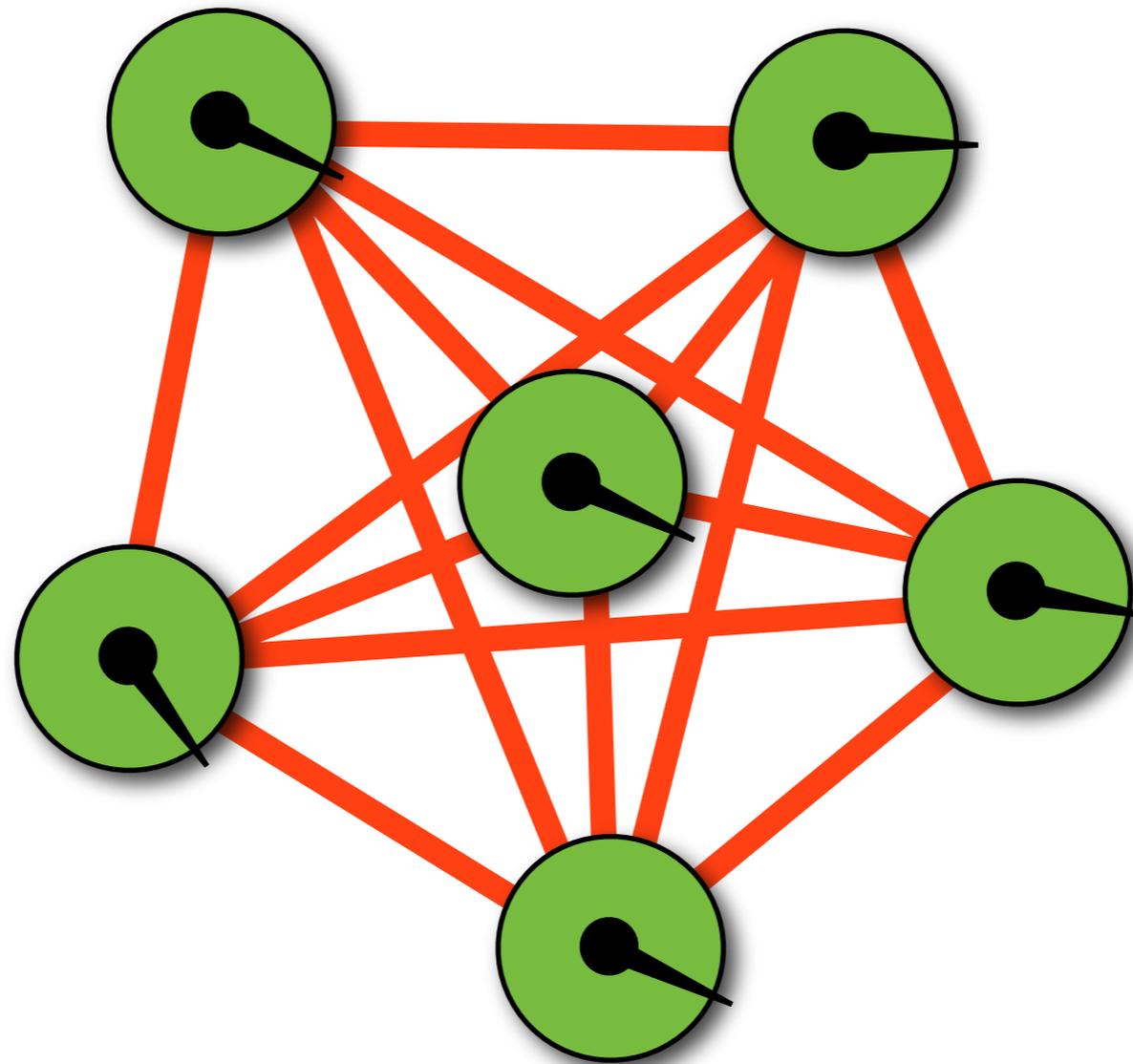
# Many phase oscillators



# Many phase oscillators

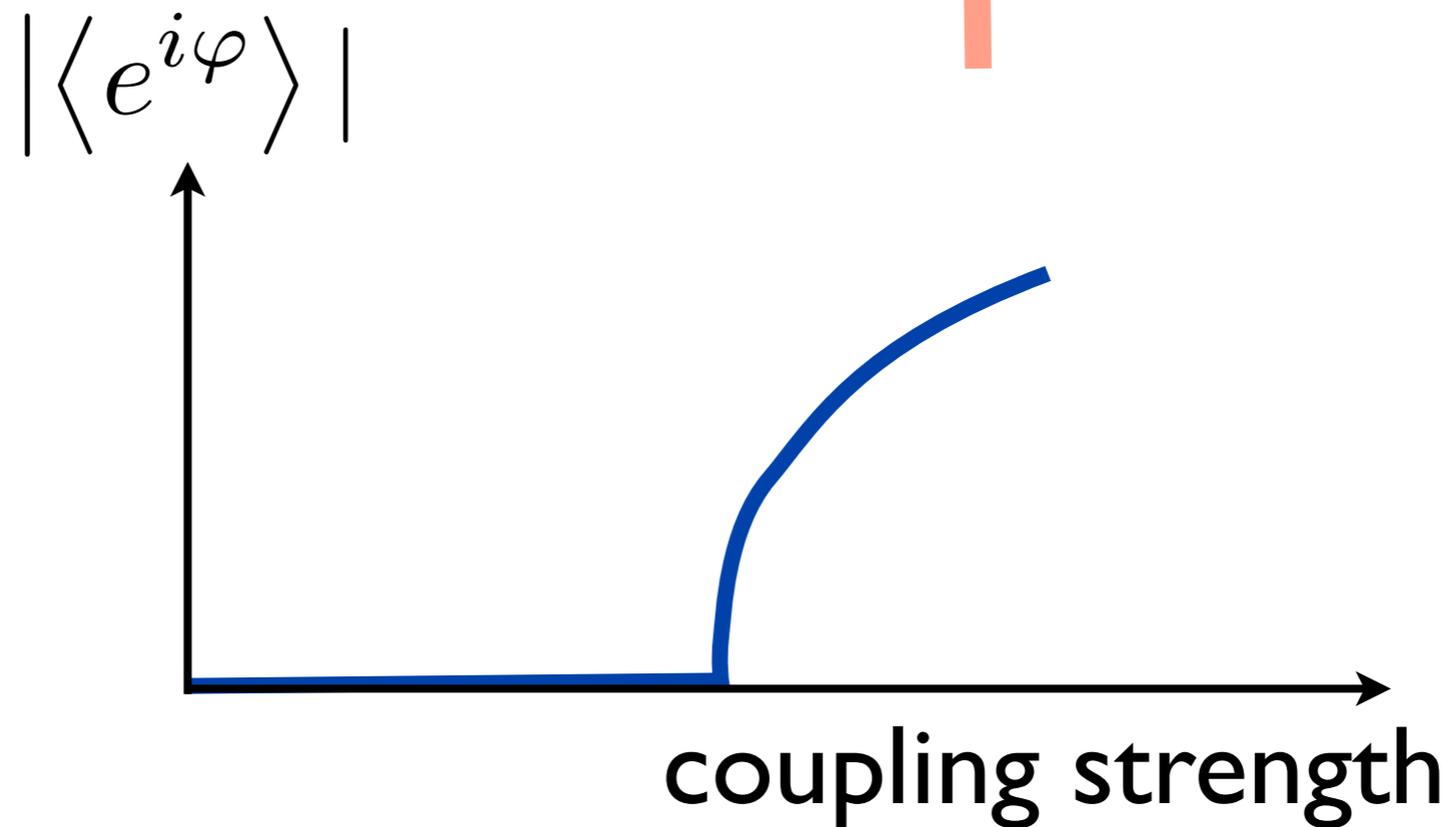
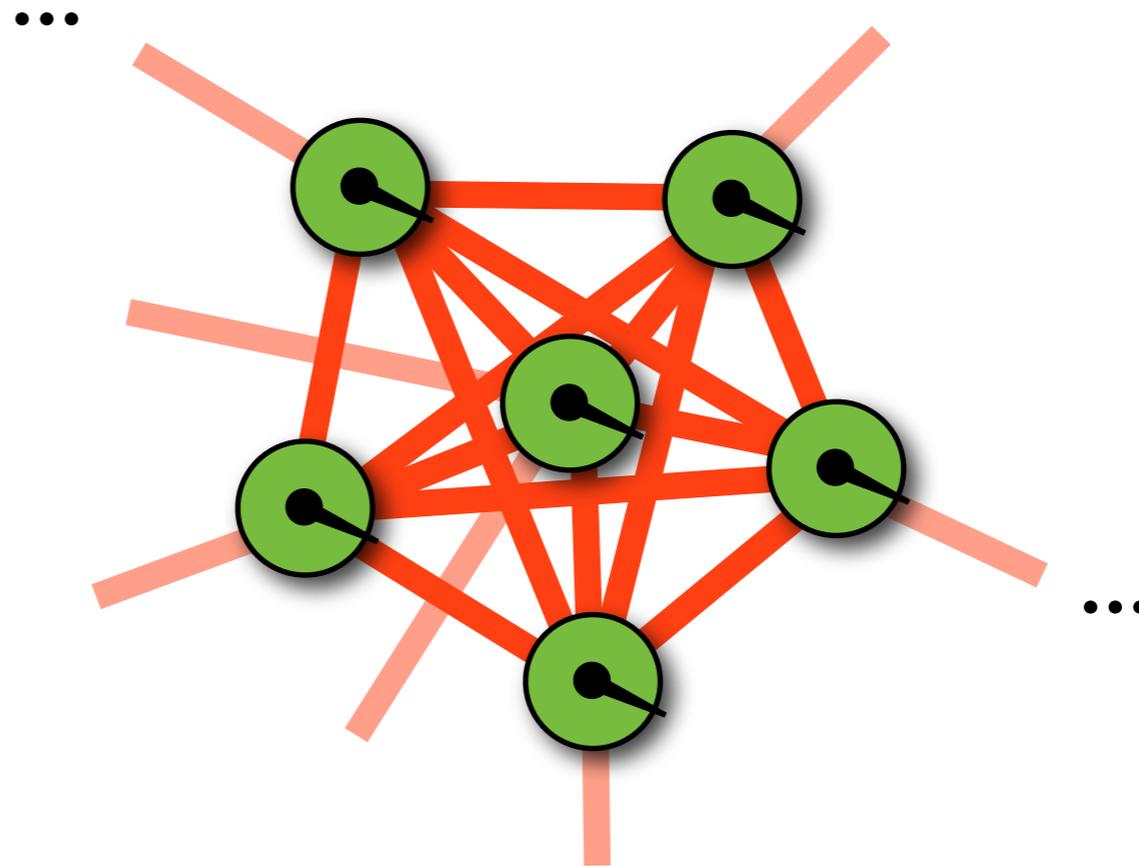


# Many phase oscillators

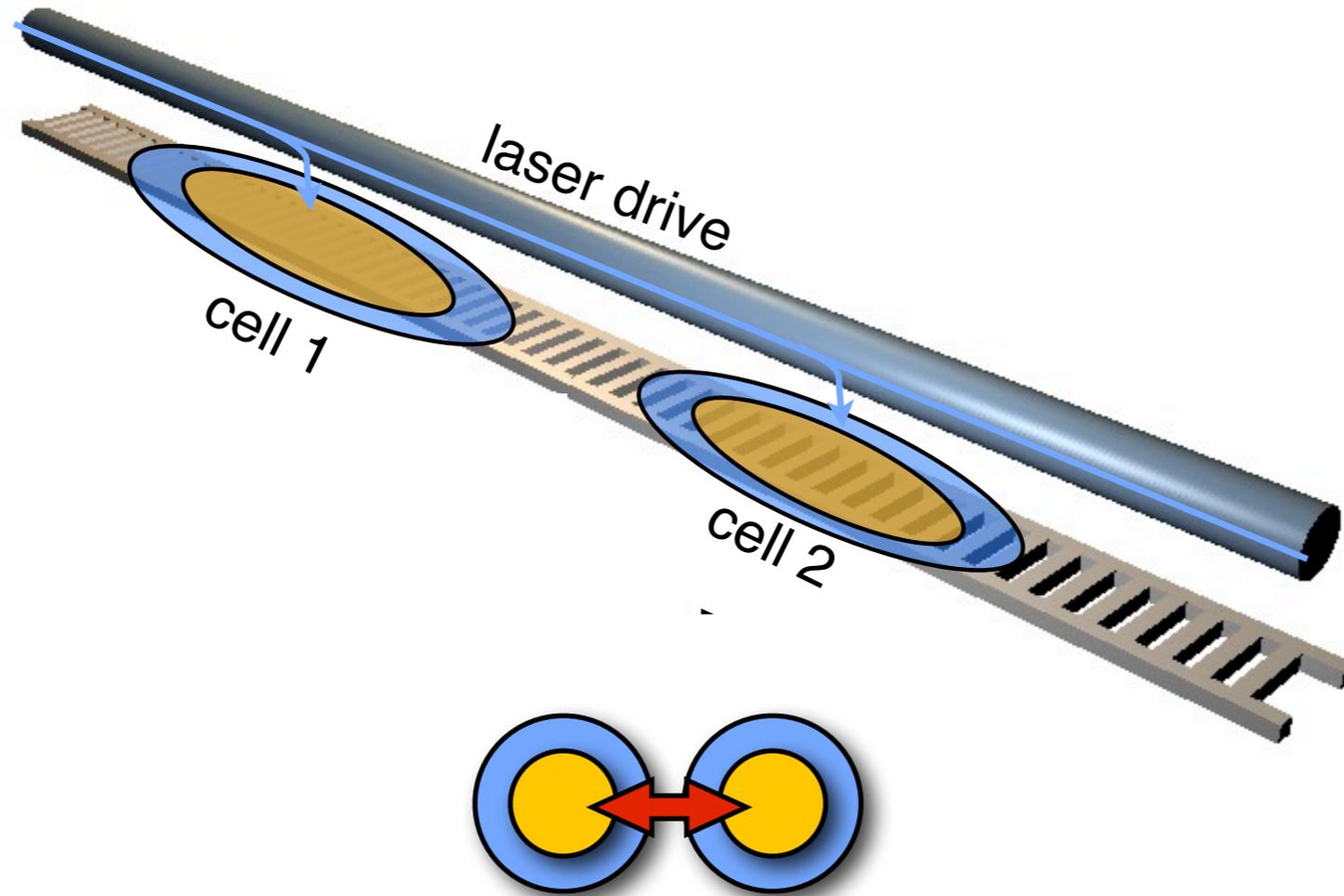


# Kuramoto model: Phase-locking transition

infinite-range coupling  
Kuramoto model  
displays phase  
transition

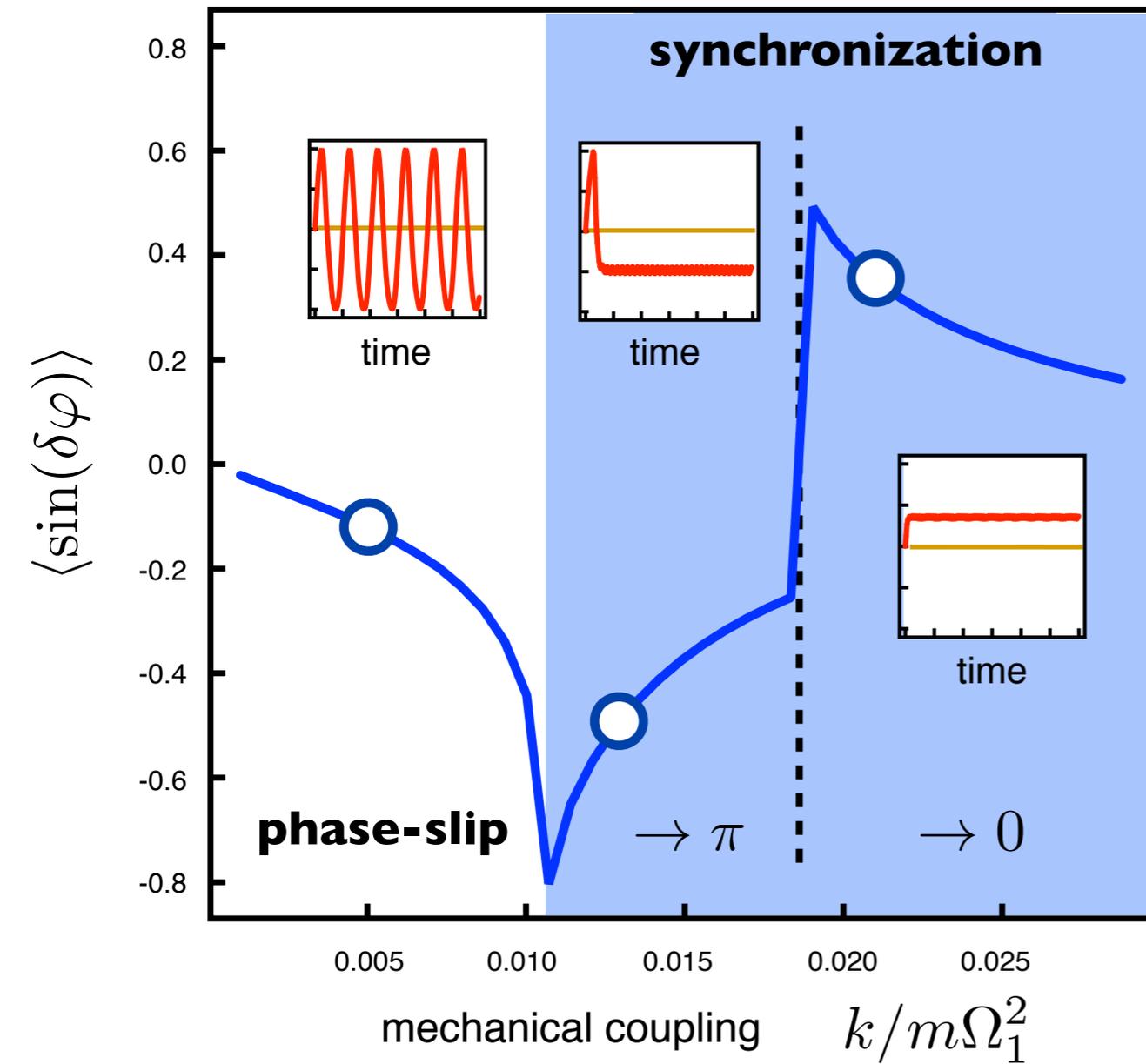


# Phase locking of two optomechanical cells

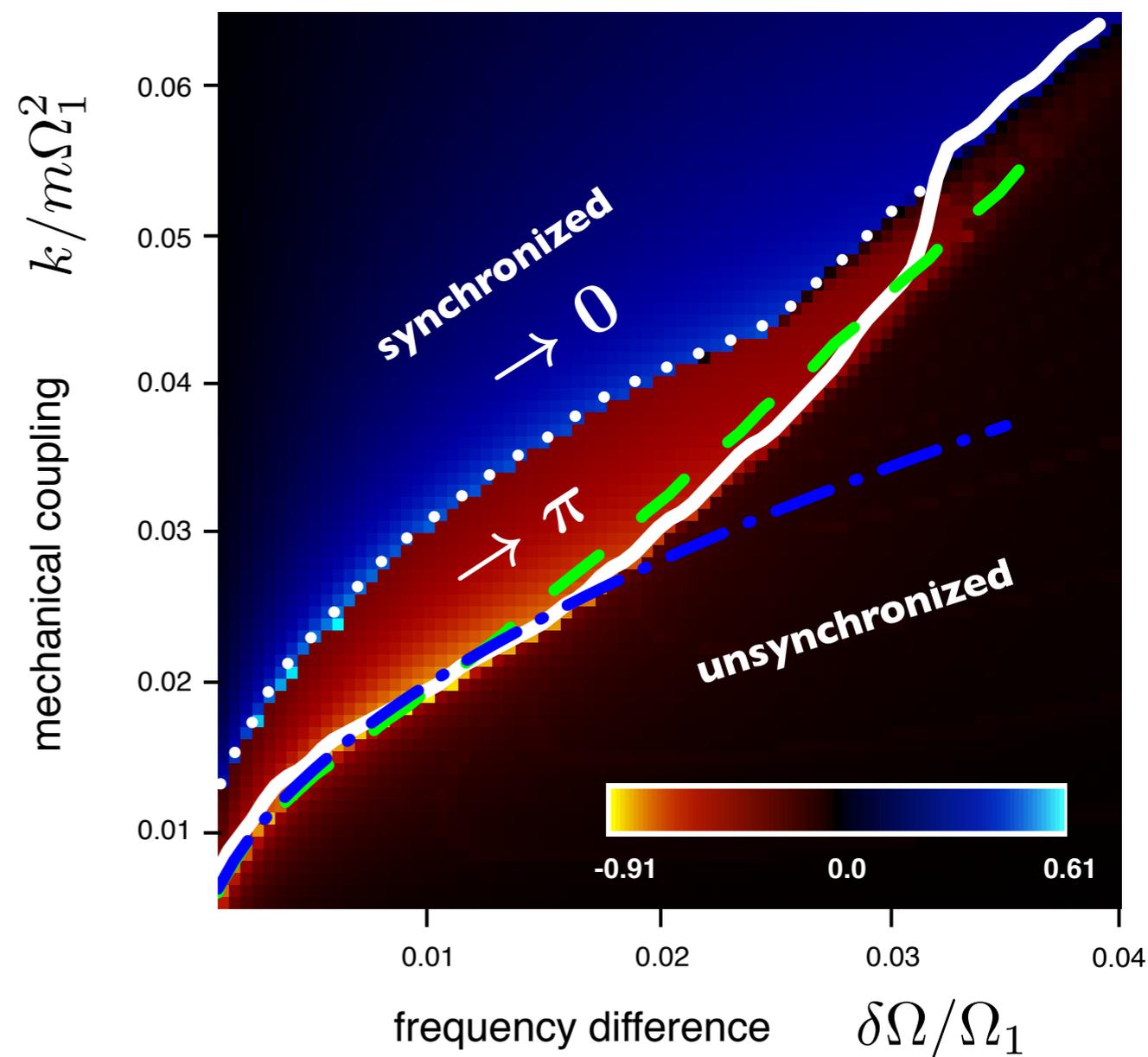
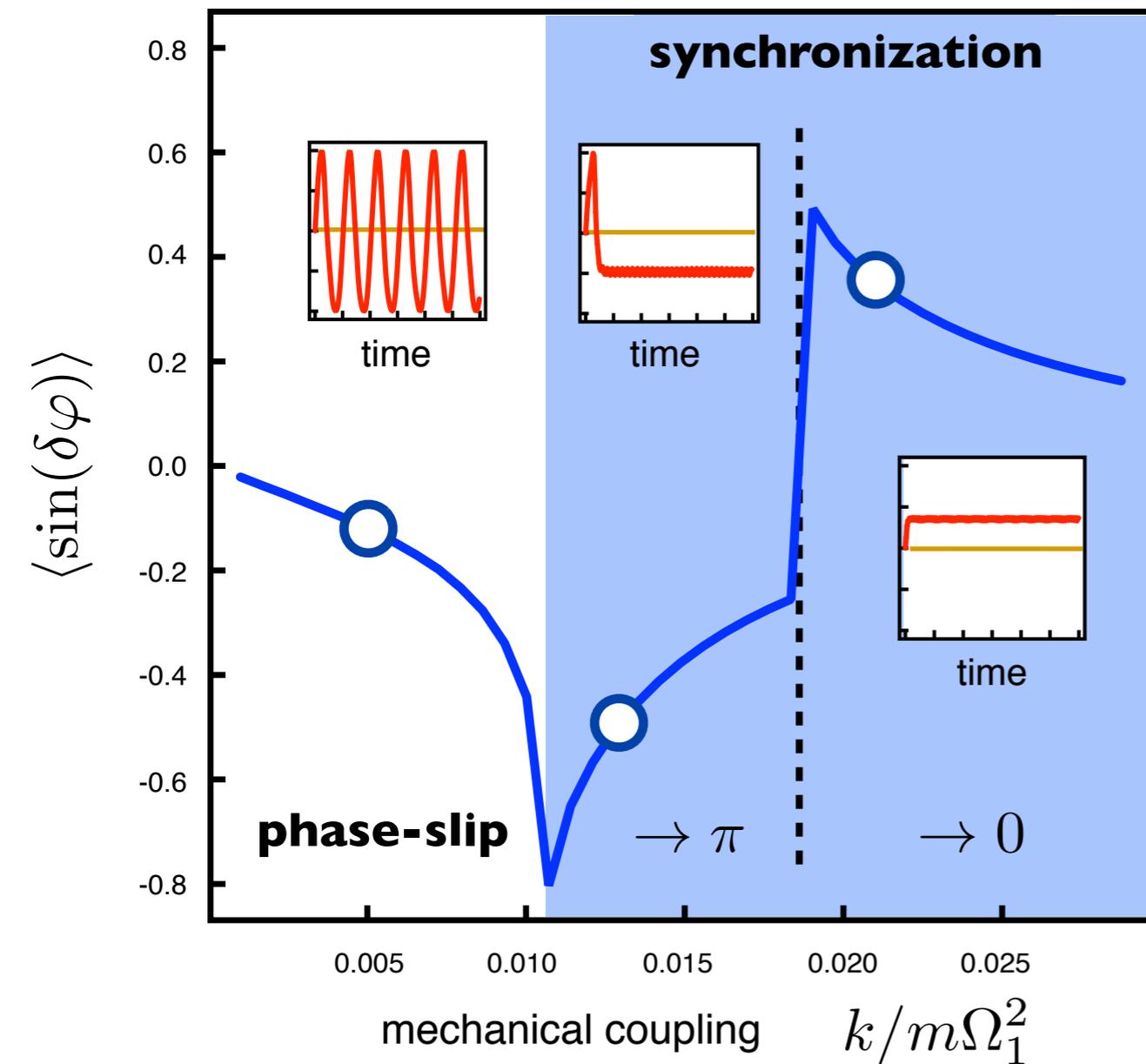


Two optomechanical cells,  
fixed laser drive,  
increasing mechanical coupling

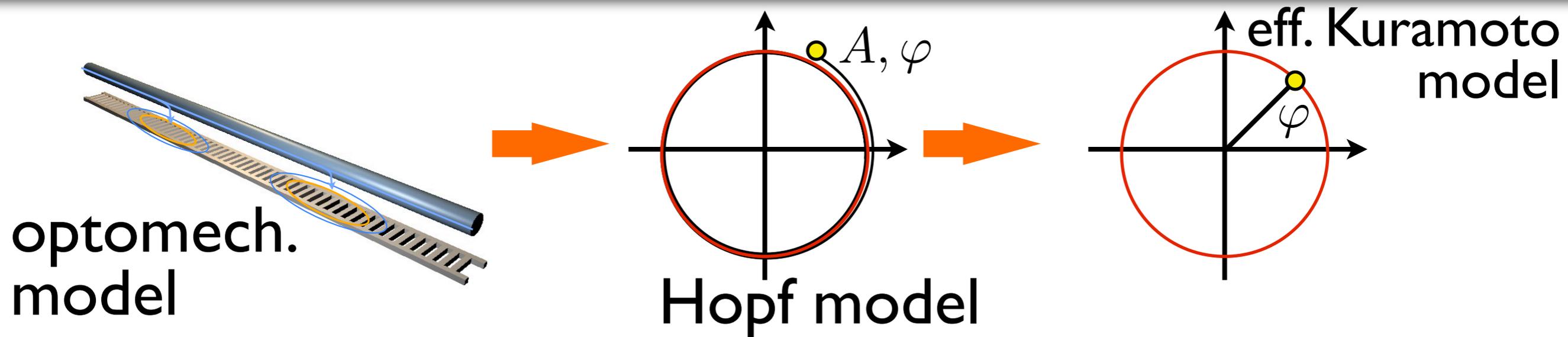
# Phase locking of two optomechanical cells



# Phase locking of two optomechanical cells



# Effective Kuramoto model



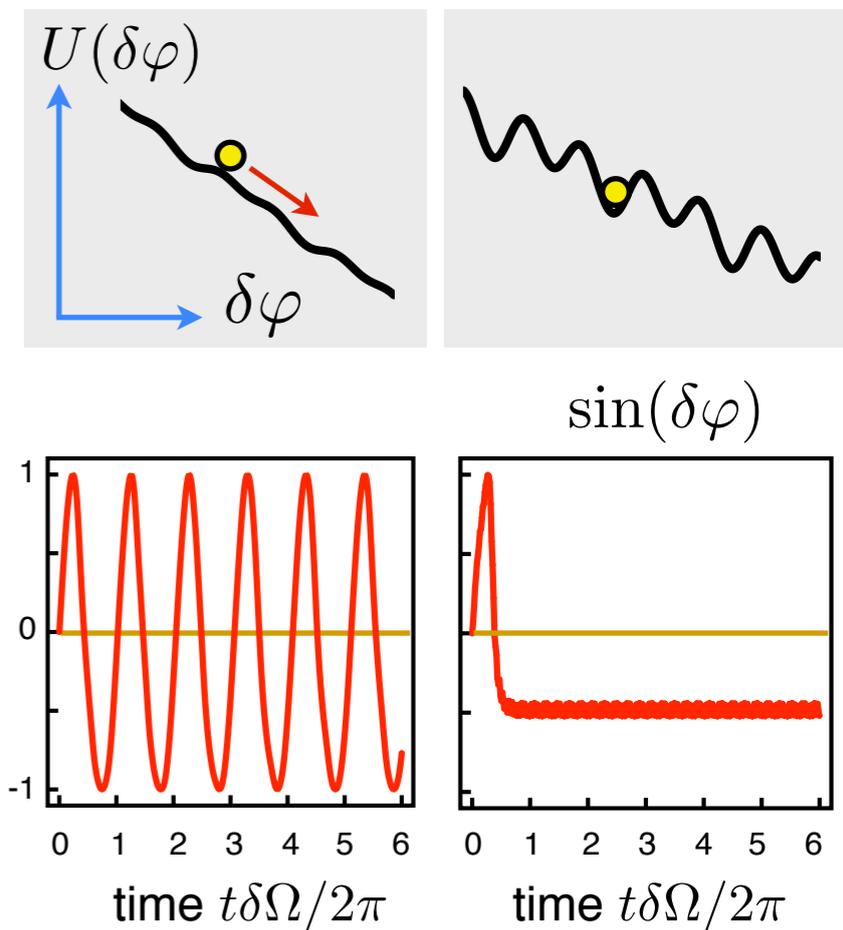
Standard Kuramoto model:

$$\delta\dot{\varphi} = \delta\Omega - 2K \sin(\delta\varphi)$$

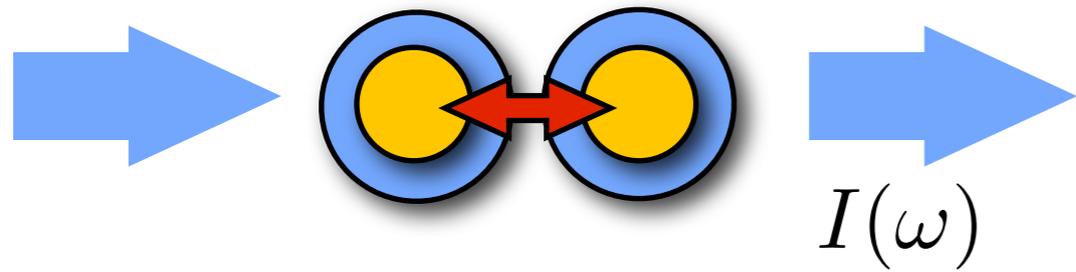
Effective Kuramoto model for coupled Hopf oscillators:

$$\delta\dot{\varphi} = \delta\Omega - 2K_s \sin(2\delta\varphi) - 2K_c \cos(2\delta\varphi)$$

$$K = K(k, \Omega, P_{\text{in}}, \dots)$$

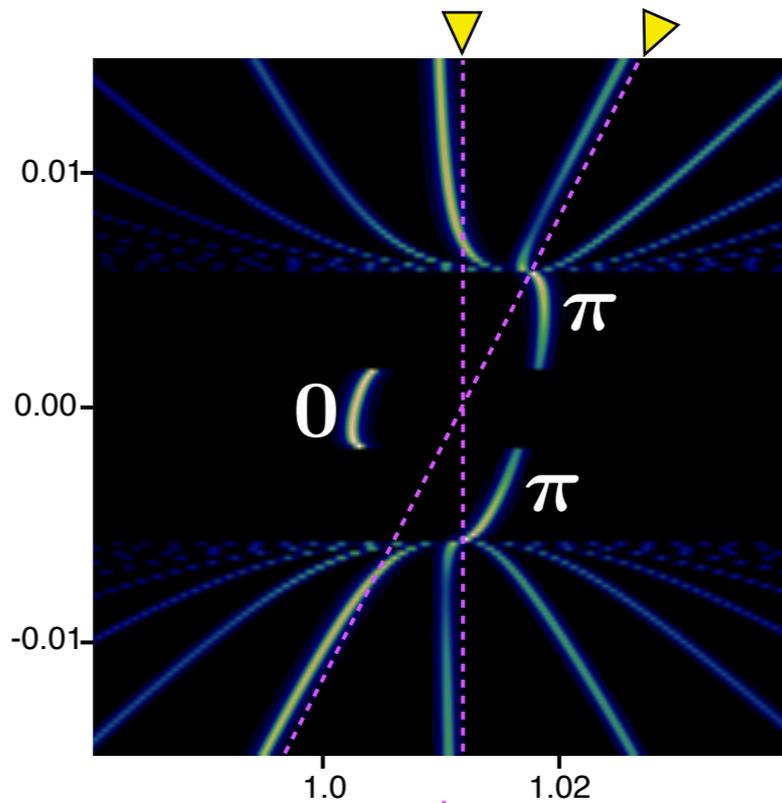


# Frequency locking

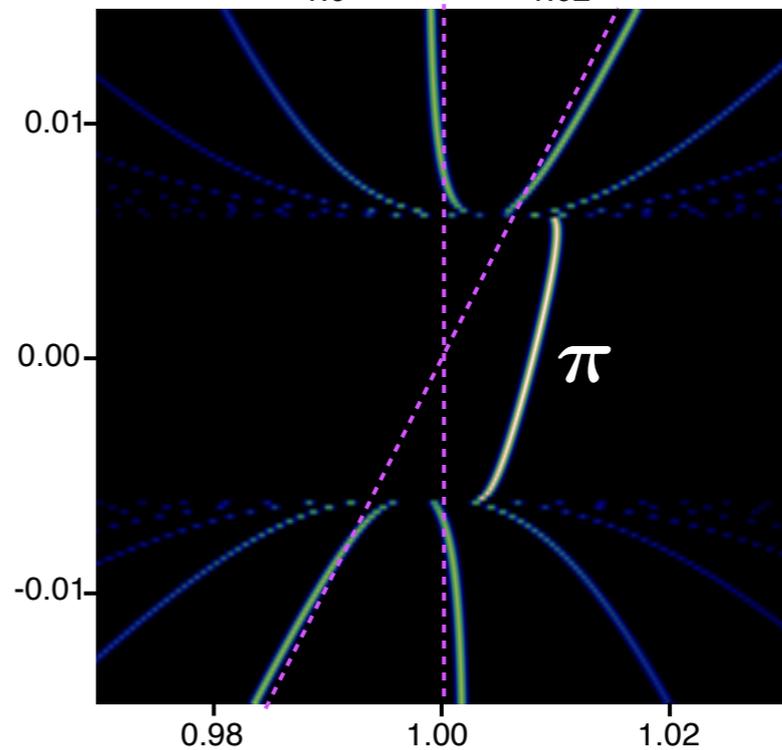


Mechanical spectrum  
in light field intensity  
fluctuations

frequency detuning  $\delta\Omega/\Omega_1$



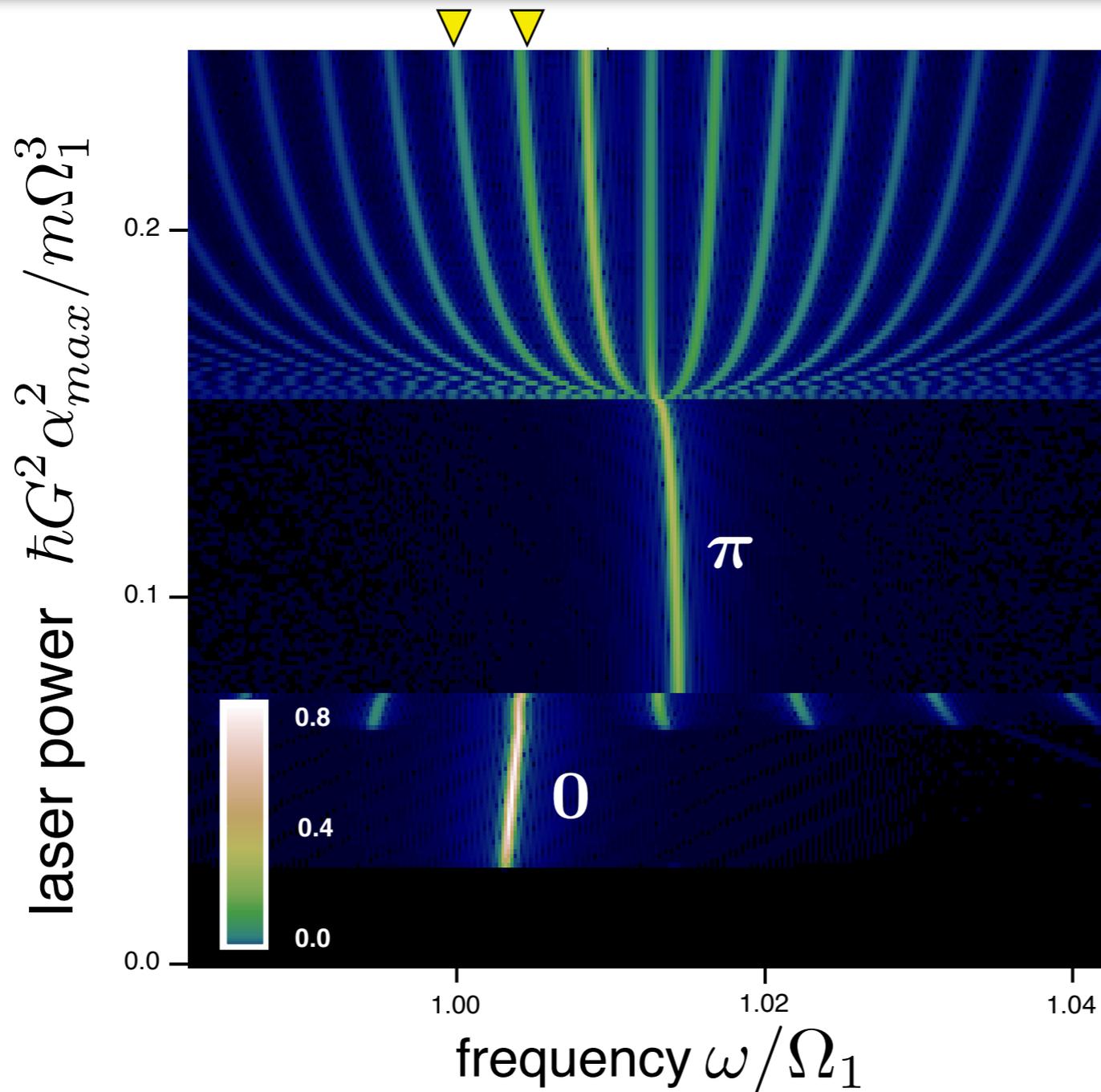
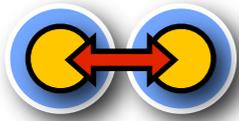
(optom.)



(Hopf)

frequency  $\omega/\Omega_1$

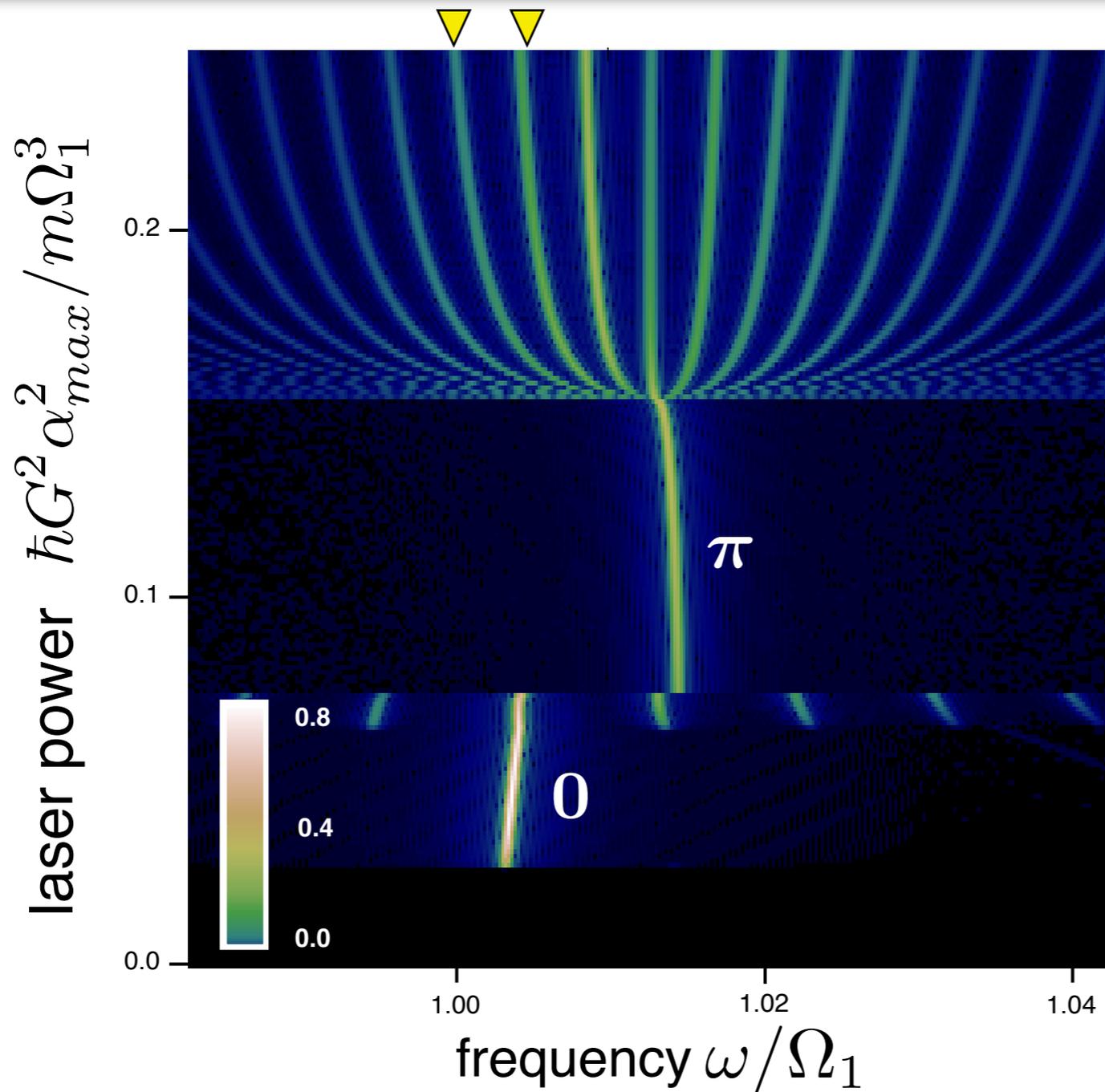
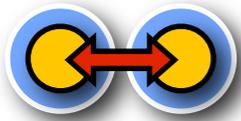
# Desynchronization for increasing drive



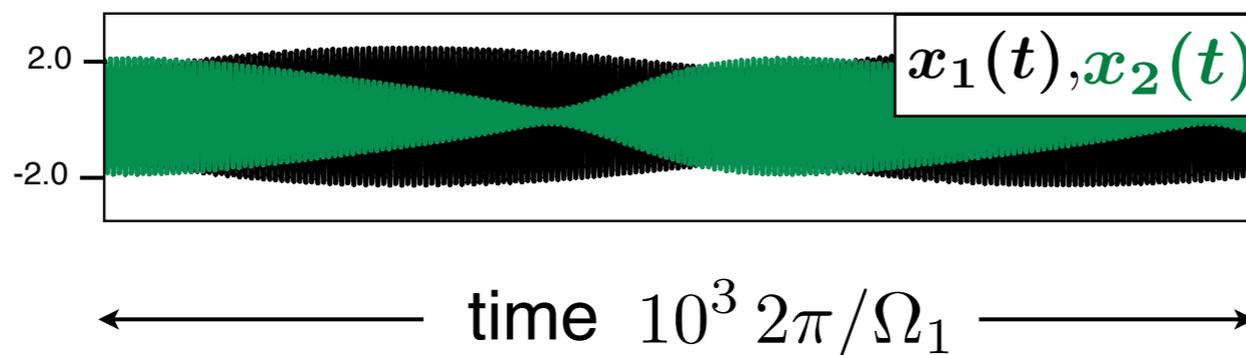
smaller effective coupling  $K$  for larger drive!

Hopf bifurcation

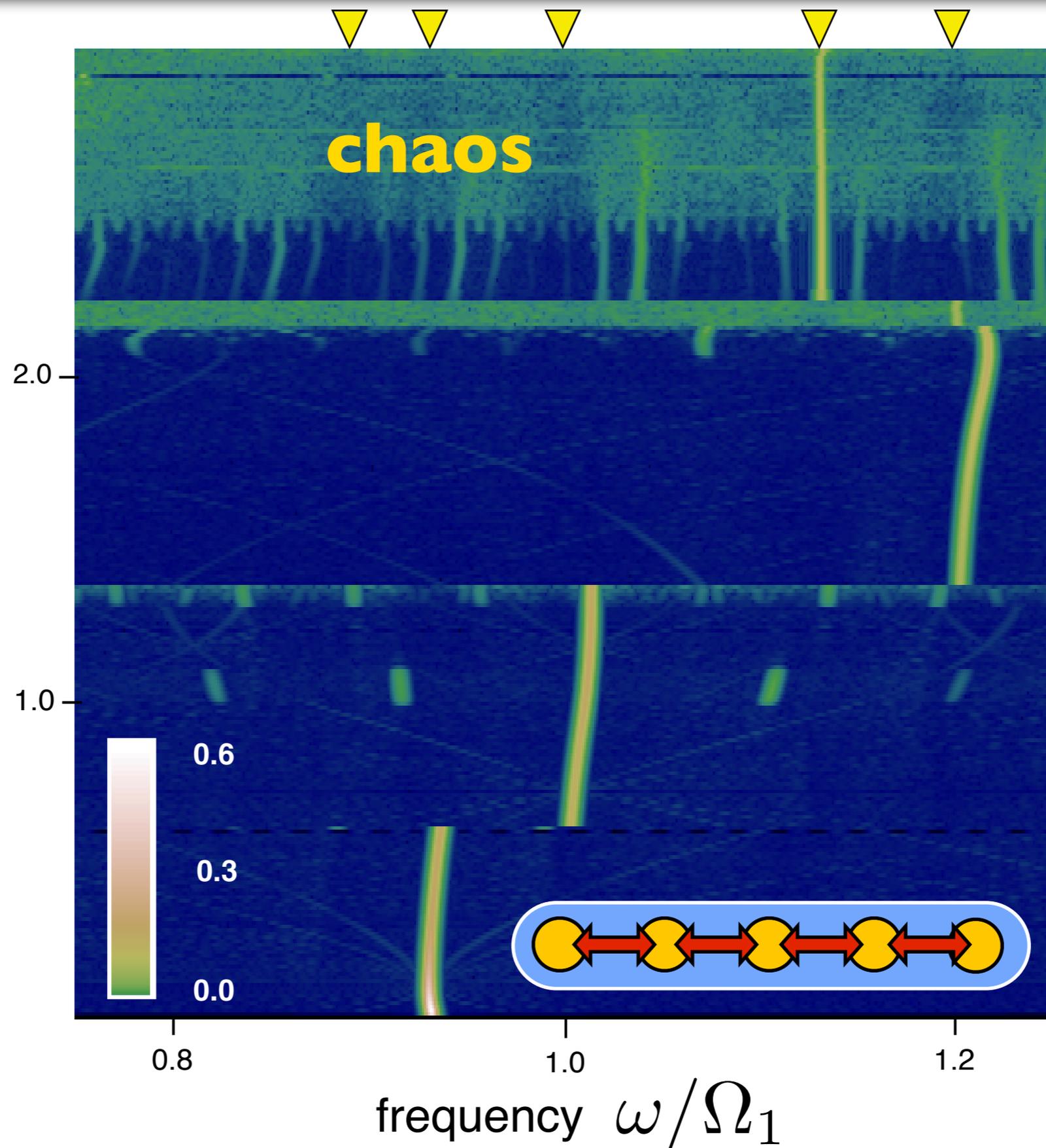
# Desynchronization for increasing drive



smaller effective coupling  $K$  for larger drive!



# Array with common optical mode

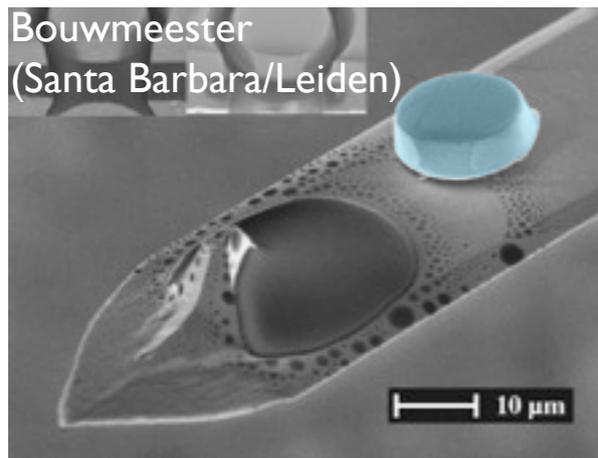


# Dynamics in optomechanical arrays

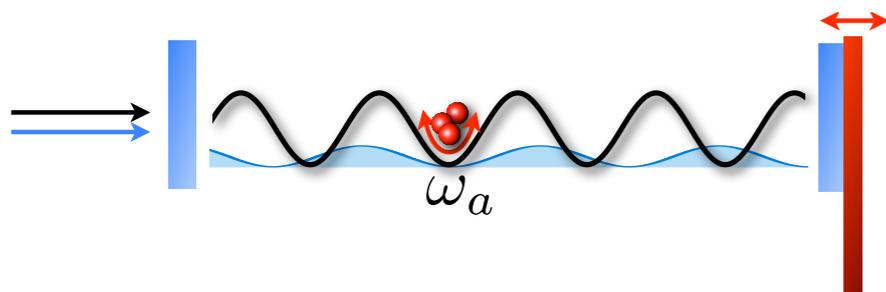
## Outlook

- 2D geometries
- Information storage and classical computation
- Dissipative quantum many-body dynamics
- ...

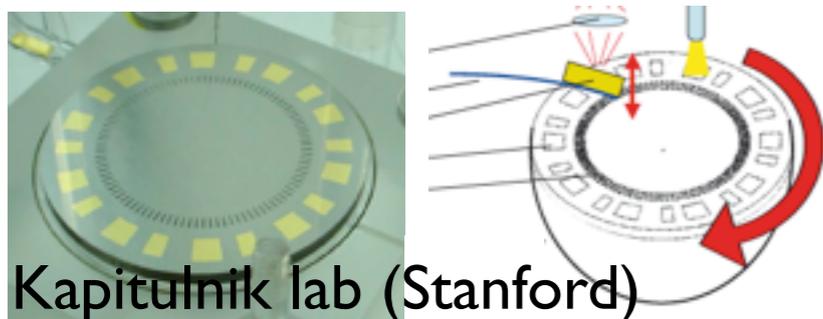
# Optomechanics: general outlook



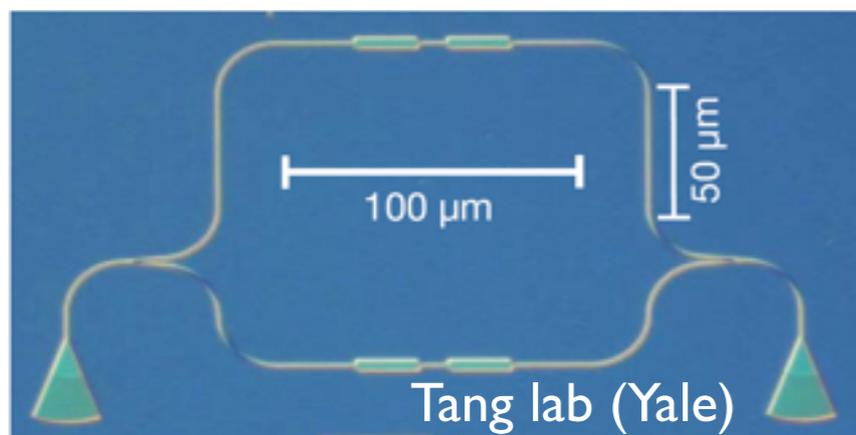
**Fundamental tests of quantum mechanics in a new regime:** entanglement with ‘macroscopic’ objects, unconventional decoherence? [e.g.: gravitationally induced?]



**Mechanics as a ‘bus’ for connecting hybrid components:** superconducting qubits, spins, photons, cold atoms, ...



**Precision measurements** [e.g. testing deviations from Newtonian gravity due to extra dimensions]



**Optomechanical circuits & arrays**  
Exploit nonlinearities for classical and quantum information processing, storage, and amplification; study collective dynamics in arrays

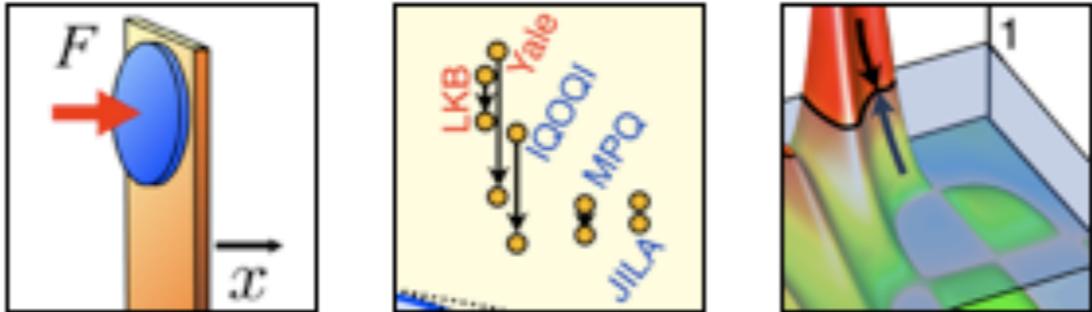
# Optomechanics

Recent review on optomechanics:  
APS Physics 2, 40 (2009)



**Trends**

**Optomechanics**



Florian Marquardt and Steven M. Girvin, May 18, 2009

Recent review on quantum limits for detection and amplification:  
Clerk, Devoret, Girvin, Marquardt, Schoelkopf; RMP 2010