Electronic properties of graphene, from 'high' to 'low' energies.

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Graphene for beginners: tight-binding model. Berry phase π electrons in monolayers. Trigonal warping. Stretched graphene. PN junction in graphene.

Berry phase 2π electrons in bilayer graphene.
 Landau levels & QHE. Interlayer asymmetry gap.
 Lifshitz transition and magnetic breakdown in BLG. Stretched BLG.
 Symmetry and irreducible representations for honeycomb crystals.
 Renormalisation group theory for interaction and spontaneous symmetry breaking in BLG.

$$H_{AB,K} = \gamma_0 \left[e^{-i\frac{2\pi}{3}} e^{-i(\frac{a}{2}p_x + \frac{a}{2\sqrt{3}}p_y)} + e^{i\frac{a}{\sqrt{3}}p_y} + e^{i\frac{2\pi}{3}} e^{i(\frac{a}{2}p_x - \frac{a}{2\sqrt{3}}p_y)} \right]$$
$$\approx \frac{\sqrt{3}}{2} \gamma_0 a(p_x - ip_y) = v\pi^+$$

 $\pi = p_x + i p_y$

$$H_{BA,K} \approx \frac{\sqrt{3}}{2} \gamma_0 a(p_x + ip_y) = v\pi$$

Bloch function amplitudes on the AB sites ('isospin') mimic spin components of a relativistic particle in a Dirac-type Hamiltonian



$$\hat{H} = v \begin{pmatrix} 0 & \pi^+ \\ \pi & 0 \end{pmatrix} = v \vec{\sigma} \cdot \vec{p}$$

McClure – Phys. Rev. 104, 666 (1956)



 $v \sim 10^8 \frac{cm}{sec}$

Electrons in bilayer graphene









$$\begin{array}{c} \begin{array}{c} \begin{array}{c} \text{Monolayer} \\ \text{(Dirac point)} \\ \text{H} = \mathbf{v} \begin{pmatrix} 0 & \pi^{+} \\ \pi & 0 \end{pmatrix} \end{array} & \psi_{\vec{p}} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ \pm e^{-i\vartheta} \end{pmatrix} \\ \psi \rightarrow e^{2\pi \frac{i}{2}\sigma_{3}} \psi = e^{i\pi\sigma_{3}} \psi \\ \psi \rightarrow e^{2\pi \frac{i}{2}\sigma_{3}} \psi = e^{i\pi\sigma_{3}} \psi \\ \psi \rightarrow e^{4\pi \frac{i}{2}\sigma_{3}} \psi = e^{i2\pi\sigma_{3}} \psi \\ \psi \rightarrow e^{4\pi \frac{i}{2}\sigma_{3}} \psi = e^{i2\pi\sigma_{3}} \psi \\ \psi_{\vec{p}} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ \pm e^{-i2\vartheta} \end{pmatrix} \end{array}$$



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2D Landau levels

semiconductor QW / heterostructure (GaAs/AlGaAs)

$$\vec{p} = -i\hbar \nabla - \frac{e}{c}\vec{A}, \quad rot\vec{A} = B\vec{l}_z$$

$$\pi = p_x + ip_y; \quad \pi^+ = p_x - ip_y$$

$$\pi\phi_0 = 0$$

$$\phi_{n+1} = \frac{\lambda_B}{\sqrt{n+1}}\pi^+\phi_n$$

 $H = \frac{\vec{p}^2}{2m} = \frac{\pi \pi^+ + \pi^+ \pi}{4m} \Longrightarrow (n + \frac{1}{2})\hbar\omega_c \quad \text{energies / wave functions}$





Landau levels and the QHE



$$H_{1}\psi = v \begin{pmatrix} 0 & \pi^{+} \\ \pi & 0 \end{pmatrix} \begin{pmatrix} \phi_{0} \\ 0 \end{pmatrix} = 0 \qquad H_{2}\psi = \frac{-1}{2m} \begin{pmatrix} 0 & \pi^{+2} \\ \pi^{2} & 0 \end{pmatrix} \begin{pmatrix} \phi_{0,1} \\ 0 \end{pmatrix} = 0$$

$$\begin{pmatrix} \phi_{0} \\ 0 \end{pmatrix} \begin{pmatrix} \phi_{0} \\ 0 \end{pmatrix}, \begin{pmatrix} \phi_{1} \\ 0 \end{pmatrix} \begin{pmatrix} \phi_{0} \\ 0 \end{pmatrix}, \begin{pmatrix} \phi_{1} \\ 0 \end{pmatrix} \begin{pmatrix} \psi_{0} \\ 0 \end{pmatrix}, \begin{pmatrix} \phi_{1} \\ 0 \end{pmatrix} \begin{pmatrix} \psi_{0} \\ 0 \end{pmatrix}, \begin{pmatrix} \phi_{1} \\ 0 \end{pmatrix} \begin{pmatrix} \psi_{0} \\ 0 \end{pmatrix}, \begin{pmatrix} \phi_{1} \\ 0 \end{pmatrix} \begin{pmatrix} \psi_{1} \\ 0 \end{pmatrix}$$

All non-zero eigenvalues can be easily found by diagonalizing H^2

$$\begin{split} H &= v \begin{pmatrix} 0 & \pi^+ \\ \pi & 0 \end{pmatrix} \\ \text{energy scale } \hbar v / \lambda_B \\ \text{where } \lambda_B &= \sqrt{\frac{\hbar}{eB}} \\ \text{state at zero energy} \\ \text{state at zero energy} \\ \pi \varphi_0 &= 0 \end{split}$$

$$\begin{aligned} H &= \frac{-1}{2m} \begin{pmatrix} 0 & (\pi^+)^2 \\ \pi^2 & 0 \\ 0 & -j_6 \\ -(3,+)(3,-) \\ -j_6 \\ -($$



Unconventional quantum Hall effect and Berry's phase of 2π in bilayer graphene

Novoselov, McCann, Morozov, VF, Katsnelson, Zeitler, Jiang, Schedin, Geim Nature Physics 2, 177 (2006)

OHE resistance quantisation with accuracy of few parts per billion in graphene synthesised on SiC



Tzalenchuk, Lara-Avila, Kalaboukhov, Paolillo, Syväjärvi, Yakimova, Kazakova, Janssen, VF, Kubatkin, Nature Nanotechnology 5, 186 - 189 (2010)

10 µm



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Interlayer asymmetry gap in bilayer graphene

$$\hat{H}_2 = -\frac{v^2}{\gamma_1} \begin{pmatrix} 0 & (\pi^{\dagger})^2 \\ \pi^2 & 0 \end{pmatrix} + \begin{pmatrix} \Delta & 0 \\ 0 & -\Delta \end{pmatrix}$$

Λ

Λ

inter-layer asymmetry gap (can be controlled using electrostatic gates)

McCann & VF - PRL 96, 086805 (2006) McCann - PRB 74, 161403 (2006)

0

T. Ohta *et al* – Science 313, 951 (2006) (Rotenberg's group at Berkeley NL)

substrate



b $1/R_{_{\Box}}$ [µS] T = 50 mK 80 tg 160 Ŧ 40 nm Au 10 V_{tg} (V) 6.5 nm Ti 50 nm Au 50 nm Au $R_{\scriptscriptstyle \square}$ (M Ω) 15 nm SiO₂ 10 nm Ti 10 nm Ti I_{bias} graphene 0 285 nm SiO₂ V_{bg} p-doped Si 50 40 30 20 0.1-10 0 $V_{bq}(V)$ а 0.01 1 μm 50 \leftrightarrow 40 V_{tg} (V) 30 0 20 10 0 $V_{\rm bg}\left({\sf V}\right)$ 100 nA С 500 T = 50 mK п opgate 250 8 µm V ((NA) -250 12 µm E -500 -300 300 -600 600 -900 0 90 1.5 µm $V_{\rm bias}$ (μV)

Gate-controlled interlayer asymmetry gap (transport measurements)

Oostinga, Heersche, Liu, Morpurgo, and Vandersypen - Nature Physics (2007)



Zhang, Tang, Girit, Hao, Martin, Zettl, Crommie, Shen, Wang - Nature 459, 820 (2009)



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Direct interlayer hopping and the 'warping' term in BLG



Direct inter-layer $A\widetilde{B}$ hops (the next neighbour coupling)

$$H = \begin{pmatrix} 0 & v_{3}\pi & 0 & v\pi^{+} \\ v_{3}\pi^{+} & 0 & v\pi & 0 \\ 0 & v\pi^{+} & 0 & \gamma_{1} \\ v\pi & 0 & \gamma_{1} & 0 \end{pmatrix}$$

$$\hat{H}_2 = -\frac{v^2}{\gamma_1} \begin{pmatrix} 0 & (\pi^{\dagger})^2 \\ \pi^2 & 0 \end{pmatrix} + v_3 \begin{pmatrix} 0 & \pi \\ \pi^{\dagger} & 0 \end{pmatrix}$$

McCann & VF - PRL 96, 086805 (2006)

$$\hat{H}_{2} = -\frac{1}{2m} \begin{pmatrix} 0 & (\pi^{\dagger})^{2} \\ \pi^{2} & 0 \end{pmatrix} + v_{3} \begin{pmatrix} 0 & \pi \\ \pi^{\dagger} & 0 \end{pmatrix}$$

$$\pi = pe^{i\theta} \qquad \text{Berry phase} \\ 2\pi = 3\pi - \pi$$

$$\sum_{LiTr} = \frac{mv_{3}^{2}}{2} \sim 1meV$$

$$\text{Lifshitz transition} \\ n_{LiTr} = \frac{2}{\pi^{2}} \left(\frac{mv_{3}}{\hbar}\right)^{2} \sim 10^{10} cm^{-2}$$

$$N_{L} < N$$

Landau levels and magnetic breakdown



Slightly stretched bilayer graphene







u = 0



 $2\pi = \pi + \pi$

Berry phase









Mucha-Kruczynski, Aleiner, VF - 2010

Landau levels in slightly stretched bilayer graphene



Persistence of different filling factors in the QHE in low magnetic fields.

Mucha-Kruczynski, Aleiner, VF - 2010

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Lemonik, Aleiner, Toke, VF, arXiv:1006.1399

Is the symmetric state of the electronic liquid in bilayer graphene stable against spontaneous symmetry breaking of U₄ symmetry due to e-e interaction?

$$\mathbf{U}_{4}: \quad \boldsymbol{\psi} = \begin{pmatrix} A & + \\ \widetilde{B} & + \\ \widetilde{B} & - \\ A & - \end{pmatrix} \rightarrow \boldsymbol{\psi}' = [\text{unitary } 4 \times 4 \text{ matrix}] \boldsymbol{\psi}$$

Here - BLG in a zero magnetic field, where one may think of many possible phase transitions: ferromagnetic ferroelectric (excitonic insulator) density wave state superconducting (s or p) For a BLG at a high magnetic field, the e-e interaction lifts the infinite degeneracy of the LL states: spin-polarised v=1 and 3 (QHFM) valley polarized v=2 (QHFE) fractional QHE states. (lectures by Eva Andrei) How shall we approach the problem:

Classify possible phases using irreducible representations of the symmetry group of the crystal.

Identify relevant e-e interaction channels potentially responsible for the spontaneous symmetry breaking.

Using renormalisation group approach, determine which interaction channel has the fastest growing constant in the RG flow, which determines the most plausible phase transition to occur in a BLG with low Fermi energy of electrons.

Lemonik, Aleiner, Toke, VF, arXiv:1006.1399

basis of 4x4 matrices $\psi = \begin{pmatrix} A, K \\ B, K \\ B, K' \\ A, K' \end{pmatrix}$ sublattice matrices $\Sigma_{1} = \begin{bmatrix} \sigma_{x} & 0 \\ 0 & -\sigma_{x} \end{bmatrix} \Sigma_{2} = \begin{bmatrix} \sigma_{y} & 0 \\ 0 & -\sigma_{y} \end{bmatrix} \Sigma_{3} = \begin{bmatrix} \sigma_{z} & 0 \\ 0 & \sigma_{z} \end{bmatrix} [\Sigma_{s_{1}}, \Sigma_{s_{2}}] = 2i\varepsilon^{s_{1}s_{2}s_{3}}\Sigma_{s_{3}}$

$$\Lambda_{1} = \begin{bmatrix} 0 & \sigma_{z} \\ \sigma_{z} & 0 \end{bmatrix} \quad \Lambda_{2} = \begin{bmatrix} 0 & -i\sigma_{z} \\ i\sigma_{z} & 0 \end{bmatrix} \quad \Lambda_{3} = \begin{bmatrix} \sigma_{0} & 0 \\ 0 & -\sigma_{0} \end{bmatrix} \begin{bmatrix} \Lambda_{l_{1}}, \Lambda_{l_{2}} \end{bmatrix} = 2i\varepsilon^{l_{1}l_{2}l_{3}} \Lambda_{l_{3}}$$
$$\begin{bmatrix} \Sigma_{s}, \Lambda_{l} \end{bmatrix} = 0$$
$$\begin{bmatrix} \Sigma_{s}, \Lambda_{l} \end{bmatrix} = 0$$
$$I = 0$$
$$I, \vec{\Sigma} \otimes \vec{\Lambda} \text{ invert signs}$$
$$I, \vec{\Sigma} \otimes \vec{\Lambda} \text{ invariant}$$



bilayer C $_{6v(z)}$



 $C_3 \to C_6^2$ $C_2 \to C_6^3$

 $1 \begin{vmatrix} t_1 \\ t_2 \\$

Irreducible representations of the symmetry group of a honeycomb crystal

 $\psi =$

 $M_l^{\circ} \in Irrep$

$$H_{2} = -\frac{1}{2m} \left[(p_{x}^{2} - p_{y}^{2})M_{3}^{1} - 2p_{x}p_{y}M_{3}^{1} \right] + v_{3} \left[p_{x}M_{0}^{1} + p_{y}M_{0}^{2} \right]$$

 $M_l^s = \Lambda_l \Sigma_s$ $\Sigma_0 = \Lambda_0 = I$

e-e interaction in various channels

 $H_{C} = \frac{e^{2}}{2} \int d^{2}r d^{2}r' \frac{\psi_{r}^{+} \psi_{r} \psi_{r'}^{+} \psi_{r'}}{|r-r'|}$

$$H_{sr} = \frac{2\pi}{m} \sum_{l,s} g_l^s \int d^2 r \left[\psi_r^+ M_l^s \psi_r \right]^2$$

Irreps. of symmetry group of honeycomb lattice

strain $g_3^1 = g_3^2 = g_{E_2}$ interlayer asymmetry (ferroelectric fluctuations) $g_3^3 = g_{B_1}$ $g_0^3 = g_{A_2}$ $g_3^0 = g_{B_2}$ charge-density $g_1^3 = g_2^3 = g_{E''}$ wave $g_1^0 = g_2^0 = g_{E'}$ $g_0^1 = g_0^2 = g_{E_1}$ $g_1^1 = g_2^2 = g_1^2 = g_2^1 = g_G$

How shall we approach the problem:

We have classified possible phases and relevant e-e interaction channels using irreducible representations of the symmetry group of the crystal...

... but the only thing that we know is that Coulomb interaction is strong, whereas interaction in all other channels is weak and difficult to estimate microscopically.

Using renormalisation group approach, we determine which interaction channel has the fastest growing constant in the RG flow, which determines the most plausible phase transition to occur for BLG with a small Fermi energy of electrons.

Lemonik, Aleiner, Toke, VF, arXiv:1006.1399

Screening of Coulomb interaction



Renormalisation of short-range interactions



Renormalisation of short-range interactions



Vafek & Yang, PRB 81, 041401 (2010) RG treatment of short range interactions with 3 couplings

$$\ell = \ln \frac{\frac{1}{2} \gamma_1}{D} = 2 \ln \frac{L}{\lambda(\frac{1}{2} \gamma_1)}$$

$$\frac{d \ln m}{d\ell} = -\frac{d \ln v_3}{d\ell} = \frac{0.08}{N}$$

$$\delta(E_2)_{i=3}^{j=1,2} = 1 \text{ and } \delta(E_2)_i^j = 0 \text{ otherwise}$$

$$\frac{dg_i^j}{d\ell} = -\frac{\tilde{\alpha}\delta(E_2)_i^j}{N^2} - \frac{\alpha_1 g_i^j}{N} - NB_i^j \left(g_i^j\right)^2 - \sum_{k,l,m,n=0}^3 C_{i;km}^{j;ln} \tilde{g}_k^l \tilde{g}_m^n$$

$$\frac{dg_{E_2}}{d\ell} = -\frac{c_1}{N(N+2)} - 2(N+2) \left(g_{E_2} - c_2\right)^2$$

$$g_{E_2}(\ell) = c_2 - \sqrt{\frac{c_1}{2N(N+2)^2}} \cot \left[\sqrt{\frac{2c_1}{N}} (\ell_0 - \ell)\right]$$

Symmetry-breaking happens first in the channel which corresponds to the uniaxial interlayer bond deformation (mimicking A-B sublattice shift in strained BLG).

Faster divergence of the interaction constant in the 'uniaxial deformation' interaction channel (Irrep E₂) (similar to the effect of strain) signals possible instability - a phase transition.

Lemonik, Aleiner, Toke, VF, arXiv:1006.1399







Two phases can be distinguished by the persistence of different filling factors In the Shoubnikov – de Haas oscillations (or QHE) into low magnetic fields

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Collaborators:

I Aleiner, B Altshuler, V Cheianov, A Geim, Y Limonik, M McCann, M Mucha-Kruczynski, K Novoselov, C Toke